

1 ***Arabidopsis* MADS-box transcription factor AGL21 acts as**
2 **environmental surveillance for seed germination by regulating *ABI5***

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11 **Running title:** AGL21 regulates seed germination

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30 **ABSTRACT**

31 Seed germination is a crucial checkpoint for plant survival under unfavorable
32 environmental conditions. Abscisic acid (ABA) and its signaling play a vital role in
33 integrating environmental information to regulate seed germination.
34 MCM1/AGAMOUS/DEFICIENS/SRF (MADS)-box transcription factors are mainly
35 known as key regulators of seed and flower development in *Arabidopsis*. However,
36 their functions in seed germination are still poorly understood. Here we report that
37 MADS-box transcription factor AGL21 negatively modulates seed germination and
38 post-germination growth by controlling the expression of *ABA-INSENSITIVE 5 (ABI5)*
39 in *Arabidopsis*. *AGL21* responds to multiple environmental stresses and plant
40 hormones. The *AGL21*-overexpressing plants are hypersensitive to ABA, salt and
41 osmotic stresses during seed germination and early post-germination growth, whereas
42 *agl21* mutants are less sensitive. AGL21 positively regulates *ABI5* expression in seeds.
43 Genetic analyses reveal that *AGL21* is epistatic to *ABI5* in controlling seed
44 germination. Chromatin immunoprecipitation assays further demonstrate that AGL21
45 could directly bind to the *ABI5* promoter in plant cells. Taken together, our results
46 suggest that AGL21 acts as a surveillance integrator that incorporates environmental
47 cues and endogenous hormonal signals into ABA signaling to regulate seed
48 germination and early post-germination growth.

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50 **Key words:** MADS, AGL21, seed germination, ABA, ABI5

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60 INTRODUCTION

61 Seed dormancy is a vital trait for plants to adapt to varieties of habitats and
62 climates. Seed germination is arrested under adverse conditions and resumed when
63 the conditions are favorable. Generally, seed germination commences with three
64 phases of water uptake (Bewley, 1997), followed by embryo expansion and finished
65 with radicle emergence. The optimal level of seed dormancy and germination has
66 important repercussions on agricultural production. Therefore, it is essential to study
67 the underlying molecular mechanisms that control seed dormancy and germination.

68 To sessile organisms, the ambient environment is vital for their survival. A variety
69 of environmental factors, including nutrients, water availability, temperature, light,
70 oxygen, and soil salinity, affect seed germination (Finkelstein et al., 2008; Holdsworth
71 et al., 2008). Plants have evolved an array of strategies to constantly monitor the
72 changing environmental conditions to decide when to germinate (Finkelstein et al.,
73 2008). The environmental cues perceived by seeds can be incorporated into
74 endogenous hormonal signaling pathways to regulate germination. Among various
75 phytohormones, abscisic acid (ABA) and gibberellic acid (GA) are regarded as the
76 primary regulators of transition from dormancy to germination (Seo et al., 2006; Shu
77 et al., 2016). ABA is required for seed dormancy maintenance, while GA acts
78 antagonistically to release dormancy and initiate seed germination (Shu et al., 2013).
79 Environmental factors regulate the ABA:GA balance and the sensitivity to these
80 hormones by modifying the biosynthetic and catabolic pathways, as well as signaling
81 pathways, thus modulating seed dormancy and germination (Finch-Savage and
82 Leubner-Metzger, 2006).

83 During germination, ABA content is decreased rapidly, and ABA signaling must
84 be actively repressed. Through screening for ABA-insensitive (ABI) mutants, several
85 ABA signaling components control seed germination have been identified in
86 *Arabidopsis* (Finkelstein et al., 2002; Nambara and Marion-Poll, 2003). In contrast to
87 ABI1/2, ABI3, ABI4 and ABI5 are key positive regulators of ABA signaling that
88 modulate seed germination and post-germination development (Giraudat et al., 1992;
89 Parcy et al., 1994; Finkelstein et al., 1998; Lopez-Molina et al., 2002). ABI5 is one of

90 the 13 members of the group-A bZIP TF subfamily, and can directly bind to the
91 ABA-RESPONSE ELEMENT (ABRE) *cis*-element in the promoter sequence of
92 ABA-responsive genes, such as *Arabidopsis EARLY METHIONINE-LABELED 1*
93 (*AtEm1*), *AtEm6*, and *RD29B* to modulate their expression (Carles et al., 2002;
94 Finkelstein et al., 2005; Nakashima et al., 2006). ABI5 interacts with ABI3 and acts
95 downstream of ABI3 to execute an ABA-dependent growth arrest during germination
96 (Lopez-Molina et al., 2002). ABI5 protein level and activity are tightly regulated
97 post-transcriptionally. In stress conditions, ABA triggers phosphorylation and
98 activation of ABI5 (Lopez-Molina et al., 2001; Dai et al., 2013), and KEEP ON
99 GOING (KEG) E3 ligase is rapidly degraded (Stone et al., 2006), promoting the
100 accumulation of high levels of ABI5. In favorable conditions, ABI5 is
101 dephosphorylated by FyPP/PP6 (for Phytochrome-associated serine/threonine protein
102 phosphatase/Ser/Thr-specific phosphoprotein phosphatase 6), and then the inactive
103 ABI5 is degraded by the 26S proteasome (Dai et al., 2013). ABI5 can also be
104 modified by S-nitrosylation and sumoylation, and is rapidly degraded, which is
105 facilitated by ABI FIVE BINDING PROTEIN (AFP) and KEG (Miura et al., 2009;
106 Albertos et al., 2015).

107 The MADS-box gene family in higher plant is a large family with more than 100
108 members (De Bodt et al., 2005). These TFs are involved in almost every
109 developmental process in plants (Smaczniak et al., 2012). However, their roles in seed
110 germination are largely unexplored. Only two MADS-box genes were found
111 regulating seed germination till now. One is *FLOWERING LOCUS C (FLC)/AGL25*,
112 which is involved in temperature-dependent seed germination through influencing
113 ABA catabolic pathway and GA biosynthetic pathway (Chiang et al., 2009). Another
114 MADS-box gene *AGL67* may act as a repressor of seed germination, for knockout of
115 it decreasing seed dormancy (Bassel et al., 2011). In our previous study, we found
116 MADS-box TF *AGL21* is involved in lateral root development and growth mediated
117 by various environmental and physiological signals (Yu et al., 2014). In this study, we
118 report that *AGL21* also modulates seed germination by regulating ABA signaling.
119 Overexpression of *AGL21* conferred hypersensitive seed germination to ABA, high

120 NaCl and mannitol, while the *AGL21* knockout mutants showed the opposite
121 phenotypes. Further analyses showed that *AGL21* was induced by various stresses,
122 and highly expressed in dry seeds, but decreased quickly after imbibition and
123 germination, which is coincident with that of *ABI5*. Genetic analysis showed that
124 *AGL21* was involved in ABA signaling, acting downstream of *ABI1/2* and upstream of
125 *ABI5*. Taken together, our results suggest that *AGL21* integrate environmental signals
126 and internal hormonal signals to ABA signaling by directly modulating *ABI5* to
127 fine-tune seed germination and post-germination growth.

128

129 **RESULTS**

130

131 ***AGL21* negatively regulates seed germination and post-germination in response** 132 **to ABA, salt and osmotic stress**

133 *AGL21* was reported to be expressed in developing embryos (Burgeff et al., 2002),
134 and our previous study showed that *AGL21* also had high expression levels in siliques
135 and dry seeds besides in roots (Yu et al., 2014). These data indicates that *AGL21* may
136 play some roles in seed development or seed germination. To study the function of
137 *AGL21* in seed germination, we obtained 35S::*AGL21* (OX 1-6 and OX 3-5),
138 35S::*AGL21-HA* (OX 29-3) transgenic *Arabidopsis* lines, and two T-DNA insertion
139 mutants: CS118325 (*agl21-1*) and GK_157C08 (*agl21-2*) from ABRC (Yu et al.,
140 2014). Gene expression analyses by quantitative real-time polymerase chain reaction
141 (qRT-PCR) showed that the expression of *AGL21* was abolished in the mutants while
142 highly up-regulated in the *AGL21*-overexpressing plants (Fig. S1). To evaluate the
143 function of *AGL21* in seed germination, we germinated the seeds of
144 *AGL21*-overexpressing plants, *agl21* mutants and wild-type (WT) plants on MS
145 media with or without ABA. In the absence of ABA, the seed germination rates of
146 different genotypes were similar (Fig. 1A, B). In the presence of different
147 concentrations of ABA, *agl21-1* and *agl21-2* seeds were more resistant to ABA
148 inhibition. On the contrary, *AGL21*-overexpressing seeds were more sensitive to ABA
149 during germination (Fig. 1A-D). In line with the germination rate data, *agl21* mutant

150 plants also showed higher cotyledon-greening percentages than WT plants, while the
151 three *AGL21*-overexpressing lines exhibited significant lower cotyledon-greening rates
152 after 8 days germination (Fig. 1E). These results suggested that *AGL21* acts as a
153 negative regulator of seed germination and post-germination.

154 Since *AGL21* modulates ABA-regulated seed germination, we tested whether
155 *AGL21* affects the seed germination response to salt stress. The seeds were sown on
156 MS medium supplemented with 150 mM NaCl. Compared with WT seeds,
157 germination and cotyledon-greening of *AGL21*-overexpressing seeds were more
158 severely inhibited by NaCl, whereas the *agl21* mutant seeds showed much higher
159 germination and cotyledon-greening ratios (Fig. 2A, C and E). To identify whether
160 *AGL21* is involved in salt-specific or general osmotic responses, we further tested
161 seed germination on medium containing osmotic reagent mannitol. Similarly, on MS
162 medium containing 300 mM mannitol, germination of *AGL21*-overexpressing seeds
163 were much more sensitive while the *agl21* mutant seeds were insensitive to the
164 inhibition effects of mannitol compared with WT seeds (Fig. 2A, D and E), indicating
165 *AGL21* negatively regulates seed germination in response to osmotic stress.

166

167 ***AGL21* is responsive to multiple stresses during seed germination**

168 *AGL21* responds to multiple endogenous and exogenous signals, such as ABA,
169 methyl jasmonate (MeJA), indole-3-acetic acid (IAA), nitrogen (N) and sulfur (S)
170 starvation during root development (Yu et al., 2014). Our results in this study show
171 that *AGL21* is involved in the inhibition of germination in response to ABA, NaCl
172 and osmotic stress. Thus we wanted to know whether *AGL21* also responded to these
173 stress signals during germination stage. We analyzed the *AGL21* expression levels in
174 seed germination stages on media with ABA, NaCl or mannitol. The results show that
175 all these stress treatments significantly induced *AGL21* expression in germinating
176 seed. Moreover, *AGL21* was also markedly induced by N deficiency, MeJA and IAA
177 treatments during seed germination (Fig. 3A). These data support the surveillance
178 function of *AGL21* in seed germination in response to ABA, salt and osmotic stresses,
179 and maybe other stresses.

180

181 **AGL21 is involved in ABA signaling**

182 In order to study whether AGL21 is involved in ABA signaling, we checked the
183 *AGL21* expression levels in *abi* mutants in response to ABA. As shown in Figure 3B
184 and 3C, ABA and mannitol treatments could significantly induce *AGL21* expression in
185 *abi3-8*, *abi4-1*, *abi5-7* background plants as that in WT background plants. However,
186 in *abi1-1* and *abi2-2* background plants, no significant induction of *AGL21*
187 transcription was observed. These data suggested that AGL21 might function in ABA
188 signaling pathway, and may act downstream of ABI1 and ABI2, and upstream or in
189 parallel with ABI3, ABI4 and ABI5.

190 We further analyzed the expression levels of several ABA signal pathway genes in
191 germinating seeds of *AGL21*-overexpressing, knockout and WT plants germinated on
192 MS medium or MS medium with ABA. We found that AGL21 positively regulated
193 some downstream ABA signal pathway genes, such as *ABI5*, *AtEM6*, *RD29B* and *ABA*
194 *RESPONSE ELEMENT-BINDING FACTOR 2 (AREB2)/AREB BIND FACTOR 4*
195 *(AREB2/ABF4)* (Fig. 4A-H). However, gene expression levels of upstream ABA
196 signal pathway genes, such as *ABI1*, *ABI2*, *SNF1-RELATED PROTEIN KINASE 2.2*
197 *(SnRK2.2)* and *SnRK2.3*, did not change significantly in *AGL21*-overexpressing,
198 knockout and WT plants (Fig. 4I-P). Together, these results indicate that AGL21 is
199 involved in ABA signaling, and may act downstream of TYPE 2C PROTEIN
200 PHOSPHATASES (PP2Cs) and SnRKs and upstream of ABI5 and other downstream
201 ABA signal components to regulate seed germination and post-germination growth.

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203 **AGL21 does not affect ABA and GA biosynthesis**

204 ABA and GA antagonistically govern seed germination. ABA promotes seed
205 dormancy, while GA stimulates seed germination. To investigate whether AGL21
206 regulates seed germination by altering ABA or GA content, we analyzed the
207 expression levels of many key genes in ABA biosynthesis pathway, such as *ABA*
208 *DEFICIENT 2 (ABA2)*, *ABA3*, *ARABIDOPSIS ALDEHYDE OXIDASE 3 (AAO3)*,
209 *9-CIS-EPOXYCAROTENOID DIOXYGENASE (NCED3)*, and key ABA catabolic

210 enzyme family genes *CYP707A1–CYP707A4*. Our data show that all of these genes
211 had similar expression levels in day 2 and day 3 germinating seeds of different
212 genotypes both on MS and ABA media (Fig. S2). Moreover, we tested the ABA
213 contents in dry seeds, and found there was no significant change in ABA contents
214 between the WT, *agl21-1* and *AGL21*-overexpressing seeds (Fig. S3).

215 We also analyzed the expression levels of several key genes in GA biosynthesis
216 pathway, including *ENT-COPALYL DIPHOSPHATE (CPS)*, *ENT-KAURENE*
217 *SYNTHASE (KS)*, *ENT-KAURENOIC ACID OXIDASE 2 (KAO2)*, *GA20-OXIDASE 1*
218 (*GA20OX1*), *GA20OX2*, *GA20OX3*, *GA REQUIRING 3 (GA3)*, *GA3-OXIDASE 1*
219 (*GA3OX1*), *GA3OX2* and *GA3OX3*, in day 3 germinating seeds. qRT-PCR analysis
220 revealed that the expression levels of the examined GA metabolism genes were
221 largely unaltered in the *AGL21*-overexpressing, knockout and WT seeds grown on MS
222 medium with or without ABA (Fig. S4). Taken together, these results show that
223 *AGL21* does not alter ABA or GA content during seed germination and
224 post-germination growth.

225

226 ***AGL21* has similar expression pattern to *ABI5* and regulates *ABI5* expression**
227 **and protein accumulation in seeds**

228 To uncover the molecular networks underlying *AGL21* regulation of seed
229 germination, we monitored the expression levels of genes associated with ABA
230 dependent seed germination, such as *ABI1*, *ABI2*, *ABI3*, *ABI4*, *ABI5*, *MYB DOMAIN*
231 *PROTEIN 96 (MYB96)*, *ACYL-COENZYME A-BINDING PROTEIN 1 (ACBP1)*,
232 *ARABIDOPSIS HISTIDINE KINASE 1 (AHK1)*, *RING-H2 FINGER A2A (RHA2a)*,
233 *RELATED TO ABI3/VPI 1 (RAVI)* (Tran et al., 2007; Bu et al., 2009; Du et al., 2013;
234 Feng et al., 2014; Lee et al., 2015), in day 3 germinating seeds in the present of ABA.
235 Among these genes, *ABI3*, *ABI4*, *ABI5* were found significantly up-regulated in
236 *AGL21*-overexpressing plants. However, their expression was not significantly
237 down-regulated in *agl21-1*, except *ABI5* (Fig. 5A), indicating that *ABI5* might be a
238 target of *AGL21*.

239 Moreover, we found high levels of *AGL21* transcripts accumulated in WT dry

240 seeds, but the levels gradually dropped after 12-72 hours imbibition and continually
241 decreased to a very low level after 1-3 days germination (Fig. 5B). However, when
242 germinated on medium with ABA, *AGL21* expression was significantly induced by
243 ABA during germination. Similar expression pattern of *AGL21* in
244 *AGL21*-overexpressing seeds was also observed, except small increases during 1-3
245 days germination on MS medium (Fig. 5B), which were probably due to the higher
246 expression of *AGL21* in developing roots. The pattern of *AGL21* expression is similar
247 to that of *ABI5* (Okamoto et al., 2010), and coincides with the content changes of
248 ABA, which is also high in dry seeds but reduces rapidly after imbibition
249 (Ali-Rachedi et al., 2004). We then compared the expression levels of *ABI5* in dry
250 seeds, imbibed seeds and germinating seeds of *AGL21*-overexpressing and WT plants
251 (Fig. 5C). *ABI5* showed a very similar expression pattern to *AGL21*. More importantly,
252 expression of *ABI5* was significantly higher in *AGL21*-overexpressing seeds
253 compared with that in WT seeds in most time-points checked, especially in seeds
254 imbibed for 0-48 h and seeds germination on ABA medium for 24-72 h (Fig. 5C). In
255 addition, one of *ABI5* target genes, *AtEM6*, also had a similar expression pattern to
256 *ABI5* and *AGL21*, and also had increased expression levels in *AGL21*-overexpressing
257 imbibed seeds, seeds germination on MS medium for 24 h and 72 h and seeds grown
258 on ABA medium for 72 h (Fig. 5D). Furthermore, we also compared the expression
259 profiles of *AGL21* and *ABI5* in seed using public expression data extracted from the
260 *Arabidopsis* eFP browser (Winter et al., 2007). As data showed in Figure 5E, *AGL21*
261 and *ABI5* have similar expression patterns in different seed development stages, with
262 much higher expression levels of both genes at the late stages of seed development.
263 Both of these two genes have the highest expression levels in dry seeds and
264 dramatically decrease after 3-24 h imbibition (Fig. 5F). These data are consistent with
265 our qRT-PCR analyses, implying that *AGL21* may directly regulate *ABI5* expression
266 in seeds.

267 Since *ABI5* protein contents directly affect ABA-dependent seed germination
268 and post-germination growth, we examined *ABI5* protein levels in seeds of WT,
269 *AGL21*-overexpressing and *agl21-1* in the presence or absence of exogenous ABA. In

270 seeds germinated on MS medium for 3 days, no clear differences of ABI5 protein
271 level were detected between the seed of different genotypes. However, in the present
272 of ABA, significantly higher ABI5 protein levels accumulated in
273 *AGL21*-overexpressing seeds, while significantly decreased in *agl21-1* seeds
274 compared with WT seeds (Fig. 5G and H). All these results suggest that *AGL21*
275 modulates ABI5 at both the transcriptional and protein levels.

276

277 ***ABI5* is one of the target genes of *AGL21* in regulating ABA-dependent seed** 278 **germination**

279 The expression pattern of *AGL21* shows high similarity to that of *ABI5* in seeds
280 and its function in seed germination also similar to *ABI5*. To test whether *AGL21* acts
281 in the same pathway to *ABI5* in seed germination, we generated 35S::*AGL21* plants in
282 the genetic background of the *abi5-7* mutant (*abi5-7/AGL21OX 1-6*). As shown in
283 ABA response assays, *AGL21OX 1-6* seeds were hypersensitive, however, the *abi5-7/*
284 *AGL21OX 1-6* plants showed an ABA insensitive phenotype as that of *abi5-7* mutant
285 (Fig. 6), suggesting that *AGL21* act upstream of *ABI5* in ABA signaling pathway to
286 regulate seed germination.

287 MADS-box TFs can modulate their target genes expression by specifically
288 binding as homo- or heterodimers to the flexible CArG-box (C-[A/T]rich-G)
289 *cis*-element (Riechmann et al., 1996). Sequence analysis found that the *ABI5* promoter
290 contains six putative binding sites (Fig. 7A), which contained a core sequence that
291 meets the patterns for any of the possible three CArG-box motifs, C(A/T)₈G,
292 C(C/T)(A/T)₆(A/G)G, or C(C/T)(A/T)G(A/T)₄(A/G)G (de Folter and Angenent, 2006;
293 Ito et al., 2008; Fujisawa et al., 2011). The presence of CArG-box motifs led us to
294 examine whether *AGL21* is targeted to the *ABI5* promoter.

295 We performed chromatin immunoprecipitation (ChIP) assays using 4-day-old
296 35S::*AGL21-HA* transgenic plants grown on MS medium or MS medium containing
297 0.15 μM ABA. DNA fragments bound to epitope-tagged *AGL21* proteins were
298 analyzed by qPCR assays. The ChIP-qPCR analysis demonstrated that the P5 DNA
299 fragment was significantly enriched by *AGL21* both in plants grown on MS medium

300 or MS medium containing ABA, with much higher enrichment in the plants grown in
301 the present of ABA (Fig. 7B). However, other four genomic fragments containing
302 putative CArG-box motif were not enriched. In addition, none of the five genomic
303 fragments was found enriched by AGL21 in WT plants (Fig. 7B). All these data
304 support the specific interaction of AGL21 with the P5 region of the *ABI5* promoter.

305 To verify that AGL21 directly regulates *ABI5* expression, we checked the
306 expression levels of *ABI5*-targeted genes *AtEM1* and *AtEM6* in seeds sown on MS
307 media or MS media with 0.2 μ M ABA for three days. As shown in Figure 7C, on MS
308 medium, *AtEM6* was up-regulated in *AGL21*-overexpressing seeds, and significantly
309 down-regulated in *agl21-1*, *AGL21OX 1-6/abi5-7*, and *abi5-7* compared with WT. As
310 for *AtEM1*, only significantly decreased expression level in *abi5-7* seeds was
311 observed on MS medium (Fig. 7D). On MS medium supplemented with ABA, the
312 expression levels of both *AtEM1* and *AtEM6* markedly increased in
313 *AGL21*-overexpressing seeds, while significantly reduced in *agl21-1* seeds. However,
314 when *AGL21* was overexpressed in *abi5* background (*AGL21OX 1-6/abi5-7*), similar
315 expression levels of *AtEM1* and *AtEM6* were observed to that of *abi5-7* seeds (Fig. 7C
316 and D), indicating that AGL21-upregulated *AtEM1* and *AtEM6* expression is
317 *ABI5*-dependent. These results confirm that *ABI5* is a target gene of AGL21.

318

319 **DISCUSSION**

320 There are more than 100 MADS-box genes found in *Arabidopsis* genome
321 (Gramzow and Theissen, 2013), with functions in the morphogenesis of almost all
322 plant organs and throughout the whole life cycle (Smaczniak et al., 2012). However,
323 their functions in seed dormancy and germination are largely unknown. So far, only
324 two MADS-box gene, *FLC* and *AGL67*, were reported involved in seed germination
325 (Chiang et al., 2009; Bassel et al., 2011). Recently, gene expression profiling analysis
326 identified three MADS-box genes, including *AGL21*, were differentially expressed
327 between imbibed dormant and after-ripened ecotype C24 seeds, suggesting putative
328 functions of these genes in seed dormancy and germination (Barrero et al., 2010). In
329 this study, we discovered that AGL21 regulated ABA-mediated seed germination and

330 post-germination growth by modulating ABA signaling.

331 We found that *AGL21* acts as a negative regulator in seed germination. *AGL21* is
332 primarily expressed in root, but also has high expression levels in siliques and dry
333 seeds, and it responds to multiple environmental and internal signals both in
334 germinating seeds and roots (Fig. 3) (Yu et al., 2014). Overexpression of *AGL21*
335 results in hypersensitivity of seed germination to ABA, high salt, and osmotic stress,
336 while knockout *AGL21* confers opposite phenotypes during germination (Fig. 1 and
337 Fig. 2). Seed germination is tightly regulated by ABA:GA balance and ABA signaling
338 in seed (Finch-Savage and Leubner-Metzger, 2006). We found that *AGL21* does not
339 affect ABA and GA biosynthesis or catabolism (Fig. S2-S4), indicating that
340 *AGL21*-regulated seed germination is not through affecting ABA or GA content. Our
341 further analyses of *AGL21* expression in *abi* mutants (Fig. 4) and ABA signal pathway
342 genes expression in *agl21-1*, *AGL21*-overexpression, and WT seeds (Fig. 5) indicate
343 that *AGL21* is involved in ABA signal pathway to regulate seed germination and may
344 acts downstream of PP2Cs and SnRKs, but upstream of *ABI5* and other
345 ABA-responsive genes.

346 As a key player in ABA-triggered arrest of germination and post-germination
347 growth, *ABI5* is regulated at both transcriptional and post-transcriptional levels.
348 Several genes, such as *WRKY2*, *RAV1*, *MYB7*, *SALT- AND DROUGHT-INDUCED*
349 *RING FINGER1 (SDIR1)*, *SDIR1-INTERACTING PROTEIN1 (SDIRIP1)*, *HY5*,
350 *B-BOX21 (BBX21)*, *DELAY OF GERMINATION 1 (DOG1)*, NUCLEAR FACTOR-Y
351 C- RGA-LIKE 2 (NF-YC-RGL2), were reported to regulate seed germination by
352 modulating *ABI5* expression directly or indirectly (Zhang et al., 2007; Chen et al.,
353 2008; Jiang and Yu, 2009; Feng et al., 2014; Xu et al., 2014; Kim et al., 2015; Zhang
354 et al., 2015; Dekkers et al., 2016; Liu et al., 2016). Our current study implicates that
355 *AGL21* can directly regulate *ABI5* expression during seed germination. Firstly, we
356 found *AGL21* and *ABI5* had similar expression patterns during seed development and
357 germination. *ABI5* is expressed throughout seed development, reaching the highest
358 transcript level at mature seed stage, but dropping during imbibition and germination
359 unless exposed to stresses (Fig. 5C) (Brocard et al., 2002). Here, we found that the

360 expression pattern of *AGL21* in seeds is in accord with that of *ABI5* (Fig. 5B- 5F) and
361 ABA content changes in seeds during the same period. These results imply a possible
362 regulation between these two genes. Further qRT-PCR analyses found that among 10
363 seed germination related genes, only *ABI5* had significantly increased expression
364 level in *AGL21*-overexpressing germinating seeds, with significantly reduced
365 expression in *agl21-1* seeds (Fig. 5A). Then we found *ABI5* and its target gene *AtEM6*
366 had markedly elevated expression in *AGL21*-overexpressing dry seeds, imbibed seeds,
367 germinating seeds grown on MS or ABA media (Fig. 5C and D). Moreover, more
368 *ABI5* protein was accumulated in *AGL21*-overexpression germinating seeds, while
369 down-regulated in *agl21-1* seeds on ABA media at the same time (Fig. 5G and H). In
370 addition, genetics evidence found that *AGL21*-regulated seed germination is depend
371 on *ABI5*. When overexpressing *AGL21* in *abi5* background (*abi5-7/AGL21OX1-6*),
372 the hypersensitive seed germination to ABA was abolished, instead
373 *abi5-7/AGL21OX1-6* seeds showed similar sensitivity to *abi5* (Fig. 6). Taken together,
374 these data suggest that *AGL21* acts upstream of *ABI5*, and may directly modulate
375 *ABI5* transcription.

376 To verify whether *ABI5* is the direct target of *AGL21*, ChIP-qPCR assay was
377 carried out. We found one promoter DNA fragment containing a putative CARG-box
378 *cis*-element of *ABI5* was significantly enriched in 4-day-old plants grown on MS or
379 MS medium containing ABA (Fig. 7A and B), indicating that *AGL21* directly binding
380 to *ABI5* promoter to regulate its expression. *AtEM1* and *AtEM6*, two target gene of
381 *ABI5* (Carles et al., 2002), were markedly up-regulated in *AGL21*-overexpressing
382 plants and down-regulated in *agl21-1* plants as that of *ABI5*. However, once *ABI5* was
383 mutated in *AGL21*-overexpressing background, the induction of *AtEM1* and *AtEM6*
384 expression was blocked (Fig. 7C and D). Together, these data support the idea that
385 *AGL21* regulates seed germination by directly modulating *ABI5*. Although we also
386 found other seed germination regulator genes, such as *ABI3*, *ABI4* and *ABF4*, were
387 up-regulated in *AGL21*-overexpressing plants (Fig. 5H and Fig. 5A), the relationships
388 of these genes to *AGL21* await further investigation.

389 Timing of germination is a complex biological process that is regulated through

390 intricate signaling pathways integrating diverse environmental signals, such as light,
391 temperature, water, soil salinity and nutrition, into internal developmental programs,
392 such as endogenous hormone signaling (Finkelstein et al., 2008; Jiang et al., 2016).
393 For example, both osmotic and salinity stresses inhibit seed germination by affecting
394 ABA signal pathway (Llanes et al., 2016). NO_3^- can act as a seed germination
395 enhancer by decreasing the level of ABA in the seed (Finkelstein et al., 2008; Osuna
396 et al., 2015; Yan et al., 2016). Sulfate availability affects germination response to
397 ABA and salt stress in *Arabidopsis* by regulating ABA biosynthesis (Cao et al., 2014).
398 GA, ethylene, brassinosteroids and cytokinin have been shown to promote seed
399 germination, while ABA, auxin, JA, SA inhibit seed germination (Kucera et al., 2005;
400 Finkelstein et al., 2008; Wang et al., 2011; Liu et al., 2013; Shu et al., 2016). All these
401 different phytohormones modulate seed germination most likely by regulating the
402 ABA/GA balance at either the signaling or biogenesis levels, with ABI5 as one of the
403 pivots involved in hormone crosstalk (Shu et al., 2016). Thus, the molecular links that
404 incorporate external signals into internal plant hormonal signaling are crucial for
405 seeds to germinate at proper time in the changing environment. We have demonstrated
406 in this paper that AGL21 is a negative regulator of seed germination. *AGL21* responds
407 to a variety of internal and external signals, such as ABA, MeJA, IAA, osmotic stress,
408 salt stress, N and S deficiency (Fig. 3A), which are known to affect seed germination.
409 Therefore, we propose that AGL21 may serve as environmental surveillance for seed
410 germination. It controls seed germination by regulating ABI5 according to its
411 environmental surveillance, which prevents seed germination under adverse
412 conditions, an adaptive mechanism for plant survival.

413

414 **METHODS**

415

416 **Plant Material and Growth Conditions**

417 *Arabidopsis* Columbia-0 (Col-0) ecotype was used in this study. *AGL21*-
418 overexpressing lines, such as *AGL21OX* 1-6 and *AGL21OX* 3-5, and mutants, such as
419 *agl21-1* and *agl21-2* were reported previously (Yu et al., 2014). 35S::*AGL21-HA*

420 transgenic line *AGL21OX* 29-3 was obtained from *Agrobacterium*
421 *tumefaciens*-mediated transformation with 35S::*AGL21-HA* construct. To get
422 35S::*AGL21-HA* construct, the coding region of *AGL21* was amplified and cloned into
423 pDONR207 with the primers *AGL21-HA* LP and *AGL21-HA* RP, and then shuttled it
424 into pCB2004 vector (Lei et al., 2007). The *AGL21OX* 1-6/*abi5-7* double mutant was
425 generated by genetic cross of *AGL21OX* 1-6 and *abi5-7* mutant. All plants were
426 grown at 22°C under long-day condition (16-h light/8-h dark cycles).

427 **Seed germination assays**

428 Seeds were collected at the same time were used for germination assays. Harvested
429 seeds were aired dried at room temperature at least 3 weeks before the germination
430 assays. For seed germination assays, seeds of each genotype were surface sterilized
431 with 10% bleach for 12 min and washed five times with sterile water, and then were
432 stratified at 4°C for 2 days in darkness before sown on MS medium (1% sucrose, 0.5 %
433 agar, pH 5.8) or MS medium supplemented with ABA, NaCl or mannitol. Seeds were
434 germinated at 22°C under 16-h light/8-h dark cycles. Germination (emergence of
435 radicles) and post-germination growth (green cotyledon appearance) were scored at
436 the indicated time points.

437 **qRT-PCR assay**

438 Total RNA of seedlings was extracted with Trizol reagent (Invitrogen) and RNAs
439 from dry seeds, imbibed seeds and germinating seeds were isolated using a
440 TRIzol-based two-step method as previously described (Meng and Feldman, 2010).
441 Total RNA samples were pretreated with DNase I (RNase Free) and 1.5 µg of total
442 RNA was used for reverse transcription with oligo (dT)₁₈ to synthesize first-strand
443 cDNA. qRT-PCR was performed with a StepOne Plus Real Time PCR System by
444 using a TaKaRa SYBR Premix Ex Taq II reagent kit as described previously (Yu et al.,
445 2013). All primers used are listed in Supplemental Table S1.

446 **Quantification of ABA**

447 Dry seeds were ground in liquid nitrogen and ABA contents were measured by the
448 ABA immunoassay kit as described (Yang et al., 2001).

449 **ChIP-qPCR assay**

450 The ChIP assay was performed as reported previously (Cai et al., 2014).
451 35S::*AGL21-HA* transgenic plants (OX 29-3), anti-HA antibodies (Abmart), and
452 salmon sperm DNA/protein A agarose beads (Millipore, USA) were used for ChIP
453 experiment. DNA was purified using phenol/ chloroform (1:1, v/v) and precipitated.
454 The enrichments of DNA fragments was quantified by qPCR using specific primers
455 (Supplemental Table S1). Enriched values were normalized with the level of input
456 DNA.

457 **Western blot**

458 For western blot analysis, germinating seeds were powdered with liquid nitrogen and
459 proteins were extracted with RIPA buffer [50 mmol/L Tris-HCl, pH 8.0, 0.1% Nonidet
460 P-40, 150 mmol/L NaCl, 1% sodium dodecyl sulfate, 0.5% sodium deoxycholate,
461 and protease inhibitor cocktail tablets (Roche)]. Protein contents were determined
462 using the Bradford method. Proteins were separated on 12% SDS-PAGE and
463 electrotransferred to nitrocellulose membrane (Immobilon-P, MILLIPORE
464 Corporation, USA). Anti-ABI5 antibody (Abiocode) at 1:1000 dilution was used for
465 protein immunoblotting as previously described (Liu and Stone, 2010). The results
466 were detected using a CCD camera system (Image Quant LAS 4000) using Super
467 Signal West Femto Trial Kit (Thermo, USA).

468 **Statistical analysis**

469 Statistically significant differences were computed based on the Student's *t*-tests.

470

471 **SUPPLEMENTARY DATA**

472 **Figure S1.** Expression level analyses of *AGL21*-overexpressing and knockout
473 mutants.

474 **Figure S2.** Expression levels of ABA biosynthetic and catabolic pathway genes.

475 **Figure S3.** ABA contents in dry seeds of WT, *AGL21*-overexpressing and knockout
476 mutant plants.

477 **Figure S4.** Expression levels of GA biosynthetic pathway genes.

478 **Table S1.** Primers used for PCR.

479

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484

485 **AUTHOR CONTRIBUTIONS**

486 L.-H.Y. and C.-B.X. designed the experiments; L.-H.Y. performed experiments and
487 data analysis, and wrote the manuscript; J.W., Z.-Q.M., P.-X.Z., and Z.W. contributed
488 to assist in performing part of the experiments; C.-B.X. supervised the project and
489 revised the manuscript.

490

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495

496

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674

675

676 **FIGURE LEGENDS**

677

678 **Figure 1. Response of *AGL21*-overexpressing and *agl21* mutant plants to NaCl**
679 **and mannitol in seed germination.** Seed germination assays were carried out as
680 described in METHODS. Seed germination percentages of the indicated genotypes
681 grown on MS medium or MS medium containing 150 mM NaCl or 300 mM mannitol
682 were quantified every day from the 1st day to the 7th day after sowing.
683 Cotyledon-greening percentages of the eighth day were recorded. Four independent
684 experiments were conducted, with at least 36 seeds per genotype in each replicate.
685 Values are mean \pm SD of four replications.
686 **(A)** Photographs of seedlings grown on different media at day 8 after the end of
687 stratification.
688 **(B-D)** Seed germination rates of indicated genotypes grown on different media.
689 **(E)** Green cotyledon at day 8 after the end of stratification. Values are mean \pm SD of
690 four replications (* $P < 0.05$, ** $P < 0.01$).

691

692 **Figure 2. Response of *AGL21*-overexpressing and *agl21* mutant plants to NaCl**
693 **and mannitol in seed germination.** Seed germination assays were carried out as
694 described in METHODS. Seed germination rates of the indicated genotypes grown on
695 MS medium or MS medium containing 150 mM NaCl or 300 mM mannitol were
696 quantified every day from the 2nd day to the 8th day after sowing.
697 Cotyledon-greening percentages of the 8th day were recorded. Four independent
698 experiments were conducted. At least 42 seeds per genotype were measured in each
699 replicate. Values are mean \pm SD of four replications.
700 **(A)** Photographs of seedlings grown on different media at day 8 after the end of
701 stratification.
702 **(B-D)** Seed germination rates of indicated genotypes grown on different media.
703 **(E)** Green cotyledon at day 8 after the end of stratification. Values are mean \pm SD of
704 four replications (* $P < 0.05$, ** $P < 0.01$).

705

706 **Figure 3. Response of *AGL21* to variety external signals in WT and *abi* mutants.**

707 **(A)** Response of *AGL21* to variety external signals in 2 day germinating seeds of WT.

708 Seeds were stratified at 4°C for 2 days in darkness and then sown on MS medium,

709 MS medium without N (-N) or MS medium containing 100 mM NaCl, 250 mM

710 mannitol, 0.2 μM ABA, 10 μM IAA, 10 μM MeJA, respectively. After 2 days

711 germination, seeds were harvested for qRT-PCR analyses.

712 **(B-C)** Response of *AGL21* to ABA (B) and mannitol (C) treatments in WT and

713 different *abi* mutants. 4-day-old seedlings of different genotypes were transferred to

714 MS solution containing 20 μM ABA or 350 mM mannitol, and harvested at indicated

715 time points for RNA extraction and qRT-PCR analyses. The transcript levels of

716 *AGL21* were normalized to the *UBQ5* expression. Values are mean ± SD of three

717 replications.

718

719 **Figure 4. Expression levels of ABA signal pathway genes in *agl21-1* mutant and**

720 ***AGL21*-overexpressing lines quantified by qRT-PCR.** Values are mean ± SD of

721 three replications (*P < 0.05, **P < 0.01, ***P < 0.001). *UBQ5* was used as an internal

722 reference.

723 **(A-D)** Expression levels of *ABI5*, *AtEM6*, *RD29B*, *ABF4* in 2-day-old and 3-day-old

724 *AGL21*-overexpressing and *agl21-1* plants grown on MS medium.

725 **(E-H)** Expression levels of *ABI5*, *AtEM6*, *RD29B*, *ABF4* in 2-day-old and 3-day-old

726 *AGL21*-overexpressing and *agl21-1* plants grown on MS medium containing 0.15 μM

727 ABA.

728 **(I-L)** Expression levels of *ABI1*, *ABI2*, *SnRK2.2*, *SnRK2.3* in 2-day-old and 3-day-old

729 *AGL21*-overexpressing and *agl21-1* plants grown on MS medium.

730 **(M-P)** Expression levels of *ABI1*, *ABI2*, *SnRK2.2*, *SnRK2.3* in 2-day-old and

731 3-day-old *AGL21*-overexpressing and *agl21-1* plants grown on MS medium

732 containing 0.15 μM ABA.

733

734 **Figure 5. *AGL21* has similar expression pattern to *ABI5* and positively regulates**

735 ***ABI5* expression and protein accumulation.**

736 (A) Expression levels of genes involved in ABA-dependent seed germination in WT,
737 *AGL21*-overexpressing and *agl21-1* germinating seeds. Seeds sown on MS medium
738 supplemented with 0.2 μ M ABA for 3 days, and then harvested for RNA extraction
739 and qRT-PCR analyses. *UBQ5* was used as an internal reference. Values are mean \pm
740 SD of three replications (* P < 0.05, ** P < 0.01).

741 (B-D) *AGL21*, *ABI5* and *AtEM6* expression patterns in imbibed seeds and germinating
742 seeds on MS medium or MS medium containing 0.15 μ M ABA. Seeds were imbibed
743 in water at 4 \square or sown on medium containing ABA or not after 2 days imbibition at
744 4 \square , and harvested at indicated time points for RNA extraction and qRT-PCR analyses.
745 *UBQ5* was used as an internal reference. Values are mean \pm SD of three replications
746 (* P < 0.05, ** P < 0.01, *** P < 0.001).

747 (E-F) Expression of *AGL21* and *ABI5* during seed maturation and imbibition.
748 Expression data were extracted from the *Arabidopsis* eFP browser. The eFP browser
749 was set to the Developmental Map and the Seed, with absolute values for gene
750 expression.

751 (G-H) *ABI5* protein levels in WT, *AGL21*-overexpressing and *agl21-1* germinating
752 seeds. 3 days germinating seeds sown on MS medium or MS medium containing 0.15
753 μ M ABA were used for Western blot analysis with anti-*ABI5* antibody. A nonspecific
754 coomassie blue-stained band is shown as a loading control. Relative band intensity
755 was measured using ImageJ software (NIH).

756

757 **Figure 6. Genetic relationship between *AGL21* and *ABI5*.**

758 (A) ABA response of WT, *abi5-7*, *AGL21*-overexpressing plants and
759 *AGL21*-overexpressing plants in the genetic background of *abi5-7* (*abi5-7/AGL21OX*
760 1-6). Seeds of different genotypes were stratified at 4 \square for 2 days and then sown on
761 MS medium or MS medium containing 0.8 μ M ABA for 12 days.

762 (B) Green cotyledon percentage of seeds grown on MS medium or MS medium
763 containing 0.8 μ M ABA for 12 days. Values are mean \pm SD of three replications. At
764 least 25 seeds per genotype were counted in each replicate (*** P < 0.001).

765

766 **Figure 7. AGL21 directly regulates ABI5 expression.**

767 (A) Schematic representation of *ABI5* promoter showing putative CArG-box motifs
768 upstream of the transcription start site. CArG-box motifs are indicated with gray lines,
769 above/below which the sequence and the sites of the last base of the motif relative to
770 the start code are shown. PCR-amplified fragments are indicated by black lines under
771 the CArG-box motifs.

772 (B) ChIP-qPCR assay of AGL21 binding to *ABI5* promoter. The 5-day-old
773 35S::*AGL21*-HA (OX 29-3) transgenic plants and WT plants were transferred to MS
774 solution with or without 10 μ M ABA for 4 h, and then the seedlings were harvested
775 for ChIP-qPCR assay using anti-HA antibody. Enriched values were normalized with
776 the level of input DNA. Values are mean \pm SD of three replications (* P < 0.05, ** P <
777 0.01).

778 (C) Expression of *AtEM1* and *AtEM6* in WT, *agl21-1*, *abi5-7*, *AGL21*-overexpressing
779 plants and *AGL21*-overexpressing plants in the genetic background of *abi5-7*
780 (*abi5-7/AGL21OX 1-6*). Seeds of different genotypes were stratified at 4 °C for 2 days
781 before sown on MS medium or MS medium containing 0.2 μ M ABA for 3 days, and
782 then were harvested for qRT-PCR analyses. *UBQ5* was used as an internal reference.
783 Values are mean \pm SD of three replications (* P < 0.05, ** P < 0.01, *** P < 0.001).

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Fig. 1

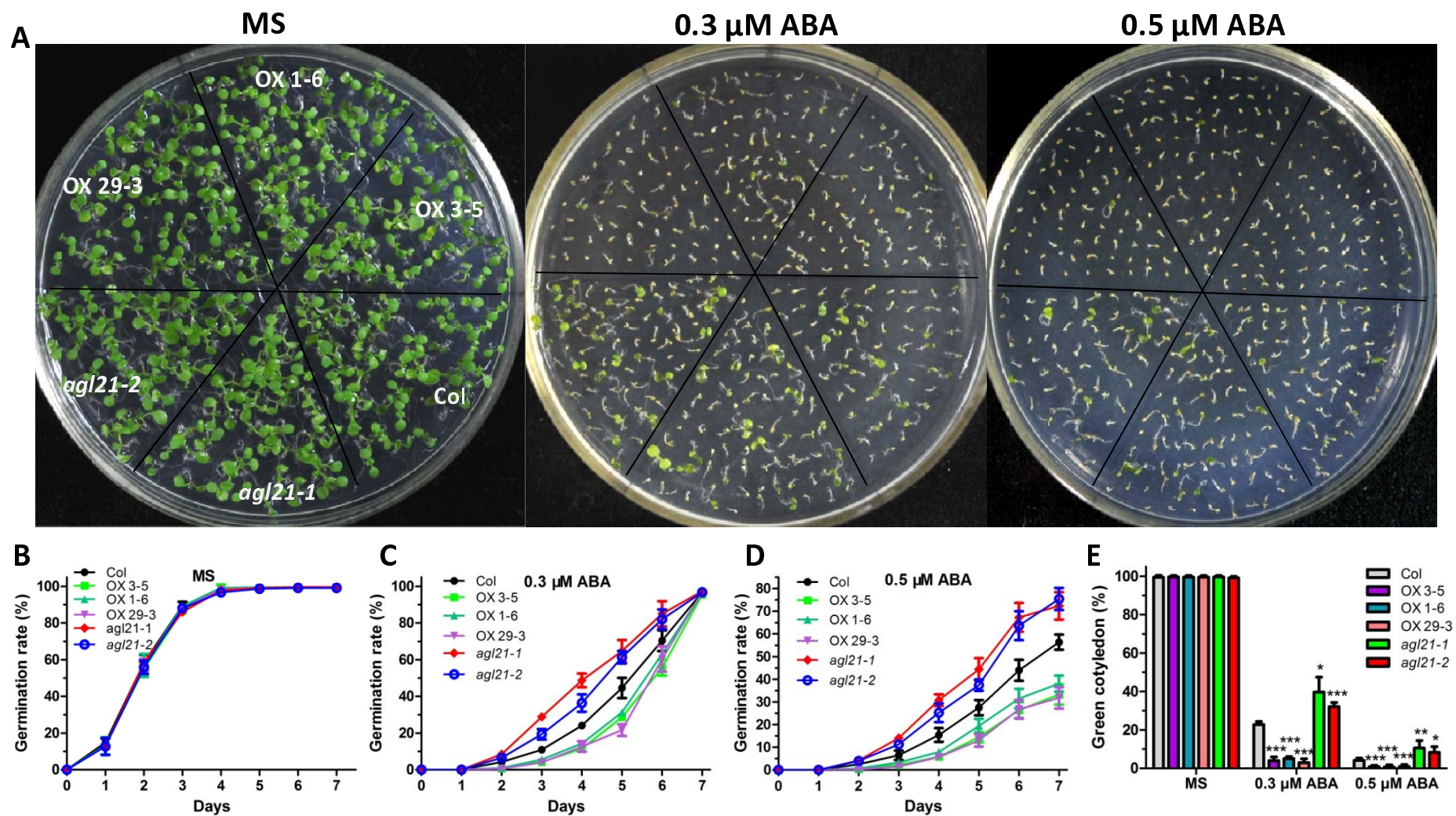


Fig. 2

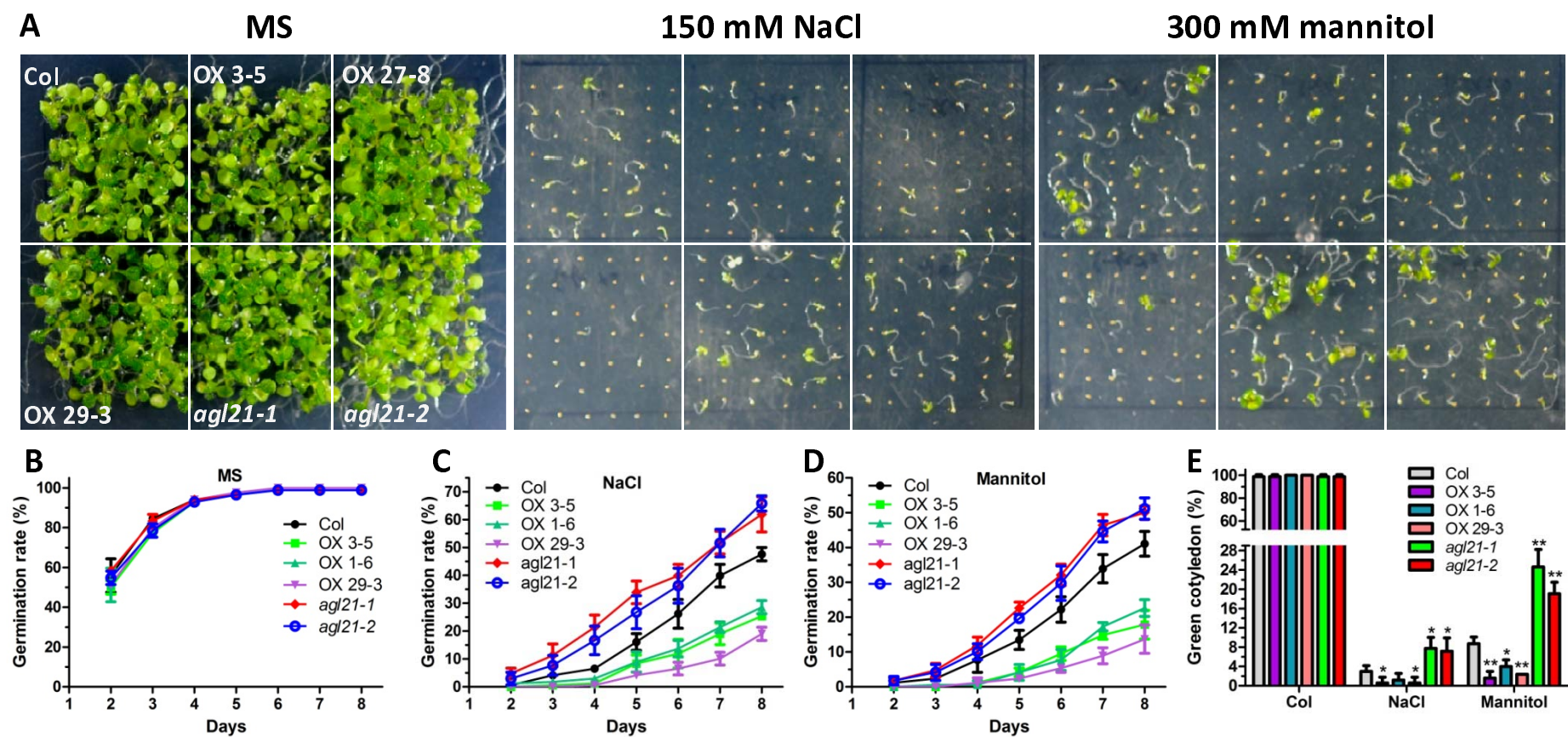


Fig. 3

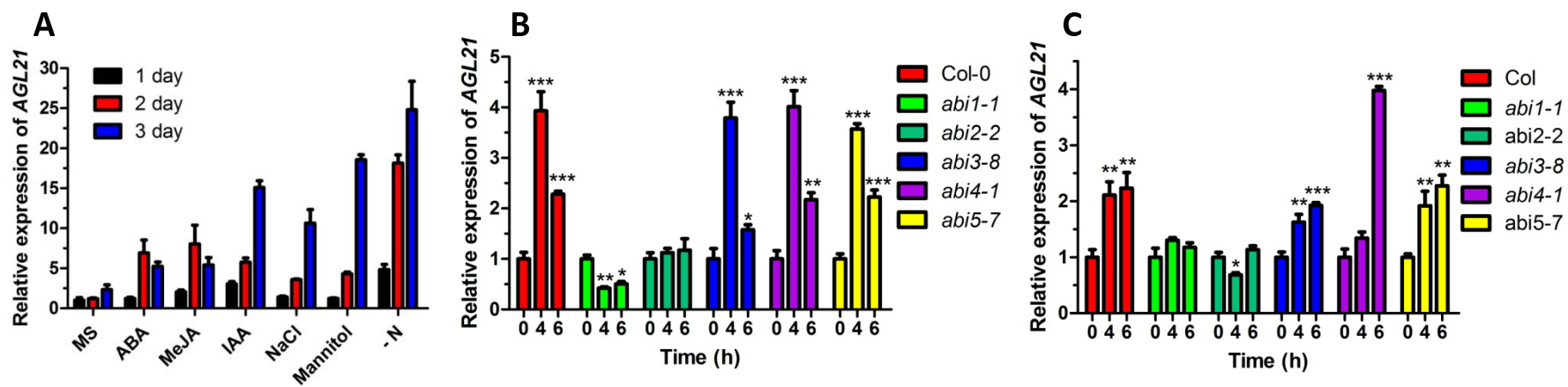


Fig. 4

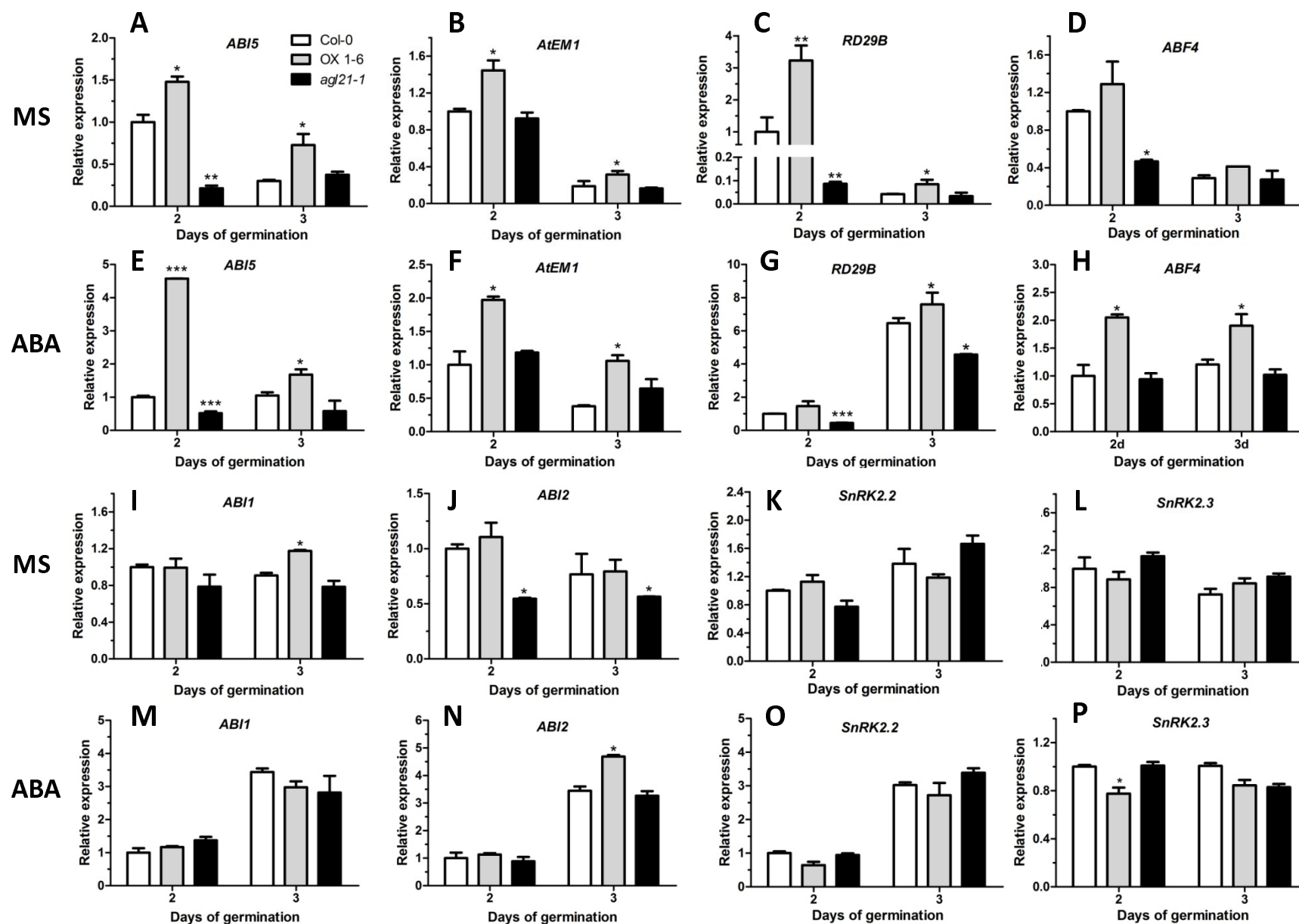


Fig. 5

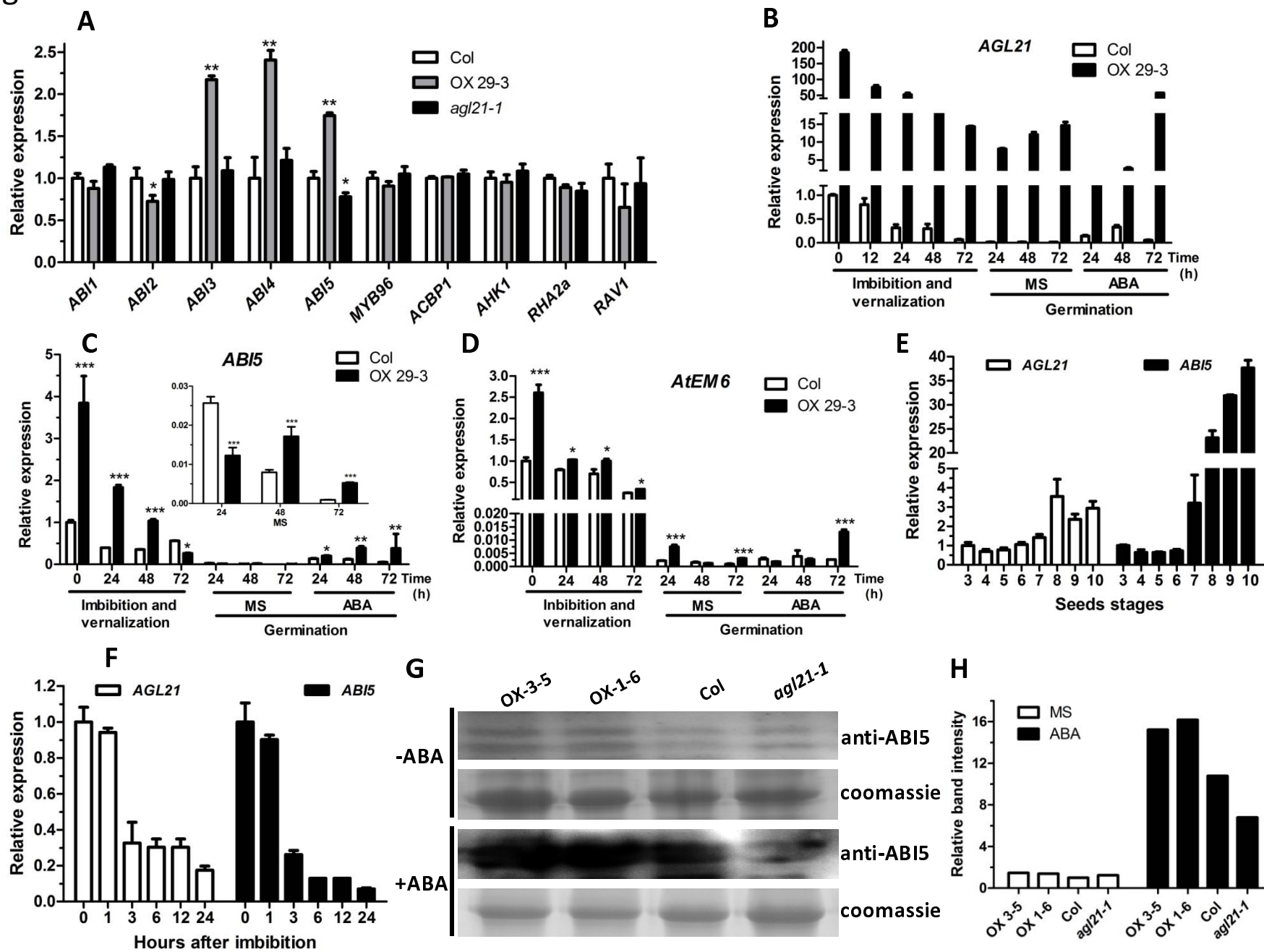


Fig. 6

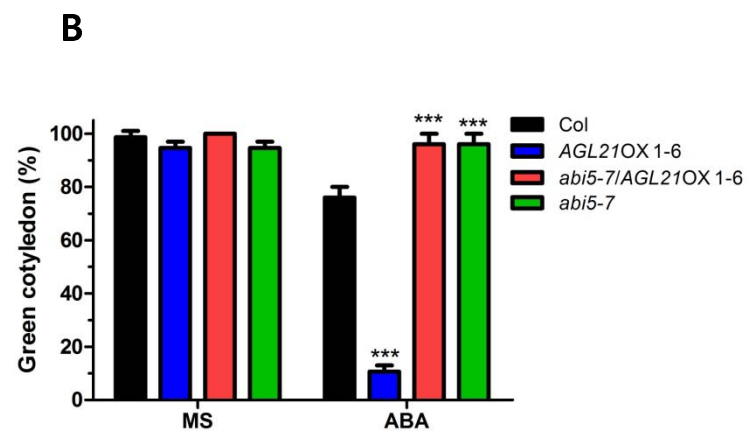
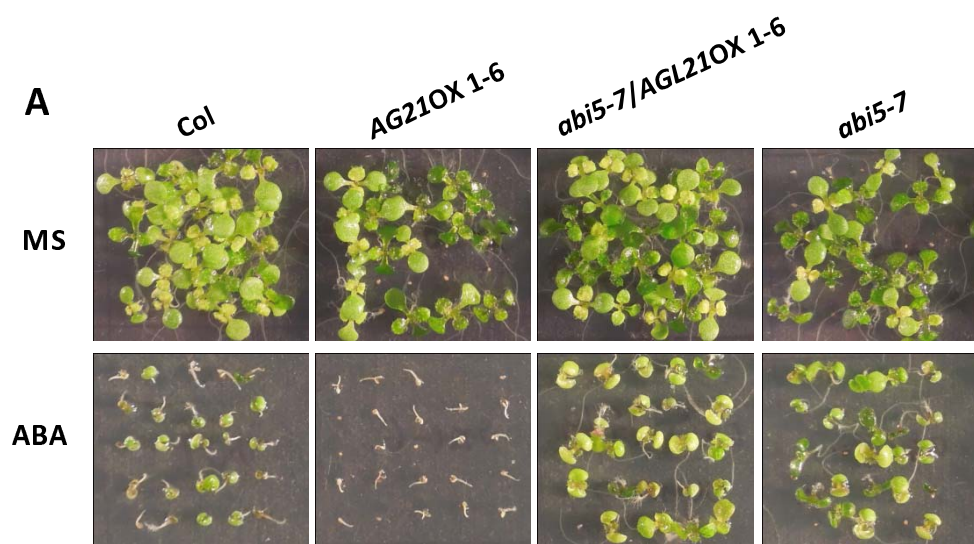


Fig. 7

