# Visual processing mode switching regulated by

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#### **Abstract**

- 7 The responses of neurons in mouse primary visual cortex (V1) to visual stimuli depend on
- 8 behavioral states. Specifically, surround suppression is reduced during locomotion. Although
- 9 locomotion-induced vasoactive intestinal polypeptide positive (VIP) interneuron depolarization
- 10 can account for the reduction of surround suppression, the functions of VIP cell depolarization
- are not fully understood. Here we utilize a firing rate model and a computational model to
- 12 elucidate the potential functions of VIP cell depolarization during locomotion. Our analyses
- suggest 1) that surround suppression sharpens the visual responses in V1 to a stationary scene, 2)
- that depolarized VIP cells enhance V1 responses to moving objects by reducing self-induced
- surround suppression and 3) that during locomotion V1 neuron responses to some features of the
- moving objects can be selectively enhanced. Thus, VIP cells regulate surround suppression to
- allow pyramidal neurons to optimally encode visual information independent of behavioral state.

## Introduction

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- 2 Visual perception, an internal model of external environment, does not merely reflect exogenous
- 3 stimuli. Instead, it depends on various endogenous contexts. Consider a number of striking
- 4 studies in mouse visual cortex that suggest that contextual information originating from other
- 5 cortical areas modulates primary visual cortex (V1) neuron responses by way of vasoactive
- 6 intestinal polypeptide positive (VIP) interneurons <sup>1–4</sup>. For instance, the cingulate area (Cg), which
- 7 modulates the gain of V1 neurons, induces excitatory postsynaptic potentials in VIP cells<sup>1</sup> as it
- 8 occurs during locomotion<sup>2</sup>. Thus, it is imperative to comprehend how VIP cells contribute to
- 9 contextual modulation of V1 neuron responses.
- VIP cells, one of the major inhibitory cell types in neocortex<sup>5,6</sup>, are commonly found in
- superficial layers<sup>7</sup>. They preferentially inhibit somatostatin positive (SST) cells that mediate
- surround suppression<sup>8,9</sup>. That is, depolarized VIP cells disinhibit pyramidal (Pyr) cells by
- lowering surrounding suppression. This disinhibition, in fact, accounts for the reduction of
- surround suppression during locomotion<sup>2,10</sup>. However, it remains unclear why surround
- suppression is reduced during locomotion. When an animal moves forward, the entire scene,
- including all objects, appears to move backward (optical flow). When the image of an object
- moves over the retina, it stimulates multiple receptive fields. As the center of one receptive field
- constitutes the surround of nearby receptive fields, this motion can induce surround suppression
- among these cells, a phenomenon we refer to as self-induced surround suppression. Thus, the
- 20 responses of visual-selective neurons to object motion will depend on the strength of self-
- 21 induced surround suppression.
- During locomotion, surround suppression in V1 can become too strong for V1 neurons to
- 23 respond properly to visual stimuli, as all objects are in relative motion. Thus, we hypothesize that
- VIP cells are depolarized to reduce such surround suppression which may be undesirable during
- locomotion. To address this hypothesis, we utilize a simple neuronal circuit model of V1, in
- 26 which the three major inhibitory cell types, parvalbumin (PV), SST and VIP positive inhibitory
- interneurons, interact with one another and with pyramidal (Pyr) cells via cell-type specific
- connections<sup>8,9</sup>. We estimate the strength of self-induced surround suppression in V1 and
- 29 demonstrate how VIP cell depolarization enhances visual responses during locomotion by
- 30 suppressing it. Furthermore, our firing rate and computational models predict that V1 neuron
- 31 responses to behaviorally relevant features are selectively enhanced during locomotion.

## 32 **Results**

- 33 To address our hypothesis, we first use a firing rate model to study the function of surround
- 34 suppression and investigate how VIP cell depolarization during locomotion modulates visual
- 35 neuron responses. Then, we use a computational model of V1 to further validate the findings of
- 36 firing rate model pertinent to the functions of locomotion-induced VIP cell depolarization. The
- 37 first subsection describes the numerical analyses of the firing rate model, and the second
- 38 subsection discusses the computational model simulations.

#### 39 Firing rate model and 1-dimensional visual scene

- 40 The firing rate model considers a 1-dimensional chain of populations which is connected to 1-
- 41 dimensional retina (Fig. 1a). In each population, the four cell types are connected via cell type
- 42 specific connections (Fig. 1b and Supplementary Table 1). All cell types receive tonic external
- background inputs, which controls their excitability. That is, the strengths are dependent on the

- 1 cell types (Supplementary Table 2) and are independent of the populations. The firing rate of cell
- 2 types obey the simple dynamics (Equation 1). The gain function of the firing rate model captures
- 3 the characteristics of the F-I curve of a leaky and integrate fire neuron model (Supplementary
- 4 Fig. 1a). This gain function is an approximation rather than the exact F-I curve, but it is less
- 5 computationally intensive than the exact F-I curve and one of the commonly used gain
- 6 functions<sup>11</sup>. The synaptic inputs (Equation 2) are the products of weights and gating variables
- 7 that evolve over time (Equation 3).
- 8 In each population, the four cell types interact with one another, and this "local" circuit in a
- 9 single population generates rich dynamics<sup>12</sup>. With synaptic events evolving over time (Equation
- 10 3), the decay time constants can also modulate the behaviors of this local circuit. To better
- understand their effects on Pyr cell responses, we performed bifurcation analyses with
- 12 XPPAUT<sup>13</sup>. Interestingly, we note that the decay time constants of connections from SST to VIP
- cells (SST-VIP) and from VIP to SST cells (VIP-SST) modulate the Pyr cell response and its
- stability. As the decay time constant of SST-VIP connection increases, Pyr cell response
- 15 decreases (Supplementary Fig. 1b). In contrast, as the decay time constant of VIP-SST
- 16 connections increases, Pyr cell response increases (Supplementary Fig. 1c). This local circuit is
- stable (red line) in the vicinity of default values of decay time constants, but otherwise they
- become unstable (black line). At the transition of stability, Pyr cell responses become oscillatory;
- 19 this oscillatory behavior is induced when SST cell activity is enhanced (see insets of
- 20 Supplementary Figs. 1b and c).
- 21 In the model, 7 populations interact with one another via short-range Pyr-Pyr and long-range
- 22 Pyr-SST connections known to mediate surround suppression<sup>8</sup>. As seen in Fig. 1a, we establish
- 23 reciprocal inter-population Pyr-Pyr connections between the two nearest neighboring populations
- only and inter-population Pyr-SST connections among all populations, as in the earlier
- computational models<sup>14,15</sup>; in those earlier models, only generic inhibitory cells were considered.
- 26 The periodic boundary condition is used to ensure all populations are identical in terms of inter-
- 27 population synaptic inputs. For simplicity, we assume each population is connected to non-
- 28 overlapping spatial receptive field (RF) which maps onto 1-dimensional visual scene. (Fig. 1a).

#### 29 Surround suppression can sharpen responses to a static visual scene

- 30 To examine the effects of surround suppression on visual responses, we investigate how it
- 31 modulates neural responses to an object covering the RF of population 4. This visual object is
- 32 simulated by providing an additional input (0.5 pA) to Pyr cells in population 4, and it is turned
- on at 500 ms (Fig. 1c). Due to the background input to Pyr cells, Pyr cells in population 4 receive
- 3.5 pA input, whereas all other Pyr cells receive 3.0 pA input. In this numerical analysis, we
- gradually increase the strength of inter-population Pyr-SST connections (IPPS) from 0 pA. When
- 36 the IPPS strength is set to 0, the firing rates of all cell types reach their steady states after
- 37 transient responses lasting ~100 ms (Figs. 1d and e). As expected, Pvr cell activity in population
- 4 is enhanced at 500 ms, and its elevation is bigger than that of the input, as the recurrent
- 39 connections among Pyr cells provide positive feedback inputs (Supplementary Table 1). All
- 40 other populations do not show conspicuous changes in response to the stimulus input as shown in
- 41 Fig. 1e.
- 42 More importantly, the IPPS strength has a strong impact on visual responses in the model. When
- 43 its strength is increased to 15 pA, Pyr cell activity in population 4 is only transiently increased by

- the stimulus input and then reduced even below its baseline 200-500 ms (Fig. 2a). SST cell
- 2 activity in population 4 is also enhanced by the stimulus input (Fig. 3a), but this enhancement is
- 3 not observed in other populations (Fig. 2b); all populations except population 4 show identical
- 4 responses. In population 4, at the onset of the stimulus input, Pyr cell activity is enhanced,
- 5 increasing the synaptic excitation to SST cells. Although population 4 of Pyr cells send
- 6 excitation onto all SST cells, it drives population 4 of SST cells most strongly (see
- 7 Supplementary Table 1). With this strong local drive within population 4, SST cell activity is
- 8 elevated (Fig. 2a), but in all other populations except population 4, SST cell activity remains
- 9 unmodulated by the stimulus input (Fig. 2b); that is, IPPS is not strong enough to excite SST
- 10 cells in other populations but population 4. As SST cell activity increases, the firing rates of all
- other cell types decrease. Even though Pyr cell activity is below its baseline, the elevated SST
- cell activity is sustained because of the reduction of inhibition from VIP to SST cells (Fig. 2a).
- When the IPPS strength is further enhanced to 25 pA, the model shows strikingly different
- behaviors. First, the responses become oscillatory (Figs. 2c and d), which reflects the intense
- interactions among populations. The frequency of this oscillation is ~22 Hz (Supplementary Fig.
- 16 2a), and this oscillatory behavior is abolished when we hyperpolarize SST cells by introducing
- inhibitory currents. (Supplementary Figs. 2b and c); the inhibitory currents are introduced to SST
- 18 cells between 700 and 800 ms, which are marked with a black arrow. Thus, this oscillation is
- 19 generated by the interplay between SST and Pyr cells, which is consistent with our bifurcation
- analysis (Supplementary Fig. 1b and c) and the earlier experimental/computational study<sup>16</sup>.
- Second, as seen in Fig. 2c, Pyr cell activity in population 4 is sustained during the stimulus
- period (500-1000 ms), and we note a slight decline in Pyr cell activity and a slight surge in SST
- cell activity in all other populations (see Fig. 2d for an example). As surround suppression is
- 24 mediated by SST cells, the background input to SST cells can also modulate surround
- suppression. Its effects are indeed consistent with those of IPPS. When the background input to
- 26 SST cells is reduced to 0.4 pA, Pyr cell activity in population 4 is reduced during the stimulus
- period (Fig. 2e), as Fig. 2a shows Pyr cell activity with the weaker IPPS. For comparison, we
- display population 6 responses in Fig. 2f.
- 29 Interestingly, Pyr cell responses induced by the sensory input (i.e., Pyr cell response in
- 30 population 4) can be either stronger or weaker than those in other populations depending on the
- 31 IPPS strength. With IPPS=25 pA (Figs. 2c and d), Pyr cell activity during the stimulus period
- 32 (500-1000 ms) is much stronger in population 4 than in other populations. In contrast, with
- 33 IPPS=15 pA (Figs. 2a and b), Pyr cell activity in population 4 is weaker than that in other
- populations. These results suggest that the stimulus input to population 4 exerts inhibition to
- other populations via SST cells only when IPPS is strong enough. To address this further, we
- 36 quantify how strongly the stimulus input drives Pyr cells in population 4, compared with others.
- 37 Specifically, we calculate the signal-to-noise ratio (SNR) by normalizing Pyr cell activity in
- population 4 to the mean value of Pyr cell activity in other 6 populations; that is, we estimate the
- 39 stimulus-evoked Pyr cell activity relative to the background input-driven Pyr cell activity.
- 40 The blue and red lines in Fig. 3a show the dependency of SNR on the background input to SST
- cells and the strength of IPPS, respectively. When IPPS strength is less than 10 pA, IPPS has
- 42 little impact on model responses. However, when the strength of IPPS is 15 or 20 pA, Pyr cell
- responses in population 4 are weaker than those in other populations. This is due to the
- selectively enhanced SST cell activity (Figs. 2a and b); that is, in these regimes, the feedback

- 1 inhibition from SST to Pyr cells is prominent in population 4 only, and thus SNR is smaller than
- 2 1. When IPPS is further strengthened, SST cells in other populations start firing and mediate
- 3 lateral inhibition (i.e., surround suppression), and Pyr cell responses in population 4 are stronger
- 4 than those in other populations (Figs. 2c and d); that is, the visual response are sharper. As the
- 5 strength of IPPS grows, SNR increases (Fig. 3a). We also normalize the stimulus-evoked
- 6 response (500-1000 ms) to the baseline-period activity (200-500 ms) for each population.
- 7 Specifically, we calculate the mean Pyr cell activity in both periods and estimate the relative
- 8 changes (Equation 4). As seen in Supplementary Figs. 3a and b, the stimulus evoked activity
- 9 relative to the baseline activity is consistently modulated in the way SNR is modulated (Fig. 3a).
- 10 These results indicate that surround suppression mediated by SST cells makes visual responses
- to the object sharper only when IPPS is strong enough.
- 12 Next, we study how surround suppression is dependent on the decay time constants of
- connections from SST to Pyr cells (SST-Pyr) and from SST to VIP cells (SST-VIP). SNR values
- in Supplementary Fig. 3c show that surround suppression become more effective when SST-Pyr
- inhibition is prolonged. When the decay time constant of SST-Pyr inhibition is shorter than 6.5
- ms and longer than 5 ms, SST-Pyr inhibition becomes effective only in population 4, in which
- 17 SST cells are sufficiently active. That is, Pyr cells in population 4 receive additional inhibition,
- making SNR below 1 in this regime. When SST-VIP inhibition is prolonged, SST cell activity
- increases (Supplementary Fig.1b), and thus the inhibition of SST impinging onto Pyr cells is
- 20 enhanced. This enhanced inhibition onto Pyr cells suppresses stimulus evoked responses, which
- 21 accounts for the negative correlations between SNR and the decay time constant of SST-VIP
- 22 inhibition.
- We also examine whether VIP cell depolarization could reduce surround suppression. To do so,
- 24 we measure how the firing rate model of Pyr cells in population 4 is modulated by the size of
- visual object. In the four experiments, 1 RF-, 3 RF-, 5 RF- and 7 RF-long objects are presented,
- respectively. In each experiment, the center of the object always stimulates population 4, and Pyr
- 27 cell responses in population 4 are measured between 500-1000 ms. That is, we simulate the
- standard estimation of surround suppression strength. As seen in Fig. 3b, in the model, VIP cell
- depolarization can reduce surround suppression. When the input to VIP cells is weak, Pyr cell
- response to the center (Pyr cell response in population 4) declines, as the size of the object
- 31 grows. In contrast, Pyr cell response to the center becomes stronger when the input to VIP cells
- 32 is increased to 0.9 pA and higher. That is, when VIP cells are depolarized, surround facilitation
- 33 emerges instead of surround suppression.
- 34 VIP cell depolarization can enhance visual responses during locomotion
- Next, we ask: how does VIP cell depolarization modulate visual neuron responses during
- locomotion? When a mouse is running, we expect some objects to move towards the mouse and
- others to move away. Below, we examine both possibilities.
- 38 First, we consider an object moving away. In this condition, a 3 RF-long object is assumed to
- move to the right (Fig. 4a), and we examine Pyr cells' response to it depending on the input to
- 40 VIP cells. At every 50 ms we update the object's location by 25% of receptive field size. The
- 41 stimulus input is proportional to the area of receptive field covered by the object. That is,
- 42 population 1 receives the full sensory input (0.5 pA) during 300-350 ms, but this input decreases
- 43 gradually by 25% at every 50 ms (Figs. 4b). In contrast, population 4 receives gradually

- 1 increasing sensory inputs, as the object is approaching the RF of population 4. At 500 ms,
- 2 population 4 receives the full sensory input. We remove the object from the scene at 550 ms. As
- 3 a control experiment, we examine Pyr cell responses to the moving object without surround
- 4 suppression (i.e., no IPPS). As seen in Fig. 4c, Pyr cell responses faithfully reflect the stimulus
- 5 input. To assess the effects of surround suppression, we restore surround suppression and
- 6 estimate Pyr cell responses depending on VIP cell depolarizations (Figs. 4d and e). In those
- 7 figures, the Pyr cell responses are normalized to the maximum response during simulations and
- 8 are indicated in color; the red represents the maximum response. The surround suppression
- 9 globally reduces Pyr cell responses (Fig. 4d). Specifically, Pyr cells responses are prominent
- only between 300-350 ms yet decrease afterwards, supporting our hypothesis that self-induced
- surround suppression reduces Pyr cells' sensitivity to moving objects. When the input to VIP
- cells is increased to 1.2 pA, population 4 responses are stronger than other populations during the
- stimulus period of the entire movement (Fig. 4e). That is, VIP cell depolarization almost
- exclusively enhances responses to RF 4, toward which the object moves.
- To better understand the effects of VIP cell depolarization on visual responses, we quantify how
- reliably Pyr cell outputs reflect the stimulus inputs by calculating Pearson's correlation between
- inputs to Pyr cells and their outputs in each population. If Pyr cell outputs depend on the inputs
- completely, the correlation should be 1. There are three different regimes (Fig. 4f); populations 2
- and 3 show identical responses, and thus population 2 is not visible in the figure. In the first
- regime, in which the input to VIP cells is lower than 0.6 pA, Pyr cells are quiescent. While their
- 21 firing rates and the covariance between the inputs to and outputs from Pyr cells are both below
- $10^{-7}$ , we observe noticeable correlations, which are ~ -0.1, in this regime. To avoid any possible
- artifacts from this tiny yet non-zero Pyr cell activity, we display the covariance instead of the
- correlation (Fig. 4f) when the covariance is below 10<sup>-7</sup>. In the second regime, in which the input
- 25 to VIP cells is between 0.6 and 1.1 pA, the population output becomes less dependent on the
- 26 input, as the input to VIP cells increases. As populations 1 and 4 receive the same amount of
- total inputs during the simulation period, we can directly compare the correlation between them.
- As seen in Fig. 4f, population 4 output reflects its input more faithfully than population 1 when
- 29 the input to VIP cells is between 0.6 and 1.1 pA. Additionally, the correlation of population 4 is
- 30 the highest, when the input to VIP cells is 0.9 or 1.0 pA. In the third regime, in which the input to
- VIP cells is bigger than 1.5 pA, all correlations increase and converge to 1. The most intriguing
- 32 observation is that the correlations are dissimilar among populations in the second parameter
- regime, suggesting that VIP cell depolarization can selectively enhance visual responses rather
- 34 than uniformly.
- 35 Second, we consider an object approaching the mouse. The approaching object is simulated by
- increasing its size over time (Fig. 5a). Specifically, the number of populations stimulated by this
- object increases over time. Population 3 receives the stimulus input (0.5 pA) between 300 and
- 38 600 ms, populations 2 and 4 receive it between 400-600 ms, and populations 1 and 5 receive it
- 39 between 500-600 ms. As seen in Fig. 5b, we note that Pyr cell activity depends on the input to
- 40 VIP cells; populations 1 and 5 show identical responses with each other, and populations 2 and 4
- 41 also show identical responses, and thus populations 1 and 2 are not visible in Fig. 5b. When the
- 42 input to VIP cell is low (0.6 pA), Pyr cell activity in population 3 is elevated at 300 ms, which
- reduces over time (Fig. 5B), even though population 3 receives constant stimulus inputs between
- 44 300 and 600 ms. This reduction disappears when the input to VIP cells is increased to 1.8 pA
- 45 (Fig. 5b). Interestingly, the reduction seems more pronounced when the input to VIP cells is at

- an intermediate level (1.2 pA). We again calculate the correlations between inputs to and outputs
- 2 from Pyr cells depending on the input to VIP cells. As in Fig. 4, we show the covariance instead
- of the correlation when it is smaller than  $10^{-7}$ . As seen in Fig. 5c, the correlations are modulated
- 4 by the input to VIP cells. The correlations of populations 1 and 5 almost monotonically
- 5 increases, as the input to VIP cells increases. In contrast, the correlations of other populations
- 6 increase in the beginning until the input to VIP reaches a certain threshold value, and they start
- 7 decreasing (Fig. 5c). When the input is close to 2 pA, the correlations of all populations approach
- 8 1.0.

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- 9 In brief, we note 1) that surround suppression leads to sharper visual responses to stationary
- visual scene, 2) that VIP cell depolarization may help V1 cells respond to objects in motion and
- 11 3) that the benefit of VIP cell depolarization may not be homogenous. Instead, VIP cell
- depolarization selectively enhances visual responses to some features (Figs. 4f and 5c) when the
- input to VIP cells is intermediate.

## Computational model with 2-D visual scene

- 15 The numerical analyses of the firing rate model indicate that locomotion-induced VIP cell
- depolarization effect is feature-specific. However, we cannot exclude the possibility that these
- 17 results are artifacts attributable to either 1) the firing rate model that provides a qualitative
- approximation of neural dynamics rather than exact description, or 2) the abstract 1-dimensional
- visual scene. Thus, to further validate these findings, we use a computational model of V1
- 20 responding to a more realistic 2-dimensional visual scene. The computational model used here is
- an extension of our earlier model<sup>12</sup>, in which, PV, SST and VIP cells in the superficial layers of
- 22 13 columns interact with one another and with Pyr cells via cell-type specific connections within
- 23 and across columns. The earlier model<sup>12</sup> also includes long-range and short-range inhibitions
- 24 across columns mediated by SST and PV cells, respectively. Maintaining the inhibitory cell
- 25 types and cell-type connectivity of the earlier model, we extend it into a 2-dimensional array of
- 26 192 cortical columns, each of which has ~2000 cells, as shown in Fig. 6a, to test V1 responses to
- a more realistic 2-dimensional visual scene (Methods).
- In this study we consider a simple experimental set up, in which a mouse faces a fronto-parallel
- 29 plane and translates at a constant speed toward this plane (Fig. 6b). This gives rise to a linear
- 30 flow field with a central focus-of-expansion. We simulate this setup using an image of five
- 31 spheres (Fig. 6b) and use POV-Ray<sup>17</sup> to render how they appear to the mouse when the animal
- runs toward the screen. Images are rendered for 1 sec at 20 frames/sec. In order to focus on the
- 33 essential nature of the cortical circuit processing, we use highly simplified non-temporal
- receptive field for both lateral geniculate nucleus (LGN) and cortical neurons. That is, each 50
- ms-long frame is spilt into 16-by-12 non-overlapping spatial patches which are mapped
- 36 topographically onto a population of 100 LGN cells per patch (Fig. 6a). LGN cells in turn send
- Poisson spike trains to cortical columns in a topographic manner; the connection probabilities for
- such thalamo-cortical connections are taken from an earlier model<sup>15</sup>. The firing rates of each
- 39 LGN population of 100 cells are proportional to the sum of light intensities in the corresponding
- image patch (Equation 5 in Methods) and are updated every 50 ms. We run simulations for 1 sec
- and record spikes from 10% of layer 2/3 pyramidal cells.

## Depolarized VIP cells modulate V1 neurons to the moving objects in inhomogeneous

2 ways

- 3 We assume that a mouse moves at a constant speed toward the central sphere to which is referred
- 4 as target sphere hereafter (Supplementary Fig. 4); the target sphere is 50% bigger than others.
- 5 That is, the target sphere grows in terms of size, and all others move outward (the left column of
- 6 Supplementary Fig. 4). LGN outputs faithfully reflect the location of the spheres in motion as
- 7 shown in the right column of Supplementary Fig. 4. Locomotion-induced VIP cell depolarization
- 8 is simulated by increasing the background external inputs from 16 Hz to 20 Hz carried by a
- 9 single external fiber (Supplementary Table 3). Figure 7 compares the responses averaged over 10
- independent simulations between high and low VIP cell depolarization conditions. During the
- early periods, when all five spheres are presented within the visual field, the column responses to
- the non-target spheres are sharper in the high depolarization condition (Figs. 7a and b). During
- the later periods, when the target sphere dominates the visual field, we note strikingly different
- 14 responses to the target sphere between the high and low depolarization conditions (Figs. 7c and
- d). The responses of columns connected to the target sphere's edge are stronger than those
- 16 connected to the target sphere's surface in the high depolarization condition. In contrast, the
- 17 responses to the center are stronger than those to the edges in the low depolarization condition.
- 18 That is, locomotion-induced VIP cell depolarization suppresses V1 neurons responding to the
- surface of target sphere, which is consistent with the numerical analysis in Fig. 5. We also
- display the spikes generated by Pyr, PV, SST and VIP cells in response to the two image patches
- 21 illustrated in Supplementary Fig. 5a. The left and right columns in Supplemental Fig. 5 show the
- spikes in the low and high depolarization conditions, respectively. Even in the high
- depolarization condition, VIP cells are active only when they are responding to the patch 2.
- To quantify how reliably V1 neurons respond to LGN outputs, we again calculate the correlation
- between LGN outputs and column responses (recorded spikes from 10 % layer 2/3 Pyr cells); see
- Methods. As images to LGN cells are updated at every 50 ms, we split column responses to
- 27 correspond 50 ms-time bins. Then, the correlation is calculated using LGN outputs and column
- 28 responses in the same time window (Equation 6). The effects of VIP cell depolarization on
- 29 columns responding to the four spheres moving outward in the visual field seem homogeneous,
- and thus we do not differentiate them when calculating the correlation. In contrast, the effect of
- 31 VIP cells on columns responding to the target sphere is clearly distinct from those on columns
- responding to other spheres. Thus, we split LGN outputs and column responses depending on
- 33 whether they are induced by the target sphere or not. Specifically, we identify the spatial extent
- of the target sphere in each frame using thalamic outputs and split the columns and thalamic
- 35 populations into two distributions (inside- and outside-target distributions). When we analyze the
- responses induced by the four spheres, we calculate the correlations (COT) between column
- 37 responses and LGN outputs using the outside-target distribution. For the responses induced by
- 38 the target sphere, we calculate the correlations (CIT) using the responses in the inside-target
- 39 distribution. COT is calculated from the first 8 frames, as the four spheres start disappearing
- 40 from the visual field at 400 ms. In contrast, CIT is calculated from all 20 frames.
- 41 CIT would be 1 if Pyr cell activity in the columns connected to the target sphere entirely depends
- on LGN outputs induced by the target sphere. Otherwise, CIT would be close to 0 if the target
- sphere cannot drive columns at all. Similarly, COT estimate the capacity of the four spheres to
- drive V1 neurons via LGN. Fig. 8a shows the estimated correlations (COT and CIT) from 10
- 45 independent simulations. As seen in the figure, VIP cell depolarization enhances the COT but

- 1 reduces CIT, and the induced changes are significant (t-test, p<10<sup>-10</sup>). COT enhancement is
- 2 consistent with the stronger responses to the four spheres in the high depolarization condition
- 3 (Fig. 8). CIT reduction is also expected, as the responses to the surface is suppressed in the high
- 4 depolarization condition. That is, CIT and COT successfully represent the distinct effects
- 5 between the target and other spheres. Lastly, we estimate how surround suppression modulate
- 6 CIT and COT to confirm that surround suppression reduction is indeed responsible for the
- 7 stronger responses to moving objects. In the simulations, we strengthen surround suppression by
- 8 increasing the connection probability for inter-columnar Pyr-SST connections. If the stronger
- 9 surround suppression reduces COT, it would support that surround suppression is harmful to
- visual responses during locomotion. As expected, COT is reduced (Fig. 8b). On the other hand,
- we note that CIT is increased, suggesting that surface responses are restored when surround
- suppression is enhanced.

#### 13 The effects of VIP cell depolarization also modulate SST and PV cell activities

- 14 The simulation results exhibit the effects of VIP cell depolarization on Pyr cell responses. Does it
- modulate other inhibitory cell types? During locomotion, PV cell activity was also reported to be
- enhanced<sup>2</sup>. In the model, we find a consistent behavior (Fig. 8c) which can be explained by
- 17 reduced inhibition from SST cells. Interestingly, we note that the enhanced PV cell activity
- appears necessary to make V1 neurons respond more strongly to the edge of the target sphere
- 19 than to its surface. When we reduce the background inputs to PV cells, column responses to the
- surface become stronger, and edge-dominant responses disappear (Supplementary Fig. 6a). We
- also note that SST cell activity is modulated in a location specific manner despite its reduction in
- general (Fig. 8c). In the last frame (950 ms-1000 ms), in which only the target sphere exists to
- dominate the visual field, SST cells responding to the center of the target sphere fire more
- strongly when VIP cells are depolarized (Supplementary Fig. 6b). Specifically, SST cell activity
- in the columns connected to the center of the target spheres is increased by ~30%. This can
- accounts for the recent experimental finding that SST cell activity can also be enhanced during
- 27 locomotion<sup>18</sup>.

28

## **Discussion**

- 29 Both firing rate and computational models support our hypothesis that VIP cell depolarization
- 30 leads to stronger responses to visual objects in relative motion by suppressing self-induced
- 31 surround suppression during a mouse's locomotion. The surround suppression promotes sharper
- responses to stationary visual scene (Fig. 3a). However, it can disrupt visual neuron responses to
- objects in motion (Figs. 4, 5, 7 and 8b), and VIP cell depolarization is the potential mechanism,
- by which surround suppression is regulated (Figs. 4, 5 and 7). We note that low-threshold
- 35 spiking interneurons that express SST are known to burst<sup>16</sup>, which we did not consider in both
- models. That is, the effects of self-induced surround suppression may be even bigger than those
- 37 estimated in the models. Below we discuss the implications of our analyses in details.
- We emphasize that simulation results of the computational model constrained by experimental
- data are consistent with the firing rate model responses in the intermediate VIP cell
- 40 depolarization condition, raising the possibility that visual cortex can indeed work in the regime,
- 41 in which VIP cell depolarization makes feature-specific enhancement of visual responses. While
- 42 this suggestion should be examined by future experiments, we propose that such selective
- enhancement of visual responses may have direct functional advantages. First, the firing rate
- 44 model suggests that VIP cell depolarization enhances the responses to the RF of population 4 but

- 1 reduces the responses to the RF of population 1 (Fig. 4). It should be noted that the object moves
- 2 away from the RF of population 1 and approaches that of population 4. When the object is in
- 3 motion, its current location may be more crucial than its previous one. The biased enhancement
- 4 of the RF that receives increasing stimulus inputs makes V1 neurons focus on the current
- 5 location of the object in motion rather than the previous one.
- 6 Second, VIP cell depolarization suppresses the responses to the center of the object growing in
- 7 size (Fig. 5). The same phenomenon is also observed in the computational model simulations:
- 8 the responses to the surface of the target sphere growing in size are suppressed, whereas those to
- 9 the edges are enhanced (Figs. 7 and 8). Importantly, the target sphere in the computational model
- 10 has a clear behavioral importance as it can collide with a mouse. Thus, the mouse must heed the
- distance between itself and the sphere. The sphere's size and its growth rate will be valuable
- when estimating the distance. It means that the surface of the approaching object could merely be
- a distraction which can be ignored. In the model, the depolarized VIP cells automatically make
- 14 V1 neurons ignore the target sphere's surface (Fig. 7d).
- How do V1 neurons become sensitive to some features such as edges/boarders, which represent
- discontinuity of images? The firing rate model suggests that the interplay between SST and VIP
- can be a main factor, as selective enhancement of visual responses appears only when the input
- to VIP cells is neither too strong nor too weak (Figs. 4 and 5). When it is too weak, SST cells are
- 19 active in all populations, and all Pyr cells become guiescent. When it is too strong, SST cells do
- 20 not fire, and Pyr cells faithfully respond to stimulus inputs. In the intermediate regime, SST cell
- 21 activity depends on stimulus inputs, not just to the same population but also to neighboring
- 22 populations, due to the Pyr-SST connections across populations. Then, it should be noted that
- 23 SST cells responding to the center of the visual objects will receive the strongest stimulus inputs,
- as many neighboring populations receive stimulus inputs. That is, Pyr cells responding to the
- center will be under the strongest inhibition of SST cells, which can account for the suppression
- of responses to the center of the object (Fig. 5). Also, the location specific modulation of SST
- cell activity observed in the computational model (Supplementary Fig. 6b) supports this
- assertion.
- We also note that computational model simulation suggests another mechanism underlying
- selective enhancement. In the computational model, as seen in Supplementary Fig. 6A, the
- 31 suppression of responses to the surface of the target sphere are dependent on the background
- 32 input to PV cells which mediate the short-range inter-columnar (inter-receptive field) inhibition
- 33 (Supplementary Table 3). As this short-range inhibition impinges onto neighboring columns, it is
- 34 spatially inhomogeneous. For instance, columns responding to the edge will receive short-range
- inhibition from one side only, whereas columns responding to the center will receive it from all
- directions. This disparity in lateral inhibition makes column responses to the edge stronger than
- 37 those to the surface.
- 38 The feedback signals from higher visual areas such as V2 and MT (medial temporal visual areas)
- in primates can also modulate V1 responses <sup>19,20</sup>. V2 reduces V1 responses by enhancing
- 40 surround suppression<sup>20</sup>, whereas MT enhances V1 responses to moving bars and facilitates
- figure-ground segregation<sup>19</sup>. That is, V2 and MT regulate V1 responses elicited by moving
- 42 objects in a similar way VIP cells in V1 do. For instance, the moving objects will elicit stronger
- responses either when the feedbacks from MT to V1 are stronger or when V1 VIP cell activity is
- 44 stronger.

- 1 Why does the brain use two independent mechanisms to control V1 responses in the same way?
- 2 Although the feedbacks from MT and VIP cell depolarization lead to higher V1 responses, their
- 3 influences present different spatial extent. MT may modulate a subset of V1 neurons selectively
- 4 via cortico-cortical connections, whereas VIP depolarization influences V1 response globally.
- 5 When it is necessary to track a specific moving object occupying a subset of visual field, MT,
- 6 not VIP cells, can enhance V1 responses to it. That is, VIP cells are activated during locomotion
- but MT may be activated when objects are actually moving inside the visual field. It would be
- 8 interesting to investigate these two distinct pathways regulating V1 responses to explain the
- 9 recent observation that V1 neurons respond differently to self-motion and moving objects<sup>21</sup>.
- Notably, VIP cells' depolarization has been also observed in other contextual modulation of
- sensory cortices. Specifically, VIP cells are nonspecifically activated during conditioning with
- negative feedbacks<sup>22</sup>, and top-down signals from Cg to V1 target VIP cells mainly<sup>1</sup>, suggesting
- that VIP cells serve as a unifying mediator for endogenous contextual information originating
- from other cortices to sensory cortices. However, the exact mechanisms, by which VIP cells
- 15 contribute to contextual information processing, remain unclear. For instance, SST cells activity
- increases during Cg activation<sup>1</sup>, whereas it is suppressed during fear conditioning<sup>22</sup>, which
- 17 remains unexplained.
- 18 These two different observations can map onto the high and low intermediate VIP cell
- depolarization states of the firing rate model. First, in the intermediate VIP cell depolarization
- 20 condition, SST cells can also be active. As Cg activation depolarizes SST cells as well as VIP
- 21 cells<sup>1</sup>, the intermediate VIP cell depolarization may cause consistent effects as Cg activation.
- Indeed, VIP and SST cells may be optimized to promote the competition between them; they
- 23 mutually inhibit yet promote the identical type to fire more<sup>23</sup>. Second, in the high VIP cell
- 24 depolarization condition, SST cell activity is uniformly suppressed, which is similar to the
- observation during fear conditioning<sup>22</sup>. Based on the analyses (Figs. 4 and 5), we propose that
- sensory cortices may work in two distinct modes. During Cg-activation, sensory neurons become
- 27 selectively sensitive to some features, which allows V1 neurons to extract behaviorally important
- 28 information effectively. During fear-conditioning, sensory neurons reliably relay the stimulus
- 29 inputs, which may help high-order cognitive areas assess the external environments related to the
- fear conditioning without any biases.
- In conclusion, as cognitive functions may depend on interactions among multiple cortical areas<sup>24</sup>,
- 32 VIP cells' functional roles could advance our understanding of neural basis of cognitive
- functions, and we believe that computational models are effective tools to pursue this direction,
- as we show in this study.

#### Methods

- 36 Firing rate model
- 37 As seen in Fig. 1A, each population consists of 4 different cell types. For simplicity, we assume
- 38 all cell types are identical in terms of dynamics of membrane potentials, and their time courses
- are described by the simple rule.

40 
$$\tau_m \frac{df(t)}{dt} = -f(t) + g(I_{intrinsic} + I_{syn} + I_{stimulus}),$$

$$41 g(x) = 5.33\sqrt{x}, (1)$$

- 1 , where  $\tau_m$  (the time constant of membrane)=10 ms (the time constant of membrane); where f and
- 2 g are the firing rate and gain functions, respectively; where I<sub>intrinsic</sub>, I<sub>sys</sub> and I<sub>stinulus</sub> are inputs to the
- 3 cells. The gain function g is obtained by computing the F-I curve of the leaky integrate and the
- 4 fire neuron implemented by "iaf\_psc\_exp" included in the peer-reviewed simulator NEST<sup>25</sup>. The
- 5 square-root is an approximation of the exact analytical solution, but we select this function for
- 6 two reasons. First, it provides a good approximation as shown in supplementary Fig. 1a and is
- 7 less computationally intensive than an exact analytical form. Second, it is commonly used as a
- 8 gain function<sup>11</sup> I<sub>instrinsic</sub> is the sum of spiking threshold and background input, which are cell-type
- 9 specific, as listed in Supplementary Table 2. I<sub>stimulus</sub> (0.5 pA) is the input representing stimulus
- presentation, and it is given to Pyr cells only. I<sub>syn</sub> are synaptic inputs within population and
- 11 across populations.

$$I_{svn} = \sum_{i} w_i S_i \tag{2}$$

- 13 , where i runs over all pre-synaptic cells. They are regulated by gating variables S and scaled by
- $w_i$ . The gating variables S evolve according to the activity of presynaptic cell populations<sup>26</sup>, as
- 15 follows:

16  
17 
$$\frac{dS(t)}{dt} = -\frac{S(t)}{\tau} + f_{pre}(t)$$
 (3)

- 18 , where  $\tau$  and  $f_{pre}$  are the decaying time constant and the firing rate of pre-synaptic cells,
- 19 respectively. The decay time constants are estimated based on physiological data reported in
- 20 Pfeffer et al. 9; this process is discussed elsewhere 12. All parameters for synaptic connections are
- shown in Supplementary Table 1. We solved these equations using the "odeint", a scipy module
- included in python.
- 23 Estimates of stimulus-evoked activity
- We calculated the stimulus-evoked responses by computing Pyr cell activity during the stimulus
- 25 period (500-1000 ms). This is normalized in two different ways. First, the signal-to-noise ratio
- 26 (SNR) is determined by calculating the ratio of population 4 activity to the mean activity of all
- 27 other populations. Second, the stimulus-period activity is compared to the baseline-period
- 28 activity by calculating the relative changes in Pyr cell activity (Equation 4):

$$29 \quad \frac{(R_{stim} - R_{baseline})}{R_{baseline}} \tag{4}$$

- 30 where R<sub>stim</sub> and R<sub>baseline</sub> indicate the mean activity of Pyr cells in the stimulus and baseline
- 31 periods, respectively.
- 32 The spiking neural network model of V1
- We extended our earlier V1 model<sup>12</sup> into 192 column models distributed over 16-by-12 grids by
- reducing the size of individual columns by a factor of 10 (Fig. 6A). All connections are
- established randomly<sup>12,15,27</sup> using the proposed connection probabilities from earlier models<sup>15</sup>.
- 36 Synaptic strengths used in the model are listed in Supplementary Table 3. The details of cortical
- 37 column models are discussed elsewhere<sup>12</sup>. Each column receives sensory inputs from 100
- thalamic cells, whose firing rate is proportional to the strength of visual inputs within the
- 39 receptive fields.

- 1 For simplicity, we assumed that all thalamic cells are ON cells, and that all thalamic cell
- 2 populations have non-overlapping receptive fields. Also, thalamic cell populations are distributed
- 3 over 16-by-12 grids so that they could connect to cortical columns via topographic connections.
- 4 Each lateral geniculate nucleus (LGN) population consists of 100 thalamic cells, and individual
- 5 cells induce Poisson spike trains at the fixed rate proportional to the sum of signals (I) in the
- 6 corresponding image patch:

$$7 R = 20 + 60 \frac{I}{l_{max}} Hz (5)$$

8 , where  $I_{\text{max}}$  is the maximal value of the sums of intensity of the 192 image patches.

## 9 Visual scene generation

- We used POV-Ray to create a simple experimental setup shown in Fig. 6B. The mouse has not
- been explicitly modelled. Instead, the camera device assumes the role of a mouse's retina. POV-
- Ray produces 640-by-480 pixel images in 20 frames during 1 sec in two different conditions,
- with the width of the image set to 80°. The animal translates at constant speed towards the image
- plane that is perpendicular to the animal's motion. The five spheres in Fig. 6B are the depicted
- scene. The center sphere is 50% bigger than all others (Fig. 7A). In both conditions, each frame
- is 50 ms long and is converted to LGN outputs in 50 ms windows. The size of the receptive field
- of LGN populations is 40-by-40 pixels of the image so that each frame could be split to 16-by-12
- 18 non-overlapping patches.

## 19 Correlations between stimulus inputs and Pyr cell responses

- 20 For both firing and computational models, we calculated Pearson's correlations coefficients
- between stimulus inputs and Pyr cell responses. In the firing rate model, we record the inputs to
- and outputs from Pyr cells over time. That is, for each population, the two-time series were
- 23 collected, from which the correlation was estimated. In the computational model, the correlations
- 24 were calculated using thalamic outputs  $(\overline{TO})$  and column responses  $(\overline{CR})$ . After recording the
- column responses depending on 50-ms temporal windows, we converted them into a 1-
- dimensional vector. Since the center (target) sphere behaves differently from others, we split this
- 27 1 dimensional vector into 2 distributions (inside and outside the target sphere). Then, we
- 28 calculated the correlation coefficients using these two distributions, respectively.

29 
$$Correlation = \frac{\sum_{i}(CR_i - \mu_{CR}) \times (TO_i - \mu_{TO})}{\sigma_{CR}\sigma_{TO}}$$
 (6)

- 30 , where  $\mu$  and  $\sigma$  are the mean and standard deviation of vector components; where i=pixels inside
- 31 or outside the center sphere. We instantiated 10 independent networks using the same
- 32 connectivity, and each network was simulated independently. The correlation was estimated in
- each simulation.

- 34 Code availability
- 35 The simulation codes are available upon request (contact to J.L. at jungl@alleninstitute.org) and
- will be publicly available in the near future.

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1

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## 26 Additional Information

- 27 Author Contributions
- J.L and S.M. designed the study and wrote the paper. J.L. performed simulations. J.L and S.M.
- analyzed data.
- 30 Competing financial interests
- 31 The authors declare no competing financial interests.
- 32 **Legends**
- Fig. 1: The model structure of firing rate model and its response. (a), 7 populations are
- 34 implemented in the firing rate model, and each population consists of Pvr, SST, PV and VIP
- 35 cells. They interact with one another via cell type specific connectivity displayed in (b). Pyr, PV,

- 1 SST and VIP are shown in red, green, blue and gray, respectively. (c), The time course of input
- 2 to Pyr cell in population 4. The onset of stimulus presentation is 500 ms marked by the red
- arrow. (d) and (e), Cell type specific activity in populations 4 and 6, respectively, when all inter-
- 4 population Pyr-SST connections (IPPS) are removed. All other populations, which are not shown
- 5 explicitly, have identical responses to those of populations 6.
- 6 Fig.2: The effects of surround suppression on model responses. (a) and (b), Cell-type specific
- 7 activity in populations 4 and 6, respectively, when the strength of IPPS is 15 pA. (c) and (d), The
- 8 same but with enhanced IPPS strength (25 pA). (e) and (f), The same when the background input
- 9 to SST cells and IPSS strength are 0.4 and 25 pA, respectively.
- 10 Fig. 3: The functions of surround suppression and its modulation. (a), Pyr cell responses in
- population 4, compared with other Pyr cell responses elicited by background input only. SNR is
- the normalized population 4 responses to the mean activity of all other populations. The
- dependency of SNR on the input to SST cells and the IPPS strength are shown in blue and red,
- respectively. The dashed line represents the SNR of inputs to Pyr cells. (b), The modulation of
- surround suppression via VIP cell depolarization. y-axis represents Pyr cell responses to the
- center of the object depending on its size. For a fixed input to VIP cells, we set the Pyr cell
- 17 responses to the narrow object, whose width is 1-RF long, as reference values, which we use to
- normalize Pyr cell responses. The background inputs to VIP cells are 0.6, 0.75, 0.9 and 1.05 pA,
- which are shown in red, green, blue and black, respectively.
- 20 Fig. 4: The effects of VIP cell depolarization on model responses to the object in motion.
- 21 (a), Method by which we simulate the object moving over time. (b), Stimulus input introduced to
- 22 the populations in color codes. The red indicates the maximum stimulus input 0.5 pA. The x- and
- 23 y-axes represent the identity of population and time bins. (c), The normalized Pyr cell responses
- 24 to the stimulus input shown in (b) when all inter-population Pyr-SST cell connections are
- 25 removed. (d) and (e), The normalized Pyr cell responses with surround suppression depending
- on the input to VIP cells. In (c)-(e), Pyr cell responses are divided by the maximum Pyr cell
- 27 responses (over all populations) during simulations. The strength of the input to VIP cells is
- shown above each panel. (f), Population specific correlations between stimulus inputs and the
- 29 Pyr cell responses. As the population 2 and 3 show identical responses, only population 3 is
- 30 visible in (f).
- 31 Fig. 5: The effects of VIP cell depolarization on responses to the object growing in size. (a),
- 32 Method by which we simulate the object growing in size. (b), Pyr cell activity in population 4
- between low (0.6 pA) and high (1.8 pA) input to VIP cells. For comparison, Pyr cell activity
- with the intermediate input (1.2 pA) to VIP cells is also displayed. (c), Dependency of population
- 35 specific correlations between the stimulus inputs and Pyr cell responses on the input to VIP cells.
- Populations are distinguished using different colors. As populations 2 and 4 (1 and 5) show
- identical responses, only three lines are visible in (c)
- Fig. 6: The model structure of computational model of V1. (a), Structure of the computational
- model. In the computational model, we consider more connections among the four cell types<sup>12</sup>
- 40 than those used in the firing rate model. The model consists of 192 columns distributed over a
- 41 16-by-12 grid. The receptive field of each column covers non-overlapping image patches
- 42 consisting of 40-by-40 pixels; the rendered images consist of 640-by-480 pixels. All inter-

columnar connections are established using a periodic boundary condition; see Supplementary Table 3. (b), Virtual experiment-setup.
Fig. 7: Column responses in the computational model. (a)-(d), Column responses averaged over 10 independent simulations in four different 50 ms time windows, respectively. The left and

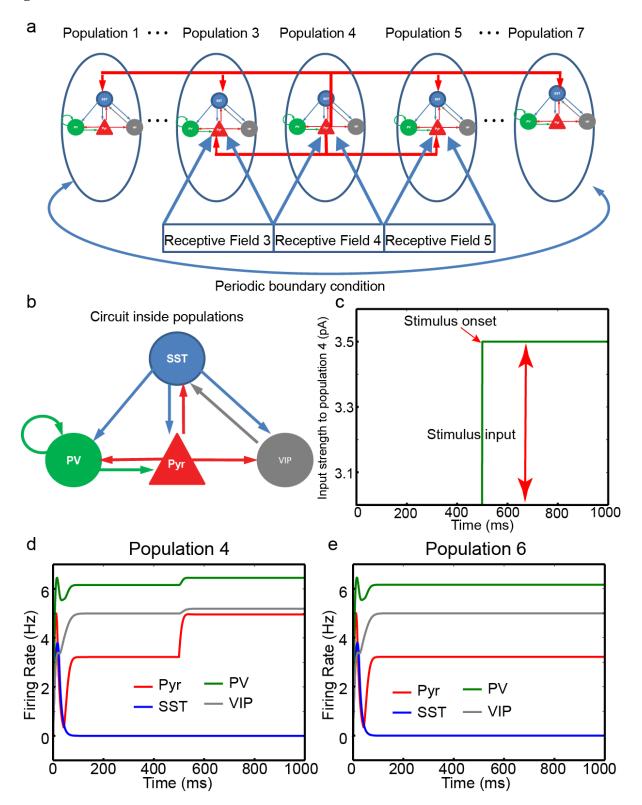
- right columns show them with low and high external inputs to VIP cells. The color bars show the
- 6 firing rate of Pyr cells in Hz.

- 7 Fig. 8: Correlations for the target and other spheres. (a), Correlations between high and low
- 8 VIP cell depolarization conditions compared. (b), Dependency of correlations on the surround
- 9 suppression strength regulated by connection probability for Pyr-SST connections across
- 10 columns. (c), PV and SST cell activity between high and low VIP cell depolarization conditions.
- 11 All error bars represent standard errors.

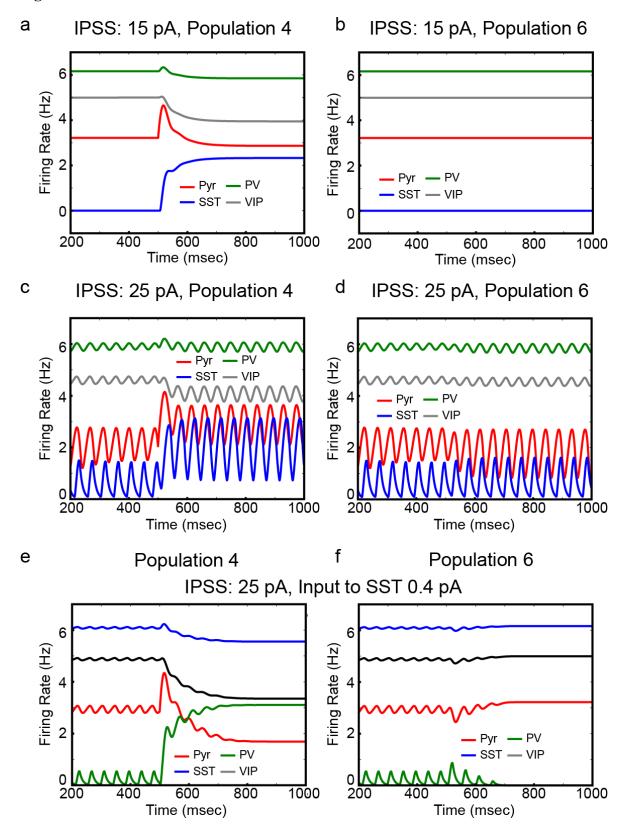
# Figure 1

1

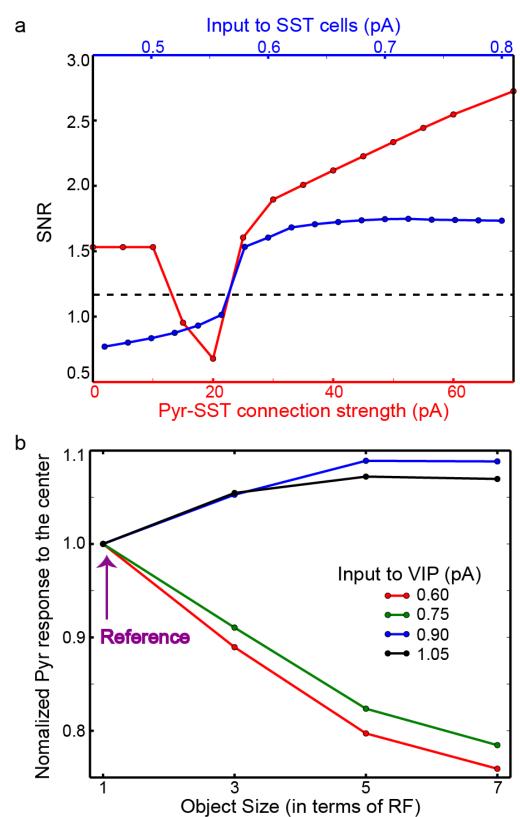
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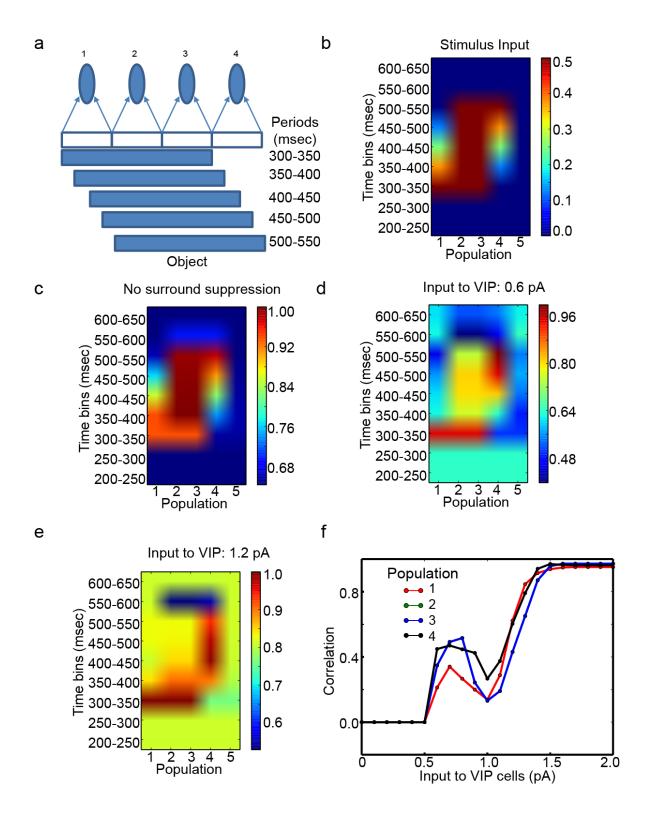


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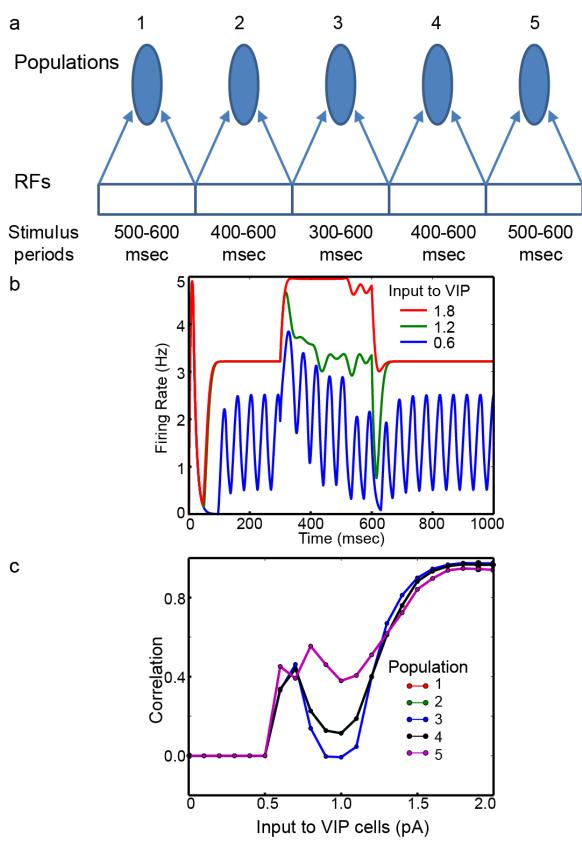


1









b

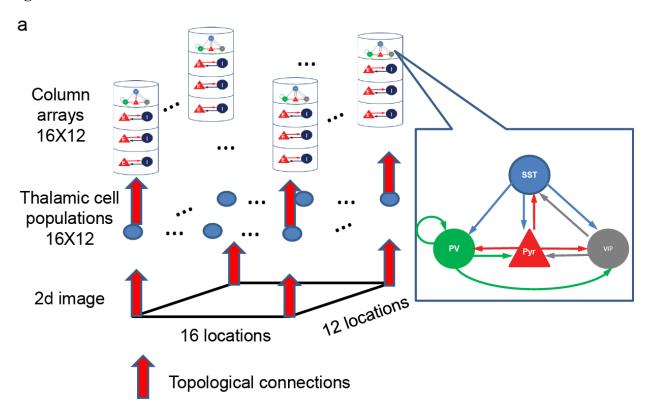
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Experimental set up

