

# **Inference of Multiple-wave Admixtures by Length Distribution of Ancestral Tracks**

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# 1 ABSTRACT

2 The ancestral tracks in admixed genomes are of valuable information for population  
3 history inference. A few methods have been developed to infer admixture history  
4 based on ancestral tracks. Nonetheless, these methods suffered the same flaw that  
5 only population admixture history under some specific models can be inferred. In  
6 addition, the inference of history might be biased or even unreliable if the specific  
7 model is deviated from the real situation. To address this problem, we firstly proposed  
8 a general discrete admixture model to describe the admixture history with multiple  
9 ancestral populations and multiple-wave admixtures. We next deduced the length  
10 distribution of ancestral tracks under the general discrete admixture model. We further  
11 developed a new method, *MultiWaver*, to explore the multiple-wave admixture  
12 histories. Our method could automatically determine an optimal admixture model  
13 based on the length distribution of ancestral tracks, and estimate the corresponding  
14 parameters under this optimal model. Specifically, we used a likelihood ratio test  
15 (LRT) to determine the number of admixture waves, and implemented an  
16 expectation–maximization (EM) algorithm to estimate parameters. We used  
17 simulation studies to validate the reliability and effectiveness of our method. Finally,  
18 good performance was observed when our method was applied to real datasets of  
19 African Americans, Mexicans, Uyghurs, and Hazaras.

20

# 1 INTRODUCTION

2 Admixture among previously isolated populations has been a common phenomenon  
3 throughout the evolution of modern humans<sup>1-3</sup>. The history of population admixture  
4 has a strong influence on the landscape of genetic variation in individuals from  
5 admixed populations. Therefore, the population history of admixed populations can be  
6 reconstructed by utilizing genetic variation information<sup>4-16</sup>.

7 A few methods have been developed to infer admixture history based on ancestral  
8 tracks information<sup>10-16</sup>. Pool and Nielsen firstly used the length of ancestral tracks to  
9 infer population history<sup>10</sup>. They introduced a theoretical framework describing the  
10 length distribution of ancestral tracts and proposed a likelihood inference method to  
11 estimate parameters related to historical change in migration rates. Additionally,  
12 Pugach *et al.* performed wavelet transforms on the ancestral tracks in an admixed  
13 population to obtain the dominant frequency of ancestral tracks to estimate the  
14 admixture time<sup>11,16</sup>. Jin *et al.* further explored admixture dynamics by comparing the  
15 empirical and simulated distribution of ancestral tracks under 3 typical two-way  
16 admixtures models, i.e., the hybrid isolation (HI) model, gradual admixture (GA)  
17 model, and continuous gene flow (CGF) model<sup>12</sup>. They later deduced the theoretical  
18 distributions of ancestral tracks under HI and GA models<sup>14</sup>. Gravel extended these  
19 studies to multiple ancestral populations and discrete migrations, and provided a  
20 numerical estimation of tract length distribution<sup>13</sup>.

21 However, there was a significant shortcoming for all these methods. Before  
22 estimating the parameters of admixture history, a prior admixture model was required.

1 The method by Pool and Nielsen considered a model that a target population received  
 2 migrants from a source population<sup>10</sup>. Pugach *et al.*'s method was under an HI model,  
 3 and Jin *et al.*'s methods were under HI, GA, and CGF models<sup>11,12,14</sup>. While Gravel  
 4 considered models of multiple ancestral populations and discrete migrations, a prior  
 5 admixture model was also required when dealing with the problem of admixture  
 6 history inference<sup>13</sup>. However, in data analysis, we always have little information of  
 7 admixture history, and the admixture model is often uncertain for some complex  
 8 admixed populations<sup>4,17-19</sup>. Therefore, when the prior model deviates from the real  
 9 history, these methods might be unreliable.

10 In our previous work<sup>15</sup>, we proposed some general principles in parameter  
 11 estimation and model selection with the length distribution of ancestral tracks under a  
 12 general model. However, with the increase of the number of parameters, it is complex  
 13 and time-consuming to find the optimal solution, and too many parameters can lead to  
 14 over-fitting. Thus, we only developed a method to infer admixture history under 3  
 15 typical two-way admixtures models.

16 In this work, we introduced a new method to select the optimal admixture model  
 17 and estimate the corresponding parameters under a general model. Firstly, we  
 18 proposed a general discrete admixture model with an arbitrary number of ancestral  
 19 populations and arbitrary number of admixture events. This was similar to the general  
 20 model in our previous work<sup>15</sup>. Then, we deduced the theoretical distribution of  
 21 ancestral tracks with some reasonable approximations under the general discrete  
 22 admixture model. We selected an optimal admixture model based on the length

1 distribution of ancestral tracks. Specifically, we used a likelihood ratio test (LRT)<sup>20,21</sup>  
2 to determine the number of admixture waves, and employed an exhaustion method to  
3 determine the order of admixtures. We then applied an expectation–maximization  
4 (EM) algorithm<sup>22</sup> to estimate parameters under the optimal model. In our method, no  
5 prior knowledge about the admixture history was required, and the admixture model  
6 and its corresponding parameters could both be inferred by ancestral tracks. Finally,  
7 we conducted simulation studies to demonstrate the effectiveness of our method, and  
8 then applied our method to African Americans and Mexicans from the HapMap  
9 project phase III dataset<sup>23</sup>, and Uyghurs and Hazaras from the Human Genome  
10 Diversity Project (HGDP) dataset<sup>1</sup>.

## 11 **METHODS AND MATERIALS**

### 12 **General Discrete Admixture Model**

13 In our previous study<sup>15</sup>, we modeled admixture history generation by generation and  
14 proposed a general admixture model. The model was determined by a  $K \times T$   
15 admixture proportion matrix  $M = \{m_i(t)\}_{1 \leq i \leq K, 1 \leq t \leq T}$ , where  $K$  is the number of  
16 ancestral populations,  $T$  is the time the admixed population arose, and  $m_i(t)$  is the  
17 ancestry contribution of  $i$ th ancestral population at time  $t$ . If the admixed  
18 population did not receive any gene flows of  $i$ th ancestral population at time  $t$ , we  
19 set  $m_i(t)$  as 0. This general model covers all scenarios of an admixed population  
20 with an arbitrary number of ancestral populations and arbitrary number of admixture  
21 events. However, the parameters for this general model are redundant, and will lead to  
22 over-fitting for most cases. For example, if we consider an HI model of 2 ancestral

1 populations and the admixed population arose  $T$  generations ago, the number of  
 2 parameters is  $2T$ . However,  $2(T - 1)$  parameters should be equal to 0, since ancestral  
 3 populations contribute nothing after the first admixture. Thus, in fact, only 2  
 4 parameters must be estimated. Thus, to reduce redundancy and maintain the  
 5 universality of our model, we proposed a general discrete admixture model that only  
 6 records the information of actual admixture events (see Fig. 1).

7 We considered an admixed population with  $K$  ancestral populations and  $n$ -wave  
 8 discrete admixtures. Here, the time of the admixture in generations increase over time,  
 9 with  $T$  being the present time. For the first wave admixture ( $i = 1$ ), there are 2  
 10 ancestral populations. We denote one ancestral population as population  $k_0$  and the  
 11 other as population  $k_1$ . When  $i \geq 2$ , we denote  $k_i$  as the ancestral population of  
 12  $i$ th admixture. Then, we denote a vector  $O = (k_0, k_1, \dots, k_n)$  as the admixture order  
 13 of ancestral populations. Let  $\alpha_i$  be the admixture proportion of the  $i$ th admixture  
 14 and  $t_i$  be the admixture time of the  $i$ th admixture. We note that  $0 \leq \alpha_i \leq$   
 15  $1$  for  $1 \leq i \leq n$ , and  $t_1 \leq t_2 \leq \dots \leq t_n \leq t_{n+1} = T$ . For convenience in our later  
 16 description, we denote the admixture event from population  $k_0$  as the 0th  
 17 admixture, which means the ancestral population  $k_0$  is regarded as an admixed  
 18 population before the first wave admixture. Thus, we set the corresponding admixture  
 19 proportion  $\alpha_0 = 1$  and admixture time  $t_0 = t_1$ . With this definition, each wave ( $i$ th  
 20 wave) of admixture can be determined by 3 parameters,  $k_i$ ,  $\alpha_i$ , and  $t_i$ .

21 Now, denote  $I_k = \{i: k_i = k\}$ , then,  $I_k(j)$  represents the wave ordinal of the  $j$ th  
 22 admixture from ancestral population  $k$ . Let  $n_k$  denote the number of admixture

1 waves from ancestral population  $k$ , and thus we have  $n_k = |I_k|$  and  $\sum_{k=1}^K n_k = n +$   
2 1, where  $n$  is total number of admixture waves. The general discrete admixture  
3 model is determined by the admixture order  $O = (k_0, k_1, \dots, k_n)$ , the admixture  
4 proportion  $\{\alpha_i\}_{0 \leq i \leq n}$ , and the admixture time  $\{t_i\}_{0 \leq i \leq n+1}$ . If we set

$$5 \quad m_{k_i}(t_i) = \begin{cases} 1 - \alpha_1, & i = 0 \\ \alpha_i, & i \geq 1 \\ 0, & otherwise \end{cases},$$

6 we can get the admixture proportion matrix of the previous general model<sup>15</sup>. This  
7 shows that our new model is similar to the previous model. Furthermore, this new  
8 model can also cover all scenarios of an admixed population with an arbitrary number  
9 of ancestral populations and arbitrary number of admixture events.

## 10 Length Distribution of Ancestral Tracks

11 Next, we deduced the length distribution of ancestral tracks from ancestral population  
12  $k$ . The deduction process is similar to that of our previous work<sup>15</sup>. The wave ordinals  
13 of admixture from ancestral population  $k$  are  $I_k(1), I_k(2), \dots, I_k(n_k)$ , respectively.  
14 Denote  $H_k(t)$  as the total ancestry proportion of the  $k$ th ancestral population in the  
15 admixed population at  $t$  generation, and then we have

$$H_k(t) = \sum_{j=1}^w \alpha_{I_k(j)} \prod_{i=I_k(j)+1}^h (1 - \alpha_i), \quad (1)$$

16 where  $w = \max\{j: t_{I_k(j)} \leq t, 1 \leq j \leq n_k\}$  and  $h = \max\{i: t_i \leq t, 1 \leq i \leq n\}$ .  
17 Define  $s_i$  as the survival proportion of the ancestral tracks from the  $i$ th admixture  
18 at generation  $T$ . Then,

$$s_i = \alpha_i \prod_{k=i+1}^n (1 - \alpha_k). \quad (2)$$

19 For simplicity, we assumed that chromosome length was infinite and there was no

1 genetic drift. Additionally, we defined the recombination among tracks from different  
2 ancestral populations as effective recombination because we only observed these  
3 recombination events among different ancestries. The length of ancestral tracks was  
4 changed by these recombination events. For the tracks from ancestral population  $k$ ,  
5 the effective recombination rate is  $1 - H_k(t)$  at  $t$  generation. Let  $u_k(j)$  be the  
6 total effective recombination rate for ancestral tracks from the  $j$ th admixture of  
7 ancestral population  $k$ . Then, we have

$$u_k(j) = \sum_{h=I_k(j)}^n (1 - H_k(t_h)) (t_{h+1} - t_h). \quad (3)$$

8 The length distribution of ancestral tracks from the  $j$ th admixture of ancestral  
9 population  $k$  is an exponential distribution with a rate of  $u_k(j)$  <sup>10,13,15</sup>. A  
10 chromosome from the  $j$ th admixture of ancestral population  $k$  is expected to be  
11 split into  $u_k(j)$  pieces per unit length (unit in Morgan). Thus, for the admixed  
12 population at  $T$  generation, the number of ancestral tracks from the  $j$ th admixture  
13 of ancestral population  $k$  is proportional to  $s_{I_k(j)} u_k(j)$ . Let  $X_k$  be the length of  
14 ancestral tracks from ancestral population  $k$  at generation  $T$ , and  $f_k(x)$  is the  
15 probability density of  $X_k$ . Then,

$$\begin{aligned} f_k(x) &= \sum_{j=1}^{n_k} P \left( \begin{array}{c} \text{ancestral tracks are from the } j\text{th admixture} \\ \text{of ancestral population } k \end{array} \right) u_k(j) \exp(-u_k(j)x) \\ &= \sum_{j=1}^{n_k} \frac{s_{I_k(j)} u_k(j)}{\sum_{j=1}^{n_k} s_{I_k(j)} u_k(j)} u_k(j) \exp(-u_k(j)x). \end{aligned} \quad (4)$$

16 The length distribution of ancestral tracks was a mixed exponential distribution, and  
17 consisted with the results from our previous study <sup>15</sup>.

## 18 Model Selection and Parameter Estimation

1 If the admixture model is determined, the length distribution of ancestral tracks can be  
2 written as [Formula \(4\)](#), which is a mixed exponential distribution. The  
3 EM-algorithm<sup>22</sup> can be used to estimate the parameters in this distribution. However,  
4 the admixture model is often unclear in real situations, which means the number of  
5 admixture waves ( $n_k$ ) and the order of admixtures ( $O$ ) are unknown. Thus, we must  
6 first determine  $n_k$  and  $O$ . Here, we used LRT<sup>20</sup> to select the optimal  $n_k$ . After that,  
7 we used the exhaustion method to validate the accuracy of  $O$ . For any order of  
8 admixtures, we estimated the admixture proportion  $\{\alpha_i\}_{0 \leq i \leq n}$  and admixture time  
9  $\{t_i\}_{0 \leq i \leq n+1}$  using the EM-algorithm. However, these parameter estimations must  
10 satisfy the following constraint conditions:

11 (a)  $0 \leq \alpha_i \leq 1$ , for  $1 \leq i \leq n$ ;

12 (b)  $t_1 \leq t_2 \leq \dots \leq t_n \leq t_{n+1}$ .

13 If the estimations don't satisfy these conditions, the order is incorrect. After traversing  
14 all admixture orders, we could determine the correct ones.

15 The detailed procedures are as follows:

16 Step 1: Estimate the total admixture proportion  $m_k$  of ancestral population  $k$ .

17 With the inferred ancestral tracks, divide the total length of tracks from population  $k$   
18 by the total length of tracks in the admixed population.

19 Step 2: Determine the number of admixture waves ( $n_k$ ) for each ancestral  
20 population and estimate the parameters of the mixed exponential distribution. For  
21 ancestral population  $k$ , use LRT to select the optimal number of admixture waves and  
22 then estimate the parameters  $\{(\omega_{k1}, \lambda_{k1}), (\omega_{k2}, \lambda_{k2}), \dots, (\omega_{kn_k}, \lambda_{kn_k})\}$  of the mixed

- 1 exponential distribution using the EM-algorithm, where  $\omega_{kj} = \frac{s_{I_k(j)}u_k(j)}{\sum_{j=1}^{n_k}s_{I_k(j)}u_k(j)}$ ,
- 2  $\lambda_{kj}=u_k(j)$ . Details of the EM-algorithm and LRT procedures are in Supplementary
- 3 Information ([Supplementary Text S1](#)).

4 Step 3: Select an admixture order  $O$  without replacement from set

$$5 \quad \Omega = \left( O : \text{a permutation of sequence } \left( \underbrace{1, \dots, 1}_{n_1}, \dots, \underbrace{k, \dots, k}_{n_k}, \dots, \underbrace{K, \dots, K}_{n_K} \right), \text{ where } O(1) \neq O(2) \right).$$

6 Get  $I_k$  for each  $k$  base on the selected  $O$ .

- 7 Step 4: Determine  $\{s_i\}_{0 \leq i \leq n}$  and  $\{u_k(j), 1 \leq j \leq n_k, 1 \leq k \leq K\}$  from the
- 8 following equations:

$$\begin{cases} u_k(j) = \lambda_{kj}, \\ \frac{s_{I_k(j)}u_k(j)}{\sum_{j=1}^{n_k}s_{I_k(j)}u_k(j)} = \omega_{kj}, \\ \sum_{j=1}^{n_k}s_{I_k(j)} = m_k, \end{cases}$$

9 where  $1 \leq j \leq n_k, 1 \leq k \leq K$ .

10 Step 5: Determine  $\{\alpha_i\}_{0 \leq i \leq n}$  from the following equations:

$$s_i = \alpha_i \prod_{k=i+1}^n (1 - \alpha_k),$$

11 where  $0 \leq i \leq n$ .

12 Step 6: Determine  $\{t_i\}_{0 \leq i \leq n+1}$  from the following equations:

$$\begin{aligned} H_k(t) &= \sum_{j=1}^w \alpha_{I_k(j)} \prod_{i=I_k(j)+1}^h (1 - \alpha_i), \\ u_k(j) &= \sum_{i=I_k(j)}^n (1 - H_k(t_i)) (t_{i+1} - t_i), \end{aligned}$$

13 where  $1 \leq j \leq n_k, 1 \leq k \leq K$ .

1 Step 7: Judge whether  $\{\alpha_i\}_{0 \leq i \leq n}$  and  $\{t_i\}_{0 \leq i \leq n+1}$  satisfy the following conditions:

2 (a)  $0 \leq \alpha_i \leq 1$ , for  $1 \leq i \leq n$ ;

3 (b)  $t_1 \leq t_2 \leq \dots \leq t_n \leq t_{n+1}$ .

4 If these conditions are satisfied, record the corresponding admixture order  $O$ ,  
5 admixture proportion  $\{\alpha_i\}_{0 \leq i \leq n}$ , and admixture time  $\{t_i\}_{0 \leq i \leq n+1}$ . Then return to Step  
6 3 until all possible admixture orders are checked.

7 Through these above procedures, we obtained all reasonable admixture orders  $O$ ,  
8 the corresponding estimators of admixture proportion  $\{\alpha_i\}_{0 \leq i \leq n}$ , and admixture time  
9  $\{t_i\}_{0 \leq i \leq n+1}$ . Based on the estimations of these parameters, we could recover the  
10 history of the admixed population.

11 However, due to a lack of accuracy in local ancestry inference, only these relatively  
12 long tracks are reliable<sup>10,13</sup>. Therefore, we are interested in the conditional length  
13 distribution of ancestral tracks longer than a specific threshold  $C$ . As we know, the  
14 length distribution of ancestral tracks from each ancestral population is a mixed  
15 exponential distribution. When we consider only tracks larger than  $C$ , the length  
16 distribution from ancestral population  $k$  becomes

$$f_k(x|x \geq C) = \sum_{j=1}^{n_k} \frac{\omega_{kj}}{\sum_{j=1}^{n_k} \omega_{kj} \exp(-u_k(j)C)} u_k(j) \exp(-u_k(j)x),$$

17 where  $\omega_{kj} = \frac{s_{I_k(j)} u_k(j)}{\sum_{j=1}^{n_k} s_{I_k(j)} u_k(j)}$ . However, since this condition distribution is not a mixed  
18 exponential distribution, we cannot use the EM-algorithm to estimate the parameters.  
19 Fortunately, when we consider the random variable  $Y_k = X_k - C$ , we find that the  
20 distribution of  $Y_k$  is a mixed exponential distribution, which can be written as  
21 follows:

$$f_k(y) = \sum_{j=1}^{n_k} \frac{\omega_{kj} \exp(-u_k(j)C)}{\sum_{j=1}^{n_k} \omega_{kj} \exp(-u_k(j)C)} u_k(j) \exp(-u_k(j)y).$$

1 To take the threshold  $C$  into consideration, we must change the procedures of the  
2 aforementioned Step 2. We can easily obtain samples of  $Y_k$  from samples of  $X_k$ .  
3 Then, we can use the EM-algorithm and LRT to obtain the distribution parameters of  
4  $Y_k$ . Furthermore, by the relationship between  $f_k(x)$  and  $f_k(y)$ , we can obtain the  
5 parameters of the mixed exponential distribution of  $X_k$ . Then, the following Steps are  
6 the same as those aforementioned in Steps 3-7. These procedures were all  
7 implemented in our *MultiWaver*.

8 In the software of *MultiWaver*, 2 estimations of admixture time for the first wave  
9 were output. One was an estimation of  $t_0$ , while the other was an estimation of  $t_1$ . In  
10 theory,  $t_1$  is equal to  $t_0$ , but in real data analysis, the estimations may be not equal  
11 because of random errors and tracks inference errors. Thus, we presented 2  
12 estimations of admixture time for the first admixture wave in our results.

## 13 **SIMULATION**

### 14 **Performance Evaluation of *MultiWaver***

15 We conducted simulations to evaluate the performance of *MultiWaver*. The simulation  
16 data were generated by forward-time simulator *AdmixSim*<sup>24</sup>. General settings of our  
17 simulation were the same as those in our previous study<sup>15</sup>.

18 Here, we divided multiple-wave admixture models into 2 different types of models.  
19 We denoted the model as a simple model if each ancestral population could contribute  
20 only once to the admixed population. The others were denoted as a complex model. In  
21 the complex model, at least 1 ancestral population donates more than once admixture.

1 It is important to note that when we infer the admixture history under the complex  
2 model, it is very challenging to distinguish the different admixture waves from the  
3 same ancestral population.

4 We focused on evaluating the performance of *MultiWaver* under these 2 types of  
5 models. For the simple model, we considered a scenario of 3 ancestral populations  
6 (Fig. S1 Scenario (I)), and a scenario of 5 ancestral populations (Fig. S1 Scenario (II)).  
7 For the complex model, we considered a scenario of 2 ancestral populations with  
8 2-wave admixtures (Fig. S1 Scenario (III)). We evaluated the performance of  
9 *MultiWaver* with different admixture times and admixture proportions. For simplicity,  
10 we supposed the admixture proportions ( $\alpha_i, 1 \leq i \leq n$ ) were equal. We set 3 different  
11 values of admixture proportion, 0.1, 0.3, and 0.5, for each scenario. For Scenario (I),  
12 the admixture time was set as 2 different cases: (a)  $t_2 = 20, T = 40$ , and (b)  
13  $t_2 = 40, T = 60$ . For Scenario (II), the admixture time was also set as 2 different  
14 cases: (a)  $t_2 = 20, t_3 = 40, t_4 = 60, T = 80$ , and (b)  $t_2 = 40, t_3 = 80, t_4 =$   
15  $120, T = 140$ . However, for Scenario (III), the admixture time was set as 4 different  
16 cases: (a)  $t_2 = 20, T = 40$ , (b)  $t_2 = 40, T = 60$ , (c)  $t_2 = 60, T = 80$ , and (d)  
17  $t_2 = 80, T = 100$ . Each case was repeated 10 times for a total of 240 simulations  
18 across these 3 scenarios. *MultiWaver* was applied to the simulated data with the  
19 default settings; the results were recorded and summarized.

20 In real situations, due to the limitations of local ancestry inference, only the  
21 ancestral tracks longer than a special threshold can be accurately inferred. Thus, to  
22 make our method more available to real situations, we chose the thresholds ranging

1 from 0 cM to 2 cM in steps of 0.25 cM, and then evaluated the robustness of our  
2 method under different thresholds.

### 3 **Application to Real Datasets**

4 Firstly, we applied our method to some real datasets of African Americans and  
5 Mexicans. These 2 populations are typical admixed populations and their histories are  
6 relatively clear. Therefore, they could be used to test the performance of our method  
7 for real data. We obtained the datasets of African Americans (ASW), Mexicans  
8 (MEX), and reference populations African (YRI) and European (CEU) from the  
9 HapMap Project Phase III dataset<sup>23</sup>. Meanwhile, Maya and Pima populations  
10 represented American Indian ancestry, which were obtained from the HGDP dataset<sup>1</sup>.  
11 According to prior knowledge, African Americans and Mexicans have more than 2  
12 ancestries<sup>14,25</sup>. However, the proportion of Native American ancestry of African  
13 Americans is less than 5%<sup>26</sup>, and thus, we only considered 2 dominant ancestries  
14 (African and European ancestry) of African Americans. For Mexicans, we considered  
15 3 ancestries: African, European, and American Indian ancestry<sup>27</sup>.

16 Then, our method was used to reconstruct the population history of Uyghurs and  
17 Hazaras. The histories of these 2 populations are more complex. Uyghurs and Hazaras  
18 populations were obtained from the HGDP dataset. Previous studies have shown that  
19 Uyghurs and Hazaras had admixed ancestries mainly from Europe and East Asia<sup>1,5</sup>.  
20 Here, we used Han and French as the proxies of Asian ancestry and European ancestry,  
21 respectively<sup>8</sup>. These reference populations were also obtained from the HGDP dataset.

1 To enhance the reliability of our analysis, HAPMIX<sup>6</sup> was selected as the local  
2 ancestry inference method since it shows good performance in admixture break points  
3 inference<sup>28</sup>. However, HAPMIX can only be used to detect ancestral tracks for  
4 two-way admixtures, and thus it might not be proper for the Mexican population.  
5 PCAdmix<sup>29</sup> has shown great power in inferring the local ancestry of Mexican  
6 populations<sup>27</sup>, and thus we used PCAdmix in this study. The generations pre-set in  
7 HAPMIX inference were 10 for African Americans and 80 for Uyghurs and Hazaras.  
8 The window size set in PCAdmix was default. Since phasing data was required for  
9 both HAPMIX and PCAdmix, SHAPEIT 2<sup>30</sup> was used to infer the haplotype phase.  
10 Finally, *MultiWaver* was used to determine the optimal model and estimate the  
11 admixture time accordingly with tracks longer than 1 cM.

## 12 RESULTS

### 13 *MultiWaver* Performed Well under Simple and Complex Models

14 We compared the admixture histories inferred by *MultiWaver* with the histories set in  
15 simulations, and then evaluated the performance of our method in model selection and  
16 parameters estimation. For Scenario (I) and (II), results showed that estimations of  
17 admixture time were high consistency with the time simulated if we pre-set the  
18 admixture model as the simple model (-s option in *MultiWaver*) (see Fig. 2). Our  
19 method also performed well when we did not pre-set this option (-s) (see Fig. S2).  
20 Only a few models in our simulations were wrongly selected. When the model was  
21 correctly selected, the admixture time estimated was consistent with the simulated  
22 time.

1 For the complex model, we found that our method could select the right model with  
2 high accuracy (see Fig. 3). Model selection was incorrect for only 3 simulations. In  
3 these 3 cases, the numbers of admixture waves were wrongly estimated, which led to  
4 inaccurate estimation of admixture time. Thus, selecting a correct model is of crucial  
5 importance for admixture history inference. When the admixture model could be  
6 correctly selected, only a slight overestimation occurred for the admixture time.

7 We also evaluated the performance of *MultiWaver* with different admixture  
8 proportions. We found that the overestimation of admixture time in the complex  
9 model was related to the admixture proportions (see Fig. S3). When the proportions of  
10 each admixture wave became smaller, estimation error decreased. However, for the  
11 simple model, our method performed well for all situations.

12 In conclusion, regardless of which type of admixture model, our method performed  
13 well for model selection. Furthermore, the admixture time was estimated well for the  
14 simple model, and with only slight overestimation for the complex model.

#### 15 **Robustness for Different Thresholds of Track Length.**

16 We tested the robustness of our method for different thresholds of track length.  
17 Results showed that our method was robust to thresholds for both the simple model  
18 and complex model (see Fig. 4). Due to the limitations of our method, the local  
19 ancestry inference was not so accurate for short ancestral tracks. Thus, in real data  
20 analysis, we had to discard tracks smaller than a threshold. However, short ancestral  
21 tracks contain ancient admixture information, and if the threshold was too large, lots  
22 of information would be lost. Therefore, we had to balance the trade-off between

1 information and accuracy. In our real data analysis, we set the thresholds as 1cM.

## 2 **Real Data Analysis**

3 We applied our method to infer the admixture histories of some real datasets. For  
4 African Americans, HAPMIX was used to infer the ancestries with Africans (YRI)  
5 and European (CEU) as the 2 ancestral populations. The admixture model was  
6 inferred as 2 ancestral populations with a 2-wave admixtures model (see Fig. 5(a)).  
7 The African population (YRI) contributed 2 wave admixtures, and the admixture time  
8 was 11 generations ago and 7 generations ago, respectively. The time of the first  
9 admixture was about the 17th century, which was consistent with the time that most  
10 African ancestors arrived in America via slave trading. This inferred time was close to  
11 previous findings<sup>12,13,15,25,26</sup>. After the slave trading, many African people settled down  
12 in America. The second admixture wave might have been caused by these people or  
13 by recent migrations from Africa to America. The admixture model inferred by our  
14 method pointed out that the admixture history of African Americans was not 1 pulse  
15 admixture, which was also reported in previous studies<sup>12,25,26</sup>.

16 For Mexicans, we used PCAdmix to infer the local ancestries, and a 2-wave  
17 admixtures model was inferred (see Fig. 5(b)). Each ancestral population contributed  
18 once to the admixed population. The time of the first admixture wave was about 18  
19 generations ago, which was close to previous findings<sup>25,27,31-33</sup>. The time of the second  
20 admixture was 12 generations ago. This time period (12~18 generation ago) was  
21 consistent with the time of the exploration of the new world. For our analysis of  
22 African Americans and Mexicans, the admixture histories inferred by our method

1 were consistent with recorded histories, thus showing the power of our method in real  
2 data analysis.

3 Finally, we applied our method to reconstruct the admixture histories of Uyghurs  
4 and Hazaras (see Fig. 5(c) and (d)). Results showed that these 2 populations shared a  
5 similar admixture model, except the admixture time of Hazaras was more ancient. The  
6 earliest admixture event of Uyghurs occurred about 144 generations ago, with  
7 subsequent admixture waves from both ancestries 20-50 generations ago. While the  
8 earliest admixture event of Hazaras occurred around 173 generations ago, with  
9 following gene flows occurred 20~70 generations ago. Compared with the results  
10 inferred by the admixture history inference method ALDER<sup>8</sup>, our method found an  
11 additional ancient admixture event in Uyghurs and Hazaras. To explain the  
12 discrepancies in theory, ALDER considers only the decay curve of weighted linkage  
13 disequilibrium (LD) between pairs of sites whose genetic distance were larger than  
14  $0.5 \text{ cM}^8$ , and thus the information of ancient signals within shorter loci pairs would be  
15 lost. Meanwhile, our method saved some of these ancient signals by deducing the  
16 conditional length distribution of ancestral tracks even if we discarded ancestral tracks  
17 shorter than 1 cM.

18 Conclusively, Uyghurs and Hazaras had a similar admixture history. The ancient  
19 admixture might have been caused by the migrations of Indo-Aryan speaking people  
20 into the Indian subcontinent (1500 BC). Uyghurs mainly settled in West China, and  
21 Hazaras mainly settled in Afghanistan and Pakistan. The residences of these 2  
22 populations were all near the Silk Road, and thus we thought the recent multiple

1 admixtures might have been caused by the trades or migrations along the Silk Road.  
 2 In fact, the real history of Uyghurs and Hazaras might be more complex than inferred.  
 3 However, our method could detect some effective admixtures and provide some  
 4 useful information to understand the origin and development of these complex  
 5 populations.

## 6 **DISCUSSION**

7 Complex admixture history inference has long been a challenging problem in  
 8 population genetics. In this work, we proposed a general discrete admixture model to  
 9 describe admixture history with multiple ancestral populations and multiple-wave  
 10 admixtures. We deduced that the length distribution of ancestral tracks was a mixed  
 11 exponential distribution. Based on this distribution, we developed a new method,  
 12 *MultiWaver*, to infer the multiple-wave admixture histories. We used LRT to select the  
 13 number of admixture waves, and implemented an exhaustion method to determine the  
 14 order of admixtures. When the admixture model was determined, we applied the  
 15 EM-algorithm to estimate parameters. Simulations and real data analysis showed that  
 16 *MultiWaver* was precise and efficient in inferring admixture history.

17 Comparing with previous methods, our method showed superiority in 2 aspects.  
 18 Firstly, our method could be used to infer multiple-wave admixture history, while  
 19 previous methods could only infer admixture history under some simple models.  
 20 Secondly, no prior admixture model was required in our method, while previous  
 21 methods needed to assume a special admixture model when trying to infer admixture  
 22 history. Therefore, the inferred history might be biased or even unreliable if the

1 provided model deviates from real history. However, our method avoided this  
2 problem by selecting an optimal admixture model based on ancestral tracks.

3 Our method introduced an elegant solution to the complex admixture history  
4 inference. However, some problems still exist. When inferring admixture history  
5 under the complex model, overestimation occurred for the admixture time. In our  
6 method, we assumed chromosome length was infinite and there was no genetic drift,  
7 and then we found that the length distribution was a mixed exponential distribution.  
8 However, Liang and Nielsen pointed out that the length distribution did not follow an  
9 exponential distribution when the admixture time was too small or too large<sup>34</sup>. In the  
10 complex model, the non-exponential property would be accumulated, which might be  
11 the reason behind the overestimation we observed with our method. We also found  
12 that the overestimation was related to the admixture proportion of each admixture  
13 wave. We performed simple linear regression analysis on the errors for admixture  
14 time estimations and admixture proportion (Fig. S4).

15 In our method, it is possible that more than 1 optimal admixture model satisfied the  
16 constraint conditions and should be recorded. However, for all simulations we  
17 conducted, this phenomenon did not appear. This was reasonable because the  
18 admixture history had a one-to-one correspondence with the length distribution of  
19 ancestral tracks. If 1 situation had more than 1 optimal model, it implied the ancestral  
20 tracks were not accurately inferred.

21 The efficiency of our method was also influenced by the validity of the local  
22 ancestry inference. We tested the performance of our method with the inferred

1 ancestral tracks (see Supplementary Text 2). We found that *MultiWaver* tended to  
 2 overestimate the number of waves, and thus led to overestimating the admixture time  
 3 with the ancestral tracks inferred by HAPMIX (Fig. S5 (a)). For multiple-way  
 4 admixtures, the inaccuracy of ancestral tracks inferred by PCAdmix led to  
 5 underestimating the time of the first admixture wave (Fig. S5 (b)). It was very  
 6 difficult to obtain relatively accurate ancestral tracks with a small length for all local  
 7 ancestry inference methods. To improve the effectiveness of the inference, we suggest  
 8 using the ancestral tracks longer than a certain threshold  $C$  in our method. However,  
 9 when the threshold became large, ancient admixture information would be lost rapidly.  
 10 With the development of sequencing technology and computational methods, short  
 11 ancestral tracks could be precisely detected in the near future. Then, our method  
 12 would be promising in recovering even more ancient admixture history, such as the  
 13 admixture between modern humans and ancient humans<sup>35,36</sup>.

14

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# 1 **Figure Legends**

2 **Figure 1. The general discrete admixture model.** Here, we illustrated an admixed  
3 population with  $K$  ancestral populations and  $n$ -wave discrete admixtures, which  
4 started to admix  $T$  generations ago.  $POP_{ki}$  is the ancestral population of the  $i$ th  
5 admixture,  $\alpha_i$  is the admixture proportion of the  $i$ th admixture, and  $t_i$  is the  
6 admixture time of the  $i$ th admixture.

7 **Figure 2. Admixture time estimated under simple model.** Admixture time  
8 estimated under Scenario (I) for (a)  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 20, T = 40$ ; (b)  
9  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 40, T = 60$ . Admixture time estimated under Scenario (II)  
10 for (c)  $\alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 0.3$  and  $t_2 = 20, t_3 = 40, t_4 = 60, T = 80$ ; (d)  
11  $\alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 0.3$  and  $t_2 = 40, t_3 = 80, t_4 = 120, T = 140$ . X-coordinate  
12 is the admixture time in generations ago, with 0 being the present time. Each case was  
13 repeated for 10 times, and  $Y = i$  means the  $i$ th simulation. The points in the line  
14 ( $Y = i$ ) represent the admixture time estimated from the  $i$ th simulation, and the  
15 color of the points indicates the ancestral population. The dashed lines represent the  
16 simulated admixture time.

17 **Figure 3. Admixture time estimated under complex model.** Admixture time  
18 estimated under Scenario (III) for (a)  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 20, T = 40$ ; (b)  
19  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 40, T = 60$ ; (c)  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 60, T = 80$ ; and  
20 (d)  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 80, T = 100$ . X-coordinate is the admixture time in  
21 generations ago, with 0 being the present time. Each case was repeated for 10 times,  
22 and  $Y = i$  means the  $i$ th simulation. The points in the line ( $Y = i$ ) represent the

1 admixture time estimated from the  $i$ th simulation, and the color of the points  
2 indicates the ancestral population. The dashed lines represent the simulated admixture  
3 time.

4 **Figure 4. Admixture time estimated with different thresholds.** (a) Admixture time  
5 estimated under Scenario (I), where  $\alpha_1 = \alpha_2 = 0.3$  and  $t_2 = 40, T = 60$ ; (b)  
6 Admixture time estimated under Scenario (III), where  $\alpha_1 = \alpha_2 = 0.3$  and  
7  $t_2 = 40, T = 60$ . X-coordinate is the admixture time in generations ago, with 0 being  
8 the present time. Y-coordinate represents the thresholds, and the color of the points  
9 indicates the ancestral population. The dashed lines represent the simulated admixture  
10 time.

11 **Figure 5. Inferred admixture history of real datasets.** Inferred admixture history of  
12 (a) African Americans, (b) Mexicans, (c) Uyghurs, And (d) Hazaras. The time of the  
13 first admixture wave was the average of estimations for time  $t_0$  and  $t_1$ . AMI:  
14 combined dataset of populations Maya and Pima which represent American Indian  
15 ancestry; Han: Han population, represent Asian ancestry; Fre: French population,  
16 represent European ancestry.

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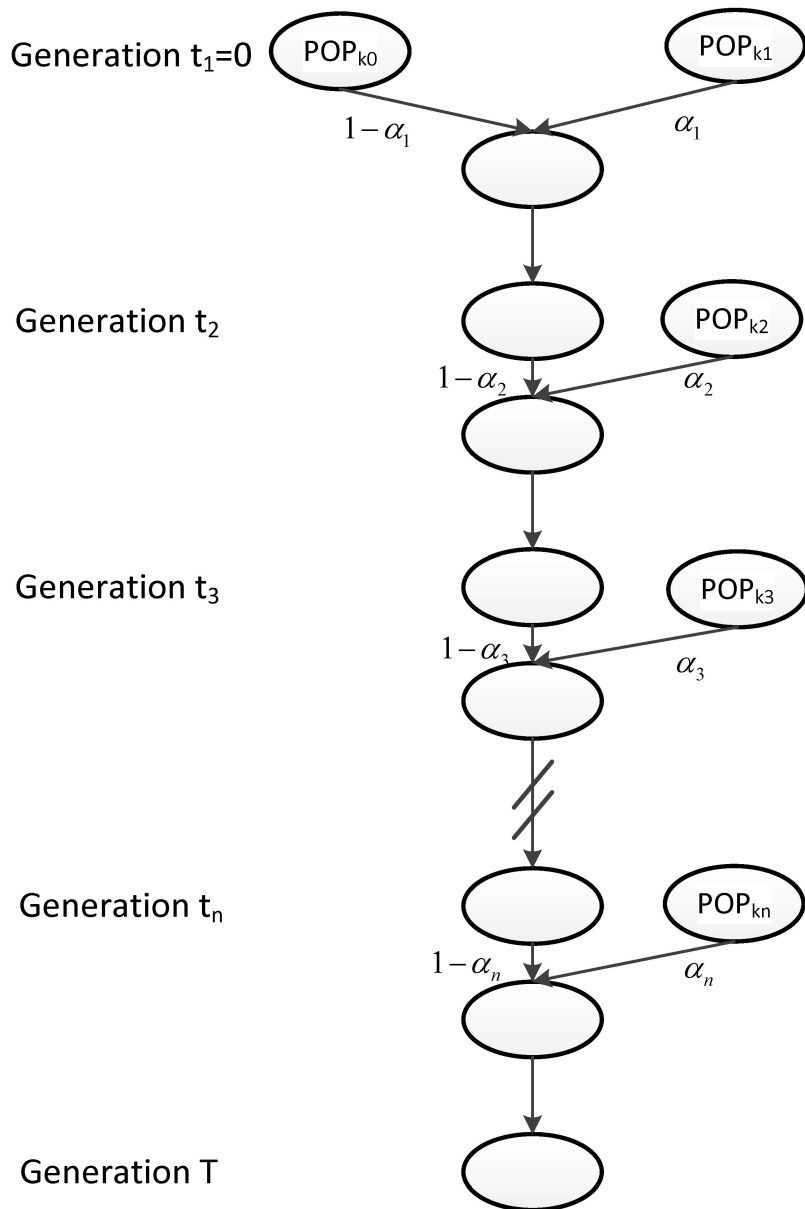
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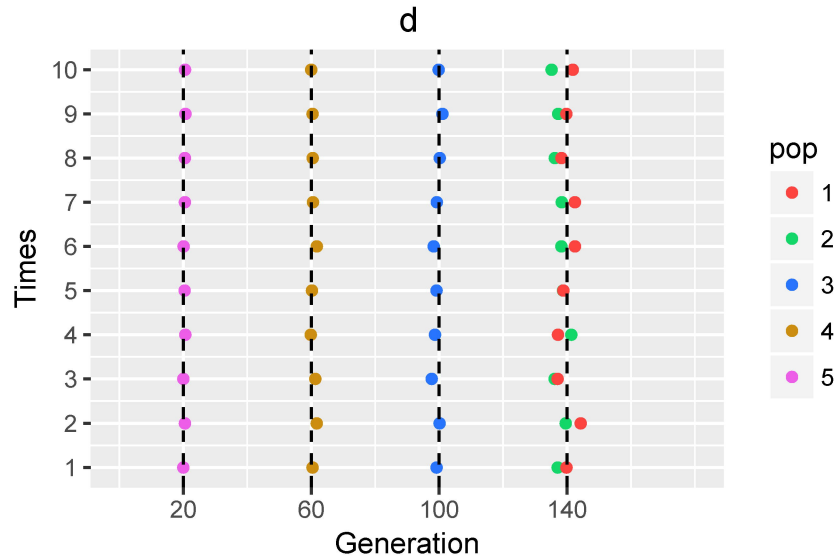
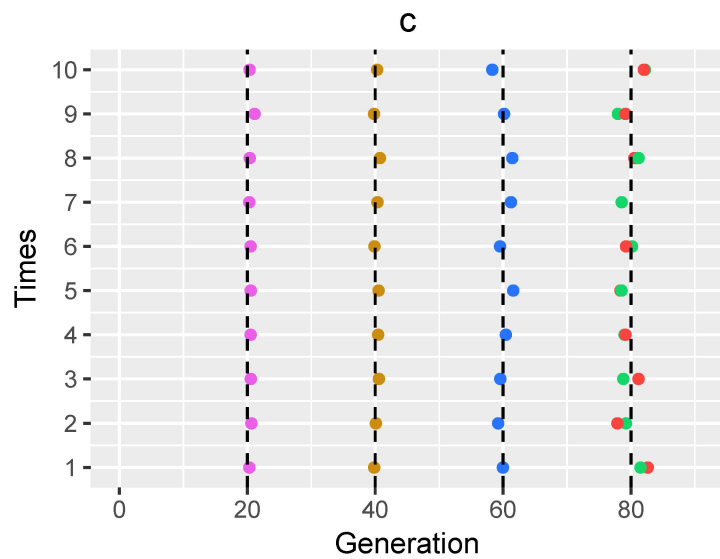
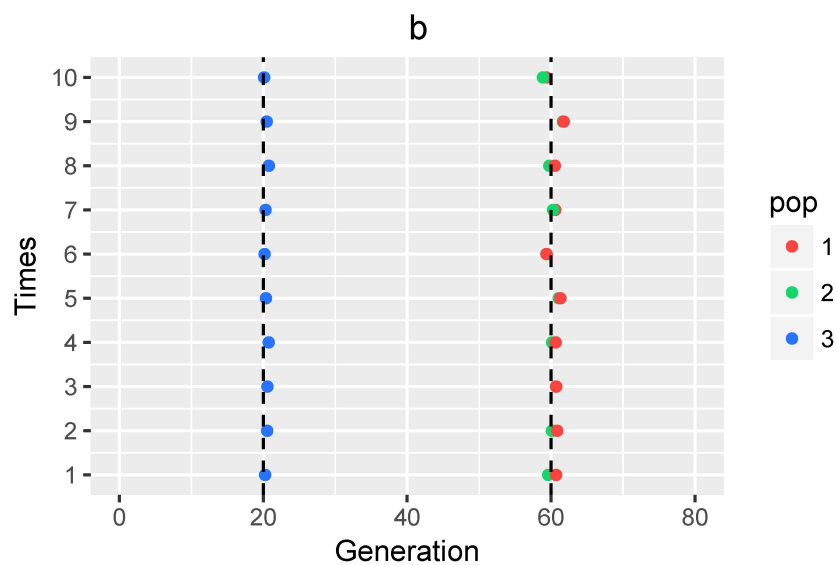
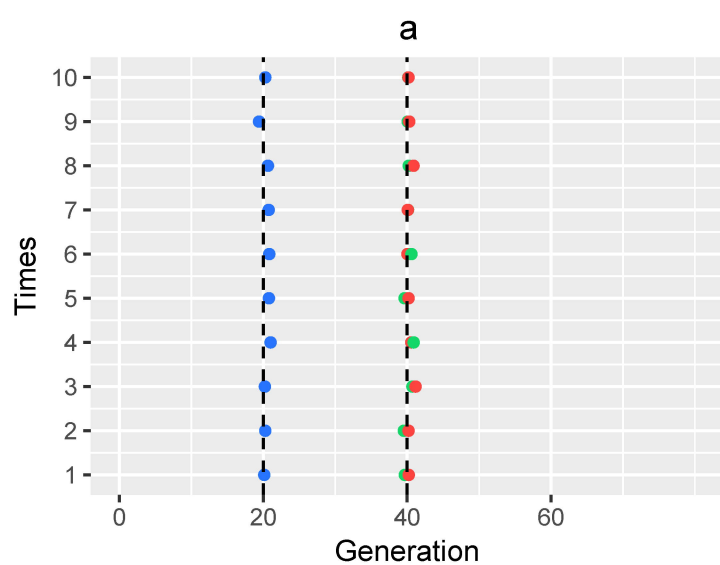
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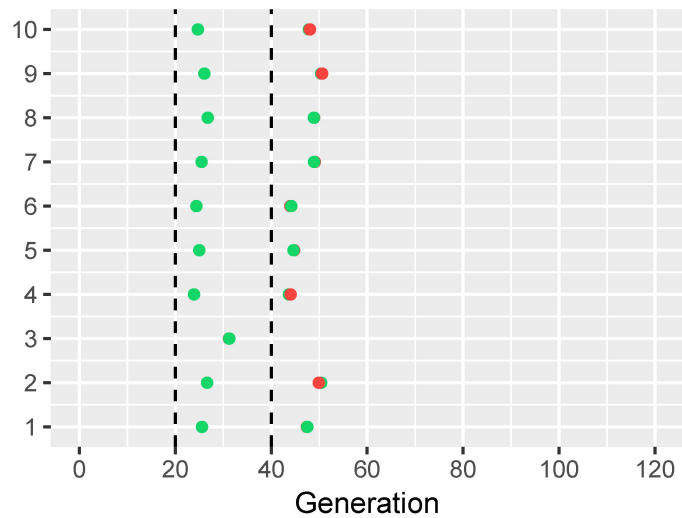
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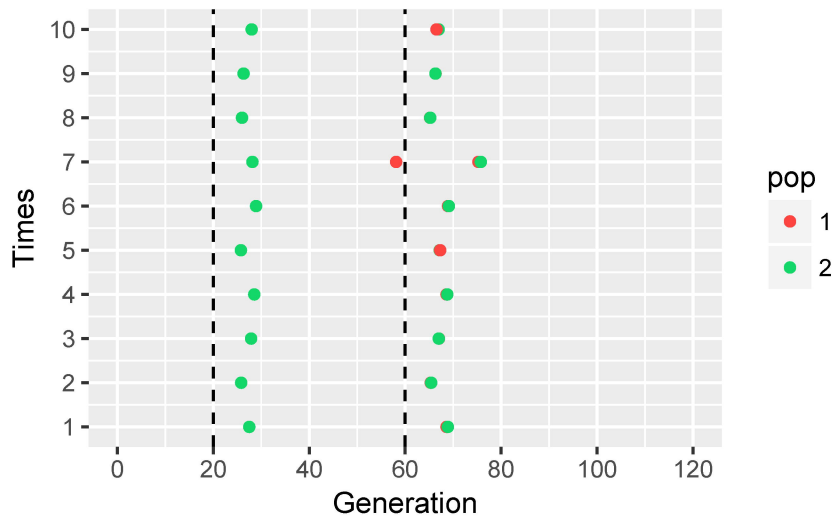




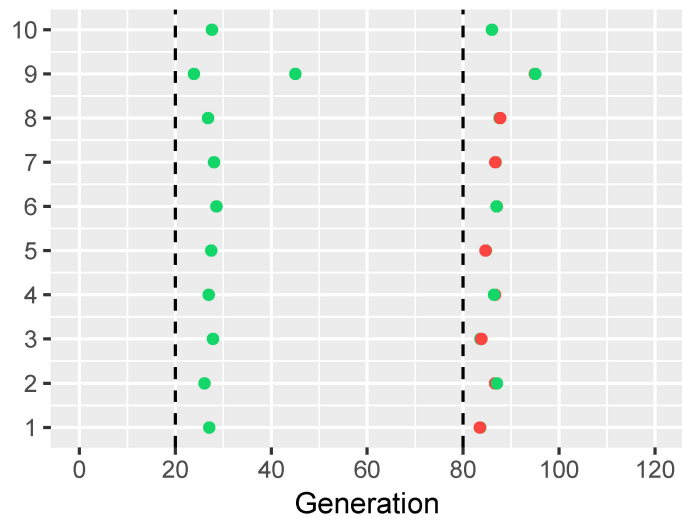
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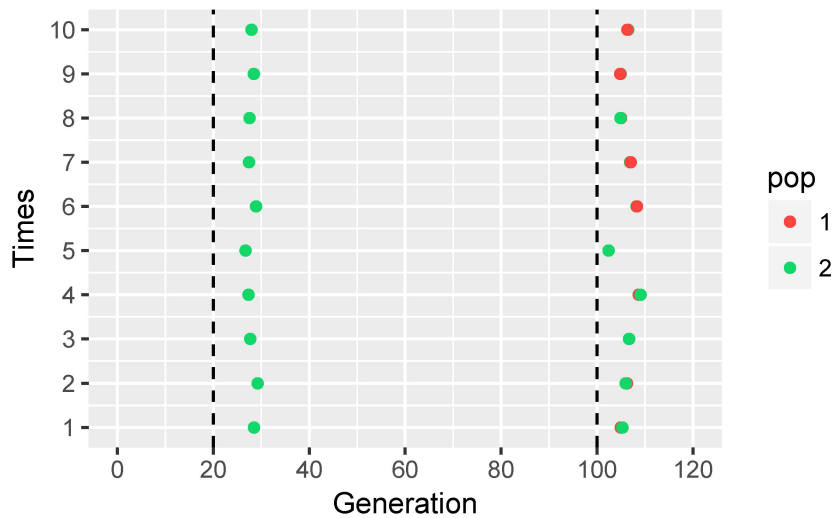
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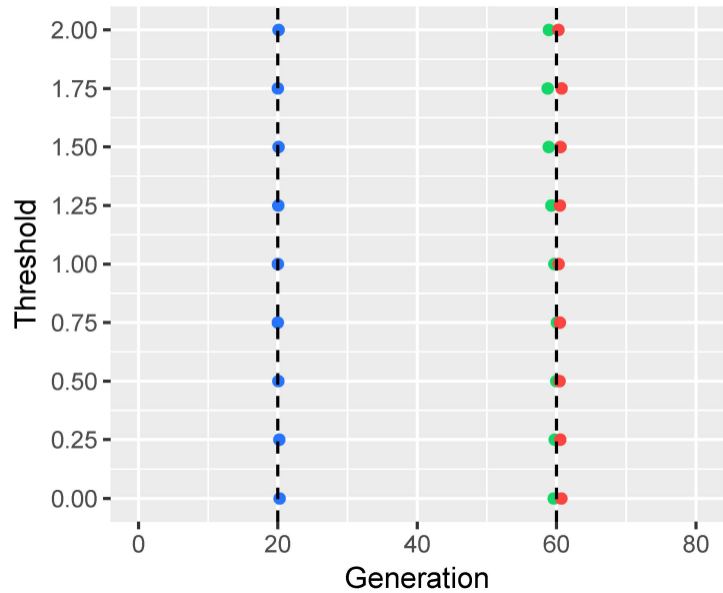
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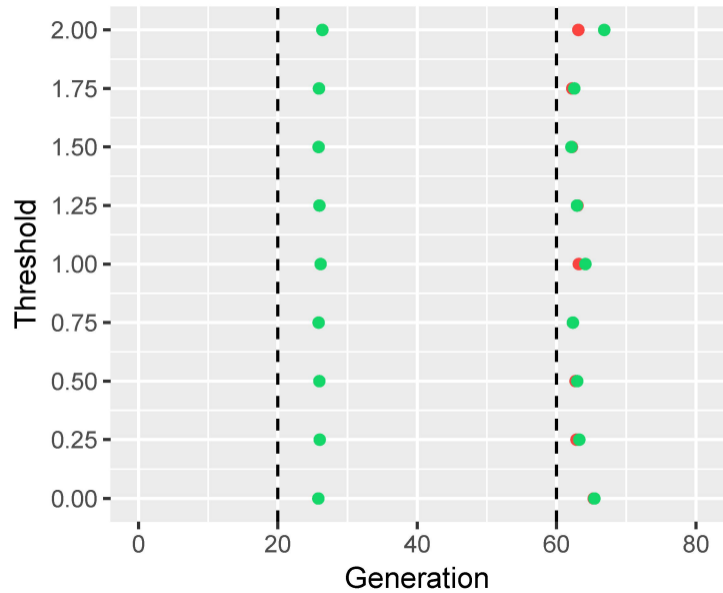
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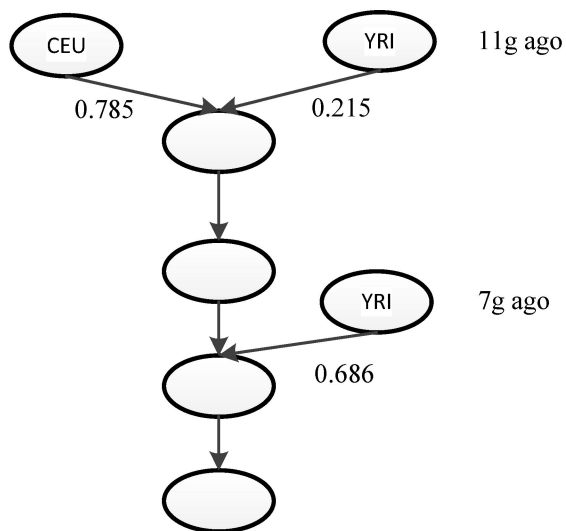
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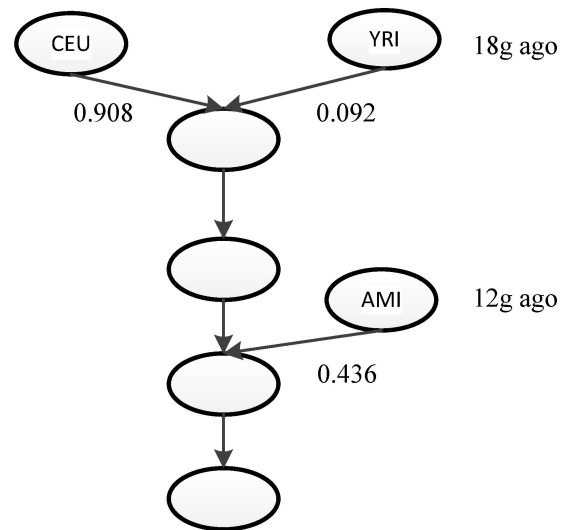
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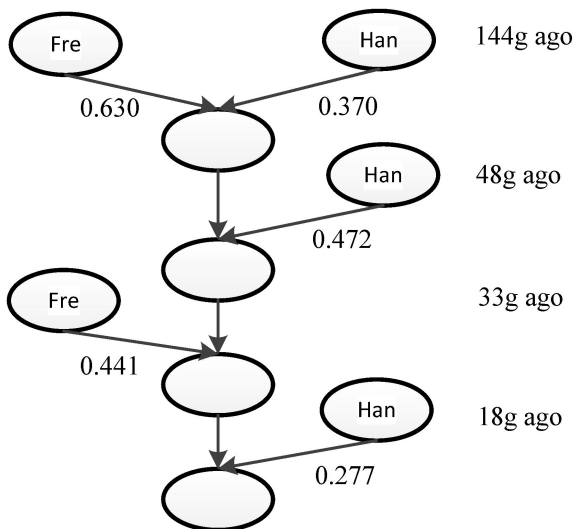
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