# Accurate De Novo Prediction of Protein Contact Map by Ultra-Deep Learning Model Sheng Wang, Siqi Sun, Zhen Li, Renyu Zhang and Jinbo Xu\*

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#### 9 Abstract

Motivation: Protein contacts contain key information for the understanding of protein structure and function and thus, contact prediction from sequence is an important problem. Recently exciting progress has been made on this problem, but the predicted contacts for proteins without many sequence homologs is still of low quality and not extremely useful for de novo structure prediction.

14 Method: This paper presents a new deep learning method that predicts contacts by integrating both 15 evolutionary coupling (EC) and sequence conservation information through an ultra-deep neural 16 network formed by two deep residual neural networks. The first residual network conducts a series of 17 1-dimensional convolutional transformation of sequential features; the second residual network 18 conducts a series of 2-dimensional convolutional transformation of pairwise information including 19 output of the first residual network, EC information and pairwise potential. By using very deep residual 20 networks, we can model contact occurring patterns and very complex sequence-structure relationship 21 and thus, obtain high-quality contact prediction regardless of how many sequence homologs are 22 available for proteins in question.

23 Results: Our method greatly outperforms existing methods and leads to much more accurate 24 contact-assisted folding. Tested on 105 CASP11 targets, 76 past CAMEO hard targets, and 398 25 membrane proteins, the average top L long-range prediction accuracy obtained our method, one representative EC method CCMpred and the CASP11 winner MetaPSICOV is 0.47, 0.21 and 0.30, 26 27 respectively; the average top L/10 long-range accuracy of our method, CCMpred and MetaPSICOV is 28 0.77, 0.47 and 0.59, respectively. Ab initio folding using our predicted contacts as restraints but without 29 any force fields can yield correct folds (i.e., TMscore>0.6) for 203 of the 579 test proteins, while that 30 using MetaPSICOV- and CCMpred-predicted contacts can do so for only 79 and 62 of them, 31 respectively. Our contact-assisted models also have much better quality than template-based models 32 especially for membrane proteins. The 3D models built from our contact prediction have TMscore>0.5 33 for 208 of the 398 membrane proteins, while those from homology modeling have TMscore>0.5 for 34 only 10 of them. Further, even if trained by only non-membrane proteins, our deep learning method

- 35 works very well on membrane protein contact prediction. In the recent blind CAMEO benchmark, our
- 36 fully-automated web server implementing this method successfully folded 4 targets with a new fold and
- 37 only 0.3L-2.3L effective sequence homologs, including one  $\beta$  protein of 182 residues, one  $\alpha+\beta$  protein
- 38 of 125 residues, one  $\alpha$  protein of 140 residues and one  $\alpha$  protein of 217 residues.
- 39 Availability: <u>http://raptorx.uchicago.edu/ContactMap/</u>

#### 40 Author Summary

41 Protein contact prediction from sequence alone is an important problem. Recently exciting progress has 42 been made on this problem due to the development of direct evolutionary coupling analysis (DCA). 43 However, DCA is effective on only some proteins with a very large number (>1000) of sequence 44 homologs. To further improve contact prediction, we borrow ideas from the latest breakthrough of deep 45 learning, a powerful machine learning technique that has recently revolutionized object recognition, 46 speech recognition and the GO game. We have developed a new deep learning method that predicts 47 contacts by integrating both sequence conservation and co-variation information through an ultra-deep 48 neural network, which can model very complex relationship between sequence and contact map as well 49 as high-order correlation among residues.

50 Our test results suggest that deep learning can revolutionize protein contact prediction. Tested on 398 51 membrane proteins, the L/10 long-range accuracy obtained by our method is 77.6% while that by the 52 state-of-the-art methods CCMpred and MetaPSICOV is 51.8% and 61.2%, respectively. Ab initio 53 folding using our predicted contacts as restraints can generate much better 3D structural models than 54 the other contact prediction methods. In particular, without using any force fields our predicted contacts 55 yield correct folds for 203 of the 579 test proteins, while MetaPSICOV- and CCMpred can do so for 56 only 79 and 62 of them, respectively. Our contact-assisted models also have much better quality than 57 template-based models (TBM) built from the training proteins. For example, our contact-assisted 58 models have TMscore>0.5 for 208 of the 398 membrane proteins while TBMs have TMscore >0.5 for 59 only 10 of them. Even without using any membrane proteins to train our deep learning models, our 60 method still performs very well on membrane protein contact prediction. Recent blind test of our 61 method in CAMEO shows that our method successfully folded 4 targets with a new fold and only 62 0.3L-2.3L effective sequence homologs.

#### 63 Introduction

64 De novo protein structure prediction from sequence alone is one of most challenging problems in 65 computational biology. Recent progress has indicated that some correctly-predicted long-range contacts 66 may allow accurate topology-level structure modeling (1) and that direct evolutionary coupling 67 analysis (DCA) of multiple sequence alignment (MSA) may reveal some long-range native contacts for 68 proteins and protein-protein interactions with a large number of sequence homologs (2, 3). Therefore, 69 contact prediction and contact-assisted protein folding has recently gained much attention in the 70 community. However, for many proteins especially those without many sequence homologs, the 71 predicted contacts by the state-of-the-art predictors such as CCMpred (4), PSICOV (5), Evfold (6),

plmDCA(7), Gremlin(8), MetaPSICOV (9) and CoinDCA (10) are still of low quality and insufficient for accurate contact-assisted protein folding (11); (12). This motivates us to develop a better contact prediction method, especially for proteins without a large number of sequence homologs. In this paper we define that two residues form a contact if they are spatially proximal in the native structure, i.e., the

76 Euclidean distance of their  $C_{\beta}$  atoms less than 8Å (13).

77 Existing contact prediction methods roughly belong to two categories: evolutionary coupling analysis 78 (ECA) and supervised machine learning. ECA predicts contacts by identifying co-evolved residues in a 79 protein, such as EVfold (6), PSICOV (5), CCMpred (4), Gremlin (8), plmDCA and others (14-16). 80 However, DCA usually needs a large number of sequence homologs to be effective (10, 17). 81 Supervised machine learning predicts contacts from a variety of information, e.g., SVMSEQ (18), 82 CMAPpro (13), PconsC2 (17), MetaPSICOV (9), PhyCMAP (19) and CoinDCA-NN (10). Meanwhile, 83 PconsC2 uses a 5-layer supervised learning architecture (17); CoinDCA-NN and MetaPSICOV employ 84 a 2-layer neural network (9). CMAPpro uses a neural network with more layers, but its performance 85 saturates at about 10 layers. Some supervised methods such as MetaPSICOV and CoinDCA-NN outperform ECA on proteins without many sequence homologs, but their performance is still limited by 86 87 their shallow architectures.

88 To further improve supervised learning methods for contact prediction, we borrow ideas from very 89 recent breakthrough in computer vision. In particular, we have greatly improved contact prediction by 90 developing a brand-new deep learning model called residual neural network (20) for contact prediction. 91 Deep learning is a powerful machine learning technique that has revolutionized image classification 92 (21, 22) and speech recognition (23). In 2015, ultra-deep residual neural networks (24) demonstrated 93 superior performance in several computer vision challenges (similar to CASP) such as image 94 classification and object recognition (25). If we treat a protein contact map as an image, then protein 95 contact prediction is kind of similar to (but not exactly same as) pixel-level image labeling, so some 96 techniques effective for image labeling may also work for contact prediction. However, there are some 97 important differences between image labeling and contact prediction. First, in computer vision 98 community, image-level labeling (i.e., classification of a single image) has been extensively studied, 99 but there are much fewer studies on pixel-level image labeling (i.e., classification of an individual 100 pixel). Second, in many image classification scenarios, image size is resized to a fixed value, but we 101 cannot resize a contact map since we need to do prediction for every residue pair (equivalent to an 102 image pixel). Third, contact prediction has much more complex input features (including both 103 sequential and pairwise features) than image labeling. Fourth, the ratio of contacts in a protein is very 104 small (<2%). That is, the number of positive and negative labels in contact prediction is extremely 105 unbalanced.

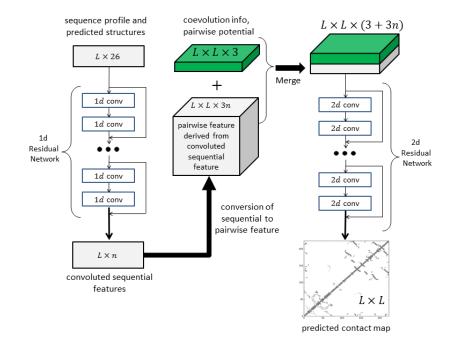
In this paper we present a very deep residual neural network for contact prediction. Such a network can capture very complex sequence-contact relationship and high-order contact correlation in a protein. We train this deep neural network using a subset of proteins with solved structures and then test its performance on public data including the CASP (26, 27) and CAMEO (28) targets as well as

110 membrane proteins. Our experimental results show that our method yields much better accuracy than

- 111 existing methods and also result in much more accurate contact-assisted 3D structure modeling. The
- 112 deep learning method described here will also be useful for the prediction of protein-protein and
- 113 protein-RNA interfacial contacts.

#### 114 **Results**

#### 115 Deep learning model for contact prediction



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Figure 1. Illustration of our deep learning model for contact prediction. Meanwhile, L is the sequencelength of one protein under prediction.

119 Figure 1 illustrates our deep neural network model for contact prediction (29). Different from previous 120 supervised learning approaches(9, 13) for contact prediction that employ only a small number of 121 hidden layers (i.e., a shallow architecture), our deep neural network employs dozens of hidden layers. 122 By using a very deep architecture, our model can automatically learn the complex relationship between 123 sequence information and contacts and also implicitly model the interdependency among contacts and 124 thus, improve contact prediction (17). Our model consists of two major modules, each being a residual 125 neural network. The first module conducts a series of 1-dimensional (1D) convolutional transformations of sequential features (sequence profile, predicted secondary structure and solvent 126 127 accessibility). The output of this 1D convolutional network is converted to a 2-dimensional (2D) matrix by an operation similar to outer product and then fed into the 2<sup>nd</sup> module together with pairwise 128 129 features (i.e., co-evolution information, pairwise contact and distance potential). The 2<sup>nd</sup> module is a 130 2D residual network that conducts a series of 2D convolutional transformations of its input. Finally, the 131 output of the 2D convolutional network is fed into a logistic regression, which predicts the probability 132 of any two residues form a contact. In addition, each convolutional layer is also preceded by a simple 133 nonlinear transformation called rectified linear unit (30). Mathematically, the output of 1D residual 134 network is just a 2D matrix with dimension L×m where m is the number of new features (or hidden

135 neurons) generated by the last convolutional layer of the network. Biologically, this 1D residual 136 network learns the sequential context of a residue. By stacking multiple convolution layers, the 137 network can learn information in a very large sequential context. The output of a 2D convolutional 138 layer has dimension  $L \times L \times n$  where n is the number of new features (or hidden neurons) generated by 139 this layer for one residue pair. The 2D residual network mainly learns contact occurring patterns or 140 high-order residue correlation (i.e., 2D context of a residue pair). The number of hidden neurons may 141 vary at each layer.

142 Our test data includes the 150 Pfam families described in (5), 105 CASP11 test proteins (31), 398 143 membrane proteins (Supplementary Table 1) and 76 CAMEO hard targets released from 10/17/2015 to 144 04/09/2016 (Supplementary Table 2). The tested methods include PSICOV (5), Evfold (6), CCMpred 145 (4), plmDCA(7), Gremlin(8), and MetaPSICOV (9). The former 5 methods conducts pure DCA while 146 MetaPSICOV (9) is a supervised learning method that performed the best in CASP11 (31). All the programs are run with parameters set according to their respective papers. We cannot evaluate PconsC2 147 148 (17) since we failed to obtain any results from its web server. PconsC2 did not outperform 149 MetaPSICOV in CASP11 (31), so it may suffice to just compare our method with MetaPSICOV.

#### 150 **Overall Performance**

We evaluate the accuracy of the top L/k (k=10, 5, 2, 1) predicted contacts where L is protein sequence length (10). We define that a contact is short-, medium- and long-range when the sequence distance of the two residues in a contact falls into [6, 11], [12, 23], and  $\geq 24$ , respectively. The prediction accuracy is defined as the percentage of native contacts among the top L/k predicted contacts. When a protein has no L/k native (short- or medium-range) contacts, we replace the denominator by L/k in calculating accuracy. This may make the short- and medium-range accuracy look small although it is easier to predict short- and medium-range contacts than long-range contacts.

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#### Table 1. Contact prediction accuracy on the 150 Pfam families.

| Method     |      | Short |      |      |      | Med  | ium  |      |      | Lo   | ng   |      |
|------------|------|-------|------|------|------|------|------|------|------|------|------|------|
|            | L/10 | L/5   | L/2  | L    | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    |
| EVfold     | 0.50 | 0.40  | 0.26 | 0.17 | 0.64 | 0.52 | 0.34 | 0.22 | 0.74 | 0.68 | 0.53 | 0.39 |
| PSICOV     | 0.58 | 0.43  | 0.26 | 0.17 | 0.65 | 0.51 | 0.32 | 0.20 | 0.77 | 0.70 | 0.52 | 0.37 |
| CCMpred    | 0.65 | 0.50  | 0.29 | 0.19 | 0.73 | 0.60 | 0.37 | 0.23 | 0.82 | 0.76 | 0.62 | 0.45 |
| plmDCA     | 0.66 | 0.50  | 0.29 | 0.19 | 0.72 | 0.60 | 0.36 | 0.22 | 0.81 | 0.76 | 0.61 | 0.44 |
| Gremlin    | 0.66 | 0.51  | 0.30 | 0.19 | 0.74 | 0.60 | 0.37 | 0.23 | 0.82 | 0.76 | 0.63 | 0.46 |
| MetaPSICOV | 0.82 | 0.70  | 0.45 | 0.27 | 0.83 | 0.73 | 0.52 | 0.33 | 0.92 | 0.87 | 0.74 | 0.58 |
| Our method | 0.93 | 0.81  | 0.51 | 0.30 | 0.93 | 0.86 | 0.62 | 0.38 | 0.98 | 0.96 | 0.89 | 0.74 |

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#### Table 2. Contact prediction accuracy on 105 CASP11 test proteins.

| Method | Short |     |     |   | Medium |     |     |   | Long |     |     |   |
|--------|-------|-----|-----|---|--------|-----|-----|---|------|-----|-----|---|
|        | L/10  | L/5 | L/2 | L | L/10   | L/5 | L/2 | L | L/10 | L/5 | L/2 | L |

| EVfold     | 0.25 | 0.21 | 0.15 | 0.12 | 0.33 | 0.27 | 0.19 | 0.13 | 0.37 | 0.33 | 0.25 | 0.19 |
|------------|------|------|------|------|------|------|------|------|------|------|------|------|
| PSICOV     | 0.29 | 0.23 | 0.15 | 0.12 | 0.34 | 0.27 | 0.18 | 0.13 | 0.38 | 0.33 | 0.25 | 0.19 |
| CCMpred    | 0.35 | 0.28 | 0.17 | 0.12 | 0.40 | 0.32 | 0.21 | 0.14 | 0.43 | 0.39 | 0.31 | 0.23 |
| plmDCA     | 0.32 | 0.26 | 0.17 | 0.12 | 0.39 | 0.31 | 0.21 | 0.14 | 0.42 | 0.38 | 0.30 | 0.23 |
| Gremlin    | 0.35 | 0.27 | 0.17 | 0.12 | 0.40 | 0.31 | 0.21 | 0.14 | 0.44 | 0.40 | 0.31 | 0.23 |
| MetaPSICOV | 0.69 | 0.58 | 0.39 | 0.25 | 0.69 | 0.59 | 0.42 | 0.28 | 0.60 | 0.54 | 0.45 | 0.35 |
| Our method | 0.82 | 0.70 | 0.46 | 0.28 | 0.85 | 0.76 | 0.55 | 0.35 | 0.81 | 0.77 | 0.68 | 0.55 |

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#### Table 3. Contact prediction accuracy on 76 past CAMEO hard targets.

| Method     | -    | Short |      |      |      | Med  | ium  |      | Long |      |      |      |  |
|------------|------|-------|------|------|------|------|------|------|------|------|------|------|--|
|            | L/10 | L/5   | L/2  | L    | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    |  |
| EVfold     | 0.17 | 0.13  | 0.11 | 0.09 | 0.23 | 0.19 | 0.13 | 0.10 | 0.25 | 0.22 | 0.17 | 0.13 |  |
| PSICOV     | 0.20 | 0.15  | 0.11 | 0.08 | 0.24 | 0.19 | 0.13 | 0.09 | 0.25 | 0.23 | 0.18 | 0.13 |  |
| CCMpred    | 0.22 | 0.16  | 0.11 | 0.09 | 0.27 | 0.22 | 0.14 | 0.10 | 0.30 | 0.26 | 0.20 | 0.15 |  |
| plmDCA     | 0.23 | 0.18  | 0.12 | 0.09 | 0.27 | 0.22 | 0.14 | 0.10 | 030  | 0.26 | 0.20 | 0.15 |  |
| Gremlin    | 0.21 | 0.17  | 0.11 | 0.08 | 0.27 | 0.22 | 0.14 | 0.10 | 0.31 | 0.26 | 0.20 | 0.15 |  |
| MetaPSICOV | 0.56 | 0.47  | 0.31 | 0.20 | 0.53 | 0.45 | 0.32 | 0.22 | 0.47 | 0.42 | 0.33 | 0.25 |  |
| Our method | 0.67 | 0.57  | 0.37 | 0.23 | 0.69 | 0.61 | 0.42 | 0.28 | 0.69 | 0.65 | 0.55 | 0.42 |  |

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#### Table 4. Contact prediction accuracy on 398 membrane proteins.

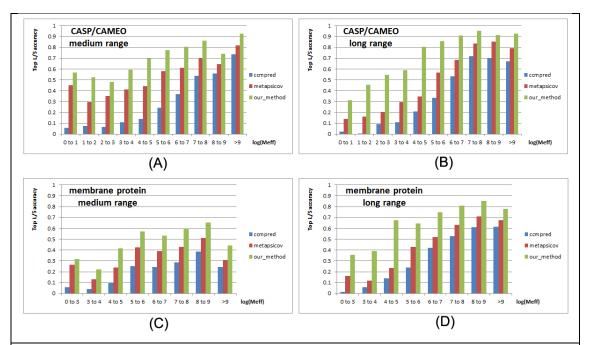
| Method     | _    | Sh   | ort  |      | _    | Med  | ium  |      | _    | Lo   | ng   |      |
|------------|------|------|------|------|------|------|------|------|------|------|------|------|
|            | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    |
| EVfold     | 0.16 | 0.13 | 0.09 | 0.07 | 0.28 | 0.22 | 0.13 | 0.09 | 0.44 | 0.37 | 0.26 | 0.18 |
| PSICOV     | 0.22 | 0.16 | 0.10 | 0.07 | 0.29 | 0.21 | 0.13 | 0.09 | 0.42 | 0.34 | 0.23 | 0.16 |
| CCMpred    | 0.27 | 0.19 | 0.11 | 0.08 | 0.36 | 0.26 | 0.15 | 0.10 | 0.52 | 0.45 | 0.31 | 0.21 |
| plmDCA     | 0.26 | 0.18 | 0.11 | 0.08 | 0.35 | 0.25 | 0.14 | 0.09 | 0.51 | 0.42 | 0.29 | 0.20 |
| Gremlin    | 0.27 | 0.19 | 0.11 | 0.07 | 0.37 | 0.26 | 0.15 | 0.10 | 0.52 | 0.45 | 0.32 | 0.21 |
| MetaPSICOV | 0.45 | 0.35 | 0.22 | 0.14 | 0.49 | 0.40 | 0.27 | 0.18 | 0.61 | 0.55 | 0.42 | 0.30 |
| Our method | 0.60 | 0.46 | 0.27 | 0.16 | 0.66 | 0.53 | 0.33 | 0.22 | 0.78 | 0.73 | 0.62 | 0.47 |

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As shown in Tables 1-4, our method outperforms all tested DCA methods and MetaPSICOV by a very large margin on the 4 test sets regardless of how many top predicted contacts are evaluated and no matter whether the contacts are short-, medium- or long-range. These results also show that two supervised learning methods greatly outperform the pure DCA methods and the three pseudo-likelihood DCA methods plmDCA, Gremlin and CCMpred perform similarly, but outperform PSICOV (Gaussian model) and Evfold (maximum-entropy method). The advantage of our method is

172 the smallest on the 150 Pfam families because many of them have a pretty large number of sequence 173 homologs. In terms of top L long-range contact accuracy on the CASP11 set, our method exceeds 174 CCMpred and MetaPSICOV by 0.32 and 0.20, respectively. On the 76 CAMEO hard targets, our 175 method exceeds CCMpred and MetaPSICOV by 0.27 and 0.17, respectively. On the 398 membrane 176 protein set, our method exceeds CCMpred and MetaPSICOV by 0.26 and 0.17, respectively. Our 177 method uses a subset of protein features used by MetaPSICOV, but performs much better than 178 MetaPSICOV due to our deep architecture and that we predict contacts of a protein simultaneously. 179 Since the Pfam set is relatively easy, we will not present its result any more in the following sections.

#### 180 Prediction accuracy with respect to the number of sequence homologs



**Figure 2.** Top L/5 accuracy of our method (green), CCMpred (blue) and MetaPSICOV (red) with respect to the amount of homologous information measured by ln(Meff). The accuracy on the union of the 105 CASP and 76 CAMEO targets is displayed in (A) medium-range and (B) long-range. The accuracy on the membrane protein set is displayed in (C) medium-range and (D) long-range.

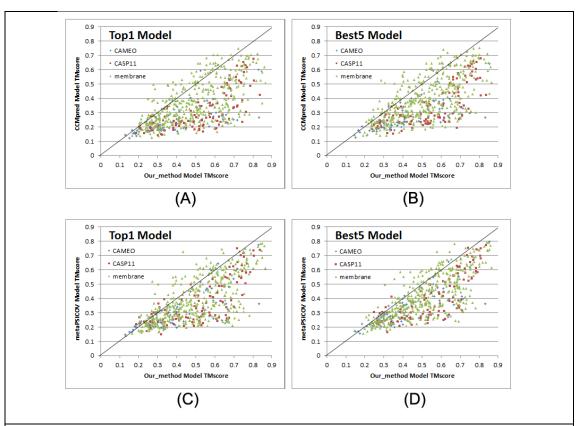
181To examine the performance of our method with respect to the amount of homologous information182available for a protein under prediction, we measure the effective number of sequence homologs in183multiple sequence alignment (MSA) by Meff(19) (see Method for its formula). A protein with a smaller184Meff has fewer non-redundant sequence homologs (70% sequence identity is used as cutoff). We divide185all the test proteins into 10 bins according to ln(Meff) and then calculate the average accuracy of the186test proteins in each bin. We merge the first 3 bins for the membrane protein set since they contain a187small number of proteins.

Fig. 2 shows that the top L/5 contact prediction accuracy increases with respect to *Meff*, i.e., the number of effective sequence homologs, and that our method outperforms both MetaPSICOV and CCMpred regardless of *Meff*. Our long-range prediction accuracy is even better when  $ln(Meff) \le 7$ (equivalently *Meff*<*1100*), i.e., when the protein under prediction does not have a very large number of

non-redundant sequence homologs. Our method has a large advantage over the other methods even
when *Meff* is very big (>8000). This indicates that our method indeed benefits from some extra
information such as inter-contact correlation or high-order residue correlation.

#### 195 Contact-assisted protein folding

One of the important goals of contact prediction is to perform contact-assisted protein folding (11). To test if our contact prediction can lead to better 3D structure modeling than the others, we build structure models for all the test proteins using the top predicted contacts as restraints of ab initio folding. For each test protein, we feed the top predicted contacts as restraints into the CNS suite (32) to generate 3D models. We measure the quality of a 3D model by TMscore (33) , which ranges from 0 to 1, with 0 indicating the worst and 1 the best, respectively.



**Figure 3.** Quality comparison (measured by TMscore) of contact-assisted models generated by our method, CCMpred and MetaPSICOV on the 105 CASP11 targets (red square), 76 CAMEO targets (blue diamond) and 398 membrane protein targets (green triangle), respectively. (A) and (B): comparison of top 1 and the best of top 5 models between our method (X-axis) and CCMpred (Y-axis). (C) and (D): comparison of top 1 and the best of top 5 models between our method (X-axis) and MetaPSICOV (Y-axis).

As shown in Fig. 3, our predicted contacts can generate much better 3D models than CCMpred and MetaPSICOV. On average, the 3D models generated by our method are better than MetaPSICOV and CCMpred by ~0.12 TMscore unit and ~0.15 unit, respectively. The average TMscore of the top 1 models generated by CCMpred, MetaPSICOV, and our method is 0.333, 0.377, and 0.518, respectively

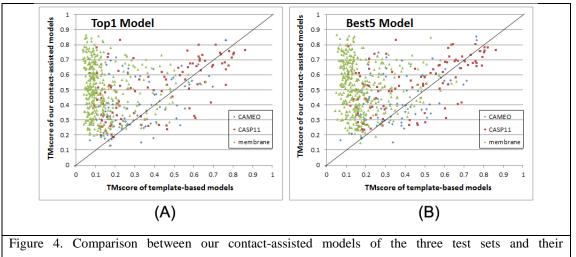
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on the CASP dataset. On the 76 CAMEO targets, the average TMsore of the top 1 models generated by 206 207 CCMpred, MetaPSICOV and our method is 0.256, 0.305 and 0.407, respectively. On the membrane 208 protein set, the average TMscore of the top 1 models generated by CCMpred, MetaPSICOV and our 209 method is 0.354, 0.387, and 0.493, respectively. On the CASP set, the average TMscore of the best of 210 top 5 models generated by CCMpred, MetaPSICOV, and our method is 0.352, 0.399, and 0.543, 211 respectively. On the 76 CAMEO proteins, the average TMscore of the best of top 5 models generated 212 by CCMpred, MetaPSICOV, and our method is 0.271, 0.334, and 0.431, respectively. On the 213 membrane protein set, the average TMscore of the best of top 5 models generated by CCMpred, 214 MetaPSICOV, and our method is 0.385, 0.417, and 0.516, respectively. In particular, when the best of 215 top 5 models are considered, our predicted contacts can result in correct folds (i.e., TMscore>0.6) for 216 203 of the 579 test proteins, while MetaPSICOV- and CCMpred-predicted contacts can do so for only 217 79 and 62 of them, respectively.

218 Our method also generates much better contact-assisted models for the test proteins without many 219 non-redundant sequence homologs. When the 219 of 579 test proteins with  $Meff \leq 500$  are evaluated, the 220 average TMscore of the top 1 models generated by our predicted contacts for the CASP11, CAMEO 221 and membrane sets is 0.426, 0.365, and 0.397, respectively. By contrast, the average TMscore of the 222 top 1 models generated by CCMpred-predicted contacts for the CASP11, CAMEO and membrane sets 223 is 0.236, 0.214, and 0.241, respectively. The average TMscore of the top 1 models generated by 224 MetaPSICOV-predicted contacts for the CASP11, CAMEO and membrane sets is 0.292, 0.272, and 225 0.274, respectively.

#### 226 Contact-assisted models vs. template-based models

227 To compare the quality of our contact-assisted models and template-based models (TBMs), we built 228 TBMs for all the test proteins using our training proteins as candidate templates. To generate TBMs for 229 a test protein, we first run HHblits (with the UniProt20\_2016 library) to generate an HMM file for the 230 test protein, then run HHsearch with this HMM file to search for the best templates among the 6767 231 training proteins, and finally run MODELLER to build a TBM from each of the top 5 templates. Fig. 4 232 shows the head-to-head comparison between our contact-assisted models and the TBMs on these three 233 test sets. When only the first models are evaluated, our contact-assisted models for the 76 CAMEO test 234 proteins have an average TMscore 0.407 while the TBMs have an average TMscore 0.317. On the 105 235 CASP11 test proteins, the average TMscore of our contact-assisted models is 0.518 while that of the 236 TBMs is only 0.393. On the 398 membrane proteins, the average TMscore of our contact-assisted 237 models is 0.493 while that of the TBMs is only 0.149. When the best of top 5 models are evaluated, on 238 the 76 CAMEO test proteins, the average TMscore of our contact-assisted models is 0.431 while that of 239 the TBMs is only 0.366. On the 105 CASP11 test proteins, the average TMscore of our contact-assisted 240 models is 0.543 while that of the TBMs is only 0.441. On the 398 membrane proteins, the average TMscore of our contact-assisted models is 0.516 while that of the TBMs is only 0.187. The low quality 241 242 of TBMs further confirms that there is not much redundancy between our training and test proteins.



template-based models. The top 1 models (A) and the best of top 5 models (B) are evaluated.

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244 Further, when the best of top 5 models are considered for all the methods, our contact-assisted models have TMscore>0.5 for 24 of the 76 CAMEO targets while TBMs have TMscore>0.5 for only 18 of 245 246 them. Our contact-assisted models have TMscore >0.5 for 67 of the 105 CASP11 targets while TBMs 247 have TMscore>0.5 for only 44 of them. Our contact-assisted models have TMscore>0.5 for 208 of the 398 membrane proteins while TBMs have TMscore >0.5 for only 10 of them. Our contact-assisted 248 249 models for membrane proteins are much better than their TBMs because the similarity between the 250 6767 training proteins and the 398 test membrane proteins is small. When the 219 test proteins with 251 ≤500 non-redundant sequence homologs are evaluated, the average TMscore of the TBMs is 0.254 252 while that of our contact-assisted models is 0.421. Among these 219 proteins, our contact-assisted 253 models have TMscore>0.5 for 72 of them while TBMs have TMscore>0.5 for only 17 of them.

The above results imply that 1) when a query protein has no close templates, our contact-assisted modeling may work better than template-based modeling; 2) contact-assisted modeling shall be particularly useful for membrane proteins; and 3) our deep learning model does not predict contacts by simply copying contacts from the training proteins since our predicted contacts may result in much better 3D models than homology modeling.

#### 259 Blind test in CAMEO

We 260 have implemented our algorithm as contact prediction web server a 261 (http://raptorx.uchicago.edu/ContactMap/) and in September 2016 started to blindly test it through the 262 weekly live benchmark CAMEO (http://www.cameo3d.org/ ). CAMEO is operated by the Schwede 263 group, with whom we have never collaborated. CAMEO can be interpreted as a fully-automated CASP, 264 but has a smaller number (>20) of participating servers since many CASP-participating servers are not fully automated and thus, cannot handle the large number of test targets used by CAMEO. Nevertheless, 265 266 the CAMEO participants include some well-known servers such as Robetta(34), Phyre(35), RaptorX(36), Swiss-Model(37) and HHpred(38). Meanwhile Robetta employs both ab initio folding 267 268 and template-based modeling while the latter four employ mainly template-based modeling. Every

weekend CAMEO sends test sequences to participating servers for prediction and then evaluates 3D models collected from servers. The test proteins used by CAMEO have no publicly available native structures until CAMEO finishes collecting models from participating servers.

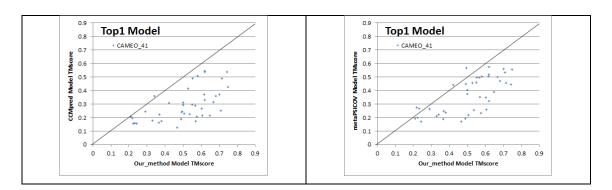
272 During the past 2 months (9/3/2016 to 10/31/2016), CAMEO in total released 41 hard targets 273 (Supplementary Table 3). Although classified as hard by CAMEO, some of them may have 274 distantly-related templates. Table 5 lists the contact prediction accuracy of our server in the blind 275 CAMEO test as compared to the other methods. Again, our method outperforms the others by a very 276 large margin no matter how many contacts are evaluated. The CAMEO evaluation of our 277 contact-assisted 3D models is available at the CAMEO web site. You will need to register CAMEO in 278 order to see all the detailed results of our contact server (ID: server60). Although our server currently 279 build 3D models using only 2L-3L predicted contacts without any force fields and fragment assembly 280 procedures, our server predicts 3D models with TMscore>0.5 for 28 of the 41 targets and TMscore>0.6 281 for 16 of them. The average TMscore of the best of top 5 models built from the contacts predicted by 282 our server, CCMpred and MetaPSICOV is 0.535, 0.316 and 0.392, respectively. See Fig. 5 for the 283 detailed comparison of the 3D models generated by our server, CCMpred and MetaPSICOV. Our 284 server has also successfully folded 4 targets with a new fold. See Table 6 for a summary of our 285 prediction results of these 4 targets and the below subsections for a detailed analysis.

286

 Table 5. Contact prediction accuracy on 41 recent CAMEO hard targets.

| Method     | _    | Sh   | ort  |      | -    | Med  | lium |      | _    | Lo   | ong  |      |
|------------|------|------|------|------|------|------|------|------|------|------|------|------|
|            | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    |
| EVfold     | 0.20 | 0.15 | 0.11 | 0.08 | 0.25 | 0.19 | 0.12 | 0.09 | 0.33 | 0.29 | 0.21 | 0.15 |
| PSICOV     | 0.21 | 0.16 | 0.11 | 0.08 | 0.26 | 0.20 | 0.11 | 0.08 | 0.33 | 0.30 | 0.21 | 0.15 |
| plmDCA     | 0.26 | 0.19 | 0.12 | 0.09 | 0.28 | 0.23 | 0.13 | 0.09 | 0.38 | 0.33 | 0.24 | 0.17 |
| Gremlin    | 0.25 | 0.18 | 0.12 | 0.08 | 0.29 | 0.22 | 0.13 | 0.09 | 0.37 | 0.34 | 0.25 | 0.17 |
| CCMpred    | 0.24 | 0.18 | 0.12 | 0.08 | 0.29 | 0.22 | 0.13 | 0.09 | 0.37 | 0.34 | 0.24 | 0.17 |
| MetaPSICOV | 0.53 | 0.43 | 0.27 | 0.17 | 0.51 | 0.42 | 0.28 | 0.19 | 0.60 | 0.54 | 0.40 | 0.30 |
| Our server | 0.67 | 0.52 | 0.32 | 0.20 | 0.68 | 0.58 | 0.38 | 0.24 | 0.82 | 0.75 | 0.62 | 0.46 |

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| (A)  | (B)   |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| Figure 5. Quality comparison (measured by TMs    | core) of contact-assisted models generated by our |  |  |  |  |  |  |
| server, CCMpred and MetaPSICOV on the 41 C       | AMEO hard targets. (A) our server (X-axis) vs.    |  |  |  |  |  |  |
| CCMpred and (B) our server (X-axis) vs. MetaPSIC | COV.  |  |  |  |  |  |  |

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289 Table 6. A summary of our blind prediction results on 4 CAMEO hard targets with a new fold.

| Target | CAMEO ID              | Туре | Len | Meff | Method             | RMSD(Å) | TMscore |
|--------|-----------------------|------|-----|------|--------------------|---------|---------|
| 2nc8A  | 2016-09-10_0000002_1  | β    | 182 | 250  | Our server         | 6.5     | 0.61    |
|        |                       |      |     |      | Best of the others | 12.18   | 0.47    |
| 5dcjA  | 2016-09-17_00000018_1 | α+β  | 125 | 180  | Our server         | 7.9     | 0.52    |
|        |                       |      |     |      | Best of the others | 10.0    | 0.53    |
| 5djeB  | 2016-09-24_00000052_1 | α    | 140 | 330  | Our server         | 5.81    | 0.65    |
|        |                       |      |     |      | Best of the others | 14.98   | 0.34    |
| 5f5pH  | 2016-10-15_00000047_1 | α    | 217 | 65   | Our server         | 4.21    | 0.71    |
|        |                       |      |     |      | Best of the others | >40.0   | 0.48    |

290

Among these 41 hard targets, there are five multi-domain proteins: 5idoA, 5hmqF, 5b86B, 5b2gG and 5cylH. Table 7 shows that the average contact prediction accuracy of our method on these 5 multi-domain proteins is much better than the others. For multi-domain proteins, we use a superposition-independent score IDDT instead of TMscore to measure the quality of a 3D model. As shown in Table 8, the 3D models built by our server from predicted contacts have much better IDDT score than those built from CCMpred and MetaPSICOV.

Table 7. The average contact prediction accuracy of our method and the others on 5 multi-domain proteins among the 41 CAMEO hard targets.

| Method     | _    | She  | ort  |      | _    | Med  | ium  |      | Long |      |      |      |  |
|------------|------|------|------|------|------|------|------|------|------|------|------|------|--|
|            | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    | L/10 | L/5  | L/2  | L    |  |
| EVfold     | 0.17 | 0.13 | 0.09 | 0.07 | 0.18 | 0.12 | 0.08 | 0.06 | 0.54 | 0.40 | 0.26 | 0.18 |  |
| PSICOV     | 0.27 | 0.18 | 0.10 | 0.07 | 0.26 | 0.17 | 0.11 | 0.07 | 0.62 | 0.49 | 0.31 | 0.20 |  |
| plmDCA     | 0.29 | 0.23 | 0.11 | 0.07 | 0.32 | 0.22 | 0.11 | 0.08 | 0.66 | 0.51 | 0.34 | 0.22 |  |
| Gremlin    | 0.30 | 0.24 | 0.12 | 0.08 | 0.32 | 0.22 | 0.12 | 0.07 | 0.67 | 0.52 | 0.36 | 0.23 |  |
| CCMpred    | 0.30 | 0.23 | 0.12 | 0.08 | 0.32 | 0.22 | 0.12 | 0.08 | 0.66 | 0.51 | 0.35 | 0.23 |  |
| MetaPSICOV | 0.52 | 0.37 | 0.21 | 0.14 | 0.32 | 0.26 | 0.16 | 0.11 | 0.72 | 0.58 | 0.41 | 0.26 |  |
| Our method | 0.74 | 0.58 | 0.33 | 0.19 | 0.68 | 0.55 | 0.33 | 0.20 | 0.96 | 0.91 | 0.76 | 0.57 |  |

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Table 8. The IDDT score of the 3D models built for the 5 multi-domain proteins using predicted contacts.

| Targets | Length | CCMpred | MetaPSICOV | Our   |
|---------|--------|---------|------------|-------|
| 5idoA   | 512    | 21.96   | 24.24      | 36.83 |
| 5hmqF   | 637    | 21.55   | 25.91      | 33.16 |
| 5b86B   | 600    | 28.49   | 32.85      | 42.58 |
| 5b2gG   | 364    | 22.38   | 30.47      | 47.91 |
| 5cylH   | 370    | 20.79   | 23.37      | 30.62 |

#### 302 Study of CAMEO target 2nc8A (CAMEO ID: 2016-09-10\_00000002\_1, PDB ID:2nc8)

303 On September 10, 2016, CAMEO released two hard test targets for structure prediction. Our contact

304 server successfully folded the hardest one (PDB ID: 2nc8), a mainly-beta protein of 182 residues. Table

305 9 shows that our server produced a much better contact prediction than CCMpred and MetaPSICOV.

306 CCMpred has very low accuracy since HHblits detected only ~250 non-redundant sequence homologs

307 for this protein, i.e., its Meff=250. Fig. 6 shows the predicted contact maps and their overlap with the

308 native. MetaPSICOV fails to predict many long-range contacts while CCMpred introduces too many

309 false positives.

310 Table 9. The long- and medium-range contact prediction accuracy of our method, MetaPSICOV and

311 CCMpred on the CAMEO target 2nc8A.

|            | Lo    | ng-rang | e accura | cy    | Medium-range accuracy |       |       |       |  |  |
|------------|-------|---------|----------|-------|-----------------------|-------|-------|-------|--|--|
|            | L     | L/2     | L/5      | L/10  | L                     | L/2   | L/5   | L/10  |  |  |
| Our method | 0.764 | 0.923   | 0.972    | 1.0   | 0.450                 | 0.769 | 0.972 | 1.0   |  |  |
| MetaPSICOV | 0.258 | 0.374   | 0.556    | 0.667 | 0.390                 | 0.626 | 0.806 | 0.944 |  |  |
| CCMpred    | 0.165 | 0.231   | 0.389    | 0.333 | 0.148                 | 0.187 | 0.167 | 0.222 |  |  |

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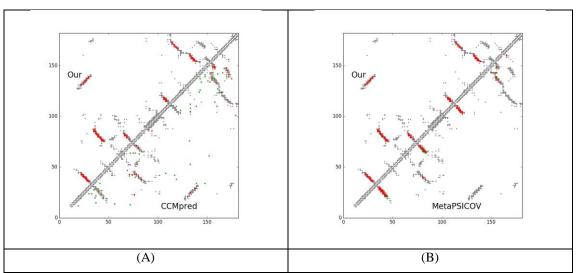


Figure 6. Overlap between top L/2 predicted contacts (in red or green) and the native (in grey). Red (green) dots indicate correct (incorrect) prediction. The left picture shows the comparison between our prediction (in upper-left triangle) and CCMpred (in lower-right triangle) and the right picture shows the comparison between our prediction (in upper-left triangle) and MetaPSICOV (in lower-right triangle).

313

314 The 3D model submitted by our contact server has TMscore 0.570 (As of September 16, 2016, our 315 server submits only one 3D model for each test protein) and the best of our top 5 models has TMscore 0.612 and RMSD 6.5Å. Fig. 7 shows that the beta strands of our predicted model (red) matches well 316 317 with the native (blue). To examine the superimposition of our model with its native structure from 318 various angles, please see http://raptorx.uchicago.edu/DeepAlign/75097011/. By contrast, the best of top 5 models built by CNS from CCMpred- and MetaPSICOV-predicted contacts have TMscore 0.206 319 320 and 0.307, respectively, and RMSD 15.8Å and 14.2Å, respectively. The best TMscore obtained by the other CAMEO-participating servers is only 0.47 (Fig. 8). Three top-notch servers HHpred, RaptorX 321 322 and Robetta only submitted models with TMscore≤0.30. According to Xu and Zhang (39), a 3D

model with TMscore<0.5 is unlikely to have a correct fold</li>
while a model with TMscore≥0.6 surely has a correct fold.
That is, our contact server predicted a correct fold for this test
protein while the others failed to.

327 This test protein represents almost a novel fold. Our in-house 328 structural homolog search tool DeepSearch(40) cannot identify 329 structurally very similar proteins in PDB70 (created right 330 before September 10, 2016) for this test protein. PDB70 is a 331 set of representative structures derived from clustering all the 332 proteins in PDB by 70% sequence identity. Two weakly 333 similar proteins are 4kx7A and 4g2aA, which have TMscore 334 0.521 and 0.535 with the native structure of the test protein,

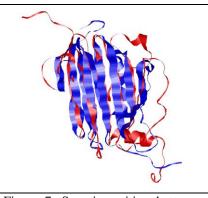


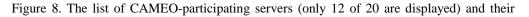
Figure 7. Superimposition between our predicted model (red) and its native structure (blue) for the CAMEO test protein (PDB ID 2nc8 and chain A).

respectively, and TMscore 0.465 and 0.466 with our best model, respectively. This is consistent with the fact that none of the template-based servers in CAMEO submitted a model with TMscore>0.5. We cannot find structurally similar proteins in PDB70 for our best model either; the best TMscore between PDB70 and our best model is only 0.480. That is, the models predicted by our method are not simply copied from the solved structures in PDB, and our method can indeed fold a relatively large beta protein with a novel fold.

| itter.             |                      |                               |      |         |                  |       |            |                              |                                  |             |                     |               |             |           |               |                     |             |             |
|--------------------|----------------------|-------------------------------|------|---------|------------------|-------|------------|------------------------------|----------------------------------|-------------|---------------------|---------------|-------------|-----------|---------------|---------------------|-------------|-------------|
| Server Name        | Predictions <b>0</b> | Resp. time<br>(hh.mm.ss)<br>o | From | To<br>¢ | Cov.<br>(%)<br>€ |       | IDDT<br>Ca | Avg.<br>IDDT-<br>BS <b>O</b> | Avg. IDDT-<br>BS details ()<br>¢ | QScore<br>O | QScore<br>details 0 | CAD-<br>Score | GDT_HA<br>O | RMSD<br>O | GDC<br>O<br>o | Model<br>Conf.<br>O | MaxSub<br>0 | TMScon<br>0 |
| Server 60 ᢞ        | Model 1 📗 🔛          | 00:51:19                      | 1    | 182     | 100              | 42.76 | 50.39      | -                            | -                                |             |                     | 0.48          | 26.46       | 7.69      | 36.04         | 0.50                | 0.37        | 0.57        |
| Server 56 🗐        | Model 1 脂 🔚          | 20:53:42                      | 1    | 182     | 100              | 35.81 | 43.06      | -                            | -                                | -           | -                   | 0.43          | 19.88       | 12.18     | 27.65         | 0.80                | 0.28        | 0.47        |
| Server 58          | Model 1 🎼 🖼          | 20:54:33                      | 1    | 182     | 100              | 35.81 | 43.06      | -                            |                                  | -           |                     | 0.43          | 19.88       | 12.18     | 27.65         | 0.80                | 0.28        | 0.47        |
| RaptorX 🗆          | Model 1 🎼 🖼          | 01:17:35                      | 1    | 182     | 100              | 28.73 | 32.74      |                              |                                  |             |                     | 0.41          | 12.57       | 17.55     | 16.32         | 0.65                | 0.16        | 0.30        |
| Server 57 🗐        | Model 1 🎚 🖼          | 20:54:44                      | 1    | 182     | 100              | 28.64 | 33.07      | 2                            | -                                | 2           | 21                  | 0.39          | 12.43       | 13.54     | 18.68         | 0.73                | 0.17        | 0.36        |
| Server 45 🗐        | Model 1 🎼 🖼          | 01:51:45                      | 1    | 182     | 100              | 28.45 | 32.88      | -                            |                                  | -           | -                   | 0.43          | 19.01       | 21.83     | 22.86         | 0.65                | 0.23        | 0.36        |
| Robetta 🗐          | Model 1 🎚 🖼          | 51:20:57                      | 10   | 182     | 95               | 28.33 | 32.62      | -                            | -                                | -           | - 1                 | 0.45          | 10.23       | 25.10     | 11.51         | 0.50                | 0.12        | 0.21        |
| HHpredB            | Model 1 🏦 🖼          | 12:14:59                      | 1    | 182     | 100              | 23.70 | 28.37      | -                            | -                                | -           | -                   | 0.40          | 12.87       | 20.72     | 16.16         | 0.85                | 0.17        | 0.30        |
| Princeton_TEMPLATE | Model 1 🎼 🔚          | 01:02:52                      | 1    | 182     | 100              | 23.38 | 27.09      | •                            | -                                | -           | -                   | 0.38          | 9.94        | 23.55     | 11.52         | 0.59                | 0.12        | 0.24        |
| SPARKS-X           | Model 1 🎼 🔛          | 00:12:47                      | 1    | 182     | 100              | 23.08 | 26.26      | -                            | -                                | 20          | 4                   | 0.37          | 7.60        | 19.12     | 8.89          | 0.52                | 0.09        | 0.20        |
| Server 55          | Model 1 🎚 🔛          | 00.28.24                      | 1    | 182     | 100              | 22.38 | 25.78      | *                            |                                  | -           |                     | 0.39          | 7.60        | 23.65     | 7.81          | 0.67                | 0.08        | 0.20        |
| RBO Aleph          | Model 1 🎚 🔚          | 65:29:29                      | 1    | 182     | 100              | 21.52 | 23.78      | 2                            | 4                                | 2           |                     | 0.35          | 5.99        | 20.90     | 6.86          | 0.80                | 0.07        | 0.17        |



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model scores. The rightmost column displays the TMscore of submitted models. Server60 is ourcontact web server.

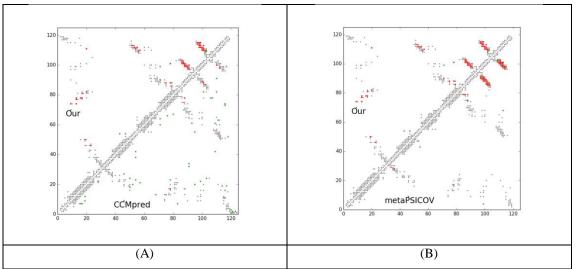
#### 345 Study of CAMEO target 5dcjA (CAMEO ID: 2016-09-17\_00000018\_1, PDB ID:5dcj)

On September 17, 2016, our contact web server successfully folded one of the hard CAMEO targets

- (PDB ID: 5dcj). This target is an alpha+beta sandwich protein of 125 residues. The four beta sheets of
   this protein are wrapped by one and three alpha helixes at two sides. Table 10 shows that our server
- produced a much better contact prediction than CCMpred and MetaPSICOV. Specifically, the contact
- 350 map predicted by our method has L/2 long-range accuracy 0.645 while that by CCMpred and
- 351 MetaPSICOV has L/2 accuracy only 0.05 and 0.194, respectively. CCMpred has very low accuracy
- since HHblits can only find ~180 non-redundant sequence homologs for this protein, i.e., its Meff=180.
- since HHblits can only find ~180 non-redundant sequence homologs for this protein, i.e., its Meff=180.
- 353 Fig. 9 shows the predicted contact maps and their overlap with the native. Both CCMpred and
- 354 metaPSICOV failed to predict some long-range contacts.
- 355 **Table 10.** The long- and medium-range contact prediction accuracy of our method, MetaPSICOV and
- 356 CCMpred on the CAMEO target 5dcjA.

|            |       | Long  | range |       | Medium range |       |      |      |  |  |  |  |
|------------|-------|-------|-------|-------|--------------|-------|------|------|--|--|--|--|
|            | L     | L/2   | L/5   | L/10  | L            | L/2   | L/5  | L/10 |  |  |  |  |
| Our method | 0.456 | 0.645 | 0.88  | 0.833 | 0.36         | 0.645 | 0.92 | 1.0  |  |  |  |  |
| metaPSICOV | 0.144 | 0.194 | 0.32  | 0.25  | 0.344        | 0.532 | 0.8  | 1.0  |  |  |  |  |
| CCMpred    | 0.05  | 0.05  | 0.08  | 0.08  | 0.1          | 0.129 | 0.12 | 0.25 |  |  |  |  |

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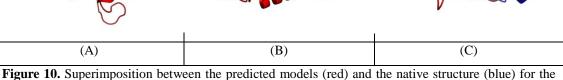


**Figure 9.** Overlap between top L/2 predicted contacts (in red or green) and the native (in grey). Red (green) dots indicate correct (incorrect) prediction. The left picture shows the comparison between our prediction (in upper-left triangle) and CCMpred (in lower-right triangle) and the right picture shows the comparison between our prediction (in upper-left triangle) and MetaPSICOV (in lower-right triangle).

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<sup>359</sup> The first 3D model submitted by our contact server has TMscore 0.50 and the best of our 5 models has

TMscore 0.52 and RMSD 7.9Å. The best of top 5 models built by CNS from CCMpred- and MetaPSICOV-predicted contacts have TMscore 0.243 and 0.361, respectively. Fig. 10(A) shows that all the beta strands and the three surrounding alpha helices of our predicted model (in red) matches well with the native structure (blue), while the models from CCMpred (Fig.10(B)) and MetaPSICOV (Fig.10(C)) do not have a correct fold. To examine the superimposition of our model with its native structure from various angles, please see <u>http://raptorx.uchicago.edu/DeepAlign/92913404/</u>.



CAMEO test protein (PDB ID 5dcj and chain A). The models are built by CNS from the contacts predicted by (A) our method, (B) CCMpred, and (C) MetaPSICOV.

366

367 In terms of TMscore, our models have comparable quality to Robetta, but better than the other servers 368 (Fig. 11). In terms of IDDT-C $\alpha$  score, our models are better than all the others. In particular, our 369 method produced better models than the popular homology modeling server HHpredB and our own 370 template-based modeling server RaptorX, which submitted models with TMscore  $\leq 0.45$ .

This test protein represents a novel fold. Similar structure search through PDB70 created right before September 17, 2016 by our in-house structural homolog search tool DeepSearch cannot identify structurally similar proteins for this test protein. The most structurally similar proteins are 3lr5A and SereA, which have TMscore 0.431 and 0.45 with the test protein, respectively. This is consistent with the fact that none of the template-based servers in CAMEO can predict a good model for this test protein. By contrast, our contact-assisted model has TMscore 0.52, which is higher than all the template-based models.

|                    |                  | Resp. time       |   |         | Cov.<br>(%)   | IDDT          | IDDT  | Avg.<br>IDDT-    | Avg. IDDT-BS          |               | QScore<br>details |               | GDT_HA |               |               | Model<br>Conf. | MaxSub        | TMScore       |
|--------------------|------------------|------------------|---|---------|---------------|---------------|-------|------------------|-----------------------|---------------|-------------------|---------------|--------|---------------|---------------|----------------|---------------|---------------|
| Server Name<br>≎   | Predictions<br>€ | (hh:mm:ss)<br>\$ | ¢ | To<br>≎ | <b>0</b><br>0 | <b>0</b><br>0 | Cα    | BS <b>O</b><br>≎ | details <b>①</b><br>≎ | <b>0</b><br>≎ | <b>0</b><br>≎     | <b>0</b><br>0 | 0<br>0 | <b>0</b><br>≎ | <b>0</b><br>≎ | <b>0</b><br>≎  | <b>0</b><br>≎ | <b>0</b><br>0 |
| Server 60          | Model 1 🖺 🔚      | 11:38:06         | 1 | 125     | 100           | 47.88         | 57.13 | 43.45            | CPS1:0.43(1.00)       | -             | -                 | 0.51          | 27.97  | 8.93          | 32.76         | 0.50           | 0.35          | 0.50          |
| Robetta 🔲          | Model 1 🗎 🔚      | 11:58:59         | 1 | 125     | 100           | 48.12         | 54.58 | 49.79            | CPS1:0.50(1.00)       | -             | -                 | 0.53          | 29.66  | 10.39         | 36.80         | 0.90           | 0.41          | 0.50          |
| Server 56          | Model 1 🖿 🔚      | 21:07:50         | 1 | 125     | 100           | 46.12         | 53.12 | 39.74            | CPS1:0.40(1.00)       | -             | -                 | 0.51          | 28.39  | 10.06         | 34.81         | 0.96           | 0.38          | 0.48          |
| Server 58          | Model 1 🖿 🔛      | 21:06:20         | 1 | 125     | 100           | 46.12         | 53.12 | 39.74            | CPS1:0.40(1.00)       | -             | -                 | 0.51          | 28.39  | 10.06         | 34.81         | 0.96           | 0.38          | 0.48          |
| RaptorX            | Model 1 🖿 🔛      | 10:28:22         | 1 | 125     | 100           | 45.12         | 50.42 | 38.20            | CPS1:0.38(1.00)       | -             | -                 | 0.51          | 26.91  | 10.10         | 32.71         | 0.65           | 0.32          | 0.45          |
| Princeton_TEMPLATE | Model 1 🖹 🔛      | 04:55:57         | 1 | 125     | 100           | 44.32         | 50.33 | 37.68            | CPS1:0.38(1.00)       | -             | -                 | 0.47          | 23.73  | 10.69         | 31.45         | 0.50           | 0.33          | 0.45          |
| Server 45          | Model 1 🖺 🔛      | 10:53:53         | 1 | 125     | 100           | 44.39         | 49.91 | 35.88            | CPS1:0.36(1.00)       | -             | -                 | 0.51          | 26.70  | 11.97         | 33.12         | 0.64           | 0.34          | 0.46          |
| SPARKS-X           | Model 1 🖺 🔛      | 00:46:54         | 1 | 125     | 100           | 42.67         | 49.20 | 36.24            | CPS1:0.36(1.00)       | -             | -                 | 0.49          | 25.64  | 11.71         | 32.24         | 0.54           | 0.33          | 0.45          |
| HHpredB 🗐          | Model 1 🖺 🔛      | 80:54:59         | 1 | 125     | 100           | 42.56         | 48.88 | 37.32            | CPS1:0.37(1.00)       | -             | -                 | 0.49          | 26.27  | 11.62         | 32.21         | 0.89           | 0.33          | 0.45          |
| Server 55          | Model 1 🖺 🔛      | 00:08:10         | 1 | 125     | 100           | 42.14         | 48.44 | 36.60            | CPS1:0.37(1.00)       | -             | -                 | 0.50          | 26.27  | 10.16         | 31.85         | 0.88           | 0.33          | 0.45          |
| Server 54          | Model 1 📗 🔚      | 00:00:57         | 3 | 121     | 95            | 42.29         | 48.43 | 37.01            | CPS1:0.37(1.00)       | -             | -                 | 0.50          | 26.48  | 10.13         | 31.75         | 0.89           | 0.33          | 0.45          |
| SWISS-MODEL        | Model 1 🖺 🔚      | 00:01:06         | 3 | 121     | 95            | 41.93         | 48.31 | 34.95            | CPS1:0.35(1.00)       | -             | -                 | 0.49          | 27.33  | 10.15         | 31.78         | 0.90           | 0.33          | 0.45          |
| Server 48 🗐        | Model 1 🖹 🔚      | 00:02:00         | 3 | 121     | 95            | 41.93         | 48.31 | 34.90            | CPS1:0.35(1.00)       | -             | -                 | 0.49          | 27.33  | 10.15         | 31.78         | 0.90           | 0.33          | 0.45          |
| IntFOLD3-TS        | Model 1 🖹 🖬      | 22:08:20         | 1 | 125     | 100           | 42.67         | 48.00 | 38.51            | CPS1:0.39(1.00)       | -             | -                 | 0.47          | 25.42  | 11.87         | 30.96         | 0.74           | 0.31          | 0.44          |

378 IntFOLD3-TS

**Figure 11.** The list of CAMEO-participating servers (only 14 of 20 are displayed) and their model

scores, sorted by IDDT-Cα. The rightmost column displays the TMscore of submitted models. Server60
is our contact web server.

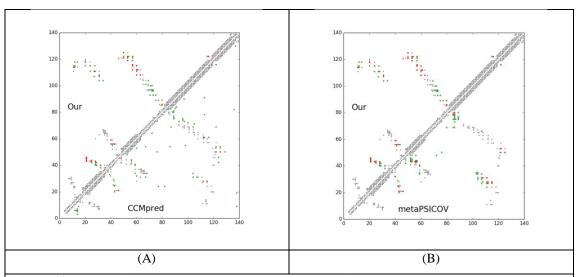
382 Study of CAMEO target 5djeB (CAMEO ID: 2016-09-24\_00000052\_1, PDB ID: 5dje)

On September 24, 2016, our contact web server successfully folded one of the hardest CAMEO targets 383 384 (PDB ID: 5dje and chain B). This target is an all alpha protein of 140 residues with a novel fold. Table 385 11 shows that our server produced a much better contact prediction than CCMpred and MetaPSICOV. 386 Specifically, the contact map predicted by our method has L/5 and L/10 long-range accuracy 50.0% 387 and 71.4%, respectively, while that by CCMpred and MetaPSICOV has L/5 and L/10 accuracy less 388 than 30%. CCMpred has low accuracy since HHblits can only find ~330 non-redundant sequence 389 homologs for this protein, i.e., its Meff=330. Fig. 12 shows the predicted contact maps and their overlap with the native. Both CCMpred and metaPSICOV failed to predict some long-range contacts. 390

Table 11. The long- and medium-range contact prediction accuracy of our method, MetaPSICOV and
 CCMpred on the CAMEO target 5djeB.

|            | Lo    | ng rang | e accura | ncy   | Medium range accuracy |       |       |       |  |  |  |  |
|------------|-------|---------|----------|-------|-----------------------|-------|-------|-------|--|--|--|--|
|            | L     | L/2     | L/5      | L/10  | L                     | L/2   | L/5   | L/10  |  |  |  |  |
| Our method | 0.300 | 0.357   | 0.500    | 0.714 | 0.186                 | 0.229 | 0.357 | 0.357 |  |  |  |  |
| metaPSICOV | 0.193 | 0.200   | 0.286    | 0.286 | 0.100                 | 0.143 | 0.214 | 0.286 |  |  |  |  |
| CCMpred    | 0.079 | 0.114   | 0.107    | 0.214 | 0.036                 | 0.029 | 0.071 | 0.143 |  |  |  |  |

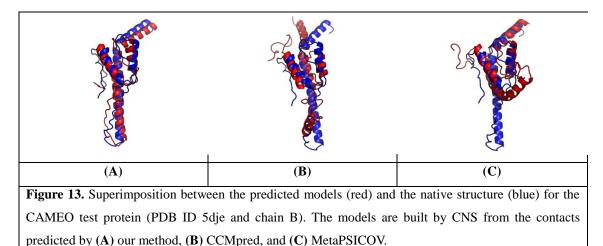
393



**Figure 12.** Overlap between top L/2 predicted contacts (in red and green) and the native (in grey). Red (green) dots indicate correct (incorrect) prediction. The left picture shows the comparison between our prediction (in upper-left triangle) and CCMpred (in lower-right triangle) and the right picture shows the comparison between our prediction (in upper-left triangle) and MetaPSICOV (in lower-right triangle).

394

395 The first 3D model submitted by our contact server has TMscore 0.65, while the best of our 5 models has TMscore 0.65 and RMSD 5.6Å. By contrast, the best of top 5 models built by CNS from 396 397 CCMpred- and MetaPSICOV-predicted contacts have TMscore 0.404 and 0.427, respectively. Fig. 398 13(A) shows that all the four alpha helices of our predicted model (in red) matches well with the native 399 structure (blue), while the models from CCMpred (Fig. 13(B)) and MetaPSICOV (Fig. 13(C)) fail to 400 predict the 3<sup>rd</sup> long helix correctly. To examine the superimposition of our model with its native 401 structure from various angles, please see http://raptorx.uchicago.edu/DeepAlign/26652330/. Further, all 402 other CAMEO registered servers, including the top-notch servers such as HHpred, RaptorX, 403 SPARKS-X, and RBO Aleph (template-based and ab initio folding) only submitted models with 404 TMscore  $\leq 0.35$ , i.e., failed to predict a correct fold (Fig. 14).



405

This test protein represents a novel fold. Similar structure search through PDB70 created right before September 24, 2016 by our in-house structural homolog search tool DeepSearch cannot identify structurally similar proteins for this test protein. The most structurally similar proteins are 1u71A and 409 4x5uA, which have TMscore 0.439 and 0.442 with the test protein, respectively. This is consistent with 410 the fact that none of the template-based CAMEO-participating servers predicted a good model for this 411 test protein. By contrast, our contact-assisted model has TMscore 0.65, much higher than all the 412 template-based models.

| Server Name        | Predictions <b>0</b> | Resp. time<br>(hh:mm:ss)<br>o | From | То  | Cov.<br>(%)<br>© | IDDT<br>O<br>o | IDDT<br>Ca | Avg.<br>IDDT-<br>BS <b>O</b><br>o | Avg. IDDT-<br>BS details <b>(</b> ) |   | QScore<br>details 0 | CAD-<br>Score<br>0 | GDT_HA | RMSD<br>0 | GDC<br>O<br>o | Model<br>Conf.<br>O | MaxSub<br>0 | TMScore |
|--------------------|----------------------|-------------------------------|------|-----|------------------|----------------|------------|-----------------------------------|-------------------------------------|---|---------------------|--------------------|--------|-----------|---------------|---------------------|-------------|---------|
| Server 60 🔮        | Model 1 脂 🖬          | 19:20:14                      | 1    | 140 | 100              | 54.43          | 68.22      |                                   |                                     |   |                     | 0.60               | 36.03  | 5.81      | 45.19         | 0.50                | 0.51        | 0.65    |
| RaptorX 🔍          | Model 1 🖥 🖼          | 14:50:24                      | 1    | 140 | 100              | 33.59          | 42.23      |                                   |                                     |   |                     | 0.53               | 18.93  | 18.88     | 19.98         | 0.71                | 0.22        | 0.34    |
| RBO Aleph 🗐        | Model 1 📗 🔛          | 53:34:20                      | 1    | 140 | 100              | 40.85          | 50.35      |                                   |                                     | - |                     | 0.56               | 18.57  | 14.98     | 18.37         | 0.50                | 0.19        | 0.31    |
| Server 45 🗐        | Model 1 🎚 🔚          | 36:00:52                      | 1    | 140 | 100              | 35.96          | 44.10      | -                                 |                                     |   |                     | 0.53               | 19.12  | 20.97     | 20.16         | 0.67                | 0.21        | 0.31    |
| SPARKS-X           | Model 1 🎼 🖼          | 01:34:53                      | 1    | 140 | 100              | 34.24          | 43.59      | -                                 |                                     | - | -                   | 0.51               | 16.54  | 24.40     | 15.18         | 0.53                | 0.19        | 0.27    |
| IntFOLD3-TS        | Model 1 🎚 🖬          | 23:58:21                      | 1    | 140 | 100              | 33.49          | 41.34      | -                                 |                                     | * | -                   | 0.49               | 16.91  | 25.85     | 14.94         | 0.75                | 0.18        | 0.26    |
| Princeton_TEMPLATE | Model 1 🎚 🔚          | 05:43:56                      | 1    | 140 | 100              | 35.77          | 44.78      |                                   |                                     | - | -                   | 0.53               | 20.22  | 23.15     | 15.79         | 0.50                | 0.21        | 0.26    |
| Server 56          | Model 1 🏦 🖼          | 22:29:49                      | 1    | 140 | 100              | 34.96          | 43.55      | -                                 |                                     |   |                     | 0.48               | 15.44  | 23.61     | 14.73         | 0.96                | 0.17        | 0.26    |
| Server 58 🗐        | Model 1 📗 🔛          | 22:29:22                      | 1    | 140 | 100              | 34.96          | 43.55      |                                   | -                                   | - | -                   | 0.48               | 15.44  | 23.61     | 14.73         | 0.96                | 0.17        | 0.26    |
| Server 57 🗐        | Model 1 🖹 🔛          | 22:29:51                      | 1    | 140 | 100              | 34.09          | 42.06      | -                                 |                                     | - |                     | 0.50               | 16.54  | 26.14     | 14.42         | 0.82                | 0.18        | 0.25    |
| Server 7 🗐         | Model 1 🎚 🔛          | 18:14:55                      | 81   | 140 | 42               | 15.51          | 20.19      |                                   | 4                                   |   | 4                   | 0.25               | 16.18  | 14.71     | 15.77         | 0.58                | 0.19        | 0.22    |
| HHpredB            | Model 1 🖹 🔛          | 00:08:59                      | 1    | 140 | 100              | 32.19          | 41.28      | -                                 |                                     | - |                     | 0.53               | 14.71  | 36.79     | 12.95         | 0.61                | 0.15        | 0.21    |
| Server 55 🗐        | Model 1 🎚 📾          | 00:35:17                      | 1    | 140 | 100              | 30.19          | 37.98      | -                                 | -                                   |   | -                   | 0.53               | 16.36  | 35.62     | 14.87         | 0.66                | 0.17        | 0.21    |
| SWISS-MODEL        | Model 1 🎚 🔛          | 00:00:14                      | 86   | 127 | 30               | 9.27           | 12.47      | -                                 |                                     |   |                     | 0.17               | 16.18  | 11.45     | 14.77         | 0.92                | 0.17        | 0.19    |
| Server 46          | Model 1 🎚 🔚          | 00:03:45                      | 86   | 127 | 30               | 9.27           | 12.47      | -                                 | -                                   | - | -                   | 0.17               | 16.18  | 11.45     | 14.77         | 0.92                | 0.17        | 0.19    |



Figure 14. The list of CAMEO-participating servers (only 15 of 20 are displayed) and their model
scores. The rightmost column displays the TMscore of submitted models. Server60 is our contact web
server.

#### 417 Study of CAMEO target 5f5pH (CAMEO ID: 2016-10-15\_00000047\_1, PDB ID: 5f5p)

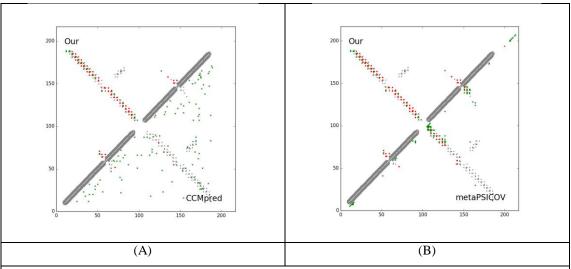
418 On October 15, 2016, our contact web server successfully folded a very hard CAMEO target (PDB ID: 5f5pH, CAMEO ID: 2016-10-15\_00000047\_1). This target is an all alpha protein 419 420 of 217 residues with four helices. Table 12 shows that our server produced a much better 421 long-range contact prediction than CCMpred and MetaPSICOV. Specifically, our contact 422 prediction has L/5 and L/10 long-range accuracy 76.7% and 95.2%, respectively, while 423 MetaPSICOV has L/5 and L/10 accuracy less than 40% and CCMpred has much smaller 424 accuracy. CCMpred has very low accuracy since this target has only ~65 non-redundant 425 sequence homologs, i.e., its Meff=65. The three methods have low L/k (k=1, 2) medium-range 426 accuracy because there are fewer than L/k native medium-range contacts while we use L/k as the 427 denominator in calculating accuracy. As shown in Fig. 15, CCMpred predicts too many false positives while MetaPSICOV predicts very few correct long-range contacts. 428

## Table 12. The long- and medium-range contact prediction accuracy of our method, MetaPSICOV and CCMpred on the CAMEO target 5f5pH.

|            | Lo    | ng-rang | e accura | асу   | Medium-range accuracy |       |       |       |  |  |  |  |
|------------|-------|---------|----------|-------|-----------------------|-------|-------|-------|--|--|--|--|
|            | L     | L/2     | L/5      | L/10  | L                     | L/2   | L/5   | L/10  |  |  |  |  |
| Our method | 0.382 | 0.602   | 0.767    | 0.952 | 0.041                 | 0.083 | 0.209 | 0.381 |  |  |  |  |

| metaPSICOV | 0.161 | 0.250 | 0.326 | 0.476 | 0.041 | 0.083 | 0.163 | 0.190 |
|------------|-------|-------|-------|-------|-------|-------|-------|-------|
| CCMpred    | 0.032 | 0.037 | 0.047 | 0.048 | 0.009 | 0.019 | 0.023 | 0.032 |

431

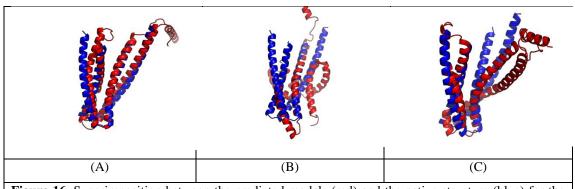


**Figure 15.** Overlap between top L/2 predicted contacts (in red and green) and the native (in grey). Red (green) dots indicate correct (incorrect) prediction. The left picture shows the comparison between our prediction (in upper-left triangle) and CCMpred (in lower-right triangle) and the right picture shows the comparison between our prediction (in upper-left triangle) and MetaPSICOV (in lower-right triangle).

432

Our submitted 3D model has TMscore 0.71 and RMSD 4.21Å. By contrast, the best of top 5 models
built by CNS from CCMpred- and MetaPSICOV-predicted contacts have TMscore 0.280 and 0.472,
respectively. Fig. 16(A) shows that our predicted model (in red) match well with the native structure
(blue), while the model from CCMpred (Fig. 16(B)) is completely wrong and the model from
MetaPSICOV (Fig. 16(C)) fails to place the 1<sup>st</sup> and 4<sup>th</sup> helices correctly. Please see
http://raptorx6.uchicago.edu/ContactMap/myjobs/80735808\_106702/ for the animated superimposition
of our model with its native structure.

440 As shown in the ranking list (see Fig. 17), all the other CAMEO-participating servers, including 441 Robetta, HHpred, RaptorX, SPARKS-X (template-based modeling), and RBO Aleph (template-based 442 and ab initio folding) only submitted models with TMscore $\leq 0.48$  and RMSD>43.82Å. A 3D model 443 with TMscore< 0.5 may have a wrong fold while a model with TMscore  $\geq 0.7$  surely has a correct fold. 444 That is, our contact server is the only one that predicted a correct fold for this target.



**Figure 16.** Superimposition between the predicted models (red) and the native structure (blue) for the CAMEO target 5f5pH. The models are built by CNS from the contacts predicted by (**A**) our method, (**B**) CCMpred, and (**C**) MetaPSICOV.

445

| Server Name | Predictions | Resp. time<br>(hh:mm:ss)<br>¢ | From<br>¢ | To<br>¢ | Cov.<br>(%)<br>© | IDDT<br>O<br>¢ | IDDT<br>Cα<br>≎ | Avg.<br>IDDT-BS<br>© | Avg. IDDT-BS<br>details 0 | QScore<br>© | QScore<br>details 6 | CAD-<br>Score | GDT_HA<br>€ | RMSD<br>0 | GDC<br>O<br>o | Model<br>Conf. (1) | MaxSub<br>© | TMScore |
|-------------|-------------|-------------------------------|-----------|---------|------------------|----------------|-----------------|----------------------|---------------------------|-------------|---------------------|---------------|-------------|-----------|---------------|--------------------|-------------|---------|
| Server 60 🗹 | Model 1 📗 🔚 | 08:54:54                      | 1         | 217     | 100              | 62.05          | 74.62           | -                    | -                         | •           | -                   | 0.66          | 34.80       | 4.21      | 46.75         | 0.50               | 0.51        | 0.71    |
| Server 55 🗐 | Model 1 🖹 🔚 | 04:57:59                      | 1         | 217     | 100              | 46.69          | 53.25           | -                    | -                         | •           | -                   | 0.67          | 36.40       | 49.95     | 37.59         | 0.58               | 0.43        | 0.48    |
| SWISS-MODEL | Model 1 🖹 🔚 | 00:00:55                      | 10        | 189     | 82               | 46.62          | 53.11           | -                    | -                         | •           | -                   | 0.66          | 36.55       | 50.09     | 37.53         | 0.60               | 0.43        | 0.48    |
| Server 54   | Model 1 🖹 🔚 | 04:33:36                      | 10        | 189     | 82               | 46.62          | 53.11           | -                    | -                         | -           | -                   | 0.66          | 36.55       | 50.09     | 37.53         | 0.60               | 0.43        | 0.48    |
| Server 46   | Model 1 🖹 🔚 | 03:38:25                      | 10        | 189     | 82               | 46.43          | 53.18           | -                    | -                         | •           | -                   | 0.66          | 36.99       | 50.09     | 37.55         | 0.58               | 0.44        | 0.48    |
| Server 48   | Model 1 📗 🔚 | 00:03:54                      | 10        | 189     | 82               | 46.43          | 53.18           | -                    | -                         | -           | -                   | 0.66          | 36.99       | 50.09     | 37.55         | 0.58               | 0.44        | 0.48    |
| Server 0    | Model 1 🖹 🔚 | 00:31:28                      | 10        | 189     | 82               | 45.68          | 53.07           | -                    | -                         | -           | -                   | 0.65          | 36.70       | 50.10     | 37.30         | 0.65               | 0.43        | 0.47    |
| Phyre2      | Model 1 🖿 🔚 | 00:36:56                      | 12        | 189     | 82               | 43.44          | 52.59           | -                    | -                         | -           | -                   | 0.66          | 36.11       | 50.40     | 37.07         | 0.50               | 0.43        | 0.47    |
| Server 19   | Model 1 🖹 🔚 | 32:52:37                      | 1         | 217     | 100              | 46.45          | 54.13           | -                    | -                         | -           | -                   | 0.65          | 30.70       | 44.65     | 33.58         | 0.60               | 0.38        | 0.46    |
| RaptorX 🗹   | Model 1 🗎 🔚 | 11:27:06                      | 1         | 217     | 100              | 43.71          | 52.40           | -                    | -                         | -           | -                   | 0.62          | 27.34       | 50.41     | 31.75         | 0.60               | 0.36        | 0.44    |
| Server 61 🗐 | Model 1 📗 🔚 | 00:08:19                      | 10        | 189     | 82               | 45.47          | 52.90           | -                    | -                         | -           | -                   | 0.66          | 31.43       | 49.27     | 31.78         | 0.56               | 0.35        | 0.43    |
| Server 64 🔲 | Model 1 🖹 🔚 | 00:22:11                      | 10        | 189     | 82               | 45.47          | 52.90           | -                    | -                         | •           | •                   | 0.66          | 31.43       | 49.27     | 31.78         | 0.56               | 0.35        | 0.43    |
| Server 65 🗐 | Model 1 📗 🔚 | 00:10:21                      | 10        | 189     | 82               | 45.47          | 52.90           | -                    | -                         | -           | -                   | 0.66          | 31.43       | 49.27     | 31.78         | 0.56               | 0.35        | 0.43    |
| Robetta 💌   | Model 1 🗎 🔚 | 22:40:39                      | 1         | 217     | 100              | 45.01          | 52.84           | -                    | -                         | •           | •                   | 0.64          | 30.41       | 43.82     | 31.63         | 0.89               | 0.35        | 0.42    |
| M4T 🗐       | Model 1 脂 💷 | 17:10:05                      | 10        | 189     | 82               | 44.45          | 52.48           | -                    | -                         | •           |                     | 0.64          | 24.56       | 48.17     | 27.62         | 0.52               | 0.29        | 0.39    |

446

Figure 17. The list of CAMEO-participating servers (only 15 of 20 are displayed) and their model
scores. The rightmost column displays the TMscore of submitted models. Server60 is our contact web
server.

450 To make sure our best model is not simply copied from the database of solved structures, we search our

best model against PDB70 created right before October 15, 2016 using our in-house structural homolog

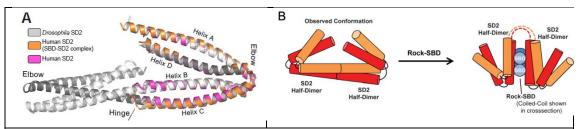
452 search tool DeepSearch, which yields two weakly similar proteins 2yfaA and 4k1pA. They have

TMscore 0.536 and 0.511 with our best model, respectively. This implies that our model is not simplycopied from a solved structure in PDB.

455 To see if there is a good template in PDB70 for this target, we ran BLAST on this target against PDB70 456 and surprisingly, found one protein 3thfA with E-value 3E-16 and sequence identity 35%. In fact, 3thfA 457 and 5f5pH are two SD2 proteins from Drosophila and Human(41), respectively. Although they are 458 homologous, they adopt different conformations and oligomerizations. In particular, 3thfA is a dimer 459 and each monomer adopts a fold consisting of three segmented anti-parallel coiled-coil(42), whereas 460 5f5pH is a monomer that adopts a two segmented antiparallel coiled-coil(41) Superimposing the Human SD2 monomer onto the Drosophila SD2 dimer shows that the former structure was located 461 462 directly in between the two structurally identical halves of the latter structure (see Fig. 18(A)). That is,

if our method predicts the contacts of 5f5pH by simply copying from 3thfA, it will produce a wrong 3Dmodel.

Since SD2 protein may have conformational change when docking with Rock SBD protein, we check if the Drosophila SD2 monomer would change to a similar fold as the Human SD2 monomer. According to(41), the Human SD2 adopts a similar fold no matter whether it docks with the Rock SBD or not. According to the paper(42), although the Drosophila SD2 dimer may have conformational change in the presence of Rock, the change only occurs in the hinge regions, but not at the adjacent identical halves. That is, even conformational change happens, the Drosophila SD2 monomer would not resemble the Human SD2 monomer (shown in Fig. 18(B)).



**Figure 18**. (A) Structure superimposition of Drosophila SD2 and Human SD2. (B) Conformation change of Drosophila SD2 in binding with Rock-SBD.

472

#### 473 Conclusion and Discussion

474 In this paper we have presented a new deep (supervised) learning method that can greatly improve 475 protein contact prediction. Our method distinguishes itself from previous supervised learning methods 476 in that we employ a concatenation of two deep residual neural networks to model sequence-contact 477 relationship, one for modeling of sequential features (i.e., sequence profile, predicted secondary 478 structure and solvent accessibility) and the other for modeling of pairwise features (e.g., coevolution 479 information). Ultra-deep residual network is the latest breakthrough in computer vision and has 480 demonstrated the best performance in the computer vision challenge tasks (similar to CASP) in 2015. 481 Our method is also unique in that we model a contact map as an individual image and predict contacts 482 of a protein simultaneously, which allows us to take into consideration high-order residue correlation. 483 By contrast, existing supervised learning methods predict if two residues form a contact or not 484 independent of the other residue pairs. Our experimental results show that our method dramatically 485 improves contact prediction, exceeding currently the best methods (e.g., CCMpred, Evfold, PSICOV 486 and MetaPSICOV) by a very large margin. Even without using any force fields, ab initio folding using 487 our predicted contacts as restraints can yield 3D structural models of correct fold for many test proteins. 488 Further, our experimental results also show that our contact-assisted models are much better than 489 template-based models built from the training proteins of our deep model. We expect that our contact 490 prediction methods can help reveal much more biological insights for those protein families without 491 solved structures and close structural homologs.

492 Our method outperforms ECA due to a couple of reasons. First, ECA predicts contacts using

493 information only in a single protein family, while our method learns sequence-structure relationship 494 from thousands of protein families. Second, ECA does not consider contact occurring patterns or 495 higher-order correlation, while our deep architecture can capture high-order residue correlation very 496 well. Our method uses a subset of protein features used by MetaPSICOV, but performs much better 497 than MetaPSICOV mainly because we explicitly model contact patterns (or high-order correlation) by 498 predicting contacts of a single protein simultaneously. MetaPSICOV predicts contacts by a 2-stage 499 approach. In the 1<sup>st</sup> stage, MetaPSICOV predicts if there is a contact between a pair of residues 500 independent of the other residues. In the 2<sup>nd</sup> stage, MetaPSICOV considers the correlation between one 501 contact and its neighboring contacts (and non-contacts), but not in a very good way. In particular, the prediction errors in the 1<sup>st</sup> stage of MetaPSICOV cannot be corrected in the 2<sup>nd</sup> stage since two stages 502 503 are trained separately. By contrast, we train all 2D convolution layers simultaneously (each convolution 504 layer is equivalent to one stage) so that later stages can correct prediction errors in early stages. In 505 addition, our deep network enables us to model much higher-order correlation than a shallow network 506 employed by MetaPSICOV and thus, make use of information in a much larger context.

507 Our deep model does not predict contact maps by simply recognizing them from PDB. We remove 508 redundancy by a rigorous criterion so that there are no training proteins with sequence identity >25% or 509 BLAST E-value <0.1 with any test proteins. Our contact-assisted models also have better quality than 510 homology models, so it is unlikely that our predicted contact maps are simply copied from the training 511 proteins. We also did one more experiment to further test this. In particular, we trained a deep model 512 using only non-membrane proteins and then test this model by the 398 membrane proteins. The 513 non-membrane proteins used in training have sequence identity <25% and BLAST E-value>0.1 with 514 the test membrane proteins, so there is little redundancy between the training and test proteins. Such a 515 model performs very well on membrane protein contact prediction even in transmembrane regions and 516 can correctly fold about half of the test membrane proteins. By contrast, if we use the training 517 non-membrane proteins to build homology models for the 398 test membrane proteins, the average 518 TMscore of the homology models is no more than 0.17, which is the expected TMscore of any two 519 randomly-chosen proteins.

520 We did a few experiments by using different combinations of input features. First of all, the 521 co-evolution strength produced by CCMpred is the most important input features. Without it, the top 522 L/10 long-range prediction accuracy may drop significantly (0.15 or more). The depth of our deep 523 model is also very important, as evidenced by the fact that our deep method has much better accuracy 524 than MetaPSICOV although we use a subset of protein features used by MetaPSICOV. We also tested a 525 deep model with 9 and 30 layers, respectively. A 9-layer and 30-layer model has top L/10 accuracy 526  $\sim 0.1$  and  $\sim 0.03$  worse than a 60-layer model, respectively. The pairwise contact potential and mutual 527 information may impact the accuracy by 0.02-0.03. The secondary structure and solvent accessibility 528 do not impact the accuracy much maybe because our deep model can implicitly learn them from 529 sequence profile.

An interesting finding is that although our training set contains only ~100 membrane proteins, our
 model works well for membrane proteins, much better than CCMpred and MetaPSICOV. Even without

using any membrane proteins in our training set, our deep models have almost the same accuracy on membrane proteins as those trained with membrane proteins (data not shown). This implies that the sequence-structure relationship learned by our model from non-membrane proteins can generalize well to membrane protein contact prediction. We are going to study if we can further improve contact prediction accuracy of membrane proteins by including many more membrane proteins in the training set.

538 We may further improve contact prediction accuracy by enlarging the training set. First, currently there 539 are more than 10,000 proteins in PDB25, so we can obtain many more training proteins by using the 540 latest PDB25. Second, in removing redundancy we may relax the BLAST E-value cutoff to 0.001 or 541 simply drop it to obtain more training proteins. This will help improve the top L/k (k=1,2,5,10) contact 542 prediction accuracy by 1-3% and accordingly the quality of the resultant 3D models by 0.01-0.02 in 543 terms of TMscore. We may also improve the 3D model quality by combining our predicted contacts 544 with energy function and fragment assembly. For example, we may feed our predicted contacts to 545 Rosetta to build 3D models. Compared to CNS, Rosetta makes use of energy function and more local 546 structural restraints through fragment assembly and thus, shall result in much better 3D models. Finally, 547 instead of predicting contacts, our deep learning model actually can predict inter-residue distance 548 distribution (i.e., distance matrix), which provides finer-grained information than contact maps and thus, 549 shall benefit 3D structure modeling more than predicted contacts.

550 Our model achieves pretty good performance when using around 60-70 convolutional layers. A natural 551 question to ask is can we further improve prediction accuracy by using many more convolutional layers? 552 In computer vision, it has been shown that a 1001-layer residual neural network can yield better 553 accuracy for image-level classification than a 100-layer network (but no result on pixel-level labeling is 554 reported). Currently we cannot apply more than 100 layers to our model due to insufficient memory of 555 a GPU card (12G). We plan to overcome the memory limitation by extending our training algorithm to 556 run on multiple GPU cards. Then we will train a model with hundreds of layers to see if we can further 557 improve prediction accuracy or not.

#### 558 Method

#### 559 Deep learning model details

560 Residual network blocks. Our network consists of two 561 residual neural networks, each in turn consisting of some 562 residual blocks concatenated together. Fig. 19 shows an 563 example of a residual block consisting of 2 convolution layers and 2 activation layers. In this figure,  $X_1$  and  $X_{1+1}$ 564 565 are the input and output of the block, respectively. The 566 laver conducts activation a simple nonlinear 567 transformation of its input without using any parameters. 568 Here we use the ReLU activation function (30) for such a 569 transformation. Let  $f(X_l)$  denote the result of  $X_l$  going through the two activation layers and the two convolution 570 571 layers. Then,  $X_{l+1}$  is equal to  $X_l + f(X_l)$ . That is,  $X_{l+1}$  is a 572 combination of X1 and its nonlinear transformation. Since 573  $f(X_l)$  is equal to the difference between  $X_{l+1}$  and  $X_l$ , f is 574 called residual function and this network called residual 575 network. In the first residual network,  $X_l$  and  $X_{l+1}$ 576 represent sequential features and have dimension L×n1 and 577  $L \times n_{l+1}$ , respectively, where L is protein sequence length

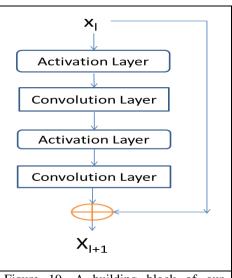


Figure 19. A building block of our residual network with  $X_1$  and  $X_{1+1}$  being input and output, respectively. Each block consists of two convolution layers and two activation layers.

578 and  $n_1$  ( $n_{1+1}$ ) can be interpreted as the number of features or hidden neurons at each position (i.e., 579 residue). In the 2<sup>nd</sup> residual network,  $X_1$  and  $X_{1+1}$  represent pairwise features and have dimension  $L \times L$ 580  $\times$  n<sub>l</sub> and L  $\times$  L  $\times$  n<sub>l+1</sub>, respectively, where n<sub>l</sub> (n<sub>l+1</sub>) can be interpreted as the number of features or hidden 581 neurons at one position (i.e., residue pair). Typically, we enforce  $n_l \le n_{l+1}$  since one position at a higher 582 level is supposed to carry more information. When  $n_l < n_{l+1}$ , in calculating  $X_l + f(X_l)$  we shall pad zeros 583 to  $X_1$  so that it has the same dimension as  $X_{1+1}$ . To speed up training, we also add a batch normalization layer (43) before each activation layer, which normalizes its input to have mean 0 and standard 584 deviation 1. The filter size (i.e., window size) used by a 1D convolution layer is 17 while that used by a 585 586 2D convolution layer is  $3\times3$  or  $5\times5$ . By stacking many residual blocks together, even if at each convolution layer we use a small window size, our network can model very long-range 587 588 interdependency between input features and contacts as well as the long-range interdependency 589 between two different residue pairs. We fix the depth (i.e., the number of convolution layers) of the 1D 590 residual network to 6, but vary the depth of the 2D residual network. Our experimental results show 591 that with ~60 hidden neurons at each position and ~60 convolution layers for the 2<sup>nd</sup> residual network, 592 our model can yield pretty good performance. Note that it has been shown that for image classification 593 a convolutional neural network with a smaller window size but many more layers usually outperforms 594 a network with a larger window size but fewer layers. Further, a 2D convolutional neural network with 595 a smaller window size also has a smaller number of parameters than a network with a larger window

596 size.

597 Our deep learning method for contact prediction is unique in at least two aspects. First, our model 598 employs two multi-layer residual neural networks, which have not been applied to contact prediction 599 before. Residual neural networks can pass both linear and nonlinear information from end to end (i.e., 600 from the initial input to the final output). Second, we do contact prediction on the whole contact map 601 by treating it as an individual image. In contrast, previous supervised learning methods separate the 602 prediction of one residue pair from the others. By predicting contacts of a protein simultaneously, we 603 can easily model long-range contact correlation and high-order residue correlation and long-range 604 correlation between a contact and input features.

- 605 Convolutional operation. Here we briefly describe the convolution procedure. All popular deep 606 learning development toolkits such as Theano (http://deeplearning.net/software/theano/) and 607 Tensorflow (https://www.tensorflow.org/) have provided an API (application programming interface) 608 for convolution so that we do not need to implement it by ourselves. There are also some good tutorials 609 about convolutional neural networks. See http://deeplearning.net/tutorial/lenet.html, 610 https://www.nervanasys.com/convolutional-neural-networks/, and paper (44) for an example. Briefly 611 speaking, a 1D convolution operation is de facto matrix-vector multiplication and 2D convolution can 612 be interpreted similarly. Let X and Y (with dimensions  $L \times m$  and  $L \times n$ , respectively) be the input and 613 output of a 1D convolutional layer, respectively. Let the window size be 2w+1 and s=(2w+1)m. The 614 convolutional operator that transforms X to Y can be represented as a 2D matrix with dimension n×s, 615 denoted as C. Each convolutional layer may have a different C, but C is protein length-independent. 616 Let X<sub>i</sub> be a submatrix of X centered at residue i  $(1 \le i \le L)$  with dimension  $(2w+1)\times m$ , and Y<sub>i</sub> be the i-th row of Y. We may calculate Y<sub>i</sub> by first flattening X<sub>i</sub> to a vector of length s and then multiplying C and 617 618 the flattened X<sub>i</sub>.
- 619 **Conversion of sequential features to pairwise features.** We convert the output of the first module of 620 our model (i.e., the 1-d residual neural network) to a 2D representation using an operation similar to 621 outer product. Simply speaking, let  $v=\{v_1, v_2, ..., v_i, ..., v_L\}$  be the final output of the first module 622 where L is protein sequence length and  $v_i$  is a feature vector storing the output information for residue *i*. 623 For a pair of residues *i* and *j*, we concatenate  $v_i$ ,  $v_{(i+j)/2}$  and  $v_j$  to a single vector and use it as one input 624 feature of this residue pair. The input features for this pair also include mutual information, the EC 625 information calculated by CCMpred and pairwise contact potential (45, 46).

Loss function. We use maximum-likelihood method to train model parameters. That is, we maximize the occurring probability of the native contacts (and non-contacts) of the training proteins. Therefore, the loss function is defined as the negative log-likelihood averaged over all the residue pairs of the training proteins. Since the ratio of contacts among all the residue pairs is very small, to make the training algorithm converge fast, we assign a larger weight to the residue pairs forming a contact. The weight is assigned such that the total weight assigned to contacts is approximately 1/8 of the number of non-contacts in the training set.

633 **Regularization and optimization.** To prevent overfitting, we employ L<sub>2</sub>-norm regularization to reduce

the parameter space. That is, we want to find a set of parameters with a small  $L_2$  norm to minimize the loss function, so the final objective function to be minimized is the sum of loss function and the  $L_2$ norm of the model parameters (multiplied by a regularization factor). We use a stochastic gradient descent algorithm to minimize the objective function. It takes 20-30 epochs (each epoch scans through all the training proteins exactly once) to obtain a very good solution. The whole algorithm is implemented by Theano (47) and mainly runs on GPU.

640 Training and dealing with proteins of different lengths. We train our deep network in a minibatch 641 mode, which is routinely used in deep learning. That is, at each iteration of our training algorithm, we 642 use a minibatch of proteins to calculate gradient and update the model parameters. A minibatch may 643 have one or several proteins. We sort all training proteins by length and group proteins of similar 644 lengths into minibatches. Considering that most proteins have length up to 600 residues, proteins in a 645 minibatch often have the same length. In the case that they do not have the same length, we add zero 646 padding to shorter proteins. Our convolution operation is protein-length independent, so two different 647 minibatches can have different protein lengths. We have tested minibatches with only a single protein 648 or with several proteins. Both work well. However, it is much easier to implement minibatches with 649 only a single protein.

Since our convolutional operation is protein length-independent, we do not need to cut a long protein into segments in predicting contact maps. Instead we predict contacts in the whole chain simultaneously. There is no need to use zero padding when only a single protein is predicted. When several proteins are predicted simultaneously, zero padding is needed to make them have the same length.

#### 655 Training and test data

We test our method using some public datasets, including the 150 Pfam families (5), the 105 CASP11 test proteins, 76 recently-released hard CAMEO test proteins (Supplementary Table 1) and 398 membrane proteins (Supplementary Table 2). For the CASP test proteins, we use the official domain definitions, but we do not parse a CAMEO or membrane protein into domains.

- Our training set is a subset of PDB25 created in February 2015, in which any two proteins share less 660 661 than 25% sequence identity. We exclude a protein from the training set if it satisfies one of the 662 following conditions: (i) sequence length smaller than 26 or larger than 700, (ii) resolution worse than 663 2.5Å, (iii) has domains made up of multiple protein chains, (iv) no DSSP information, and (v) there is inconsistency between its PDB, DSSP and ASTRAL sequences (48). Finally, we also exclude the 664 proteins sharing >25% sequence identity or having a BLAST E-value <0.1 with any of our test proteins. 665 666 In total there are 6767 proteins in our training set, from which we have trained 7 different models. For 667 each model, we randomly sampled ~6000 proteins from the training set to train the model and used the 668 remaining proteins to validate the model and determine the hyper-parameters (i.e., regularization
- 669 factor). The final model is the average of these 7 models.

#### 670 **Protein features**

We use similar but fewer protein features as MetaPSICOV. In particular, the input features include 671 protein sequence profile (i.e., position-specific scoring matrix), predicted 3-state secondary structure 672 673 and 3-state solvent accessibility, direct co-evolutionary information generated by CCMpred, mutual 674 information and pairwise potential (45, 46). To derive these features, we need to generate MSA 675 (multiple sequence alignment). For a training protein, we run PSI-BLAST (with E-value 0.001 and 3 676 iterations) to search the NR (non-redundant) protein sequence database dated in October 2012 to find 677 its sequence homologs, and then build its MSA and sequence profile and predict other features (i.e., 678 secondary structure and solvent accessibility). Sequence profile is represented as a 2D matrix with 679 dimension  $L \times 20$  where L is the protein length. Predicted secondary structure is represented as a 2D 680 matrix with dimension  $L\times3$  (each entry is a predicted score or probability), so is the predicted solvent 681 accessibility. Concatenating them together, we have a 2D matrix with dimension  $L\times 26$ , which is the 682 input of our 1D residual network.

683 For a test protein, we generate four different MSAs by running HHblits (38) with 3 iterations and 684 E-value set to 0.001 and 1, respectively, to search through the uniprot20 HMM library released in 685 November 2015 and February 2016. From each individual MSA, we derive one sequence profile and 686 employ our in-house tool RaptorX-Property (49) to predict the secondary structure and solvent 687 accessibility accordingly. That is, for each test protein we generate 4 sets of input features and 688 accordingly 4 different contact predictions. Then we average these 4 predictions to obtain the final 689 contact prediction. This averaged contact prediction is about 1-2% better than that predicted from a 690 single set of features (detailed data not shown). Although currently there are quite a few packages that 691 can generate direct evolutionary coupling information, we only employ CCMpred to do so because it 692 runs fast on GPU (4).

#### 693 **Programs to compare and evaluation metrics**

We compare our method with PSICOV (5), Evfold (6), CCMpred (4), plmDCA, Gremlin, and 694 695 MetaPSICOV (9). The first 5 methods conduct pure DCA while MetaPSICOV employs supervised 696 learning. MetaPSICOV (9) performed the best in CASP11 (31). CCMpred, plmDCA, Gremlin perform 697 similarly, but better than PSICOV and Evfold. All the programs are run with parameters set according 698 to their respective papers. We evaluate the accuracy of the top L/k (k=10, 5, 2, 1) predicted contacts where L is protein sequence length. The prediction accuracy is defined as the percentage of native 699 700 contacts among the top L/k predicted contacts. We also divide contacts into three groups according to 701 the sequence distance of two residues in a contact. That is, a contact is short-, medium- and long-range 702 when its sequence distance falls into [6, 11], [12, 23], and  $\geq 24$ , respectively.

#### 703 Calculation of Meff

Meff measures the amount of homologous information in an MSA (multiple sequence alignment). It can be interpreted as the number of non-redundant sequence homologs in an MSA when 70% sequence identity is used as cutoff. To calculate Meff, we first calculate the sequence identity between any two

proteins in the MSA. Let a binary variable  $S_{ij}$  denote the similarity between two protein sequences i and j.  $S_{ij}$  is equal to 1 if and only if the sequence identity between i and j is at least 70%. For a protein i, we calculate the sum of  $S_{ij}$  over all the proteins (including itself) in the MSA and denote it as  $S_i$ . Finally, we calculate Meff as the sum of  $1/S_i$  over all the protein sequences in this MSA.

### 711 **3D model construction by contact-assisted folding**

712 We use a similar approach as described in (11) to build the 3D models of a test protein by feeding 713 predicted contacts and secondary structure to the Crystallography & NMR System (CNS) suite (32). 714 We predict secondary structure using our in-house tool RaptorX-Property (49) and then convert it to 715 distance, angle and h-bond restraints using a script in the Confold package (11). For each test protein, 716 we choose top 2L predicted contacts (L is sequence length) no matter whether they are short-, medium-717 or long-range and then convert them to distance restraints. That is, a pair of residues predicted to form a contact is assumed to have distance between 3.5Å and 8.0Å. In current implementation, we do not use 718 719 any force fields to help with folding. We generate twenty 3D structure models using CNS and select top 5 models by the NOE score yielded by CNS(32). The NOE score mainly reflects the degree of violation 720 721 of the model against the input constraints (i.e., predicted secondary structure and contacts). The lower 722 the NOE score, the more likely the model has a higher quality. When CCMpred- and MetaPSICOV-predicted contacts are used to build 3D models, we also use the secondary structure 723 724 predicted by RaptorX-Property to warrant a fair comparison.

#### 725 Template-based modeling (TBM) of the test proteins

To generate template-based models (TBMs) for a test protein, we first run HHblits (with the UniProt20\_2016 library) to generate an HMM file for the test protein, then run HHsearch with this HMM file to search for the best templates among the 6767 training proteins of our deep learning model, and finally run MODELLER to build a TBM from each of the top 5 templates.

#### 730 Author contributions

J.X. conceived the project, developed the algorithm and wrote the paper. S.W. did data preparation and
analysis and helped with algorithm development and paper writing. S.S. helped with algorithm
development and data analysis. R.Z. helped with data analysis. Z.L. helped with algorithm
development.

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