

1 Extensive Regulatory Changes in Genes Affecting Vocal and 2 Facial Anatomy Separate Modern from Archaic Humans

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43 Summary

44 Changes in gene regulation are broadly accepted as key drivers of phenotypic differences between
45 closely related species. However, identifying regulatory changes that shaped human-specific traits
46 is a challenging task. Here, we use >60 DNA methylation maps of ancient and present-day human
47 groups, as well as six chimpanzees, to detect regulatory changes that emerged in modern humans
48 after the split from Neanderthals and Denisovans. We show that genes affecting vocalization and
49 facial features went through particularly extensive methylation changes. Specifically, we identify
50 silencing patterns in a network of genes (*SOX9*, *ACAN*, *COL2A1* and *NFIX*), and propose that they
51 might have played a role in the reshaping of human facial morphology, and in forming the 1:1
52 vocal tract configuration that is considered optimal for speech. Our results provide insights into
53 the molecular mechanisms that might underlie vocal and facial evolution, and suggest that they
54 arose after the split from Neanderthals and Denisovans.

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56 **Keywords:** Epigenetics, Paleoepigenetics, aDNA, Neandertal, Denisova, Gene regulation,
57 Craniofacial morphology, larynx, vocal cords, voice box

58 Introduction

59 The advent of high-quality ancient genomes of archaic humans (Neanderthal and Denisovan)
60 opened up the possibility to identify the genetic basis of some unique modern human traits (Meyer
61 et al., 2012; Prüfer et al., 2014). A common approach is to carry out sequence comparisons and
62 detect non-neutral sequence changes. However, out of ~30,000 substitutions and indels that
63 reached fixation on the lineage of present-day humans after their separation from archaic humans,
64 only ~100 directly alter amino acid sequence (Prüfer et al., 2014), and currently, our ability to
65 estimate the biological effects of the remaining ~30,000 changes is very restricted. Although most
66 of these noncoding changes are probably nearly neutral, many others may affect gene function,
67 especially those in regulatory regions like promoters and enhancers. Such regulatory changes may
68 have sizeable impact on human evolution, as alterations in gene regulation are thought to underlie
69 much of the phenotypic variation between closely related groups (Fraser, 2013; King and Wilson,
70 1975). Thus, examining directly DNA regulatory layers such as DNA methylation could enhance
71 our understanding of the development of human-specific traits far beyond what can be achieved
72 using sequence comparison alone (Hernando-Herraez et al., 2015a).

73 In order to gain insight into the regulatory changes that underlie human evolution, we previously
74 developed a method to reconstruct antemortem DNA methylation maps of ancient genomes
75 (Gokhman et al., 2014) based on analysis of patterns of damage to ancient DNA (Briggs et al.,
76 2010; Gokhman et al., 2014; Pedersen et al., 2014). We applied this method to reconstruct the
77 methylomes of a Neanderthal and a Denisovan, which were then compared to a present-day
78 osteoblast methylation map. However, the ability to identify differentially methylated regions
79 (DMRs) between the human groups was confined by the incomplete osteoblast reference map

80 (providing methylation information for ~10% of CpG sites), differences in sequencing
81 technologies, lack of an outgroup and a restricted set of skeletal samples (see Methods). To study
82 the evolutionary dynamics of DNA methylation along the hominin tree on a larger scale, we
83 establish here a comprehensive assembly of skeletal DNA methylation maps from modern humans,
84 archaic humans, and chimpanzees. We then integrate these data with known anatomical effects of
85 genes (Gokhman et al., 2017a; Köhler et al., 2014), and find that genes that affect vocal, facial,
86 and pelvic anatomy have gone through extensive DNA methylation changes that are unique to
87 modern humans.

88 Results

89 We reconstructed ancient DNA methylation maps of eight individuals: in addition to the previously
90 published Denisovan and Altai Neanderthal methylation maps (Gokhman et al., 2014), we
91 reconstructed the methylome of the Vindija Neanderthal (~40,000 years before present, ybp), and
92 three methylomes of anatomically modern humans: the Ust'-Ishim individual (~45,000 ybp) (Fu
93 et al., 2014), the Loschbour individual (~8,000 ybp) (Lazaridis et al., 2014), and the Stuttgart
94 individual (~7,000 ybp) (Lazaridis et al., 2014). We also sequenced to high-coverage and
95 reconstructed the methylomes of the La Braña 1 individual (~8,000 ybp, 22x) (which was
96 previously sequenced to low-coverage (Olalde et al., 2014) and an individual from Anatolia,
97 Turkey (I1583, 24x, ~8,500 ybp), which was previously sequenced using a capture array
98 (Mathieson et al., 2015).

99 To this we added 52 publicly available partial bone methylation maps from present-day
100 individuals, produced using 450K methylation arrays (Horvath et al., 2015; Lohk et al., 2014). To
101 obtain full present-day bone maps, we produced whole-genome bisulfite sequencing (WGBS)

102 methylomes from the femur bones of two individuals (Bone1 and Bone2). Hereinafter, ancient and
103 present-day modern humans are collectively referred to as *modern humans* (MHs), while the
104 Neanderthal and Denisovan are referred to as *archaic humans*. As an outgroup, we produced
105 methylomes of five chimpanzees (one WGBS and four 850K methylation arrays). Together, these
106 data establish a unique and comprehensive platform to study DNA methylation dynamics in recent
107 human evolution (Table S1).

108 **Identification of DMRs**

109 Methylation maps may differ due to factors such as sex, age, health, environment, and tissue type.
110 In addition, the comparison of DNA methylation maps that were produced using different
111 technologies could potentially introduce artifacts in DMR-detection. In order to account for these
112 confounding factors and to identify DMRs that reflect evolutionary differences between human
113 groups, we took a series of steps. To minimize false positives that could arise from the comparison
114 of maps produced using various technologies, we set the reconstructed Ust'-Ishim methylome as
115 the MH reference, to which we compared the Altai Neanderthal and the Denisovan. We developed
116 a DMR-detection method for ancient methylomes, which accounts for potential noise introduced
117 during reconstruction, as well as differences in coverage and deamination rates (Figure 1 and
118 Methods). To minimize the number of false positives and to identify DMRs that are most likely to
119 have a regulatory effect, we applied a strict threshold of >50% difference in methylation across a
120 minimum of 50 CpGs. This also filters out environmentally-induced DMRs which typically show
121 low methylation differences and limited spatial scope (Gokhman et al., 2017b). Using this method,
122 we identified 9,679 regions that showed methylation differences between these individuals. These
123 regions do not necessarily represent evolutionary differences between the human groups. Rather,
124 many of them could be attributed to factors separating the three individuals (e.g., Ust'-Ishim is a

125 male whereas the archaic humans are females), or to variability within populations. To minimize
126 such effects, we used the 59 additional human maps to filter out regions where variability in
127 methylation is detected. We adopted a conservative approach, whereby we take only loci where
128 methylation in one hominin group is found completely outside the range of methylation in the
129 other groups. Importantly, our samples come from both sexes, from individuals of various ages
130 and ancestries, from sick and healthy individuals, and from a variety of skeletal parts (femur, skull,
131 phalanx, tooth, and rib; Table S1). Hence, the use of these samples to filter out DMRs is expected
132 to cover much of the variation that stems from the above factors (Figure 1, Figure 2A-C). This step
133 resulted in a set of 7,649 DMRs that discriminate between the human groups, which we ranked
134 according to their significance level.

135 Next, using the chimpanzee samples, we were able to determine for 2,825 of these DMRs the
136 lineage where the methylation change occurred (Figures 2D and 3A). Of these DMRs 873 are MH-
137 derived, 939 are archaic-derived, 443 are Denisovan-derived, and 570 are Neanderthal-derived
138 (Figure 3A, Table S2). The extensive set of MH maps used to filter out within-population
139 variability led us to focus in this work on MH-derived DMRs.

140 **Face and voice-affecting genes are derived in MHs**

141 We defined differentially methylated genes (DMGs) as genes that overlap at least one DMR along
142 their body or up to a distance of 5 kb upstream. The 873 MH-derived DMRs are linked to 588
143 MH-derived DMGs (Table S2). To gain insight into the function of these DMGs, we first analyzed
144 their gene ontology (GO). As expected from a comparison between skeletal tissues, MH-derived
145 DMGs are enriched with terms associated with the skeleton (e.g., *endochondral bone*
146 *morphogenesis*, *trabecula morphogenesis*, *palate development*, *regulation of cartilage*

147 *development, chondrocyte differentiation and bone morphogenesis*). Also notable are terms
148 associated with the skeletal muscle, cardiovascular, and nervous system (Table S3).

149 To acquire a more precise understanding of the possible functional consequences of these DMGs,
150 we used Gene ORGANizer, which links human genes to the organs they phenotypically affect
151 (Gokhman et al., 2017a). Unlike tools that use GO terms or RNA expression data, Gene
152 ORGANizer is based entirely on curated gene-disease and gene-phenotype associations from
153 monogenic diseases. Therefore, it relies on direct phenotypic observations in human patients
154 whose condition results from known gene perturbations. Using Gene ORGANizer we found 11
155 organs that are over-represented within the 588 MH-derived DMGs, eight of which are skeletal
156 parts that can be divided into three regions: the voice box (larynx), face, and pelvis (Figure 3B,
157 Table S4). The strongest enrichment was observed in the laryngeal region (x2.11 and x1.68, FDR
158 = 0.017 and 0.048, for the vocal cords and larynx, respectively), followed by facial and pelvic
159 structures, including the teeth, forehead, jaws, and pelvis. Interestingly, the face and pelvis are
160 considered the most morphologically divergent regions between Neanderthals and MHs (Weaver,
161 2009) and our results reflect this divergence through gene regulation changes. The enrichment of
162 the vocal tract (the pharyngeal, oral, and nasal cavities, where sound is filtered to specific
163 frequencies) (Fitch, 2000; Lieberman, 2007) is also apparent when examining patterns of gene
164 expression. This analysis shows that the pharynx and larynx are the most enriched organs within
165 MH-derived DMGs (1.7x and 1.6x, FDR = 5.6×10^{-6} and FDR = 7.3×10^{-7} , respectively, Table
166 S3). We also found that 29 of the MH-derived DMRs overlap previously reported craniofacial
167 development enhancers (4.97-fold compared to expected, $P < 10^{-6}$, randomization test) (Prescott
168 et al., 2015).

169 To test whether this enrichment remains if we take only the most confident DMRs, we limited the
170 analysis only to DMGs where the most significant DMRs are found (top quartile). Here, the over-
171 representation of voice-affecting genes is more pronounced, with the vocal cords enriched almost
172 3-fold (FDR = 0.028), and the larynx over 2-fold (FDR = 0.028, Figure 3C, Table S4).

173 Next, we hypothesized that skeletal-associated genes are likely to be enriched when comparing
174 DNA methylation maps originating from bones, hence introducing potential biases. To test
175 whether the over-representation of the larynx, face, and pelvis is a consequence of this, we
176 compared the fraction of genes affecting the face, larynx, and pelvis among all skeletal genes to
177 their fraction within the skeletal genes in the MH-derived DMGs. We found that genes affecting
178 the face, larynx, and pelvis are significantly over-represented within skeletal MH-derived DMGs
179 ($P = 1.0 \times 10^{-5}$, $P = 1.3 \times 10^{-3}$, $P = 2.1 \times 10^{-3}$, $P = 0.03$, for vocal cords, larynx, face, and pelvis,
180 respectively, hypergeometric test). Additionally, we conducted a permutation test on the list of 129
181 MH-derived DMGs that are linked to organs on Gene ORGANizer, replacing those that are linked
182 to the skeleton with randomly selected skeletal-related genes. We then ran the list in Gene
183 ORGANizer and computed the enrichment. We repeated the process 100,000 times and found that
184 the enrichment levels we observed within MH-derived DMGs are significantly higher than
185 expected by chance for the laryngeal and facial regions, but not for the pelvis ($P = 8.6 \times 10^{-6}$, $P =$
186 6.6×10^{-4} , $P = 4.3 \times 10^{-5}$, and $P = 6.5 \times 10^{-3}$, for vocal cords, larynx, face and pelvis, respectively,
187 Figure S1A). Thus, the fact that the DMGs were detected in a comparison of bone methylomes is
188 unlikely to underlie the observed enrichment of the larynx, vocal cords, and face, but it could
189 potentially drive the enrichment of genes related to the pelvis. We therefore focus hereinafter on
190 genes affecting the facial and laryngeal regions.

191 We next analyzed whether pleiotropy could underlie the observed enrichments. To some extent,
192 Gene ORGANizer negates pleiotropic effects (Gokhman et al., 2017a). Despite the fact that the
193 DMGs belong to different pathways, and some have pleiotropic functions (Gokhman et al., 2017a;
194 Kanehisa et al., 2016; Köhler et al., 2014), their most significantly shared properties are still in
195 shaping the vocal and facial anatomy. Nevertheless, we tested this possibility more directly,
196 estimating the pleiotropy of each gene by counting the number of different Human Phenotype
197 Ontology (HPO) terms that are associated with it across the entire body (Köhler et al., 2014). We
198 found that DMGs do not tend to be more pleiotropic than the rest of the genome ($P = 0.17$, t -test),
199 nor do voice- and face-affecting DMGs tend to be more pleiotropic than other DMGs ($P = 0.19$
200 and $P = 0.27$, respectively).

201 Potentially, longer genes have higher probability to overlap DMRs. To test whether variability in
202 gene length might have contributed to the patterns we report, we took only DMGs with DMRs in
203 their promoter region (-5 kb to +1 kb around the TSS). We observe very similar levels of
204 enrichment (2.02x, 1.67x, and 1.24x, for vocal cords, larynx, and face, respectively, albeit FDR
205 values > 0.05 due to low statistical power), suggesting that gene length does not affect the observed
206 enrichment in genes affecting the face and larynx.

207 Additionally, to test whether cellular composition or differentiation state could bias the results, we
208 ran Gene ORGANizer on the list of DMGs, following the removal of 20 DMRs that are found < 10
209 kb from loci where methylation was shown to change during osteogenic differentiation (Håkelién
210 et al., 2014). We found that genes affecting the voice and face are still the most over-represented
211 (2.13x, 1.71x, and 1.27x, FDR = 0.032, FDR = 0.049, and FDR = 0.040, for vocal cords, larynx,
212 and face, respectively, Table S4).

213 We also investigated the possibility that (for an unknown reason) the DMR-detection algorithm
214 introduces positional biases that preferentially identify DMRs within genes affecting the voice or
215 face. To this end, we simulated stochastic deamination processes along the Ust'-Ishim, Altai
216 Neanderthal, and Denisovan genomes, reconstructed methylation maps, and ran the DMR-
217 detection algorithm on these maps. We repeated this process 100 times for each hominin and found
218 no enrichment of any body part, including the face, vocal cords, or larynx (1.07x, 1.07x, and 1.04x,
219 respectively, FDR = 0.88 for vocal cords, larynx, and face). Perhaps most importantly, none of the
220 other archaic branches shows enrichment of the larynx or the vocal cords. However, archaic-
221 derived DMGs show over-representation of the jaws, as well as the lips, limbs, scapulae, and spinal
222 column (Figure S1B, Table S4). In addition, DMRs that separate chimpanzees from all humans
223 (archaic and modern, Table S2) do not show over-representation of genes that affect the voice,
224 larynx, or face, compatible with the notion that this trend emerged along the MH lineage. Lastly,
225 we added a human bone reduced representation bisulfite sequencing (RRBS) map (Wang et al.,
226 2012), and produced a RRBS map from a chimpanzee infant unspecified long bone (Table S1, see
227 Methods). RRBS methylation maps include information on only ~10% of CpG sites, and are biased
228 towards unmethylated sites. Therefore, they were not included in the previous analyses. However,
229 we added them in this part as they originate from a chimpanzee infant and a present-day human
230 that is of similar age to the Denisovan (Table S1), allowing sampling from individuals that are
231 younger than the rest. Repeating the Gene ORGANizer analysis after including these samples in
232 the filtering process, we found that the face and larynx are the only significantly enriched skeletal
233 regions, and the enrichment within voice-affecting genes becomes even more pronounced (2.33x,
234 FDR = 7.9×10^{-3} , Table S4). Overall, we observe that MH-derived DMGs across all 60 MH
235 samples are found outside archaic human variability, regardless of bone type, disease state, age, or

236 sex, and that chimpanzee methylation levels in these DMGs cluster closer to archaic humans than
237 to MHs, suggesting that these factors are unlikely to underlie the observed trends.

238 Taken together, we conclude that DMGs that emerged along the MH lineage are uniquely enriched
239 in genes affecting the voice and face, and that this is unlikely to be an artifact of (a) inter-individual
240 variability resulting from age, sex, disease, or bone type; (b) significance level of DMRs; (c) the
241 reconstruction or DMR-detection processes; (d) pleiotropic effects of the genes; (e) the types of
242 maps used in these processes; (f) the comparison of bone methylomes; or (g) gene length
243 distribution.

244 Overall, we report 32 voice- and larynx-affecting DMGs. Disease-causing mutations in these genes
245 have been shown to underlie various phenotypes, ranging from slight changes to the pitch and
246 hoarseness of the voice, to a complete loss of speech ability (Table 1) (Gokhman et al., 2017a).
247 These phenotypes were shown to be driven primarily by alterations to the laryngeal skeleton and
248 vocal tract. Importantly, the laryngeal skeleton, and particularly the cricoid and arytenoid
249 cartilages to which the vocal cords are anchored, are closest developmentally to limb bones, as
250 these are the skeletal tissues that derive from the somatic layer of the lateral plate mesoderm.
251 Methylation patterns in differentiated cells are often established during earlier stages of
252 development, and the closer two tissues are developmentally, the higher the similarity between
253 their methylation maps (Hernando-Herraez et al., 2015a, 2015b; Hon et al., 2013; Schultz et al.,
254 2015; Ziller et al., 2013). Indeed, DMRs identified between species in one tissue often exist in
255 other tissues as well (Hernando-Herraez et al., 2015b). Thus, it is likely that many of the DMRs
256 identified here between limb samples also exist between laryngeal tissues. This is further supported
257 by the observation that the methylation patterns in these DMGs appear in all examined skeletal
258 samples, including femur, skull, rib, tibia, and tooth.

259 Extensive methylation changes within face and voice-affecting genes

260 Our results suggest that methylation levels in many face- and voice-affecting genes have changed
261 since the split from archaic humans, but they do not provide information on the extent of changes
262 within each gene. To do so, we scanned the genome in windows of 100 kb and computed the
263 fraction of CpGs which are differentially methylated in MHs (hereinafter, MH-derived CpGs). We
264 found that the extent of changes within voice-affecting DMGs is most profound, more than 2-fold
265 compared to other DMGs (0.132 vs. 0.055, $FDR = 2.3 \times 10^{-3}$, *t*-test, Table S5). Face-affecting
266 DMGs also present high density of MH-derived CpGs (0.079 vs. 0.055, $FDR = 2.8 \times 10^{-3}$). In
267 archaic-derived DMGs, on the other hand, the extent of changes within voice- and face-affecting
268 genes is not different than expected ($FDR = 0.99$, Table S5). To control for possible biases, we
269 repeated the analysis using only the subset of DMRs in genes affecting the skeleton. Here too, we
270 found that voice-affecting MH-derived DMGs present the highest density of changes (+154% for
271 vocal cords, +140% for larynx, $FDR = 1.4 \times 10^{-3}$, Table S5), and face-affecting DMGs also exhibit
272 significantly elevated density of changes (+42% for face, $FDR = 0.04$).

273 Interestingly, when ranking DMGs according to the fraction of MH-derived CpGs, three of the top
274 five, and all top five skeleton-related DMGs (*ACAN*, *SOX9*, *COL2A1*, *XYLT1*, and *NFIX*) affect
275 lower and midfacial protrusion, as well as the voice (Frenzel et al., 1998; Lee and Saint-Jeannet,
276 2011; Meyer et al., 1997; Tompson et al., 2009) (Figure 4A,B). This is particularly surprising
277 considering that genome-wide, less than 2% of genes (345) are known to affect the voice, ~3% of
278 genes (726) are known to affect lower and midfacial protrusion, and less than 1% (182) are known
279 to affect both. We also found that DMRs in voice- and face-affecting genes tend to be located ~20x
280 closer than expected to MH-specific candidate positively selected loci (Peyrégne et al., 2017) (P
281 $< 10^{-5}$, permutation test), and 50% closer compared to other MH-derived DMRs ($P < 10^{-5}$, Figure

282 4C). This is consistent with the possibility that some of these observations could have been driven
283 by positive selection.

284 The extra-cellular matrix genes *ACAN* and *COL2A1*, and their key regulator *SOX9*, form a network
285 of genes that regulate skeletal growth, the transition from cartilage to bone, and spatio-temporal
286 patterning of skeletal development, including facial and laryngeal skeleton in human (Lee and
287 Saint-Jeannet, 2011; Meyer et al., 1997) and mouse (Ng et al., 1997). *SOX9* is regulated by a
288 series of upstream enhancers identified in mouse and human (Bagheri-Fam et al., 2006; Sekido
289 and Lovell-Badge, 2008; Yao et al., 2015). In human skeletal samples, hypermethylation of the
290 *SOX9* promoter was shown to down-regulate its activity, and consequently its targets (Kim et al.,
291 2013). This was also demonstrated repeatedly in non-skeletal human (Aleman et al., 2008; Cheng
292 et al., 2015; Wagner et al., 2014) and mouse tissues (Huang et al., 2017; Pamnani et al., 2016). We
293 found substantial hypermethylation in MHs in the following regions: (a) the *SOX9* promoter; (b)
294 three of its proximal enhancers, including one that is active in mesenchymal cells (Yao et al.,
295 2015); (c) four of its skeletal enhancers; (d) the targets of *SOX9* – *ACAN* (DMR #80) and *COL2A1*
296 (DMR #1, the most significant MH-derived DMR); and (e) an upstream lincRNA (*LINC02097*).
297 Notably, regions (a), (b), (c), and (e) are covered by the longest DMR on the MH-derived DMR
298 list, spanning 35,910 bp (DMR #11, Figure 5). Additionally, a more distant putative enhancer,
299 located 345kb upstream of *SOX9*, was shown to bear strong active histone modification marks in
300 chimpanzee craniofacial progenitor cells, whereas in humans these marks are almost absent (~10x
301 stronger in chimpanzee, suggesting down-regulation, Figure 5B) (Prescott et al., 2015).
302 Importantly, human and chimpanzee non-skeletal tissues (i.e., brain and blood) exhibit very similar
303 methylation patterns in these genes, suggesting they are bone-specific. Also, the amino acid
304 sequence coded by each of these genes is identical across the hominin groups (Prüfer et al., 2014),

305 suggesting that the changes along the MH lineage are purely regulatory. Together, these
306 observations put forward the notion that *SOX9* became down-regulated in MH skeletal tissues,
307 likely followed by down-regulation of its targets, *ACAN* and *COL2A1*.

308 *XYLT1*, the 4th highest skeleton-related DMG, is an enzyme involved in the synthesis
309 of glycosaminoglycan. Loss-of-function mutations and reduced expression of the gene were
310 shown to underlie the Desbuquois dysplasia skeletal syndrome, which was observed to affect the
311 cartilaginous structure of the larynx, and drive a retraction of the face (Hall, 2001). Very little is
312 known about *XYLT1* regulation, but interestingly, in zebrafish it was shown to be bound by SOX9
313 (Ohba et al., 2015).

314 ***NFIX* methylation is inversely correlated with its expression**

315 To further explore expression changes that are associated with changes in methylation, we scanned
316 the DMRs to identify those whose methylation levels are strongly correlated with expression
317 across 21 human tissues. We found 59 such MH-derived DMRs (FDR < 0.05). DMRs in voice-
318 affecting genes are significantly more likely to be associated with expression compared to other
319 DMRs (x2.05, $P = 6.65 \times 10^{-4}$, hypergeometric test). Particularly noteworthy is *NFIX*, one of the
320 most derived genes in MHs (ranked 5th among DMGs affecting the skeleton, Figure 4A,B). *NFIX*
321 has two DMRs (#24 and #167), and in both, methylation levels are tightly linked with expression,
322 explaining 81.7% and 73.9% of its expression variation, respectively (FDR = 6.2×10^{-3} and 7.5×10^{-4} ,
323 Figure 6A-C). In fact, *NFIX* is one of the top ten DMGs with the most significant correlation
324 between methylation and expression in human. The association between *NFIX* methylation and
325 expression was also shown previously across several mouse tissues (Carrió et al., 2015; Maunakea
326 et al., 2010), and suggests that the observed hypermethylation reflects down-regulation that

327 emerged along the MH lineage. Indeed, we found that *NFIX*, as well as *SOX9*, *ACAN*, *COL2A1*,
328 and *XYLTI* show significantly reduced expression levels in humans compared to mice (Figure 6D).
329 Most of the disease phenotypes that result from *NFIX* dysfunction are in the craniofacial region,
330 as *NFIX* influences the balance between lower and upper projection of the face (Malan et al., 2010).
331 In addition, mutations in *NFIX* were shown to impair speech capabilities (Shaw et al., 2010). Taken
332 together, these observations suggest that DNA methylation is a primary mechanism in the
333 regulation of *NFIX*, and serves as a good proxy for its expression. Interestingly, NFI proteins were
334 shown to bind the upstream enhancers of *SOX9* (Pjanic et al., 2013), hence suggesting a possible
335 mechanism to the simultaneous changes in these genes.

336 Discussion

337 Humans are distinguished from other apes in their unique capability to communicate through
338 speech. This capacity is attributed not only to neural changes, but also to structural alterations to
339 the vocal tract. The relative roles of anatomy and cognition in our speech skills are still debated,
340 but it is nevertheless widely accepted that even with a human brain, other apes could not reach the
341 human level of articulation (Fitch, 2000; Fitch et al., 2017; Lieberman, 2007, 2017). Nonhuman
342 apes are restricted not only in their linguistic capacity (e.g., they can hardly learn grammar (Fitch,
343 2000)), but also in their ability to produce the phonetic range that humans can. Indeed,
344 chimpanzees and bonobos communicate through sign language and symbols much better than they
345 do vocally, even after being raised in an entirely human environment (Fitch, 2000). Phonetic range
346 is determined by the different conformations that the vocal tract can produce. These conformations
347 are largely shaped by the relative position of the larynx, tongue, lips, and mandible. Modern
348 humans have a 1:1 proportion between the horizontal and vertical dimensions of the vocal tract,

349 which is unique among primates (Figure 6E) (Lieberman, 2007; Lieberman et al., 2001). It is still
350 debated whether this configuration is a prerequisite for speech, but it was nonetheless shown to be
351 optimal for speech (De Boer, 2010; Fitch, 2000; Lieberman, 2007; Lieberman et al., 2001). The
352 1:1 proportion was reached through retraction of the human face, together with the descent of the
353 larynx (Lieberman, 2011).

354 A longstanding question is whether Neanderthals and modern humans share similar vocal anatomy
355 (Boë et al., 2002; Fitch, 2000; Lieberman P. and McCarthy C., 2014; Steele et al., 2013). Attempts
356 to answer this question based on morphological differences between the two human groups have
357 proven hard, as the larynx is mostly composed of soft tissues (e.g., cartilage), which do not survive
358 long after death. The only remnant from the Neanderthal laryngeal region is the hyoid bone (Fitch,
359 2000; Steele et al., 2013). Based on this single bone, or on computer simulations and tentative
360 vocal tract reconstructions, it is difficult to characterize the full anatomy of the Neanderthal vocal
361 apparatus, and opinions remain split as to whether it was similar to modern humans (Boë et al.,
362 2002; Fitch, 2000; Lieberman P. and McCarthy C., 2014; Steele et al., 2013).

363 The results we report, which are based on reconstructions of ancient DNA methylation patterns,
364 provide a novel means to analyze the mechanisms that underlie the evolution of the human face
365 and vocal tract. We have shown here that genes affecting vocal and facial anatomy went through
366 extensive methylation changes in recent MH evolution, following the split from Neanderthals and
367 Denisovans. These alterations are manifested both in the number of divergent genes and in the
368 extent of changes within each gene. The DMRs we report capture substantial methylation changes
369 (over 50% between at least one pair of human groups), span thousands or tens of thousands of
370 bases, and cover regulatory regions such as promoters and enhancers. Many of these methylation
371 changes were shown here and in previous works to be tightly linked with changes in expression

372 levels. We particularly focused on changes in the regulation of the five most diverged genes on
373 the MH lineage: *SOX9*, *ACAN*, *COL2A1*, *XYLT1*, and *NFIX*, which are all associated with a range
374 of skeletal phenotypes, and whose downregulation was shown to underlie a retracted face, as well
375 as changes to the structure of the larynx.

376 In this paper, we argue for possible interplay between methylation changes and phenotypic effects.
377 Such links are not straightforward, because almost all studies linking genes to diseases and
378 phenotypes seek sequence mutations, and particularly those that affect protein sequence.
379 Nevertheless, many diseases-causing genetic variants are loss-of-function mutations, especially
380 those that cause haploinsufficiency, and their effect could be roughly paralleled to partial silencing
381 of a gene. Therefore, phenotypes associated with such loss-of-function genetic variants could be
382 regarded as consequences of reduced gene activity in humans. To support our inference on the
383 facial and laryngeal phenotypic impacts of methylation changes in *SOX9*, *ACAN*, *COL2A1*,
384 *XYLT1*, and *NFIX* we verified that these phenotypes are indeed a result of loss-of-function
385 mutations.

386 *NFIX* poses a particularly interesting example, as the methylation levels in its two DMRs strongly
387 predict its expression level (Figure 6B,C). To investigate whether changes in *NFIX* expression
388 could explain some specific morphological changes in MH face and larynx, we examined its
389 skeletal phenotypes. Mutations in *NFIX* were shown to be behind the Marshall-Smith and Malan
390 syndromes, whose phenotypes include various skeletal alterations such as hypoplasia of the
391 midface, retracted lower jaw, and depressed nasal bridge (Malan et al., 2010), as well as limited
392 speech capabilities (Shaw et al., 2010). In many of the patients, the phenotypic alterations are
393 driven by heterozygous loss-of-function mutations causing haploinsufficiency, showing that
394 changes in *NFIX* dosage affect skeletal morphology (Malan et al., 2010). Given that reduced

395 activity of *NFIX* drives these symptoms, a possible hypothesis is that increased *NFIX* activity in
396 the Neanderthal would result in phenotypic changes in the opposite direction. Such opposite
397 phenotypic effects of under- and over-expression of genes has been demonstrated previously in
398 hundreds of genes, and especially within transcription factors (Dang et al., 2008; Hamosh et al.,
399 2005; Strande et al., 2017). Indeed, we found this to be the case in 18 out of 22 Marshall-Smith
400 syndrome skeletal phenotypes, and in 8 out of 9 Malan syndrome skeletal phenotypes. In other
401 words, from the syndromes driven by *NFIX* haploinsufficiency, through healthy MHs, to the
402 Neanderthal, the level of phenotype manifestation corresponds to the level of *NFIX* activity (Figure
403 6F, Table S6). Interestingly, many cases of laryngeal malformations in the Marshall-Smith
404 syndrome have been reported (Cullen et al., 1997). Some of the patients exhibit positional changes
405 of the larynx, changes in its width, and structural alterations to the arytenoid cartilage – the anchor
406 point of the vocal cords, which controls their movement (Cullen et al., 1997). In fact, these
407 laryngeal and facial anatomical changes are thought to underlie the limited speech capabilities
408 observed in some patients (Shaw et al., 2010).

409 In light of the role of facial flattening in determining speech capabilities, it is illuminating that
410 flattening of the face is the most common phenotype associated with reduced activity of *SOX9*,
411 *ACAN*, and *COL2A1* (Gokhman et al., 2017a). Heterozygous loss-of-function mutations in *SOX9*,
412 which result in a reduction of ~50% in its activity, were shown to cause a retracted lower face, and
413 to affect the pitch of the voice (Lee and Saint-Jeannet, 2011; Meyer et al., 1997). *ACAN* was shown
414 to affect facial prognathism and the hoarseness of the voice (Tompson et al., 2009). *COL2A1* is
415 key for proper laryngeal skeletal development (Frenzel et al., 1998), and its decreased activity
416 results in a retracted face (Hoornaert et al., 2010). The lower and midface of MHs is markedly
417 retracted not only compared to apes, but also to Australopithecines and other *Homo* groups,

418 including the Neanderthal (Lieberman, 2011). The developmental alterations that underlie the
419 ontogeny of the human face, however, are still under investigation. Cranial base length and flexion
420 were shown to play a role in the retracted face, as well as in vocal tract length (Aiello and Dean,
421 2002; Lieberman, 1998, 2011), but reduced growth rate and heterochrony of spatio-temporal
422 switches are thought to be involved as well (Bastir et al., 2007). Importantly, *SOX9* and *COL2A1*
423 were implicated in the elongation and ossification of the cranial base (Horton WA, Rimo DL,
424 Hollister DW, 1979; Yan et al., 2005), and the methylation patterns we report all exist in the cranial
425 base sample (I1583). Additionally, *SOX9* is a key regulator of skeletal growth rate, and the
426 developmental switch to ossification (Lee and Saint-Jeannet, 2011; Meyer et al., 1997).
427 Importantly, facial retraction also occurred before the split of archaic and modern humans, and the
428 faces of hominins are substantially shorter than those of chimpanzees and bonobos (Lieberman,
429 2011). Therefore, the DMGs we report could potentially be associated with recent facial retraction
430 in MHs, but not with morphological changes that precede the split.

431 We identified DMRs in *SOX9*, *ACAN*, *COL2A1*, *XYLT1*, and *NFIX* as some of the most derived
432 loci in MHs. These genes are active mainly in early stages of osteochondrogenesis, making the
433 observation of differential methylation in mature bones puzzling at first glance. This could
434 potentially be explained by two factors: (i) The DMRs might reflect early methylation changes in
435 the mesenchymal progenitors of these cells that are carried on to later stages of osteogenesis. This
436 possibility is supported by previous observations of many regulatory regions that are active during
437 early development and maintain their active methylation marks in adult tissues, despite becoming
438 inactive. In such regions, adult methylation states reflect earlier development, and DMRs in adult
439 stages could reflect heterochrony or earlier alterations in activity levels (Hernando-Herraez et al.,
440 2015a; Hon et al., 2013; Schultz et al., 2015). It is also supported by the observation that the

441 methylation patterns of *NFIX*, *SOX9*, *ACAN*, and *COL2A1* are established in early stages of
442 development and remain stable throughout differentiation from mesenchymal stem cells to
443 osteocytes (Håkelién et al., 2014). Additionally, we show that the upstream mesenchymal enhancer
444 of *SOX9* (Yao et al., 2015) is differentially methylated in MHs (Figure 5B). (ii) Although
445 expression levels of *SOX9*, *ACAN*, and *COL2A1* gradually decrease with the progress towards
446 skeletal maturation, these genes were shown to be still expressed in later skeletal developmental
447 stages in the larynx, vertebrae, limbs, and jaws, including in their osteoblasts (Moriarity et al.,
448 2015; Ng et al., 1997; Rojas-Peña et al., 2014). Interestingly, these are also the organs that are
449 most affected by mutations in these genes, implying that late stages of activity of these genes might
450 still play important roles in morphological patterning (Frenzel et al., 1998; Hoornaert et al., 2010;
451 Lee and Saint-Jeannet, 2011; Meyer et al., 1997; Tompson et al., 2009). It was also shown that
452 facial growth patterns, which shape facial prognathism, differ between archaic and modern humans
453 not only during early development, but also as late as adolescence (Lacruz et al., 2015).

454 To further investigate potential phenotypic consequences of the DMGs we report, we probed the
455 HPO database (Köhler et al., 2014). For each skeletal-affecting phenotype, we determined whether
456 it matches a known morphological difference between Neanderthals and MHs. For example,
457 *FGFR3* was shown to affect the size of the iliac bones (HPO ID: HP:0000946), and in the
458 Neanderthal, these bones are considerably hyperplastic compared to MHs (Weaver, 2009). We
459 then counted for each gene (whether DMG or not) the fraction of its associated HPO phenotypes
460 that are divergent between Neanderthals and MHs. We found that four out of the top five most
461 differentially methylated genes (*XYLT1*, *NFIX*, *ACAN*, and *COL2A1*) are found within the top 100
462 genes with the highest fraction of traits where Neanderthals and MHs differ (out of a total of 1,789
463 skeleton-related genes). In fact, *COL2A1*, which is the most differentially methylated gene, is also

464 the gene associated with the most derived traits (63) compared to all genes throughout the genome
465 (Table S7).

466 DNA methylation in some loci differs between cell types and sexes, changes with age, and might
467 be affected by factors such as environment and diet (Gokhman et al., 2017b). In this work, we took
468 measures to exclude such DMRs and to focus on DMRs that likely represent evolutionary
469 differences between the human groups. This was done by combining information from diverse
470 methylation maps. In MH-derived DMRs, for example, we use only DMRs in which chimpanzees
471 and archaic humans form a cluster that is distinct from the cluster of MHs (Figure 2A). Each of
472 the two clusters contains samples from females and males, and from a variety of ages and bones
473 (Table S1). Additionally, we show that these DMRs hold even when comparing methylation maps
474 produced using the same technology, and from the same bone type, sex, and age group (Figure
475 S2A,B). Therefore, the observed differences are unlikely to be driven by these factors, but rather
476 add credence to the notion that they reflect MH-specific evolutionary shifts. This is further
477 supported by the phenotypic observations that facial prognathism in general, and facial growth
478 rates in particular, are derived and reduced in MHs (Lacruz et al., 2015).

479 The results we presented here open a window to study the evolution of the MH face and vocal tract
480 from a genetic perspective. Our data suggest shared genetic mechanisms that shaped these
481 anatomical regions and point to evolutionary events that separate MHs from the Neanderthal and
482 Denisovan. The mechanisms leading to such extensive regulatory shifts, as well as if and to what
483 extent these evolutionary changes affected speech capabilities are still to be determined.

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- 769

770 Acknowledgements

771 We would like to thank Sagiv Shifman, Yoel Rak, Philip Lieberman, Rodrigo Lacruz, Erella
772 Hovers, Anna Belfer-Cohen, Achinoam Blau, and Daniel Lieberman for their useful advice, Janet
773 Kelso for providing data, and Maayan Harel for illustrations. L.C and E.M are supported by the
774 Israel Science Foundation FIRST individual grant (ISF 1430/13). D.G. is supported by the Clore
775 Israel Foundation. D.R. is an Investigator of the Howard Hughes Medical Institute and is also
776 supported by an Allen Discovery Center for the Study of Human Brain Evolution funded the Paul
777 G. Allen Family Foundation. S.P. and K.P. were supported by ERC grant (No 694707) and the
778 Max Planck Society. C.L.-F. is supported by FEDER and BFU2015-64699-P grant from the
779 Spanish government. R.P. was supported by ERC starting grant ADNABIOARC (263441).
780 Funding for the collection and processing of the 850K chimp data was provided by the Leakey
781 Foundation Research Grant for Doctoral Students, Wenner-Gren Foundation Dissertation
782 Fieldwork Grant (Gr. 9310), James F. Nacey Fellowship from the Nacey Maggioncalda
783 Foundation, International Primatological Society Research Grant, Sigma Xi Grant-in-Aid of
784 Research, Center for Evolution and Medicine Venture Fund (ASU), Graduate Research and
785 Support Program Grant (GPSA, ASU), and Graduate Student Research Grant (SHESC, ASU) to
786 G.H. Collection of the chimpanzee bone from Tanzania was funded by the Jane Goodall Institute,
787 and grants from the US National Institutes of Health (AI 058715) and National Science Foundation
788 (IOS-1052693), and facilitated by Elizabeth Lonsdorf and Beatrice Hahn.

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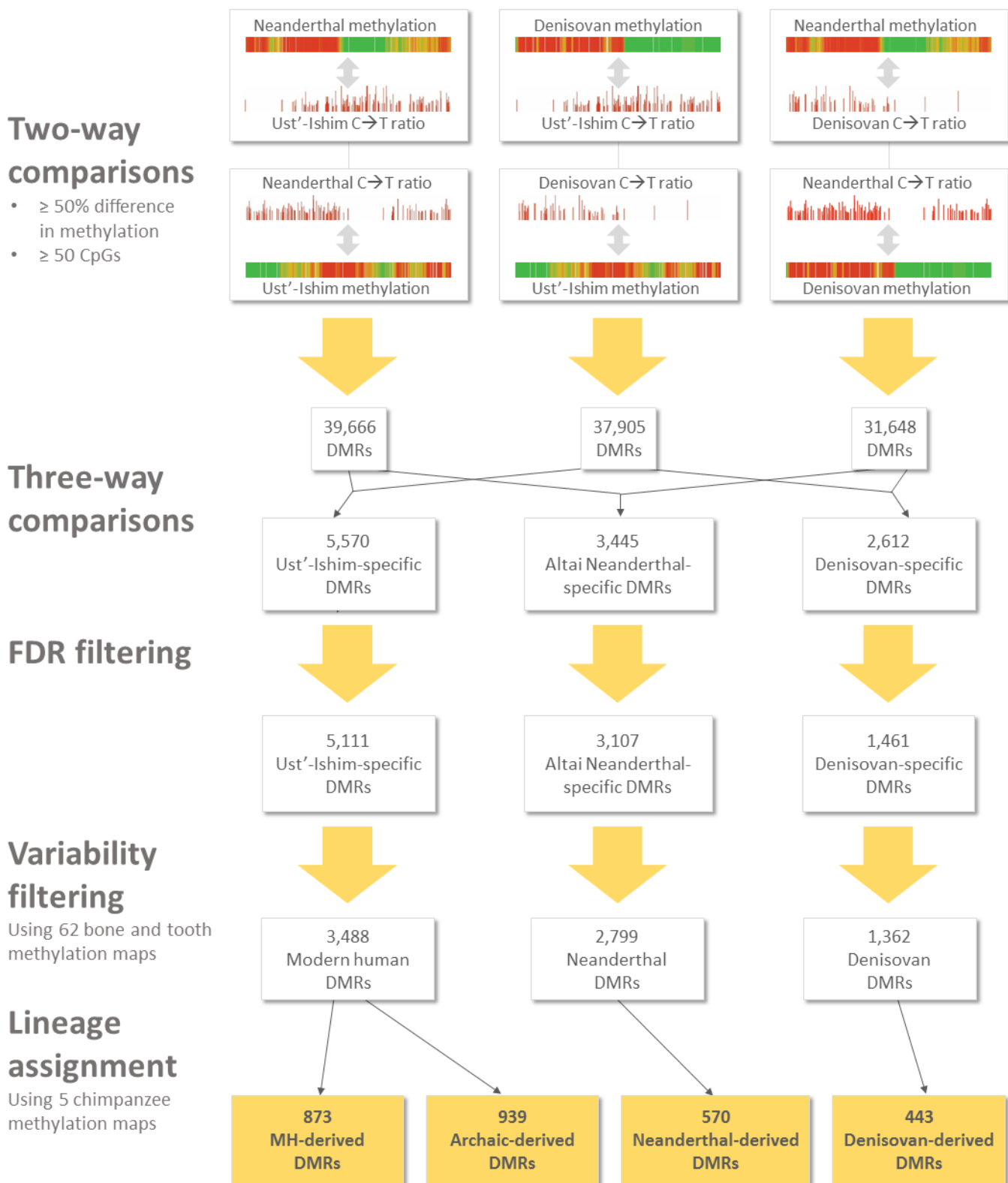
790 Tables and Figures

791 **Table 1.** DMRs in genes affecting the voice and larynx.

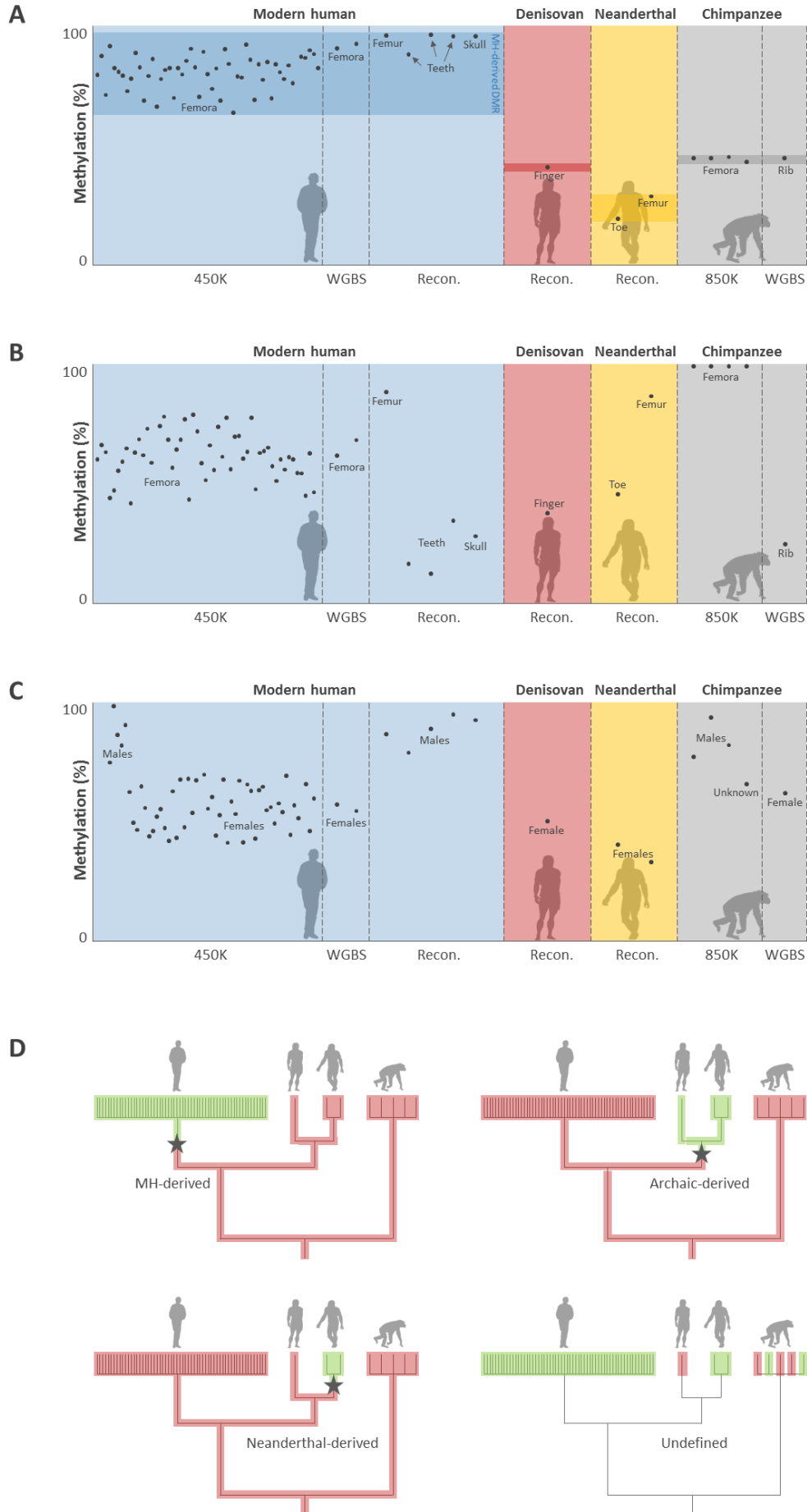
DMG	Associated phenotype	Chr	DMR start	DMR end
ALPL	Abnormality of the voice	1	21901961	21907487
AHDC1	Laryngomalacia	1	27869253	27871400
AHDC1	Laryngomalacia	1	27917471	27921806
SATB2	Abnormality of the voice	2	200236735	200244763
SPEG	Dysphonia	2	220316303	220319764
COLQ	Weak cry	3	15508914	15512536
TGFBR2	Abnormality of the voice	3	30649533	30658854
TGFBR2	Abnormality of the voice	3	30674279	30680742
TGFBR2	Abnormality of the voice	3	30706167	30710950
POC1A	High pitched voice	3	52110680	52112683
PLXND1	Abnormality of the voice	3	129312022	129315078
SH3BP2	Abnormality of the voice	4	2796208	2800983
SDHA	Hoarse voice, loss of voice, vocal cord paralysis	5	251676	254993
GLI3	Laryngeal cleft	7	42212811	42214593
CHD7	Abnormality of the voice, Laryngomalacia	8	61679558	61684133
COL2A1	Backwards displacement of the tongue base	12	48362098	48394211
HNRNPA1	Bowing of the vocal cords, hoarse voice	12	54679251	54682731
TRPV4	Vocal cord paresis	12	110248589	110250088
MEIS2	Laryngomalacia	15	37217518	37219852
ACAN	Hoarse voice	15	89333945	89344957
CREBBP	Laryngomalacia	16	3828787	3834862
CREBBP	Laryngomalacia	16	3891316	3900883
XYLT1	High-pitched voice	16	17428938	17431410
WWOX	Abnormality of the voice	16	78707061	78709972
SOX9	Laryngomalacia	17	70077734	70113643
SOX9	Laryngomalacia	17	70119247	70120418
GNAL	Laryngeal dystonia	18	11747116	11748993
NFIX	Laryngomalacia	19	13155588	13158871

NFIX	Laryngomalacia	19	13185658	13192650
POLD1	High-pitched voice	19	50883926	50885758
RIN2	High-pitched voice	20	19944783	19947262
PI4KA	Difficulties in speaking, chewing, and swallowing	22	21102507	21105410

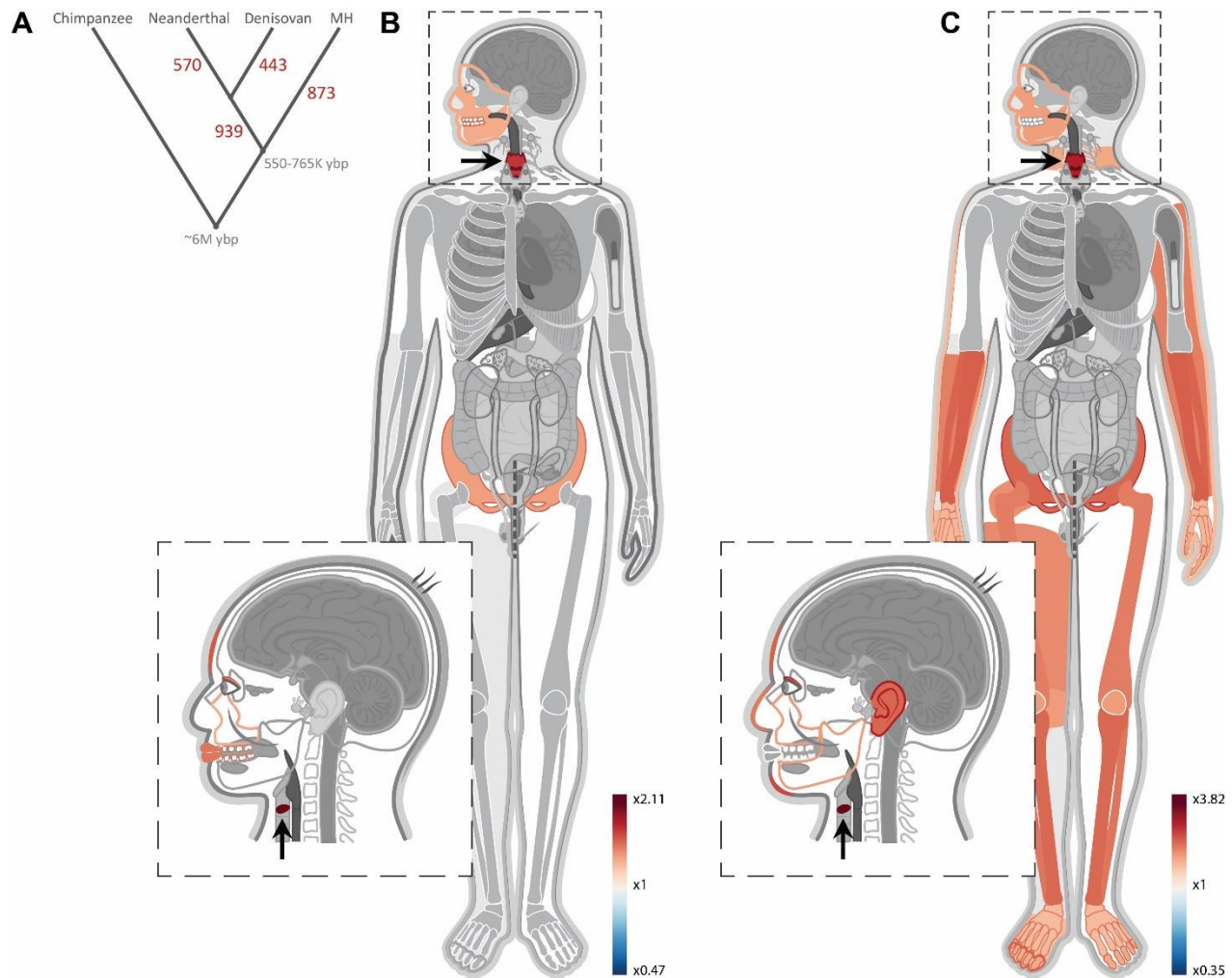
792



794 **Figure 1. DMR-detection flowchart.** At the core of the process are six two-way (pairwise)
795 comparisons between the Altai Neanderthal, Denisovan, and Ust'-Ishim individuals. In each two-
796 way comparison, a C→T deamination signal of one hominin was compared to the reconstructed
797 methylation map of the other hominin. This resulted in three lists of pairwise DMRs, that were
798 then intersected to identify hominin-specific DMRs, defined as DMRs that appear in two of the
799 lists. False discovery rates were controlled by running 100 simulations for each hominin, each
800 simulating the processes of deamination, methylation reconstruction, and DMR-detection. Only
801 DMRs that passed FDR thresholds of < 0.05 were kept (see Methods). To discard non-evolutionary
802 DMRs we used 62 skeletal methylation maps, and kept only loci whose methylation levels differed
803 in one lineage, regardless of age, bone type, disease or sex. Finally, five chimpanzee methylation
804 maps were used to assign the lineage in which each DMR likely emerged.



806 **Figure 2. Variability filtering and lineage assignment.** **A.** Methylation levels across MH,
807 Denisovan, Neanderthal, and chimpanzee samples in DMR#278 (chr4: 38,014,896-38,016,197)
808 located in the gene body of *TBC1D1*. This is an example of an evolutionary DMR, defined as a
809 locus in which all 59 MH samples are found outside the range of methylation across archaic
810 humans. RRBS samples were not used in the filtering step due to their tendency to sample
811 unmethylated positions. Chimpanzee samples were used during the following step of lineage
812 assignment. **B.** A putative limb-specific DMR (chr3:14,339,371-14,339,823) which was removed
813 from the analysis, as it does not comply with our definition of evolutionary DMR. Femur, toe, and
814 finger samples are hypermethylated compared to other skeletal elements. Toe and finger are found
815 at the bottom range of limb samples, suggesting some variation in this locus within limb samples
816 too. **C.** A putative sex-specific DMR (chr3:72,394,336-72,396,901) which was removed from the
817 analysis, as it does not comply with our definition of evolutionary DMR. Males are
818 hypermethylated compared to females. **D.** Lineage assignment using chimpanzee samples. Each
819 bar at the tree leaves represents a sample. Methylation levels are marked with red and green,
820 representing methylated and unmethylated samples, respectively. Only DMRs that passed the
821 previous variability filtering steps were analyzed. The lineage where the methylation change has
822 likely occurred (by parsimony) is marked by a star. For example, DMRs where chimpanzees
823 cluster closer to archaic samples were defined as MH-derived.



824

825 **Figure 3. Genes affecting voice and face are the most over-represented within MH-derived**

826 **DMRs. A.** The number of DMRs that emerged along each of the human branches. Split times are

827 in years before present (ybp). **B.** A heat map representing the level of enrichment of each

828 anatomical part within the MH-derived DMRs. Only body parts that are significantly enriched

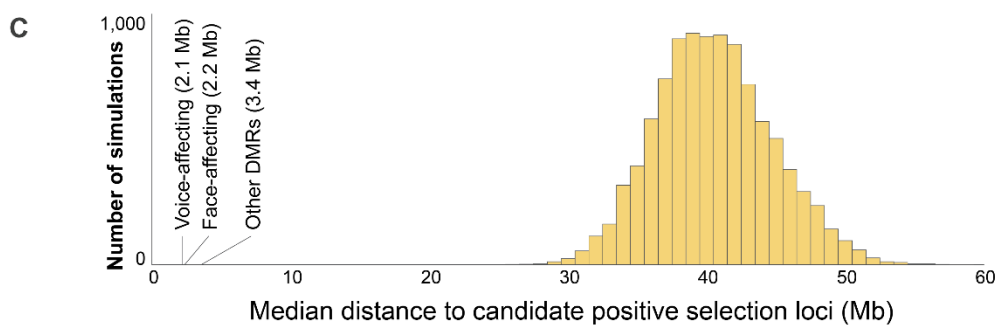
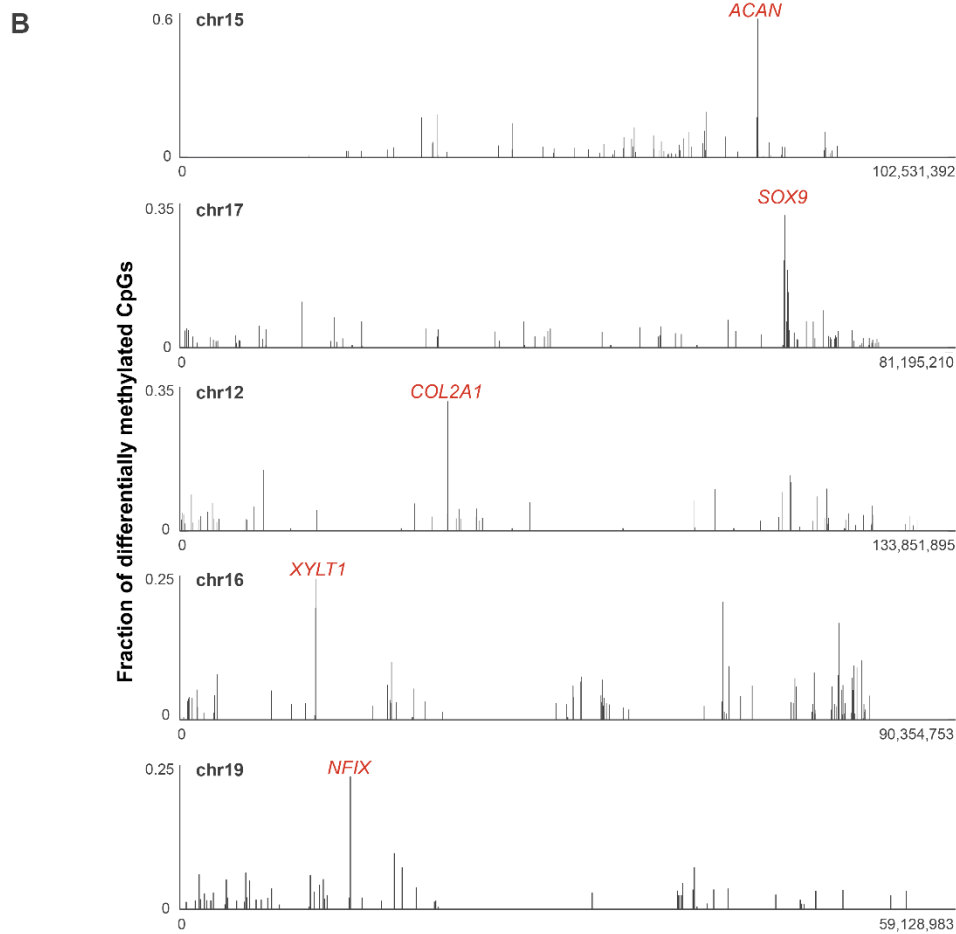
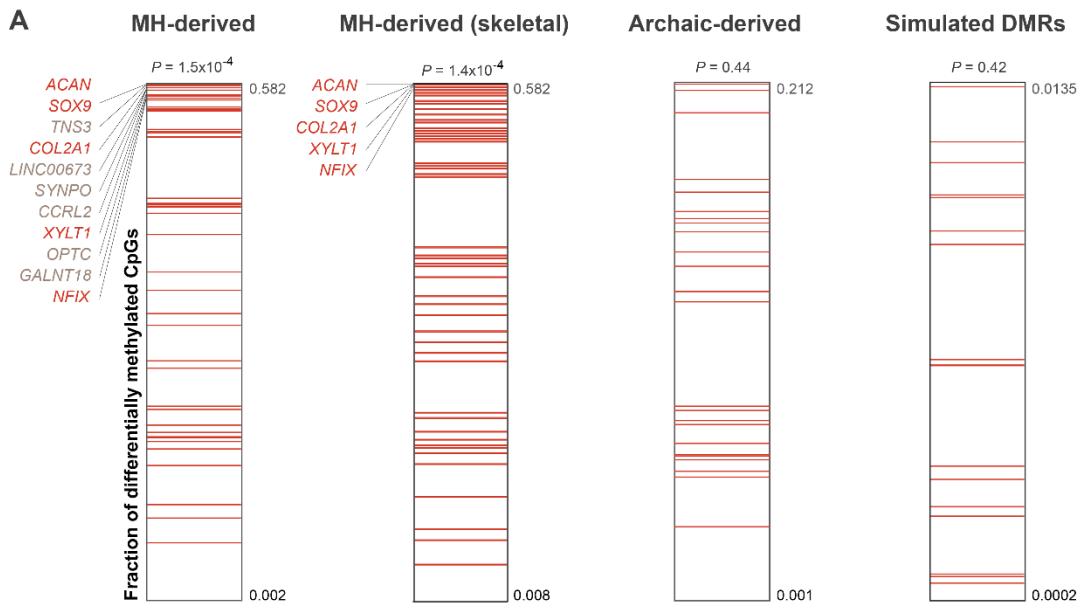
829 (FDR < 0.05) are colored. Three skeletal parts are significantly over-represented: the face, pelvis,

830 and voice box (larynx, marked with arrows). **C.** Enrichment levels of anatomical parts within the

831 most significant (top quartile) MH-derived DMRs, showing a more pronounced enrichment of

832 genes affecting vocal and facial anatomy.

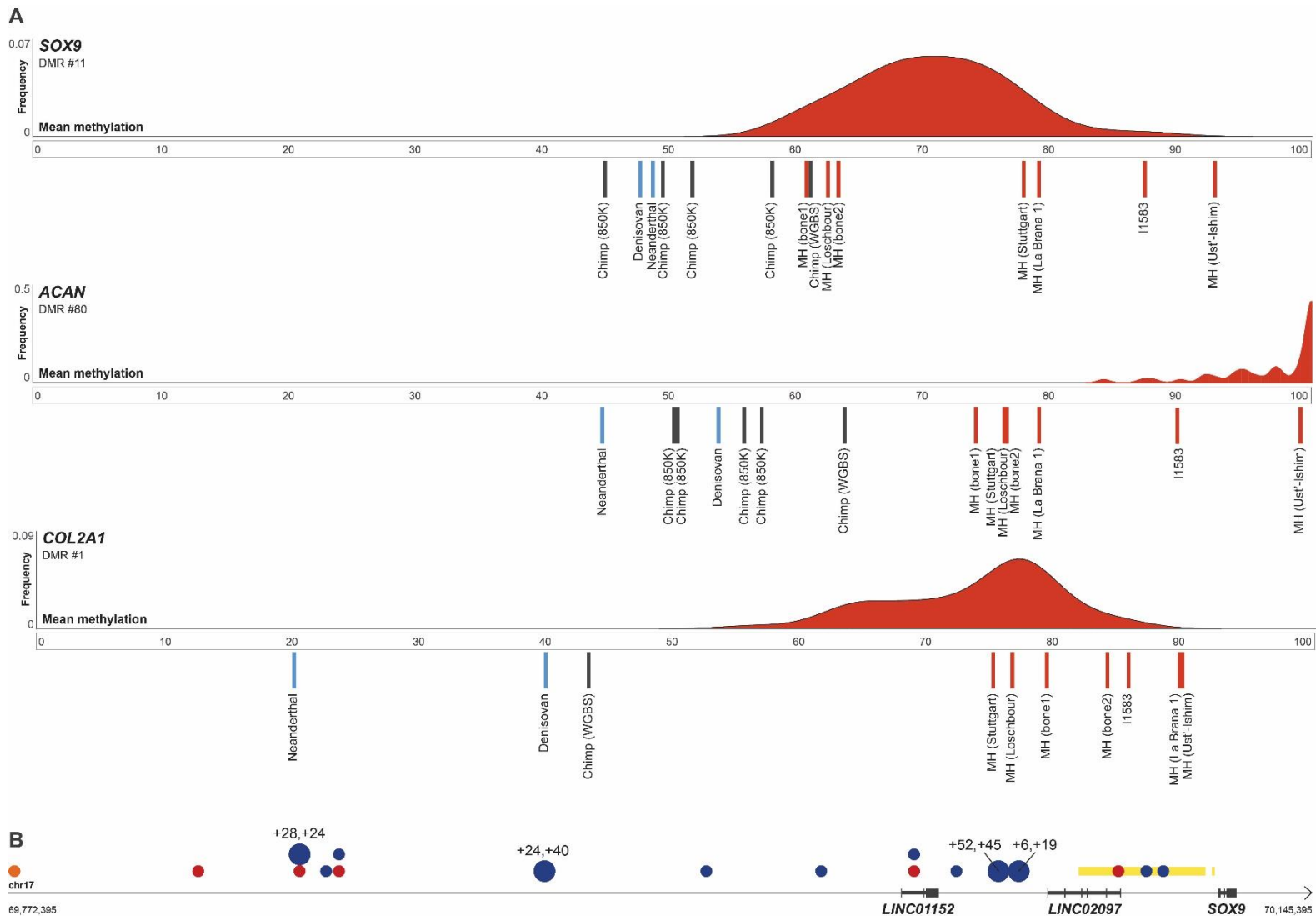
833



835 **Figure 4. The extent of differential methylation is highest among genes affecting the voice.**

836 **A.** The fraction of differentially methylated CpG positions was computed as the number of MH-
837 derived CpGs per 100 kb centered around the middle of each DMR. Genes were ranked according
838 to the fraction of derived CpG positions within them. Genes affecting the voice are marked with
839 red lines. MH-derived DMGs which affect the voice tend to be ranked significantly higher.
840 Although these genes comprise less than 2% of the genome, three of the top five MH-derived
841 DMGs, and all top five skeleton-related MH-derived DMGs affect the voice. In archaic-derived
842 DMRs and in simulated DMRs, voice-affecting genes do not show higher ranking compared to the
843 rest of the DMGs. **B.** The fraction of differentially methylated CpGs along the five chromosomes
844 containing *ACAN*, *SOX9*, *COL2A1*, *XYLT1*, and *NFIX*. In each of these chromosomes, the most
845 extensive changes are found within the genes *COL2A1*, *SOX9*, *ACAN*, and *NFIX*. All of these
846 genes control facial projection and the development of the larynx. **C.** Mean distance of randomized
847 DMRs to putative selective sweep regions (Peyr gne et al., 2017). Each DMR was allocated a
848 random genomic position, while keeping its original length. This was repeated for 10,000
849 iterations. DMRs tend to be found significantly closer to putative selective sweep regions than
850 expected by chance. DMRs in voice- and face-affecting genes tend to be 2x closer to such regions
851 compared to other DMRs.

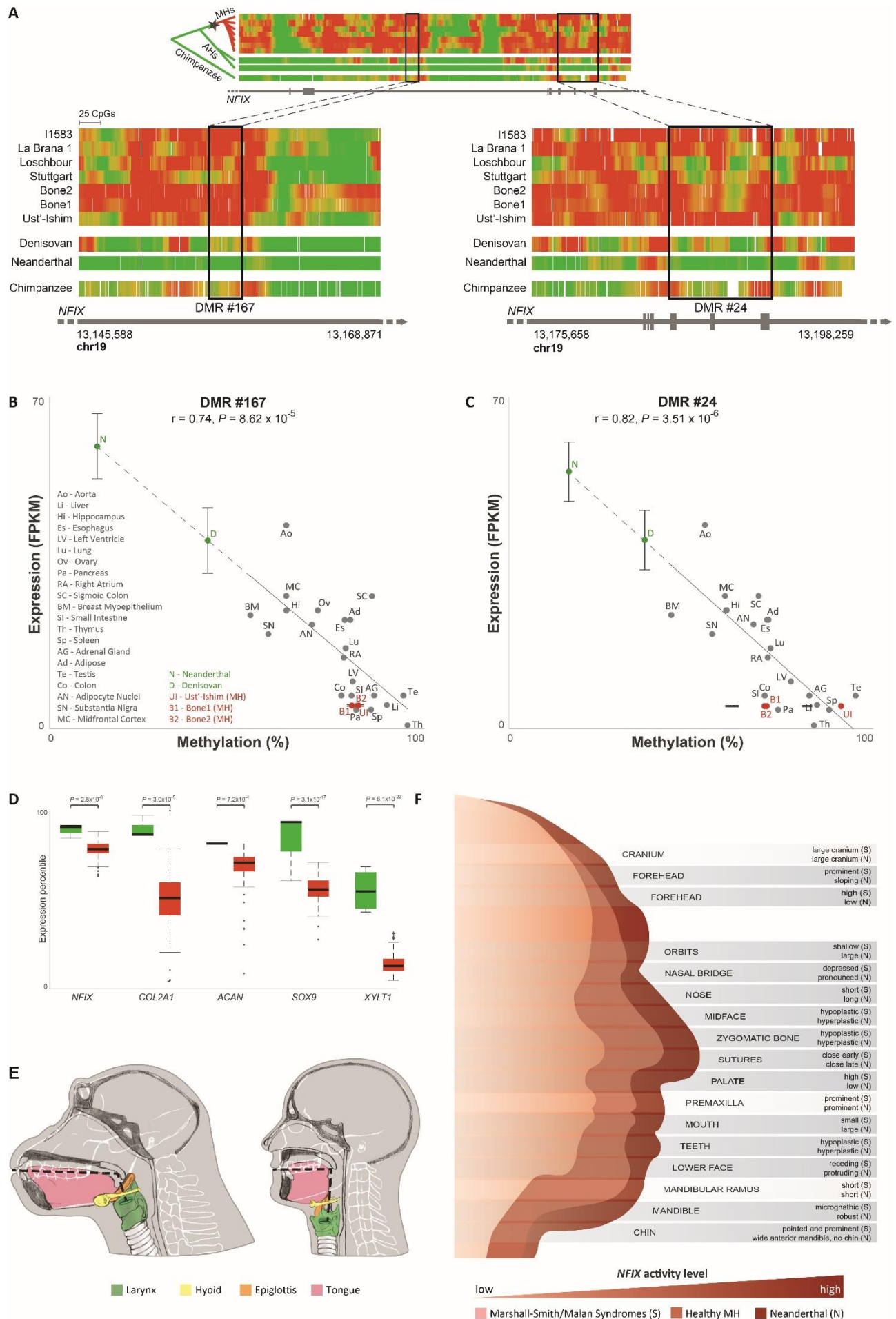
852



854 **Figure 5. Hypermethylation of *SOX9*, *ACAN*, and *COL2A1* in MHs.** **A.** Methylation levels in
 855 the MH-derived DMRs in *SOX9*, *ACAN*, and *COL2A1*. MH samples are marked with red lines,
 856 archaic human samples are marked with blue lines and chimpanzee samples are marked with grey
 857 lines. The distribution of methylation across 52 MH samples (450K methylation arrays) is
 858 presented as a red distribution. **B.** *SOX9* and its upstream regulatory elements. MH-derived DMRs
 859 are marked with yellow rectangles, enhancers identified in humans are marked with red dots, and
 860 enhancers identified in mice are marked with blue dots. Enhancers which were shown to be active
 861 in skeletal tissues (mainly cartilage) are marked with large dots, and a putative enhancer that bears

862 active histone marks in chimpanzee, but not in modern humans is marked with an orange dot.
863 Numbers above skeletal enhancers show the difference in mean bone methylation between MHs
864 and archaic humans (left) and between MHs and chimpanzee (right). Across all four enhancers,
865 MHs are hypermethylated compared to archaic humans and the chimpanzee.

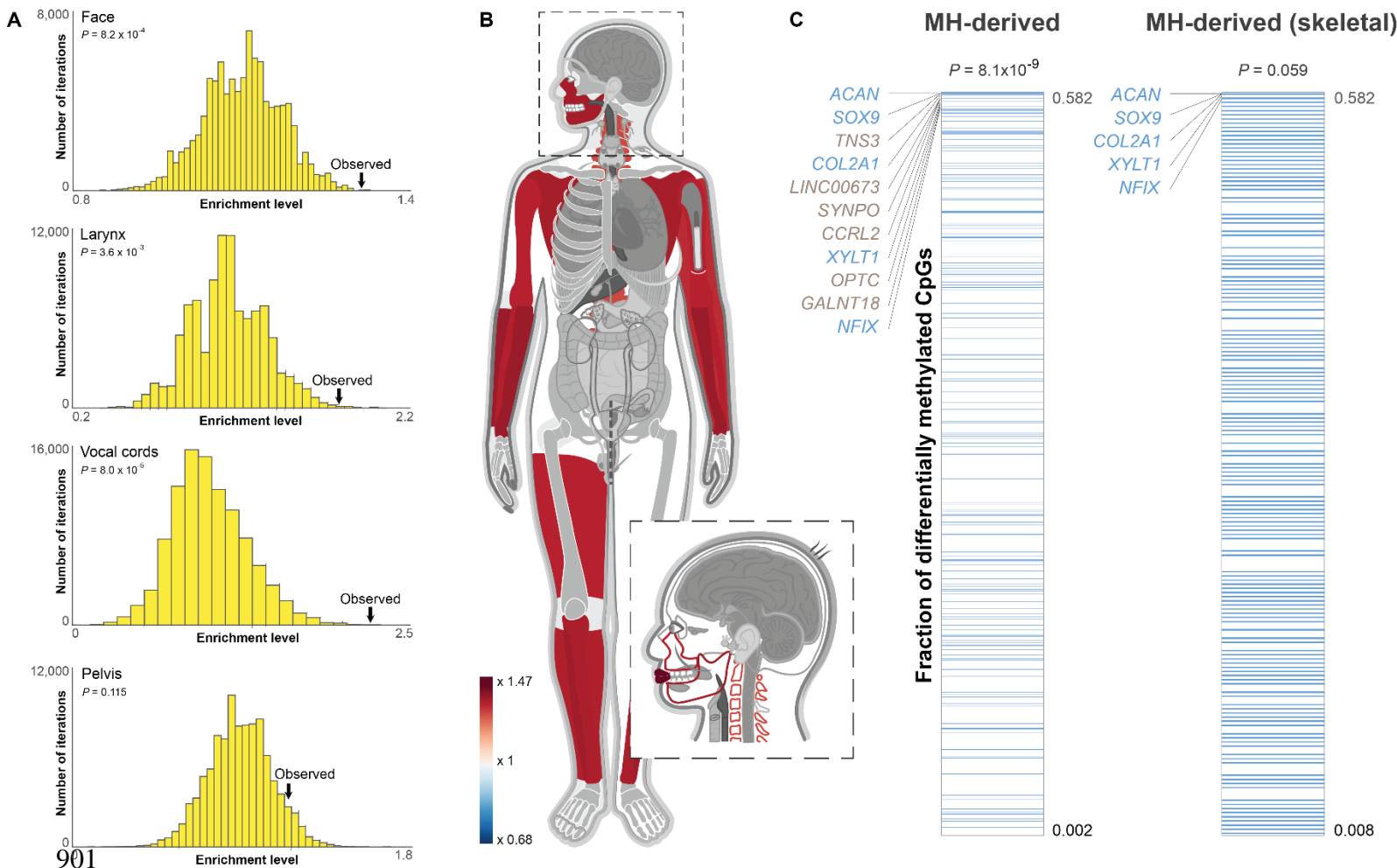
866



868 **Figure 6. *NFIX* became down-regulated after the split from archaic humans. A.** Methylation
869 levels along *NFIX*, color-coded from green (unmethylated) to red (methylated). In each of the two
870 panels, the top seven bars show ancient and present-day MH samples, where *NFIX* is mostly
871 methylated. The bottom three maps describe the Denisovan, Neanderthal (archaic humans, AHs),
872 and chimpanzee, where the gene is mostly unmethylated. Methylation levels around the two MH-
873 derived DMRs (#24 and #167) are shown in the zoomed-in panels. These two DMRs represent the
874 regions where the most significant methylation changes are observed, but hypermethylation of
875 *NFIX* in MHs can be seen throughout the entire gene body. Chimpanzee and present-day samples
876 were smoothed using the same sliding window as in ancient samples to allow easier comparison.
877 The inferred schematic regulatory evolution of *NFIX* is shown using a phylogenetic tree to the left
878 of the top panel. Star marks the shift in methylation from unmethylated (green) to methylated (red).
879 **B,C.** Methylation levels in DMRs #167 and #24 vs. expression levels of *NFIX* across 21 MH
880 tissues (grey). In both DMRs, higher methylation is associated with lower expression of *NFIX*.
881 Ust'-Ishim, Bone1 and Bone2 methylation levels (red) are plotted against mean *NFIX* expression
882 from four present-day bones. Neanderthal and Denisovan methylation levels (green) are plotted
883 against the predicted expression levels, based on the extrapolated regression line (dashed). Error
884 bars represent one standard deviation in each direction. **D.** Expression levels of *SOX9*, *ACAN*,
885 *COL2A1* and *NFIX* in modern humans are reduced compared to mice. The box plots present 89
886 human samples (red) and four mouse samples (green) from appendicular bones (limbs and pelvis).
887 Expression levels were converted to percentiles, based on the level of gene expression compared
888 to the rest of the genome in each sample. **E.** Vocal anatomy of chimpanzee and MH. The vocal
889 tract is the cavity from the lips to the larynx (marked by dashed lines). In MHs, the flattening of
890 the face together with the descent of the larynx led to approximately 1:1 proportions of the

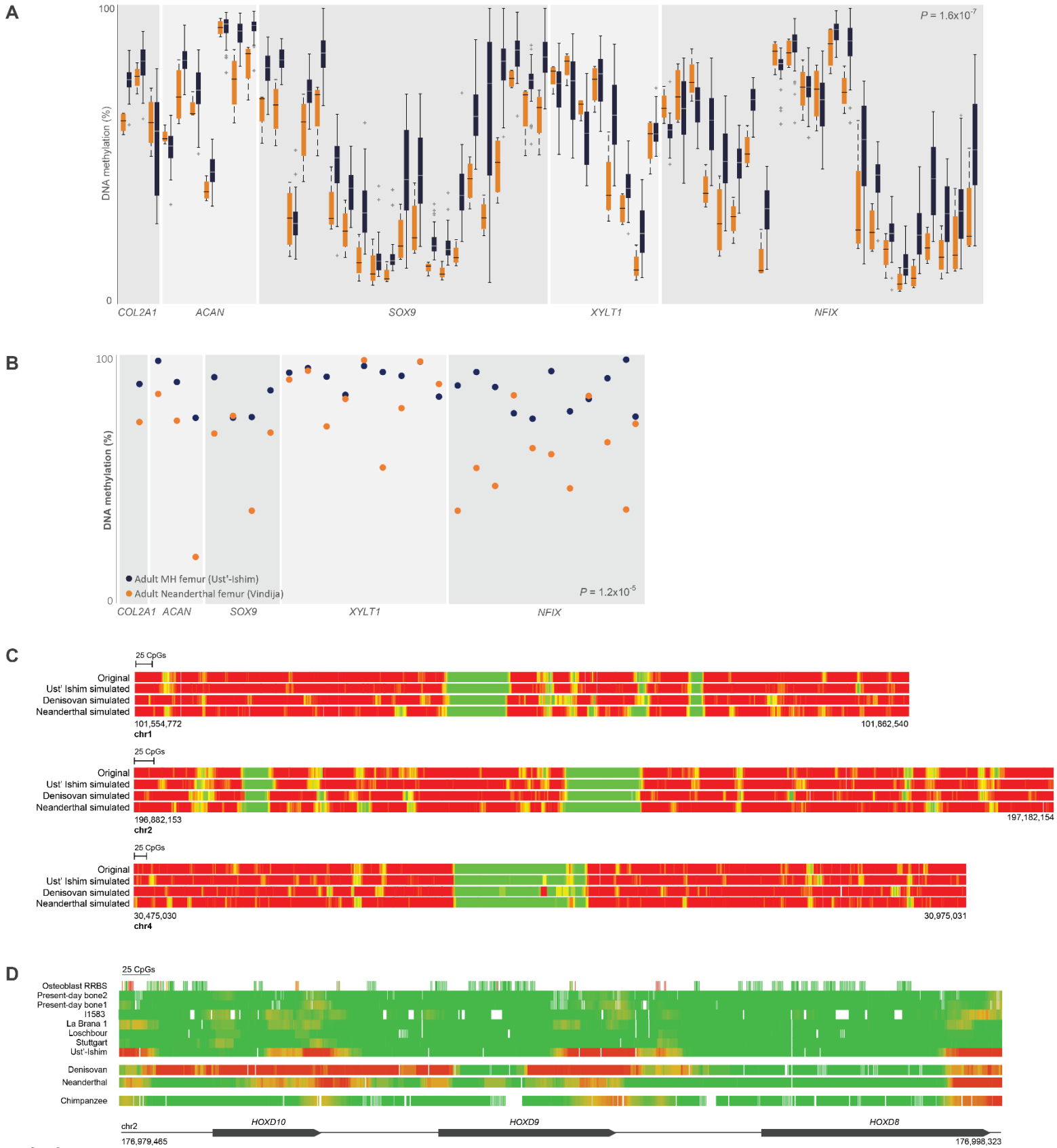
891 horizontal and vertical portions of the vocal tract, whereas chimpanzees have a longer horizontal
892 and a shorter vertical vocal tract. **F.** Craniofacial features of the Neanderthal (posterior silhouette),
893 healthy MH (middle silhouette), and MH with Marshall-Smith or Malan syndromes (anterior
894 silhouette). *NFIX* controls the upper vs. lower prognathism of the face. Individuals where *NFIX* is
895 partially or completely inactive present phenotypes that are largely the opposite of the Neanderthal
896 facial features. For each facial part we show the phenotype of the Marshall-Smith and Malan
897 syndromes (S), as well as the corresponding Neanderthal (N) phenotype. Phenotypes are compared
898 to a healthy MH. Opposite phenotypes are marked with dark grey rectangles, and shared
899 phenotypes are marked with light grey rectangles.

900 Supplementary Figures



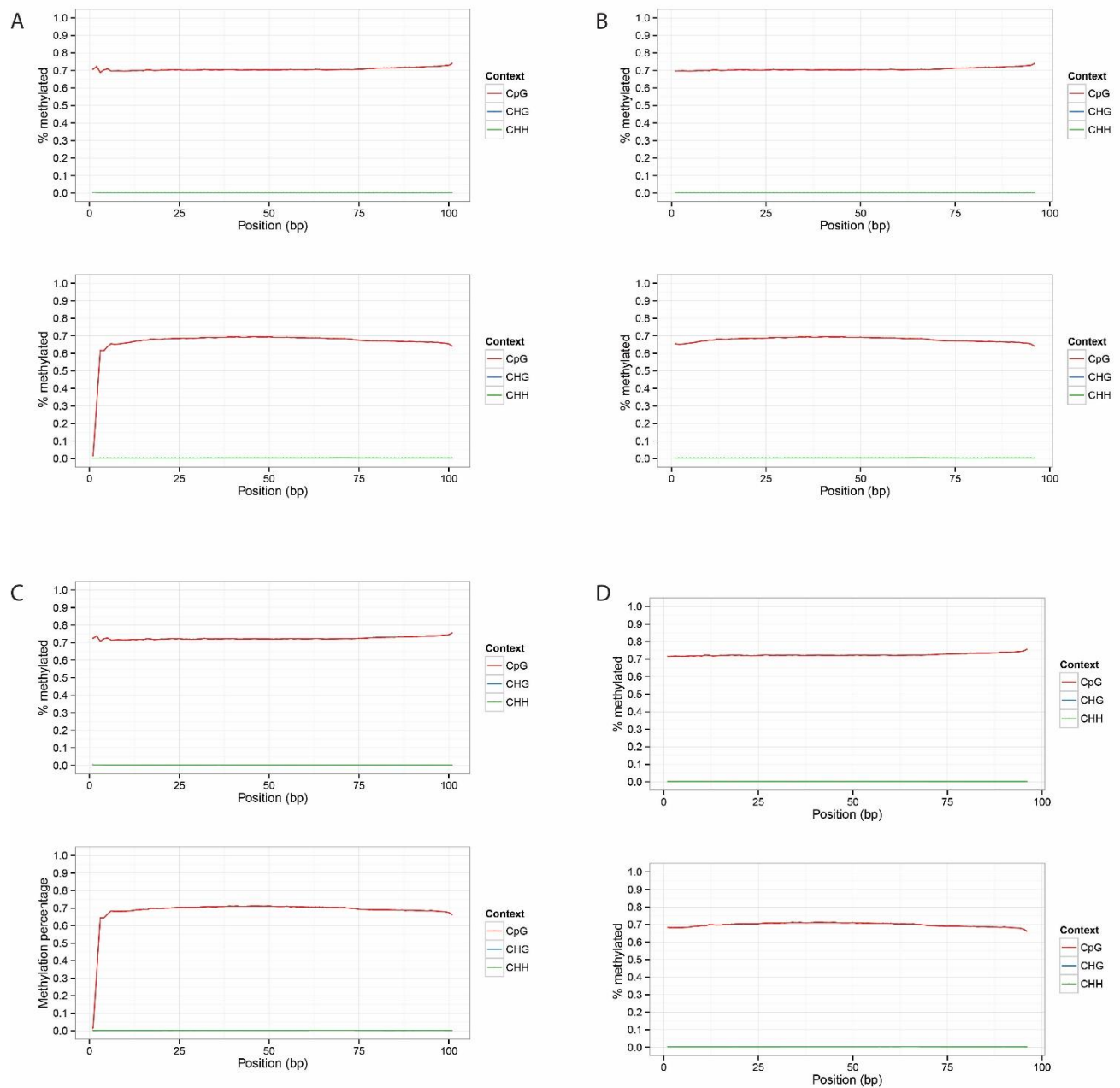
902 **Figure S1. The face and larynx are enriched within MH-derived DMGs compared to genes**
 903 **affecting the skeleton, and compared to archaic-derived DMGs. A.** The distribution of
 904 enrichment levels in 100,000 randomized lists of genes, where non-skeletal MH-derived DMGs
 905 were unchanged, whereas skeleton-related DMGs were replaced with random skeleton-related
 906 genes. Observed enrichment levels are significantly higher than expected in the face, larynx, and
 907 vocal cords. **B.** A heat map representing the level of enrichment of each anatomical part within
 908 archaic-derived DMGs. Genes affecting the lips, limbs, jaws, scapula, and spinal column are the
 909 most enriched within archaic-derived DMRs. Only body parts that are significantly enriched

910 (FDR < 0.05) are colored. **C.** The number of MH-derived CpGs per 100 kb centered around the
911 middle of each DMR. Genes were ranked according to the fraction of derived CpG positions
912 within them. Genes affecting the face are marked with blue lines. MH-derived DMGs which
913 affect the face tend to be ranked significantly higher. Although only ~2% of genes in the genome
914 are known to affect lower and midfacial projection, three of the top five MH-derived DMGs, and
915 all top five MH-derived skeleton-affecting DMGs affect facial projection.



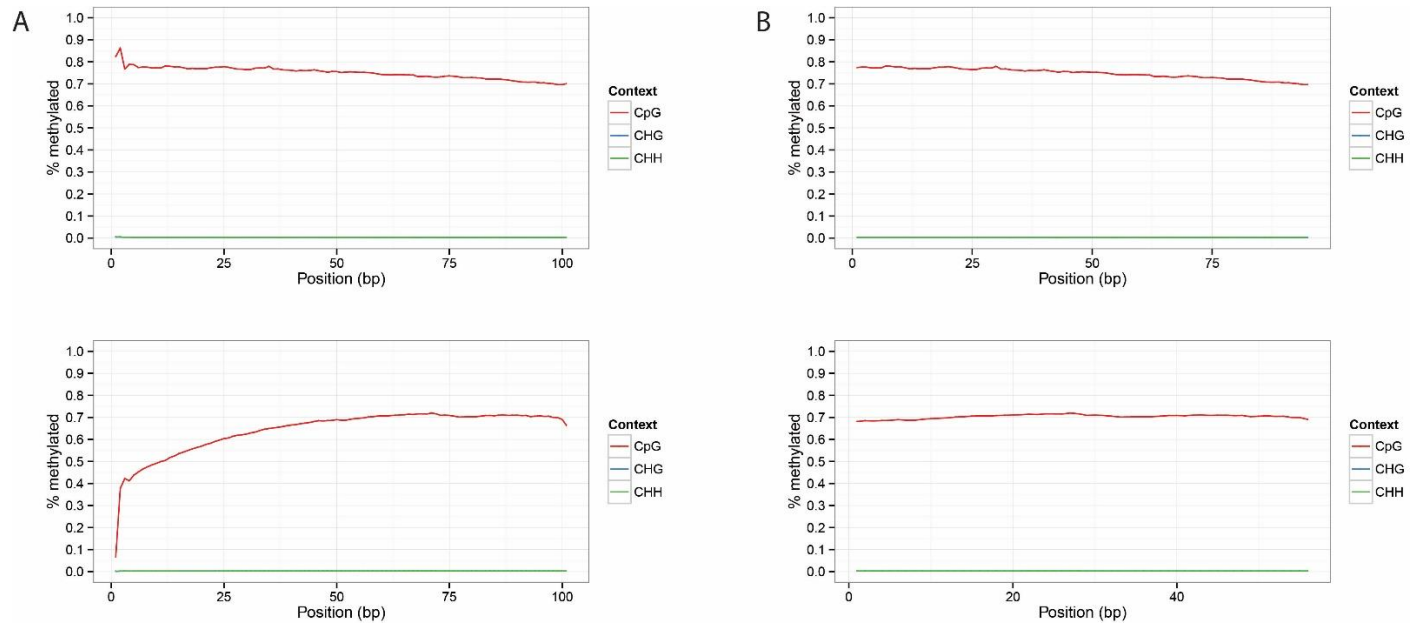
917 **Figure S2. A.** *COL2A1*, *ACAN*, *SOX9*, and *NFIX* are hypermethylated in MH femora compared to
918 chimpanzee femora. Each pair of box plots represents methylation levels across 52 MH femora
919 (blue) and four chimpanzee femora (orange) in a single probe of methylation array. When
920 comparing methylation in the same bone, measured by the same technology, and across the same
921 positions, MHs show almost consistent hypermethylation compared to chimpanzee. The probes
922 presented include also probes within DMRs that were analyzed in the density analyses (see
923 Methods). **B.** *COL2A1*, *ACAN*, *SOX9*, and *NFIX* are hypermethylated in Ust'-Ishim (blue)
924 compared to the Vindija Neanderthal (orange). Dots represent mean methylation levels in MH-
925 derived DMRs. Both samples were extracted from femora of adults, and methylation was
926 reconstructed using the same method. The DMRs presented include also those that were analyzed
927 in the density analyses (see Methods). The hypermethylation of these genes in MHs is unlikely to
928 be attributed to age or bone type. **C.** Simulations of cytosine deamination, followed by
929 reconstruction reproduce DNA methylation maps. Deamination was simulated for each position
930 based on its methylation level, read coverage and the observed rate of deamination in each
931 hominin. Then, DNA methylation maps were reconstructed and matched against the original map.
932 The number of DMRs found were used as an estimate of false discovery rate. Three exemplary
933 regions are presented, where methylation levels are color-coded from green (unmethylated) to red
934 (methylated). **D.** The *HOXD* cluster is hypermethylated in archaic humans, and in the Ust'-Ishim
935 individual. Methylation levels are color-coded from green (unmethylated) to red (methylated). The
936 top eight bars show ancient and present-day MH samples, the lower three show the Denisovan,
937 Neanderthal and chimpanzee. The promoter region of *HOXD9* is hypermethylated in the
938 Neanderthal and the Denisovan, but not in MHs. The 3' ends of the three genes are

939 hypermethylated in the Neanderthal, Denisovan, Ust'-Ishim and chimpanzee, but not in other MH
940 samples. The promoter of HOXD10 is methylated only in the Denisovan.



941

942 **Figure S3. M-bias plots along reads in bone sample 1 and sample 2. A. Pre-filtering**
943 **methylation along read1 and read2 in the autosomes of bone 1. B. Post-filtering methylation along**
944 **read1 and read2 in the autosomes of bone 1. C. Pre-filtering methylation along read1 and read2 in**
945 **the autosomes of bone 2. D. Post-filtering methylation along read1 and read2 in the autosomes of**
946 **bone 2.**



947

948 **Figure S4. M-bias plots along reads in the chimpanzee rib sample. A.** Pre-filtering methylation

949 along read1 and read2 in the autosomes. **B.** Post-filtering methylation along read1 and read2 in the

950 autosomes.