An inducible CRISPR-ON system for controllable gene activation in human pluripotent stem cells

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25 Abstract

26   Human pluripotent stem cells (hPSCs) are an important system to study early human

27 development, model human diseases, and develop cell replacement therapies. However, genetic

28 manipulation of hPSCs is challenging and a method to simultaneously activate multiple genomic

29 sites in a controllable manner is sorely needed. Here, we constructed a CRISPR-ON system to

30 efficiently upregulate endogenous genes in hPSCs. A doxycycline (Dox) inducible

31 dCas9-VP64-p65-Rta (dCas9-VPR) transcription activator and a reverse Tet transactivator (rtTA)

32 expression cassette were knocked into the two alleles of the *AAVS1* locus to generate an iVPR

33 hESC line. We showed that the dCas9-VPR level could be precisely and reversibly controlled by

34 addition and withdrawal of Dox. Upon transfection of multiplexed gRNA plasmid targeting the

35 *NANOG* promoter and Dox induction, we were able to control *NANOG* gene expression from its

36 endogenous locus. Interestingly, an elevated *NANOG* level did not only promote naïve pluripotent

37 gene expression but also enhanced cell survival and clonogenicity, and it enabled integration of

38 hESCs with the inner cell mass (ICM) of mouse blastocysts *in vitro*. Thus, iVPR cells provide a

39 convenient platform for gene function studies as well as high-throughput screens in hPSCs.

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Introduction

44　Human pluripotent stem cells (hPSCs), including human embryonic stem cells (hESCs) and

45　human induced pluripotent stem cells (hiPSCs), are capable of self-renewal indefinitely and have

46　the potential to differentiate into all cell types in the human body. Therefore this system offers a

47　useful platform to study early human embryogenesis and a potential cell source for regenerative

48　medicine. Moreover, functional cells derived from hESCs can be used to model human diseases in

49　the context of drug toxicity tests and new drug development. These applications rely on methods

50　to precisely control gene expression. However, because of difficulties in culture and transfection,

51　targeted regulation of gene expression in hPSCs remains a technically challenging task. A method

52　for efficient, rapid, and controllable gene activation is sorely needed.

53　Recently, the clustered regularly interspaced short palindromic repeat (CRISPR)/Cas9 system

54　emerged as a powerful and versatile tool for genome editing (Wiedenheft et al. 2012). CRISPR

55　was initially discovered as the adaptive immune system of bacteria and archaea (Wiedenheft et al.

56　2012). In response to viral and plasmid infection, bacteria and archaea could cut and degrade the

57　foreign DNA recognized by a matching spacer RNA with the help of the Cas9 enzyme

58　(Wiedenheft et al. 2012). CRISPR was rapidly transformed to a genome editing tool, and it has

59　been shown to work in a wide range of systems, from plants to human cells, since the Cas9

60　nuclease can be directed easily to virtually anywhere in the genome using a short guide RNA and

61　cutting the target DNA (Hsu et al. 2014). In pluripotent stem cells, the CRISPR system has been

62　used to perform highly efficient gene knock-out and knock-in studies (Hsu et al. 2014). In addition

63　to genome editing, a nuclease inactivated Cas9 (dCas9) was developed (Gilbert et al. 2014). By

64　fusing dCas9 with transcription activators and repressors, such as VP64, and KRAB (Balboa et al.

65　2015; Gilbert et al. 2014; Mandegar et al. 2016; Genga et al. 2015), or with epigenetic modifiers,

66　such as the catalytic domain of acetyltransferase p300 (Hilton et al. 2015) and Tet (ten eleven

67　translocation) dioxygenase (Xu et al. 2016), one can use the CRISPR system to activate or inhibit

68　gene expression or modify the histone and DNA methylation status at the desired locus.

69　Because of its potential applications in regenerative medicine, random insertion of foreign DNA

70　into the genome of hPSCs should be avoided, since this may cause harmful mutations. The

71　Adeno-Associated Virus Integration Site 1 (*AAVS1*) locus resides in the first intron of the

72　*PPP1R12C* gene and has been used as a safe harbor for transgene integration (Smith et al. 2008;

73　Hockemeyer et al. 2009; Lombardo et al. 2011; Qian et al. 2014; Zhu et al. 2014; Genga et al.

74　2015). Here we generated an iVPR hESC line by knocking-in the inducible dCas9-VPR system

75   into the two alleles of the *AAVS1* locus. Detailed characterization of the iVPR hESC demonstrated

76   that dCas9-VPR protein could be induced by Dox within 12 hours and disappear after Dox

77   withdrawal. An inducible *NANOG* overexpression line (iNANOG) was established based on the

78   iVPR system. We found a significant increase in NANOG protein after Dox induction. INANOG

79   cells upregulated naïve pluripotency genes and were able to grow for a significant length of time in

80   a naïve state medium containing ERK and GSK3 inhibitors and human LIF. The iVPR system can

81   be a valuable system to control gene expression from endogenous loci and serve as platform for

82   genome wide screens to identify new genes that can regulate stem cell self-renewal and

83   differentiation.

84

85   **Results**

86   **DCas9-VPR mediated robust ectopic and endogenous gene activation in human cell lines**

87   To construct a robust and tunable gene activation system in hPSCs, we first compared the

88   activation efficiency of dCas9-VPR (Chavez et al. 2015) with dCas9-VP64 (Kearns et al. 2014)

89   and the Doxycycline (Dox) inducible Tet-On transactivator (rtTA) (Fig. 1A). We constructed

90   plasmids to express gRNA targeting the TetO sequence (gTetO), and tested the ability of

91   dCas9-VPR+gTetO or dCas9-VP64+gTetO to activate the synthetic TRE promoter driving

92   enhanced blue fluorescent protein expression (TRE-BFP) in 293FT cells (Fig. 1A). The Tet

93   transactivator (rtTA) was used as positive control (Fig. 1B). DCas9-VPR strongly activated BFP

94   fluorescence, 43.1% of cells were BFP positive, while in the rtTA+Dox and dCas9-VP64 groups,

95   only 28.2% and 5.8% of cells activated BFP, respectively (Fig. 1C and D). Moreover, dCas9-VPR

96   resulted in the strongest mean BFP fluorescence intensity, indicating that it is the strongest

97   activator among the three (Fig. 1D).

98   We next tested the dCas9-VPR function in hESCs. DCas9-VPR, gTetO, and TRE-BFP

99   plasmids were co-transfected into H9 hESCs. In another group, rtTA and TRE-BFP plasmids were

100   co-transfected. FACS analysis showed that nearly 17% of cells in the dCas9-VPR group turned on

101   BFP, while 24.7% of cells in the rtTA group were BFP positive after Dox induction, and only 0.6%

102   of cells exhibited BFP fluorescence without Dox (Fig. 1E). Interestingly, the dCas9-VPR group

103   showed the strongest mean fluorescence intensity (Fig. 1F). This is consistent with our result based

104   on 293FT cells and proves that dCas9-VPR is a robust transcription activator, even compared with

105   rtTA. We also tested the activation effect of dCas9-VPR in mouse embryonic stem cells (mESCs)

106   and mouse embryonic fibroblasts (MEFs) and obtained similar results (Fig. S1A and B).

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107   We then tested the efficiency of dCas9-VPR to activate normally silenced pluripotency genes

108   in human cells. Two gRNAs targeting the -254 and -144 positions upstream of the transcription

109   start site (TSS) of the pluripotency gene *NANOG* were selected (Fig. 2A). A GFP-2A-Puromycin

110   resistant gene expression cassette was placed after the gRNA cassette both to monitor the

111   transfection efficiency and for selection (Fig. 2A). *NANOG* cannot be activated by gNANOG

112   alone or by dCas9-VPR together with the control gTetO. However, introducing gNANOG and

113   dCas9-VPR together could elevate the *NANOG* transcript level by up to 150-fold in 293FT cells,

114   indicating that it has a robust gene activation function (Fig. 2C).

115   Next, we tested whether the dCas9-VPR system could simultaneously activate multiple genes

116   in human cells, we designed 2 different gRNAs per gene promoter for *HOXA10, SNAIL1, MESP1,*

117   *GATA5* and *HOXA9*. First we tested the activation efficiency of these gRNAs towards their target

118   genes when transfected separately in 293FT cells (Fig. 2D). Q-PCR analysis showed all of the five

119   pairs of gRNAs can activate their target gene upon co-transfection with dCas9-VPR (Fig. 2D). We

120   next pooled gRNA pairs of two genes (2×gRNAs: *MESP1, GATA5*), three genes (3×gRNAs:

121   *HOXA10, SNAIL1, HOXA9*) or five genes (5×gRNAs: *HOXA10, SNAIL1, MESP1, GATA5* and

122   *HOXA9*) to test the co-activation efficiency. Upon co-transfection with dCas9-VPR, different

123   combination of gRNAs upregulated their target genes together (Fig. 2E), indicating that

124   dCas9-VPR system could be a useful tool for multiplexed endogenous gene activation.

125   To validate the utility of the dCas9-VPR system in hESCs, we transfected H9 hESCs with

126   either dCas9-VPR and gNANOG or with rtTA and *NANOG* coding DNA sequence (CDS) joined

127   to H2B-mCherry through a 2A peptide driven by a TRE promoter. As shown in Fig. 3A, for the

128   dCas9-VPR group, increased NANOG protein expression (in red) can be detected in colonies with

129   GFP fluorescence. Upon Dox induction, stronger NANOG was also visible in Tet-On system

130   transfected cells and co-localized with the H2B-mCherry (Fig. 3A). Quantitative PCR (Q-PCR)

131   and western blot confirmed the elevated NANOG level induced by either dCas9-VPR+gNANOG

132   or *NANOG* CDS. The transcript level of another pluripotency marker gene, *OCT4*, was increased

133   synergistically (Fig. 3B). Western blot analysis confirmed the upregulation of NANOG and OCT4

134   proteins in transiently transfected H9 cells (Fig. 3C). We generated a transgenic hESC line

135   constitutively expressing dCas9-VPR and observed no cytotoxicity, decrease in pluripotency gene

136   expression, or change in cell morphology for long-term cultures (Fig. 3D and E). This suggests

137   that the dCas9-VPR system is suitable for gene activation studies in hPSCs.

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139

Generation of an inducible idCas9-VPR hESC knock-in line

141   To achieve efficient, tunable, and reversible gene activation while avoiding compromising the

142   genome integrity of hPSCs, we engineered an iVPR system by inserting the CAG promoter driving

143   the rtTA expression cassette and the TRE promoter driving the dCas9-VPR cassette into the two

144   alleles of the *AAVS1* locus on chromosome 19. H9 hESCs were co-transfected with two donor

145   plasmids containing dCas9-VPR and M2rtTA, as well as a pair of Cas9 nickase plasmids with

146   *AAVS1* targeting gRNAs to induce DNA double-strand break (DSB) and homology recombination

147   (HR) (Fig. S2A). After puromycin and neomycin double selection for 2 weeks, we picked and

148   expanded 17 clones.   Upon addition of Dox, all the clones showed clear induction of dCas9-VPR

149   protein expression (Fig. S2B). Genomic DNA PCR was performed to select correct targeted clones

150   and rule out random insertions (Fig. S2C). Clone 2, 6 and 8 had targeted insertion at both *AAVS1*

151   alleles and without any random insertion (Fig. S2C). They were chosen for further analysis.

152   Southern blot confirmed that in all three clones, both alleles of *AAVS1* contained the correct

153   insertion (Fig. 4A and B). Q-PCR analysis showed that in hESCs, without Dox treatment, little

154   *dCas9-VPR* transcript could be detected, while after Dox addition, strong *dCas9-VPR* expression

155   was induced (Fig. 4C). Karyotype analysis showed that all three clones had normal 46XX karyotype

156   (Fig. S2D). IVPR clone 2 was chosen for further study. Without Dox, we could not detect any

157   dCas9-VPR protein in iVPR cells. The dCas9-VPR protein appeared after 12 hours of Dox addition

158   and reached a plateau at 24 hours (Fig. 4D). While 6 hours after Dox withdrawal, the dCas9-VPR

159   protein decreased, by 12 hours, it decreased to a low level and could not be detected anymore after

160   24 hours (Fig. 4D). The induction of dCas9-VPR from the *AAVS1* locus was not affected by

161   differentiation. We induced mesoderm differentiation by culturing cells in an RPMI medium

162   supplemented with albumin, ascorbic acid, transferrin, selenite, BMP4 (5ng/ml) and CHIR99021 (2

163   µM) as described by Burridge et al. (Burridge et al. 2015). Q-PCR analysis showed that after 3 days

164   of differentiation, pluripotency marker genes *OCT4* and *SOX2* were significantly downregulated,

165   while *dCas9-VPR* was highly expressed as long as Dox was present, regardless whether cells were

166   in hESC culture medium E8 or in the differentiation medium (Fig. 4E). Genes related to mesoderm

167   differentiation and epithelial to mesenchymal transition, such as *SNAIL*, were strongly upregulated

168   by BMP4 and CHIR99021, confirming that hESCs had taken a mesoderm fate (Fig. 4E). These

169   results suggest that the iVPR hESC line can be used for efficient and reversible gene activation.

170

Upregulation of *NANOG* by dCas9-VPR promoted naïve state of pluripotency

172   The iVPR system provided a unique platform to investigate gene functions through activation

173   from the endogenous locus. *NANOG* is a key regulator of pluripotency. We generated iNANOG

174   hESCs by transfecting the PiggyBac based gNANOG plasmid described earlier into iVPR clone 2, 6,

175   and 8, followed by FACS selection of GFP$^+$ cells. Q-PCR analysis showed that after 2 days of Dox

176   treatment, only iNANOG cells showed a significant increase (about 18 folds) in the *NANOG* mRNA

177   level, while iVPR cells, with or without Dox, or iNANOG cells without Dox did not show any

178   change in *NANOG* expression, indicating that the iNANOG system is tightly regulated (Fig. 5A).

179   We also tested the time window of *NANOG* down-regulation after Dox withdrawal. *NANOG* mRNA

180   was unchanged during the first 12 hours and decreased after 24 hours. It approached the background

181   level after 48 hours (Fig. 5B). We next examined the change in NANOG protein level after Dox

182   addition and withdrawal. Western blot revealed that dCas9-VPR protein became detectable 12 hours

183   after Dox induction and reached a significant level after 24 hours (Fig. 5C, dCas9, long exposure;

184   LE). Accordingly, NANOG protein showed an obvious increase after 24 hours and maintained at

185   high level as long as dCas9-VPR was present (Fig. 5C, NANOG, LE). On the other hand, 6 hours

186   after Dox removal, the dCas9-VPR protein decreased significantly (Fig. 5D, dCas9, short exposure;

187   SE). The decline of the dCas9-VPR protein was most apparent during the first 24 hours. After 4 days

188   without Dox, dCas9-VPR protein became almost undetectable (Fig. 5D). Similarly, the NANOG

189   protein level dropped to the background level after 4 days of Dox withdrawal (Fig. 5D). Q-PCR

190   analysis showed that after Dox induction, iNANOG significantly upregulated naïve state related

191   genes such as *OCT4*, *PRDM14*, *GDF3*, and *LEFTYB*, while the early differentiation genes such as

192   *AFP* was significantly downregulated (Fig. 5E). *XIST*, a long non-coding RNA involved in X

193   chromosome inactivation were also downregulated after *NANOG* induction (Fig. 5F). The

194   expression of SSEA3, a more rigorous pluripotency cell surface marker, was increased and became

195   more homogeneous after *NANOG* elevation (Fig. 5G and Fig. S3). In addition to elevated expression

196   of pluripotency genes, iNANOG cells also showed enhanced survival and proliferation abilities.

197   Clonogenicity assay showed that after Dox induction, twice as many clones formed from dissociated

198   iNANOG single cells (Fig. 5H and I). Finally, we tested whether *NANOG* upregulation by iVPR

199   may facilitate hESCs to enter the naïve state of pluripotency. IVPR cells and iNANOG cells were

200   cultured in 2iL medium which supplemented with ERK inhibitor PD0325901, GSK3 inhibitor

201   CHIR99021, human LIF, and bFGF proteins with or without Dox addition (Silva et al. 2009;

202   Takashima et al. 2014). Upon changing to the 2iL medium, hESCs colonies changed into a

203   domed-shaped morphology and became more compact (Fig. 5J and K). INANOG cells without

204   induction can only survive for no more than three passages in the 2iL medium (Fig. 5J). Interestingly,

205   Dox induced iNANOG cells can grow in the 2iL medium for longer than 9 passages with single cell

206   dissociation and a 1:15 passage ratio (Fig. 5J and K). In contrast to iNANOG cells, Dox treated

207   iVPR cells could not survive in 2iL conditions (Fig. 5J). Thus, upregulation of *NANOG* from its

208   endogenous locus significantly improved single cell clonogenicity and permitted hESCs to grow in a

209   naïve state culture environment.

210

211   **Upregulation of *NANOG* enabled hESCs to integrate with mouse ICM *in vitro***

212   Entering the pluripotent ICM lineage is considered a more stringent test for naïve state ESCs

213   (Gafni et al. 2013; Takashima et al. 2014). We next used *in vitro* human–mouse blastocyst chimera

214   assay to assess the functionality of iNANOG cells (Fig 6A). To exclude the influence of Dox

215   treatment only, wild type hESCs stably carrying gNANOG (WTSG) were used as the control. For

216   this series of experiments, we also added Forskolin (a cAMP agonist) into the 2iL medium, since it

217   had been shown to promote hPSCs to enter the naïve state (Hanna et al. 2010; Ware et al. 2014;

218   Duggal et al. 2015). We refer to this medium as 2iL/FK. iNANOG cells showed further enhanced

219   proliferation in the 2iL/FK medium and were able to form large, dome-shaped colonies (Fig. 6B),

220   while cells without *NANOG* overexpression could only form small colonies (Fig. S4A). E3.5

221   blastocysts were collected from ICR mice for hESC injection. iNANOG cells and WTSG cells

222   cultured with or without Dox, in either the E8 or 2iL/FK medium, were dissociated into single

223   cells. 10–15 single cells were injected into the blastocoel cavity and cultured in a 1:1 mixed

224   KSOM:2iL/FK medium for 24 hours (Fig. 6A). Because cells without *NANOG* overexpression

225   only formed small colonies on feeder in the 2iL/FK medium, we could not obtain sufficient pure

226   hESCs for blastocyst injection. Therefore, this group was omitted from this series of experiments.

227   Since all cells used for injection contained GFP transgene expressed from the gNANOG plasmid,

228   the location of human cells in the mouse blasocysts could be followed directly under the

229   fluorescence microscope. 4–6 hours after injection, most blastocysts contained GFP positive

230   human cells (Fig. 6B and C). After 24 hours of culture, many embryos still contained hESCs (Fig.

231   6B). We used time-lapse imaging to monitor the activity of hESCs in mouse blastocysts over time

232   (Supplementary movie S1). Interestingly, endogenous *NANOG* overexpression strongly enhanced

233   the survival of hESCs in mouse blastocysts. 12 hours after injection, 2iL/FK cultured Dox induced

234   gNANOG cells could be found in approximately 82% of blastocysts, while E8 cultured Dox

235   induced gNANOG cells were alive in 73% of blastocysts (Fig. 6C and S4B). In contrast, without

236   Dox induction, E8 cultured iNANOG cells could only be seen in 49% of injected blastocysts (Fig.

237   6C and S4B). We next analyzed the locations of the transplanted hESCs. Injected embryos were

238   fixed after 24 hours of culture, stained with CDX2 (a trophectoderm marker) and β-Catenin, and

239 observed with a confocal microscope. Different integration patterns were shown: hPSCs integrated

240 into the ICM region (ICM), in both the ICM and the trophectoderm (Multiple), only in the

241 trophectoderm (TE), and disappeared (None) (Fig. 6D). We also performed live imaging to

242 monitor the behavior of iNANOG cells in the mouse blastocyst. Interetingly, 2iL/FK cultured

243 iNANOG cells tend to migrate with mouse inner cell mass cells as blastocyst hatching from the

244 zona pellucida (Fig. 6E). *NANOG* overexpression significantly improved the percentage of cells

245 remaining in blastocysts, and the 2iL/FK culture further increased the ICM integration proportion

246 (Fig. 6F, G, and S4C). On average, two 2iL/FK or E8 cultured iNANOG cells could be found in

247 the ICM region 24 hours after injection, while without *NANOG* overexpression, hardly any GFP

248 cells were seen in the ICM (Fig. 6G). Thus, upregulation of *NANOG* from its endogenous locus

249 greatly enhanced cell survival and their subsequent ICM integration in hPSC-mouse blastocyst

250 chimeras.

251

Discussion

253 In this study, we generated an inducible CRISPR-ON hESC line by targeting the *AAVS1* locus.

254 Based on both our results and those of Chavez et al. (Chavez et al. 2015), dCas9-VPR appeared to

255 be a stronger activator than VP64 to induce gene expression from both ectopic and endogenous

256 promoters. It even led to a higher level of reporter gene activation compared with Tet-ON rtTA,

257 where VP64 was fused with Tet protein directly bound to the TRE elements. This is likely due to

258 the combined effects of VP64, NF-κB transactivating subunit p65, and the viral transcription

259 factor Rta, which together can recruit a multitude of endogenous factors to achieve dramatically

260 enhanced transcriptional activation. Other dCas9 based transcription activators have been

261 generated. For example, Balboa et al. found increased activation ability with more VP16 fusing

262 together. Using the longest version of dCas9-VP192 combined with inducible systems, they

263 sucessfully facilitated human cell reprogramming and differentiation (Balboa et al. 2015).

264 Konermann et al. engineered a structure-guided CRISPR synergistic activation mediator system

265 (SAM), where they engineered gRNA2.0 by replacing the tetraloop and stem loop 2 of the original

266 gRNA with a minimal hairpin aptamer that specifically binds to MS2 bacteriophage coat proteins

267 (Konermann et al. 2014). By co-expression of dCas9-VP64, gRNA2.0, and MS2 fused with p65

268 and the activation domain of the human heat-shock factor 1 (HSF1), highly effective gene

269 activation can be achieved (Konermann et al. 2014). Tanenbaum et al. constructed a SunTag

270 system: dCas9 was joined with 10 copies of GCN4 peptide (SunTag), while VP64 was fused with

271 scFv-GCN4 (the single-chain variable fragment (scFv) antibody of GCN4) (Tanenbaum et al.

272   2014). When co-expressed in the cell, SunTag was bound by scFv-GCN4, and multiple copies of

273   VP64 resulted activation of the target gene (Tanenbaum et al. 2014). Compared with the systems

274   discussed above, which required introducing tandem repeat large cassette or the co-expression of

275   two components in addition to the gRNA, dCas9-VPR is a simple and effective option.

276

277   In our study, we chose to insert the iVPR system into the *AAVS1* locus, since it has been used as a

278   'safe habor' for transgene insertion in human stem cell systems (Dekelver et al. 2010). For

279   example, Genga et al. constructed a GFP labled H1 hESC line by knocking-in a CAG-GFP into the

280   *AAVS1* locus. Besides, an inducible dCas9-KRAB gene inhibition system was also introduced into

281   the GFP-H1 cells. By infecting sgRNA targeting the exdogenous CAG promoter, they successfully

282   realized CRISPR based inhibition of exdogenous gene in hESCs (Genga et al. 2015). González et

283   al. inserted the Dox inducible Cas9 system into the 2 alleles of the *AAVS1* locus of HUES8 hESCs

284   (González et al. 2014; Zhu et al. 2014; Zhu et al. 2015). The resulting iCRISPR hESC line enabled

285   selection-free gene knock-out and the generation of lineage-specific knock-in reporters. This

286   demonstrated that when Cas9 was expressed in a controllable manner from a suitable locus, the

287   resulting cell line can be a powerful platform for genome editing in normally hard to transfect

288   human stem cells (Zhu et al. 2015). Similarly, using the iVPR line, we found that the efficiency to

289   generate an iNANOG line was much improved. Upon Dox addition and withdrawal, *NANOG*

290   transcripts and proteins could be up- and down-regulated in a highly repeatable manner, which

291   greatly facilitated downstream experiments. Recently, Ordovás et al. reported *AAVS1*-locus

292   mediated transgene inhibition in hESCs, and that inhibition may due to different cassettes inserted

293   into the locus (Ordovás et al. 2015). We tested the iVPR expression in both undifferentiated hESCs

294   and after induction of mesoderm differentiation. The level of *dCas9-VPR* transcripts was even

295   higher upon Dox treatment after mesoderm induction (Fig. 4E). The iVPR and iNANOG cells

296   have been maintained for more than 6 months, and we did not observe any reduction in the level of

297   *dCas9-VPR* or *NANOG* induced by Dox. Thus, results us and other groups suggested that, in most

298   cases, *AAVS1* locus integration is a reliable approach to generate transgenic hPSCs.

299

300   *NANOG* is a master transcription factor for pluripotency in both human and mouse ESCs (Mitsui

301   et al. 2003; Boyer et al. 2005; Chambers et al. 2007). During somatic cell reprogramming to

302   pluripotent stem cells, ectopic expression of *NANOG* helped to speed up reprogramming and

303   restrict partially reprogrammed cells to the ground state (Hanna et al. 2009; Silva et al. 2009).

304   Different from mESCs, conventional cultured hESCs are in a primed state, similar to the epiblast

305   stem cells in mice (Brons et al. 2007; Tesar et al. 2007). Recently, multiple groups reported

306   methods to obtain naïve state hPSCs that resemble ground-state mESCs (Gafni et al. 2013; Duggal

307   et al. 2015; Takashima et al. 2014; Theunissen et al. 2014). Takashima et al. showed that ectopic

308   expression of *NANOG* and *KLF2* could reset the self-renewal requirements of hPSCs so that they

309   can be grown in a medium containing ERK1/2 inhibitor PD0325901 and GSK3 inhibitor

310   CHIR99021, and adopt a domed-shaped morphology similar to that of mESCs (Takashima et al.

311   2014). Here we increased the expression of endogenous *NANOG* by targeting a strong

312   transcription activator, dCas9-VPR, to its promoter. As expected, we observed upregulation of

313   naïve state genes such as *GDF3*, *PRDM14*, and *LEFTYB* and downregulation of early

314   differentiation gene *AFP*. Interestingly, these iNANOG cells showed a significantly improved

315   survival ability and clonogenicity when cultured in the primed state, and they could grow in 2i

316   plus LIF conditions for more than nine passages. The improved survival and self-renewal of

317   iNANOG cells was not due to the effect of Dox treatment as described by Chang et al.(Chang et al.

318   2014), because Dox treated iNANOG cells showed significantly higher clonogenicity over Dox

319   treated iVPR cells (Fig. 5H and I). The enhanced survival ability seemed to have a significant

320   influence on whether hPSCs can integrate with the ICM of mouse blastocysts during *in vitro*

321   culture. We found that even when iNANOG cells were in the primed state, after injection into

322   mouse blastocysts, more cells remained inside the blastocysts and some of the cells were able to

323   integrate with mouse ICM cells (Fig. 6F and G). Culturing iNANOG cells in 2iL/FK naïve state

324   medium (Duggal et al. 2015) further improved the ICM integration rate (Fig. 6F and G). INANOG

325   cells displayed highly dynamic interactions with mouse ICM cells, as observed in time-lapse

326   movies (Supplementary movie S1). They migrated with mouse ICM cells as blastocysts hatched

327   from zona pellucida. However, despite enhanced survival ability of iNANOG cells, many injected

328   cells died over time. After 24 hours, more than 30% of injected blastocysts lost all iNANOG cells

329   and more than 60% of blastocysts lost the injected hESCs if *NANOG* was not overexpressed (Fig.

330   6F). This was partially caused by poor survival of hESCs in the IVC1 and -2 media designed to

331   culture peri-implantation mouse and human embryos (Bedzhov and Zernicka-Goetz 2014;

332   Deglincerti et al. 2016; Shahbazi et al. 2016) (Fig. S4D). Thus, to achieve better naïve hPSC and

333   mouse ICM integration, a culture medium suitable for both mouse blastocysts and hPSCs may be

334   needed. The effect of *NANOG* overexpression on cell survival and self-renewal is also in

335   accordance with the observation that chromosome 12, where the *NANOG* gene is located, is the

336   most frequently gained chromosome in culture adapted hPSCs (Baker et al. 2007) and during

337   hiPSC generation (Taapken et al. 2011). Moreover, *NANOG* was reported to be upregulated by a

338   number of factors such as *STAT3*, Hedgehog signaling, hypoxia, etc., in human cancers, and

11

339   repression or ablation of *NANOG* inhibited tumor initiation (Gong et al. 2015). Thus, iNANOG

340   hESCs, where the endogenous *NANOG* can be activated by dCas9-VPR in a controllable manner,

341   may also be a good system to study the process of hPSC adaptation and cancerous transformation.

342

343   In summary, the iVPR hESC line generated and characterized in this study offered a convenient,

344   stable, and highly controllable platform for gene activation studies. It can also be used to

345   investigate the function of regulatory elements in the genome such as super enhancers as well as

346   for genome wide screens using established human gRNA libraries.

347

348   **Methods**

349   **HESC culture**

350   H9 hESCs (WiCell Institute) were maintained on inactivated mouse embryonic fibroblast (MEF)

351   cells in standard hESC medium consisting of KO-DMEM (Invitrogen) supplemented with

352   1×Nonessential Amino Acids (NEAA) (Invitrogen), 0.1 mM 2-mercaptoethanol (Sigma-Aldrich), 1

353   mM GlutaMAX (Invitrogen), 20% Knock-out serum-replacement (KOSR) (Invitrogen) and 4 ng/ml

354   bFGF (Peprotech). Cells were cultured at 37 ℃ in a humidified atmosphere with 5% CO_2 in air.

355   They were passaged with 1 mg/ml collagenase IV (Invitrogen) and seeded onto MEFs. For

356   feeder-free culture, hESCs were grown for more than three passages on Matrigel (growth factor

357   reduced, BD Biosciences) in the absence of feeders in E8 medium (Invitrogen).

358

359   **Plasmid construction**

360   DCas9-VPR was constructed by fusing the nuclease deficient Cas9 (dCas9) with transcription

361   activator VP64, p65, and Rta in tandem as described by Chavez et al. (Maeder et al. 2013). For

362   constitutive expression, dCas9-VPR was placed behind a CAG promoter in a PiggyBac vector also

363   containing a PGK promoter driving a hygromycin resistance gene. For inducible expression from

364   the *AAVS1* locus, dCas9-VPR was placed behind a TRE promoter in the *AAVS1* homologous

365   recombineering donor plasmid, as shown in Fig. S2A. DCas9-VP64 was constructed by fusing

366   dCas9 with VP64. Tet-On system was obtained from Clontech (http://www.clontech.com).

367   PiggyBac plasmids were generous gift from the Sanger institute, Cambridge, UK

368   (http://www.sanger.ac.uk). The multiple *NANOG* gRNA expression plasmid was constructed by

369   SynGene (http://syngen.tech) as depicted in Fig. 2A.

370

Naïve state culture condition for hPSCs

For naïve state conversion, cells cultured in standard hESC medium on MEFs were dissociated to single cells using 0.05% trypsin/EDTA solution (Invitrogen), replated on MEFs, and cultured overnight in standard hESC medium supplement with 10 μM Rho Kinase (ROCK)-inhibitor Y-27632 (Calbiochem). The next day, the standard medium was changed to the 2iL or 2iL/FK (for injection) medium, which consisted of KO-DMEM (Invitrogen), 20% KOSR, 1×NEAA, 0.1 mM 2-mercaptoethanol, 1 mM GlutaMAX, 12 ng/ml bFGF, 10 ng/ml human recombinant LIF (Peprotech), 1 μM ERK1/2 inhibitor PD0325901 (Peprotech), 3 μM GSK3 inhibitor CHIR99021 (Peprotech), 10 μM Forskolin (Peprotech), and 50 μg/ml ascorbic acid (Sigma). HESCs changed to a dome-shaped morphology within 4–6 days after culturing in the 2iL or 2iL/FK medium and were passaged every 4 days as single cells using 0.05% trypsin/EDTA.

Cardiac mesoderm differentiation from hESCs

For cardiac mesoderm differentiation, hESCs maintained on Matrigel (growth factor reduced, BD Biosciences) in E8 were dissociated into single cells with Accutase (Invitrogen), then seeded onto Matrigel-coated tissue culture dishes at a density of $5×10^4$ cells/cm^2 and cultured in E8 for 3 days. Then the medium was switched to the RPMI1640 medium supplemented with Albumin, Ascorbic acid, transferrin, selenite, 5 ng/ml BMP4 (R&D Systems), and CHIR99021 to induce cardiac mesoderm formation.

Quantitative PCR

Total RNA was extracted with TRIZOL (Invitrogen). 1 μg RNA of each sample was used for reverse transcription with Superscript III (Invitrogen). Q-PCR reactions were performed using GoTaq qPCR Master Mix (Promega) in a CFX96 Real-Time System (Bio-Rad). The relative expression level of each gene was normalized against the Ct (Critical Threshold) value of the house-keeping gene *GAPDH* using the Bio-Rad CFX Manager program. Primer sequences are listed in table S2.

Antibodies, immunostaining, western blot, and FACS analysis

For immunostaining, cells were fixed in 4% paraformaldehyde (PFA) in PBS, permeabilized in 0.5% Triton X-100 (Sigma), blocked in 5% normal goat serum (Origene) and incubated with primary antibodies against NANOG (1:200), SSEA3 (1:200) in 4 °C overnight and detected by DyLight 488- or 549-conjugated secondary antibodies (Thermo). Nuclei were stained with DAPI (Sigma). A Nikon Ti-U fluorescence microscope was used for image acquisition. For western blot,

404   cells were lysed in a RIPA buffer (Applygen, http://applygen.com.cn) with Protease Inhibitor

405   Cocktail (Roche). Total proteins were separated on a 12% SDS/PAGE gel, transferred to

406   nitrocellulose membrane (Whatman). The membrane was blocked with 5% non-fat dry milk in

407   TBST and then incubated with primary antibodies against Cas9 (Genetex, 1:1000), GAPDH

408   (CWBio, 1:1000), OCT4 (Santa Cruz, 1:1000) and NANOG (Cell Signaling Technology, 1:1000).

409   After washing, the membrane was incubated with anti-mouse or anti-rabbit peroxidase-conjugated

410   secondary antibodies (ZSGB-Bio http://www.zsbio.com/). Bands recognized by antibodies were

411   revealed by ECL reagent (Pierce). For FACS analysis, cells were first dissociated with 0.05%

412   Trypsin in 0.2% EDTA and PBS. FACS was performed on a Fortessa flow cytometer (Becton

413   Dickinson).

414

415   **Mouse blastocyst injection and in vitro culture**

416   Mouse morula were collected from ICR females 2.5 days post-coitus and cultured in KSOM

417   medium (95mM NaCl, 2.5mM KCl, 0.35mM KH_2PO_4, 0.2mM $MgSO_4·7H_2O$, 0.2mM glucose,

418   10mM sodium lactate, 25mM $NaHCO_3$, 0.2mM sodium pyruvate, 1.71mM $CaCl·2H_2O$, 0.01mM

419   EDTA, 1mM L-glutamine, 0.1mM EAA, 0.1mM NEAA, 4mg/ml BSA) at 37℃ , 5%CO_2 for 24

420   hours to get blastocysts. HESCs were briefly treated with Accutase for single cell and injected

421   (~10-15 cells for each embryo) on a Nikon microscope fitted with piezo-driven Eppendorf NK2

422   micromanipulator, CellTram air and CellTram Vario. After injection, embryos containing hESCs

423   were cultured in medium supplemented with naïve culture medium :KSOM (1:1) (Chen et al. 2015)

424   in 37 °C, 5% CO_2 incubator. After injected embryos reformed blastocoel, the chimera embryos

425   were live cell imaged using Leica microscope fitted with a live cell imaging system and fixed after

426   24-36 hours post-injection for staining and confocal imaging. For embryo immunostaining, zona

427   pellucida-free injected embryos were fixed with 3.5% paraformaldehyde, permeabilized in 0.5%

428   Triton X-100 (Sigma) and blocked with 5% BSA and then incubated with primary antibodies against

429   CDX2 (BioGenex), β-Catenin (1:50, Abcam) and detected by DyLight 549- or 633- conjugated

430   secondary antibodies(Thermo). Nuclei were stained with DAPI (Sigma). A Nikon-A1 fluorescence

431   microscope was used for image acquisition.

432

433   **Statistical analysis**

434   Data are presented as mean ± standard error of the mean (SEM). Statistical significance was

435   determined by Student's *t*-test (two-tail) for two groups or one-way Analysis of Variance (ANOVA)

436   for multiple groups using Graphpad software. $p < 0.05$ was considered significant.

437

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445

Author contributions

447 J.G.: concept and design, collection and/or assembly of data, data analysis and interpretation,
448 manuscript writing; D.M., R.H., M.Y., J.M.: collection and/or assembly of data; K.K. provided
449 essential reagents, technical and scientific advice to the experiments and manuscript; Z.X.: concept
450 and design; J.N.: concept and design, manuscript writing, and final approval of the manuscript.
451 The authors declare no conflicts of interest.

452

Compliance with ethical guidelines

454 Jianying Guo, Dacheng Ma, Rujin Huang, Jia Ming, Min Ye, Kehkooi Kee, Zhen Xie, and Jie Na
455 declare that they have no conflict of interest.
456 This article does not contain any studies with human subjects performed by the any of the authors.
457 All institutional and national guidelines for the care and use of laboratory animals were followed.

458

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585
586
587   **Figure Legends**

588   **Figure 1. The dCas9-VPR system leads to robust transcription activation in human cell lines.**

589   (A) Schematic diagram of the gRNA guided dCas9-VPR gene activation system that consists of
590   two parts: one plasmid contains dCas9-VPR driven by a CAG promoter; another plasmid
591   contains gRNA targeting the promoter of the gene of interest driven by the human U6
592   promoter, in this case gTetO, and a PuroR selection cassette driven by an EF1α promoter.
593   Upon co-transfection of the two plasmids, dCas9-VPR can activate the BFP transcription
594   downstream of the TRE promoter.

595   (B) Tet-On system: rtTA protein can bind to the TRE promoter and drive expression of the
596   down-stream BFP gene in the presence of Dox.

597   (C) 293FT cells were transfected with the reporter plasmid containing BFP driven by the TRE
598   promoter. They were either co-transfected with dCas9-VPR or dCas9-VP64 and gTetO
599   plasmids, or with the CAG-rtTA plasmid. Dox was added immediately after transfection.
600   Cells were harvested 2 days after transfection and the fluorescence was analyzed using flow
601   cytometry.

602   (D) Bar graph quantification of mean fluorescent intensity analyzed using the FlowJo software
603   v7.6.1. ***$p < 0.001$, ****$p < 0.0001$, $n=3$.

604   (E) H9 hESCs were electroporated with either rtTA or dCas9-VPR+gTetO plasmids together with
605   the TRE-BFP plasmid. Dox was added immediately after electroporation. Cells were harvested
606   3 days after electroporation and analyzed using flow cytometry.

607   (F) Bar graph quantification of the mean fluorescent intensity analyzed using the FlowJo software
608   v7.6.1. N/A, not applicable. **$p < 0.01$, $n=2$.

609

610   **Figure 2. DCas9-VPR can be used to activate single or multiple genes in 293FT cells.**

611   (A) *NANOG* gRNA targeting sites were located at -254bp and -144bp upstream of the *NANOG*
612   transcription starting site (TSS); protospacer-adjacent motif (PAM) sequences in red; black
613   boxes indicate exons.

614   (B) DCas9-VPR and gNANOG plasmids were co-transfected into 293FT cells. DCas9-VPR and
615   gTetO plasmids were used as control. Top panels, fluorescence images of transfected cells;
616   gNANOG plasmid transfected cells showed strong GFP fluorescence. Bottom panel, flow
617   cytometry analysis of GFP[+] cells in each group.

618   (C) Q-PCR analysis of *NANOG* expression 2 days after transfection; the dCas9-VPR system
619   showed nearly 150-fold up-regulation of *NANOG* mRNA. Relative gene expression values
620   were normalized against *GAPDH*. Error bars represent SEM. **$p < 0.01$, $n=3$.

621   (D) Activation of endogenous genes by dCas9-VPR. DCas9-VPR was co-transfected with gRNA
622   pairs targeting *HOXA10*, *SNAIL1*, *MESP1*, *GATA5* or *HOXA9*, respectively. Cells were

623   harvested 2 days after transfection and subjected to Q-PCR analysis. All tested genes showed

624   significant upregulation compared to the control group. All expression levels were

625   normalized against *GAPDH*. Error bars represent SEM. *$p < 0.05$, **$p < 0.01$, ***$p < 0.001$,

626   ****$p < 0.0001$, n=3.

627 (E) Simultaneously activation of multiple endogenous genes in 293FT cells. DCas9-VPR was

628   co-transfected with 2×gRNAs (gMESP1, gGATA5), 3×gRNAs (gHOXA10, gSNAIL1,

629   gHOXA9) or 5×gRNAs (gHOXA10, gSNAIL1, gMESP1, gGATA5 and gHOXA9). Cells

630   were harvested 2 days after transfection. Q-PCR analysis confirmed co-upregulation of

631   multiple genes targeted by pooled gRNAs. All expression levels normalized against *GAPDH*.

632   Error bars represent SEM. *$p < 0.05$, **$p < 0.01$, ****$p < 0.0001$, n=3.

633

Figure 3. Activation of endogenous *NANOG* gene in hESCs by dCas9-VPR.

634

635 (A) Immunostaining showing upregulation of NANOG protein by the dCas9-VPR system. Cells

636   were fixed 5 days after transfection. WT Ctrl, untransfected H9 cells; Diff, differentiated H9

637   cells induced by 10 μM retinoic acid (RA); gNANOG, H9 cells co-transfected with

638   dCas9-VPR and gNANOG plasmids; *NANOG* CDS, cells co-transfected with CAG-rtTA and

639   TRE driving NANOG-2A-H2B-mCherry. Dox were added immediately after electroporation.

640   All plasmids were based on the PiggyBac system and co-transfected with a plasmid

641   containing HyperPB transposase driven by a CAG promoter. Scale bar, 20 μm.

642 (B) Q-PCR analysis of *NANOG* and O*CT4* expression in H9 cells 5 days after transfection. All

643   expression levels normalized against *GAPDH*. Error bars represent SEM. *$p < 0.05$, n=3.

644 (C) Western blot analysis of NANOG and OCT4 protein expression in H9 hESCs. Cells were

645   harvested 5 days after transfection without selection.

646 (D) DCas9-VPR constitutive expressing H9 cells showed similar clone morphology after long-term

647   culture. Scale bar, 100 μm.

648 (E) Q-PCR result showing dCas9-VPR constitutive expressing H9 cells and wild-type H9 cells

649   expressed similar amount of *NANOG*. All expression levels normalized against *GAPDH*. Error

650   bars represent SEM. ns. *p >0.05, ***p <0.001, n=3.

651

Figure 4. Generation of the iVPR hESC line.

652

653 (A) Schematic view of wild type, targeted *AAVS1* locus, and positions of southern blot probes. B

654   (Bgl II site), S (Sph I site), EXT (external probe), INT (internal probe). The sizes of the

655   expected bands are indicated at the top. Blue lines indicate homology to the *PPP1R12C*

21

656   intron. HA-L and HA-R, left and right homology arms.

657   (B) Southern blot confirmed the correct targeted *AAVS1* locus in the iVPR clone 2#, 6#, 8#. M,

658   marker.

659   (C) Q-PCR analysis of dCas9-VPR transcript levels with or without Dox treatment. Expression

660   levels were normalized against *GAPDH*. Error bar represents SEM.

661   (D) Western blot of dCas9-VPR protein level upon Dox addition and after Dox withdrawal in

662   idCas9-VPR clone 2. The time points are indicated at the top.

663   (E) Q-PCR showing that the induction of *dCas9-VPR* was not affected by differentiation. Cells

664   were induced to undergo mesoderm differentiation for 3 days in the presence or absence of

665   Dox. Gene expression levels were all normalized against *GAPDH*. Error bar indicates SEM.

666   ***$p < 0.001$, ****$p < 0.0001$, n=3.

667

668   **Figure 5. Upregulation of *NANOG* by dCas9-VPR promoted clonogenicity and the naïve state**

669   **of pluripotency.**

670   (A) Q-PCR analysis of *NANOG* upregulation in iNANOG cells. IVPR clones 2, 6, and 8 were

671   electroporated with gRNA expression plasmid targeting the *NANOG* promoter, as shown in

672   Fig. 2A. GFP positive cells were purified by FACS and maintained as iNANOG cells. They

673   were treated with or without Dox (1 μg/ml) for 2 days. *NANOG* expression level was

674   normalized against *GAPDH*. Error bar represents SEM. *NANOG* expression level

675   significantly elevated only in iNANOG+Dox group. n=3.

676   (B) Q-PCR analysis of *NANOG* down-regulation in iNANOG cells. Dox was added for 2 days,

677   then removed. Cells were harvested at different time points, as indicated. *NANOG* expression

678   was normalized against *GAPDH*. Error bar represents SEM. *NANOG* expression level

679   significantly dropped during Dox withdraw. n=3.

680   (C) Western blot showing increased NANOG protein expression in iNANOG cells at different

681   time points after Dox treatment. SE, short exposure; LE, long exposure; d, day; h, hour.

682   (D) Western blot showing NANOG protein expression decrease in iNANOG cells at different time

683   points after Dox withdrawal. SE, short exposure; LE, long exposure; d, day; h, hour.

684   (E) Q-PCR analysis showing upregulation of pluripotency gene *OCT4, PRDM14, GDF3,* and

685   *LEFTYB,* and down-regulation of differentiation gene *AFP*. Expression level all normalized

686   against *GAPDH*. Error bar represents SEM. N/A, not applicable. ns. p >0.05, *$p < 0.05$, **$p <$

687   0.01, ***$p < 0.001$, ****$p < 0.0001$, n=3.

688   (F) Q-PCR analysis showing downregulation of *XIST* after *NANOG* induction. Expression level

689   normalized against *GAPDH*. Error bar represents SEM.   ns. p >0.05, *$p < 0.05$, n=3.

22

690   (G) Flow cytometry analysis showing increased SSEA3 expression after *NANOG* induction. Data
691   analyzed using the FlowJo software v7.6.1.

692   (H) Clonogenicity assay of iNANOG cells. Alkaline phosphatase assay (dark blue) was used to
693   visualize undifferentiated colonies.

694   (I) Bar graph quantification of the clonogenicity assay.   ns. *p* >0.05, **p* < 0.05, *n*=3.

695   (J) Morphology of iNANOG cells cultured in the 2iL medium. *NANOG* overexpression
696   (iNANOG + Dox) promoted long-term cell growth in the 2iL medium. Representative images
697   of passages 1 and 8 (P1 and P8) are shown. Scale bar, 100 μm.

698   (K) Morphology of primed state iNANOG cells (without Dox) and Dox induced iNANOG cells
699   (passage 9, P9) in the 2iL medium.

700

701   **Figure 6. Upregulation of *NANOG* by idCas9-VPR promoted hESC survival and ICM**
702   **integration in mouse blastocysts *in vitro*.**

703   (A) Cartoon showing *in vitro* hESC-mouse blastocyst chimera formation assay. iNANOG cells
704   were cultured in E8 or 2il/FK medium with or without Dox, then injected into E3.5 mouse
705   blastocysts and cultured to E4.5 in KSOM: 2il/FK = 1:1 medium *in vitro*.

706   (B) Morphology of iNANOG cells in culture and chimeric embryos. Top 2 rows, cells cultured in
707   E8 or 2iL/FK on feeders; scale bar, 100 μm. Bottom 2 rows, E3.5 and E4.5 mouse blastocysts
708   with iNANOG cells (GFP); scale bar, 100 μm.

709   (C) Survival curve of hESC in mouse blastocysts over time. WTSG, wild type hESCs expressing
710   *NANOG* gRNA. The *p* value was calculated using the Log-rank (Mantel-Cox) test. ns.
711   *p* >0.05, **p* < 0.05, ****p* < 0.001. Detailed information is provided in Fig. S4B.

712   (D) Confocal images of E4.5 chimeric embryos. β-Catenin, yellow; CDX2, red; DNA, blue;
713   iNANOG cells, green. The ICM region is highlighted by a dashed circle. Scale bar, 20 μm.

714   (E) Selected frame from time-lapse movie of iNANOG-mouse blastocyst chimera. Arrows
715   indicating iNANOG cells moved with mouse inner cell mass cells during blastocyst hatching.
716   Scale bar, 100 μm.

717   (F) The proportion of blastocysts with hESC integration for E4.5 embryos. Blastocysts with GFP
718   cells in both ICM and TE were counted as ICM. The percentage of embryos with
719   ICM/TE/None integration was labeled in the colored bar.

720   (G) Dot graph showing the number of iNANOG cells in the ICM region of E4.5 embryos. Cells,
721   culture condition before injection, and number of embryos were as listed. Error bars represent
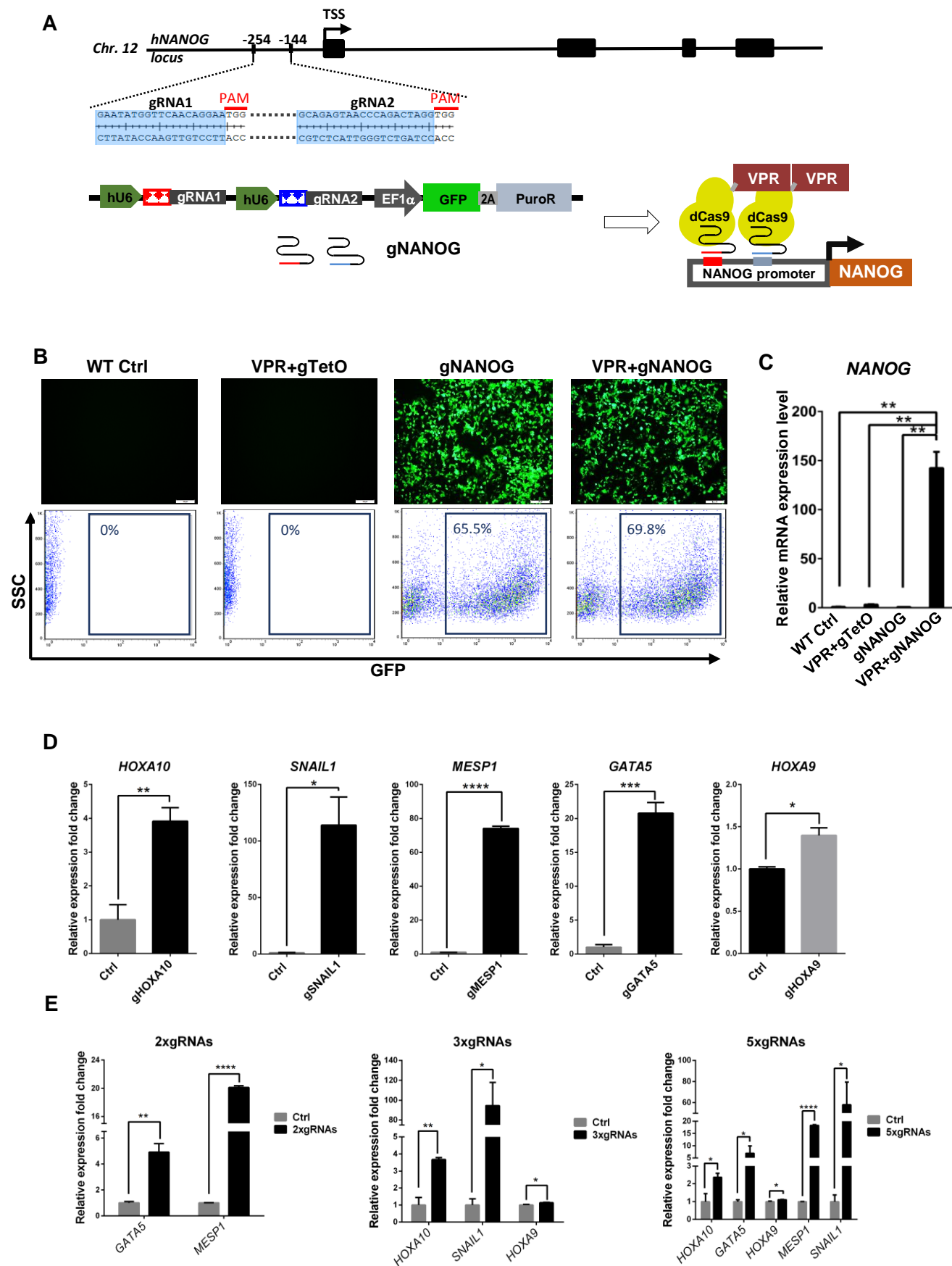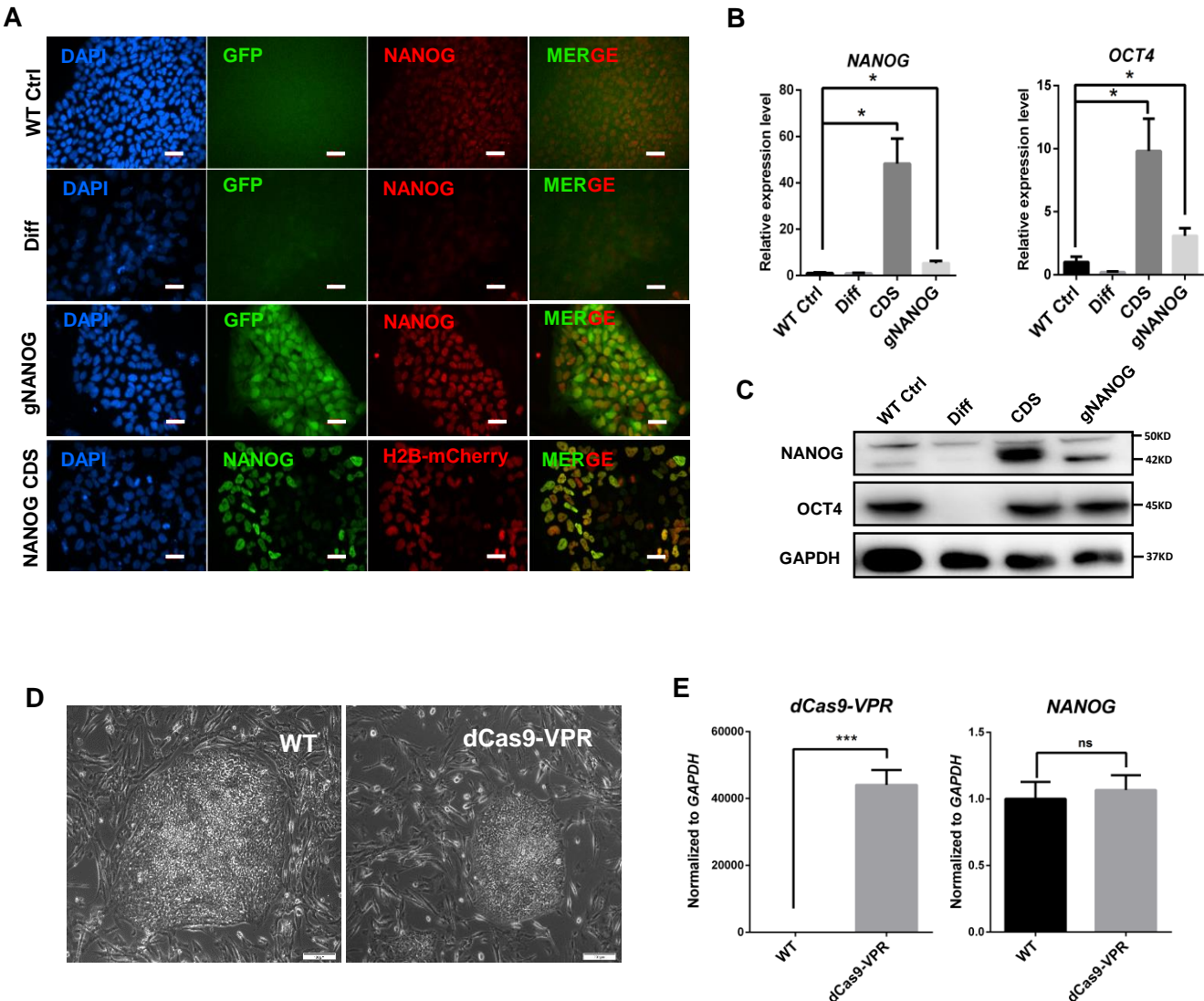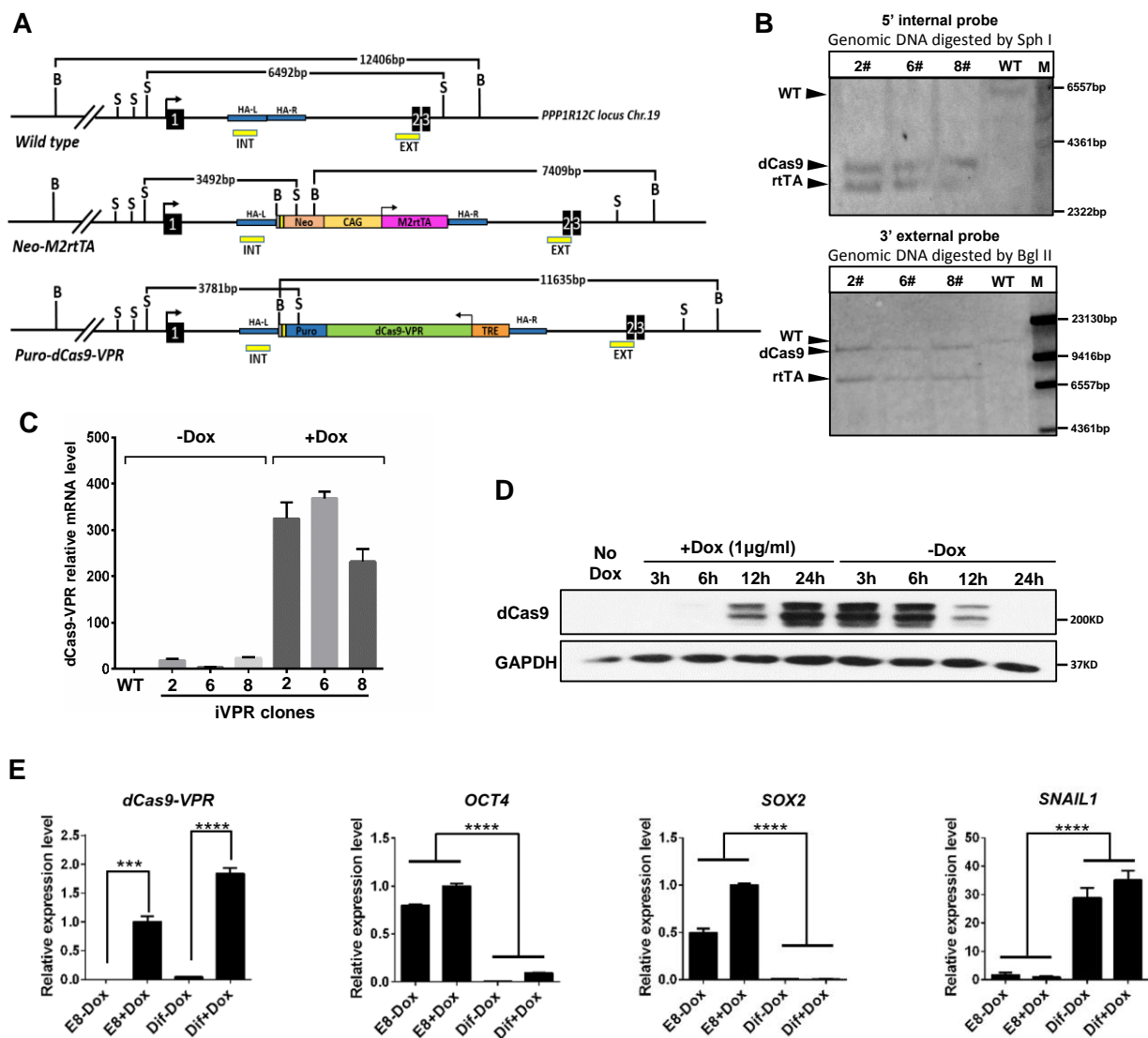722   SEM.   ns. *p* >0.05, ***p* < 0.01.

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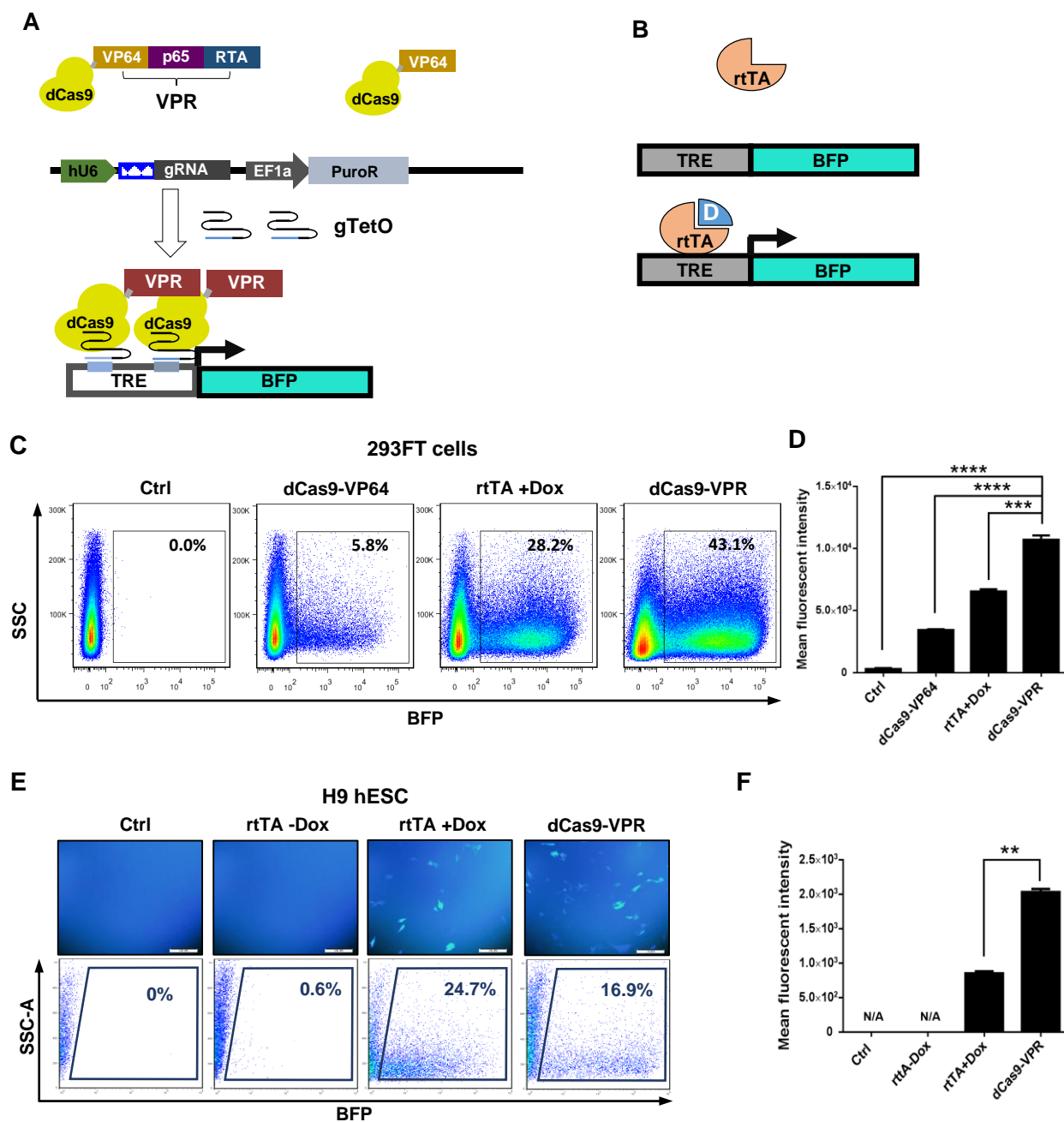
Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

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B

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