Transition from environmental to partial genetic sex determination in *Daphnia* through the evolution of a female-determining incipient W-chromosome.

Céline M.O. Reisser^{1,2,3,*}, Dominique Fasel², Evelin Hürlimann², Marinela Dukic⁴, Cathy Haag-Liautard², Virginie Thuillier², Yann Galimov⁵, and Christoph Haag^{1,2}.

July 26, 2016

¹Centre d'Ecologie Fonctionnelle et Evolutive CEFE UMR 5175, CNRS Université de Montpellier Université Paul-Valéry Montpellier EPHE, campus CNRS, 1919, route de Mende, 34293 Montpellier Cedex 5, France.

²Université de Fribourg, Ecology and Evolution, Ch. du Musée 10, 1700 Fribourg, Switzerland.
³IFREMER Centre du Pacifique, UMR 241 EIO, Labex CORAIL, BP 49, 98719 Taravao, Tahiti, Polynésie Française.

⁴Universität Basel, Zoology Institute, Evolutionary Biology, Vesalgasse 1, 4051 Basel, Switzerland. ⁵Koltsov Institute of Developmental Biology RAS ul. Vavilova 26, 119334 Moscow, Russia.

*Corresponding author: Celine.Reisser@ifremer.fr

Running title: Partial genetic sex determination in *D. magna*.

Keywords: sex determination, sex chromosome, turnovers, gynodioecy, male sterility mutation, $Daphnia\ magna.$

ABSTRACT

Sex chromosomes can appear through the evolution of genetic sex determination (GSD) from hermaphroditism or environmental sex determination (ESD). However, despite their extensive theoretical description, the early mechanisms involved in the transition from ESD to GSD have yet to be observed in nature, as no mixed ESD-GSD species has been reported in the literature and studied on the molecular level. Here, we focused on Daphnia magna, a small freshwater crustacean in which sex is determined solely by the environment, but for which a dominant female sex-determining locus segregates in multiple populations. We found that the sex determining genomic region shares a common location in all populations studied, in the peri-centromeric region of linkage group 3, in a region with reduced but non-zero recombination. The region also harbors numerous genes known to be involved in female and male sex determination/differentiation in other 11 taxa, including transformer 2 and sox9, as well as genes involved in chromatin remodeling. Overall, 12 our results suggest that D. maqna has evolved an incipient W chromosome. In addition, the occur-13 rence of the sex-determining mutation in an area of pre-existing low recombination contributes to 14 the debate on the degree of involvement of sexually antagonistic selection in early stages of recombination suppression in sex chromosomes. As such, D. magna represents the first animal species 16 for which transition from ESD to GSD is evidenced at the genetic level in multiple populations, 17 and could serve as a model to empirically study the role of selective forces in the early stages of sex chromosome evolution.

Introduction

Sex chromosomes have evolved multiple times in many taxa, and comparative genomics have re-21 cently highlighted that sex chromosomes of distant species may share a common evolutionary origin, while isolated populations of the same species may have evolved unique sex chromosome systems 23 independently (Miura et al. 2008; Pokorná and Kratochvíl 2009; Stöck et al 2011; Tree of Sex Consortium 2014). Two evolutionary routes are thought to lead to the birth of sex chromosomes. 25 The first one consists in evolving separate sexes from hermaphroditism, which is believed to be the 26 major route in plants (Charlesworth and Mank 2010). In theory, this transition occurs through the emergence of a sex-sterility mutation on an autosome which prevents a hermaphrodite individual 28 from reproducing via this sex (usually, the male). These individuals thus become genetically determined females, and they co-occur with fully functional hermaphrodites to form a mixed breeding 30 system called gynodioecy, found rather frequently in plants (Charlesworth and Charlesworth 1978; 31 Charlesworth and Mank 2010). The mutation will be favoured if it has an intrinsic selective advantage, for example due to obligate outcrossing (whether obligate outcrossing is advantageous will 33 depend on the selfing rate and inbreeding depression), if the sex-ratio is biased toward the making of one sex, or if there is a fitness disadvantage to investing resources in both male and female 35 functions compared to investing in only one sex (Charlesworth and Charlesworth 1978; Innes and Dunbrack 1993). Additional mutations occurring around the sex determining locus may arise and be favored if their effects are sex-antagonistic (i.e., if they have a negative effect on performance of the non genetically determined sex, but a positive effect on the genetically determined sex; Ellegren 2011). Similarly, mutated alleles benefiting males (and deleterious in females) will be selected for 40 if they occur on the homologous sex-determining genomic region (the region corresponding to the 41 sex determining region but on the homologous chromosome). The extreme case of such a mutation is a female-sterility mutation occurring on the homologous chromosome, which may for instance 43 be favoured due to sex-ratio selection. Since recombination would shuffle these mutations into the opposite background, closer linkage will be favored in this region (Bull, 2006) which may lead to 45 a strong positive selection for complete linkage through suppression of recombination. Eventually, this may result in a system, in which both sexes are determined genetically, and in which each homologous chromosome (now called proto-sex chromosomes) contain linked genes that determine

the two sexes. The second route towards evolving sex chromosomes is thought to occur through evolution of genetic sex determination (GSD) from environmental sex determination (ESD). This is the hypothesized 51 prominent evolutionary route in animals, as hermaphrodites are rarer than in plants (Jarne and Auld 2006; Charlesworth and Mank 2010) and because ESD may be the ancestral state in several major animal groups (Ohno 1967; Pokorná and Kratochvíl 2016). The evolutionary transition from ESD to GSD may be gradual, for instance due to a shift in genotype-specific thresholds for male vs. 55 female development under fluctuating environmental conditions (Van Dooren and Leimar 2003). However, the classic theory of these transitions posits a scenario resembling that of the transition from hermaphroditism to separate sexes: If a female-determining mutation occurs in a population 58 with pure ESD, this leads to a mixed sex determination system (partial GSD), in which some individuals (the carriers of the female -determining mutation) have a genetically determined sex, 60 while others have ESD (the route through an initial male-determining mutation is also possible). The mutation will be favoured if it optimizes the sex ratio of the population (for example if the 62 mutation occurs after a shift in environmental conditions, favouring the differentiation of one sex 63 over the other (Edwards 1998) or if it has some other intrinsic advantage. The evolution toward the occurrence of a pair of proto-sex chromosome is then identical to that described above. 65 Whereas the general theory on the evolution of sex chromosomes is widely accepted, the early stages of the transition, especially the sequence of steps and the evolutionary forces involved in 67 the accumulation of further sex-specific mutations and/or mutations determining the other sex as well as the evolution of reduction/suppression of recombination remain poorly understood (Wright et al. 2016). Insight into these questions can be obtained from studying intermediate systems 70 such as gynodioecy or partial GSD. Prior research has mainly focused on gynodioecy. However, in almost all extant gynodioecious species, gynodioecy is controlled by cyto-nuclear interactions (e.g., an interaction between mitochondrial male sterility mutations and nuclear restorer genes (McCauley and Bailey 2009; Beukeboom and Perrin 2014) rather than being under full nuclear control, as described in the theory of sex chromosome evolution. Only a few species appear to have 75 pure nuclear control (Kohn 1988; Connor and Charlesworth 1989; Weller and Sakai 1991; Spigler et al. 2008). To date, no animal species in an intermediate state of transition from ESD to GSD has been reported and studied at the molecular level. Such a species would provide an extremely

useful model to study sex chromosome evolution in animals, especially if partial GSD was under full nuclear control. However, the theoretically described rapid nature of the transition from ESD to GSD (Pokorná and Kratochvíl 2009) may explain why no such system has yet been studied in 81 detail. Here, we describe the genomic basis of partial GSD and initial stages of an incipient sex chromo-83 some evolution in a small crustacean species, Daphnia magna, in which pure ESD individuals are co-occurring with genetically determined females. Most Daphnia species are cyclical parthenogens 85 (phases of clonal reproduction with live born offspring, interspersed with by sexual production 86 of diapause stages). Sex determination is usually environmental: Depending on environmental 87 triggers (which vary among populations (Roulin et al. 2013), the mother may emit a juvenile 88 hormone, which induces male development of the clonal offspring present in the ovaries (Olmstead and Leblanc 2002). Male production can also be artificially induced by adding hormone analogs 90 to the culture medium (Olmstead and Leblanc 2002). However, certain strains of Daphnia species never produce males, neither under natural conditions nor when artificially exposed to hormone 92 (Innes and Dunbrack 1993; Tessier and Caceres 2004; Galimov et al. 2011). Hence, these strains 93 contribute to the production of diapause stages only via their female function. The trait segregates in a manner corresponding to single locus (or single region) Mendelian inheritance with a dominant 95 female-determining allele W. Heterozygous individuals (ZW genotypes) are genetically determined females (called non male producers NMP phenotypes), and homozygous individuals (ZZ genotypes) are normal cyclical parthenogens with ESD (i.e., participating in the production of diapause stages either through male or female function, called male producers, MP phenotypes; Galimov et al. gq 2011). 100 We first performed classical linkage mapping using three different test crosses involving individuals from populations with divergent mitochondrial lineages, to locate and characterize the female 102 sex-determining region, and to test if the same region(s) is/are responsible for the NMP phenotype 103 in these different lineages. Using different divergent mitochondrial lineages was relevant because 104 the W allele and the mitochondria are transmitted maternally, and hence the presence of NMP 105 in divergent mitochondrial lineages could potentially be due to parallel evolution (Galimov et al. 106 2011). Second, we used RAD-sequencing to carry out an association analysis in a random sample 107 of NMP and MP individuals from a single natural population. To corroborate the role of identified regions(s) in sex determination/differentiation, we searched for the presence of potential candidate
genes involved in sex determination/differentiation using resources of the *Daphnia* Genomics Consortium (DGC; http://daphnia.cgb.indiana.edu/). To identify signatures of early sex chromosome
evolution, we investigated levels of differentiation between NMP and MP individuals in the sex
determining region(s), and looked for evidence for recombination reduction using linkage mapping
and linkage disequilibrium analyses.

• Results

115

117

Linkage mapping of the NMP-determining region with microsatellites markers

We found thirteen markers in the cross NMPxMP_1 and thirteen markers in the cross NMPxMP_2 118 (partly overlapping) that were significantly linked with the NMP phenotype or showed significant 119 linkage to one of the other linked markers. Eleven of these markers within each cross were successfully genotyped in <70% of offspring and were thus used for mapping (S1 Table). These 121 markers were found to span regions of a total map length of 68 cM and 87 cM in NMPxMP_1 and 122 NMPxMP_2, respectively, corresponding to a part of the linkage group 3 (LG3) of the MPxMP 123 mapping cross (Fig. 1). In the NMPxMP 1 cross, three markers were in full linkage with the 124 NMP phenotype, and in the NMPxMP_2 cross, five markers were in full linkage with the NMP phenotype. Only two of these markers were genotyped also in the NMPxMP 3 cross, and they 126 equally showed full linkage to the NMP phenotype (the third tested marker in that cross was not 127 polymorphic and could thus not be assessed). In all three crosses, these fully linked markers map 128 to the same region between cM-positions 87.8 and 94.0 on the genetic map, which we called NMP 129 genomic region (Fig. 1), and which also contains the centromere at 90.8cM. 130 Microsatellite loci based rates of recombination (as assessed by genetic distance) between adjacent, 131 but not fully linked markers tended to be somewhat lower in the NMPxMP crosses compared to 132 the MPxMP cross of the genetic map (Fig. 1, Table 1). These reductions in genetic map distance 133 around the NMP region were significant for two intervals in each of the two crosses. When mapped 134 on a Marray map of the LG3 chromosome (from a SNP based genetic map of a MPxMP cross), 135 we see that the NMP genomic region itself contains a large non-recombining region around the 136

centromere, and extends also to the peri-centromeric regions (Fig. 2). The NMP region is further
characterized by relatively short scaffolds in the 2.4 assembly of the draft genome of *D. magna*.
This is likely indicative of a high abundance of repetitive elements (complicating the assembly).
This is in stark contrast to the long scaffolds in the second non-recombining region on this linkage
group (Fig. 2). This second non-recombining region remains unexplained, although may likely an
artifact from the crosses used for the mapping.

Association mapping of SNPs in the NMP region, differentiation, and heterozygosity levels

The genome-wide association analysis using RAD-sequencing revealed 43 SNPs that were signifi-

143

146

164

cantly (FDR <10⁻⁵) associated with the NMP phenotype (Fig. 3a, S3 Table). Of these, 36 mapped to LG3 between cM-positions 72.3 and 95.7 of the genetic map, which extends a bit outside of the 148 previously defined NMP genomic region (Fig. 3b). Additionally, five SNPs mapped to two scaffolds 149 on LG1, and one SNP to each of LG2 and LG4 (Table 2; Fig. 3). The number of associated SNPs 150 per scaffold was not correlated to scaffold size (Pearson's correlation coefficient $r^2 = -0.117$). When 151 plotted on a physical scale, the main association on LG3 is distributed between 5.4Mb and 9Mb of the physical map inferred by LD-mapping (Fig. 4). The 36 significantly associated SNPs of 153 LG3 were distributed across 15 scaffolds, with a combined length of 2.42 Mb. Thus, even if we do 154 not have the correct physical map, there is strong evidence that significantly associated SNPs are 155 distributed across a large proportion of LG3 (22% of the total size of LG3 in bp). 156 Strong differentiation between NMP and MP individuals, as assessed by F_{ST} , occurred in the NMP genomic region, with values as high as F_{ST} =0.7 (Fig. 4). Levels of heterozygosity in the NMP 158 region were also higher for NMP individuals than for the MP individuals ($\xi = 0.50$ and $\xi = 0.33$ 159 respectively, P<0.0001; Figure 4.b), while in the rest of the genome, there was no difference in 160 heterozygosity (t = 0.34 and t = 0.32 respectively, P = 0.149) indicating that the heterozygosity dif-161 ference in the NMP region is not an artifact of a general difference at the genome scale. However, four MP individuals carried only half of the average population heterozygosity (S9 Figure). 163

Linkage disequilibrium and recombination in the NMP region

172

173

174

The pattern of linkage disequilibrium among the 36 associated SNPs on LG3 is very strong (Fig. 5a showing only the LD r² among the 36 SNPs). However, these associated SNPs are separated by regions were other SNPs show low or no association with the NMP phenotype (Fig. 5b). In agreement with results from the LD analysis, the four-gamete tests showed the presence of four gametes (i.e. recombination) in 37 pairs of adjacent polymorphic sites, implying the occurrence of recombination among the NMP and the MP haplotypes (Fig. 4d).

SNP effect and identification of candidate genes involved in sex determination

Of the 283 protein sequences BLASTed, 184 returned a BLAST result. Of these, 39 were described

as hypothetical proteins in the D. pulex draft transcriptome DAPPUDRAFT but did not reliably 175 match any proteins in the databases. The remaining 145 sequences returned a BLAST result, among 176 which 121 (82%) had a top hit on Daphnia pulex or Daphnia magna sequences. The remaining 177 sequences had top hits on various arthropods (15 sequences), and invertebrates (ten sequences). Among the genes annotated, the three most represented molecular functions were ion binding, hy-179 drolase activity and transferase activity (Fig. 6). The top three cellular components were cell, cell 180 part, and organelle. The top three biological processes were cellular process, metabolic process, 181 and single-organism process (Fig 6.). 182 When cross referencing the 145 genes annotated with the NCBI list of 601 genes known to be involved in sex determination or sex differentiation in other invertebrates, we identified 14 can-184 didate genes (Table 3). These genes include splicing factors (transformer 2, serine-arginine rich 185 splicing factor 7, Half Pint), transcription factors (sox9-like), and genes involved in hormonal 186 pathways (zip9, zip11, Broad complex, aldo-keto reductase). In addition, a few genes involved in 187 methylation/demethylation activity (lysine-specific histone demethylase, histone deacetylase) are also present in the region. The 14 genes are located on 6 different scaffolds, with a single scaffold 189 (scf02569) harboring eight of these genes (scf00848 and scf02003 harboring two genes each, and scf00027, scf02723 and scf03156 harboring one gene each). 191

Within the NMP region, nine of the 176 SNPs identified were located in genes within which the

exon-intron model could not be resolved (likely errors of assembly or gene structure definition), 69 193 were located in intergenic regions, 17 in 5'UTR regions, 8 in 3' UTR regions, 19 in introns, and 53 194 within a gene (S2 Table). Of those 53 SNPs, 23 corresponded to synonymous mutations, while 30 195 corresponded to non-synonymous mutations. One SNP located on scaffold02003 in position 98755 196 introduced a stop codon in the gene (the gene was annotated as hypothetical protein from the 197 D. pulex draft genome). In total, 32 of the 53 coding SNPs fell in non-annotated genes labelled Hypothetical protein of the D. pulex transcriptome, and only one significantly associated SNP in-199 duced a non-synonymous mutation in a candidate gene identified above: the SNP at position 4433 200 on scf03156, inducing a change from Valine to Leucine in the lysine specific histone demethylase. 201 Note, however, that RAD-sequencing covers only a small fraction of the regions (here 154745 loci 202 were mapped, with reads of 95bp, representing an estimated 6.12% of the genome). Hence, ad-203 ditional non-synonymous SNPs may be present in non-sequenced parts of the candidate genes or 204 other genes in the region.

6 Discussion

The results of our study indicate that D. magna has an incipient sex chromosome showing a high resemblance to an incipient ZW system (Beukeboom and Perrin 2014; Bachtrog et al. 2014). Hence, 208 D. magna represents an ideal model of sex chromosome evolution through the transition from ESD 209 to GSD. The classical genetic mapping as well as the GWAS results strongly suggests that the 210 NMP phenotype is determined by a single, large genomic region located on LG3. The NMP region 211 is highly heterozygous in NMP females, and is highly differentiated from its homologous region in the MP individuals. The contribution of the few significantly linked SNPs on other linkage groups 213 is unclear. It is possible that these regions are in linkage disequilibrium with the major region on 214 LG3 due to pleiotropic effects. However, it is also possible that they are explained by errors in the 215 genetic map or in the genome assembly (i.e., they may in fact be within the NMP-region on LG3). 216 The same region on LG3 was consistently associated with NMP in all three crosses with divergent 217 mitochondrial lineages (Galimov et al. 2011). This indicates either a single evolutionary origin of 218 NMP in D. magna or parallel evolution involving repeatedly the same genomic region. A single 219 evolutionary origin of NMP in D. magna would indicate that the female-determining mutation is

old. The mitochondrial haplotypes of the females used in the three crosses span almost the en-221 tire known divergence present in the species today, and, due to exclusive maternal transmission, 222 both mitochondria and the female-determining mutation are co-inherited (Galimov et al. 2011). 223 The alternative explanation of rare paternal transmission of mitochondria or of transmission of the 224 female-determining mutation through rare males cannot be ruled out, but is unlikely (Galimov et al. 225 2011; Svendsen et al 2015). Parallel (convergent) evolution remains a distinct possibility. Indeed, 226 the NMP region contains multiple genes involved in sex determination and sex differentiation. It is 227 possible that several of these genes represent mutational targets for NMP-inducing mutation, and 228 hence the exact mutation may not be the same from one population to the next. Moreover, the 229 NMP phenotype has also been described in D. pulex (Innes and Dunbrack 1993) and in D. pulicaria 230 (Tessier and Caceres 2004). Considering that an estimated 150 MYA separates D. magna and D. 231 pulex (Kotov and Taylor 2011), parallel evolution of the NMP phenotype appears to be the most 232 parsimonious explanation, at least at the among-species level. 233 The NMP region mapped to the peri-centromeric region of LG3, which has a low recombination 234 rate not only in the heterogametic sex, but also in the homogametic sex (Duki et al. unpublished), 235 as is typical for peri-centromeric regions. This location implies that the recombination suppression 236 around the sex determining locus cannot entirely be attributed to the events that took place af-237 ter the occurrence of the sex determining mutation (e.g., progressive restriction of recombination following accumulation of further mutations with sex-specific effects, through sex antagonistic se-239 lection). As noted by Ironside (2010), sex-antagonistic selection is not the only evolutionary process 240 that can lead to a reduction in recombination. Indeed low-recombination regions also occur on au-241 tosomes, for instance due to inversions, proximity to the centromere or the presence of supergenes 242 (Hoffman and Riesberg 2008; Ironside 2010). If a new sex-determining mutation occurs in such a pre-existing, low-recombination region, this could favor the evolution of proto-sex chromosomes, by 244 rendering it more likely that, from the outset, several potential target loci for additional mutations 245 with sex-antagonistic effects are linked to the locus at which the initial sex-determining mutation 246 occurs To our knowledge, the only other species in which a sex-determining mutation has been 247 found in the peri-centromeric region of a chromosome is papaya (Yu et al. 2007). In D. magna, our work shows that this chromosomal location contributes to the low levels of recombination of the 249 sex-determining region, suggesting that at least a part of the reduced recombination level (com-

pared to other parts of the genome) is not attributable to sex-antagonistic selection occurring after 251 the establishment of the sex-determining mutation. However, sex-antagonistic selection might still 252 have contributed to further reducing the recombination rate around the region as our results suggest 253 that recombination (i.e., genetic map length of the region) was further reduced in the MP x NMP 254 crosses (i.e., specifically in ZW meiosis compared to ZZ meiosis; Charlesworth 1991). Under this 255 scenario, multiple loci would be expected to contribute to the extended NMP phenotype (i.e. not 256 only determine the female sex, but be involved in the expression/fitness of the female phenotype 257 or enhance maleness of the ZZ individuals) in the NMP region. We indeed have identified many 258 NMP-associated SNPs continuously distributed across 3Mb, in strong linkage within NMP, and 259 separated by genomic areas in which we found evidence for recombination between the Z and W 260 chromosome (either due to low historical crossover recombination, or gene conversion, leading to 261 reduced levels of LD in-between the strongly associated regions). We also found many genes known 262 to be involved in sex determination/sex differentiation in other taxa. Overall, this suggests that the sex-determining mutation may be surrounded by other loci with sex-specific beneficial alleles 264 that are positively selected when occurring with it (maybe negatively selected in a MP genetic 265 background). However, we cannot exclude other possibilities for the additional reduction in recom-266 bination in NMP individuals, such as localized chromosomal inversions. 267 The NMP region on LG3 contains multiple genes that are known to be involved in sex determination and differentiation in other taxa. Here we identified some of the usual key players involved 269 in the regulation of the sex-determining cascade, such as transcription factors, post-transcriptional 270 regulators and genes controlling the activation/inactivation of sex hormones/pheromones. How-271 ever, we did not find a gene that shows an exclusive role in sex determination, such as doublesex 272 (dsx), the terminal effector of the male sex determining cascade in all insects investigated to date (Salz 2011; Beukeboom and Perrin 2013) as well as in D. magna (Kato et al. 2011). This indicates 274 that the NMP mutation does not impact the terminal effector of the sex determining/differentiating 275 cascade in D. magna. Interestingly, the splicing regulator involved in the control of the sex-specific 276 splicing of dsx was found on scf02569: $transformer\ 2\ (tra2)$. Tra2 is part of the spliceosome that is 277 required to regulate female-specific splicing and polyadenylation of dsx pre-mRNA. The absence of tra2 during the splicing of the D. magna's dsx might then drive the embryo to develop as female. 270 Scf02569 also harbors the transcription factor sox9, which acts to inactivate the female differen-

tiation pathway and promote spermatogenesis in males in mammals, hence it is conceivable that 281 a mutation in this gene might lead to a loss of male function. Other genes on the same scaffold 282 are involved in sex-specific endocrine signaling pathways (zip9 membrane androgen receptor, the 283 Broa- complex; Karim et al. 1993), as well as a member of the aldo-keto reductase family (Penning 284 et al. 2000). Although significantly associated SNPs were found across a large (3Mb) region, the 285 400 kb long scaffold02569 contains about 25% of the significant SNPs (among which some of most 286 strongly associated ones), as well as eight of the 14 candidate genes identified in this study, hence 287 making it a strong candidate for a central role in the NMP phenotype. 288 The reasons underlying the maintenance of the NMP mutation through time remain unclear. A 280 model that was specifically developed for *Daphnia* suggests that the mutation could be maintained 290 if there was a fitness cost of within-clone mating (Innes and Dunbrack 1993). Inbreeding depres-291 sion in Daphnia is known to be strong (Lohr and Haag 2015). In our sample set, we identified 292 four individuals that were likely first generation offspring of within-clone mating of an MP clone (genetically identical to self fertilization). This represented 5.7% of our sampled population, and 294 highlights the strong occurrence of inbreeding in Daphnia, which could explain an intrinsic ad-295 vantage of NMP due to obligate outcrossing. Despite the mutation being maintained and despite 296 this mutation likely inducing selection for a more even sex ratio (Innes and Dunbrack, 1993), an 297 evolution towards full GSD might be hindered by the very nature of the reproductive mode of D. magna. Under cyclical parthenogenesis, individuals hatching from resting eggs are all females, and 299 undergo several cycles of parthenogenetic reproduction before switching to sexual reproduction. For 300 a complete GSD system to evolve in Daphnia, a mutation preventing MP individuals to produce 301 haploid sexual egg should occur, followed by all the molecular changes necessary reshaping of the 302 developmental processes to obtain both male and females hatching from the resting stages. Once males and female hatch from resting eggs, additional mutation(s) would be necessary to obtain the 304 production of haploid eggs that do not depend on environmental cues. This chain of events seems 305 highly unlikely, and, therefore, partial GSD is may be evolutionary stable in *Daphnia*. However, 306 even with stable partial GSD, MP clones in mixed populations may still evolve male specializa-307 tion to a certain degree compared to pure ESD population, due to sex-ratio selection during the sexual reproduction phase. This could favor the accumulation of male-positive recessive alleles on 300 the Z-homolog of the NMP region, to limit genomic conflict between the genetic females and the 310

specialized male producers.

12 Conclusion

Here, we described for the first time the genetic evidence for an animal system representing an intermediate step in the evolution from ESD to GSD. The specific chromosomal location of the female 314 sex-determining region calls suggests a possible role of pre-existing low levels of recombination in 315 the early evolution of sex chromosomes. Many genes acting as key players in sex determination and 316 differentiation in other taxa have been found in the sex determining region, and the genes on the 317 400kb scaffold02569 are a particularly likely candidates for genes containing the sex determining mutation. Although the system may never evolve into a full GSD system, further steps of sex chro-319 mosome evolution may occur due to male due to sex-ratio selection favoring male specialization of 320 the remaining ESD individuals. Together with the amenability of the life history for experimental 321 approaches (e.g., short generation time; production of high numbers of individuals, standardized 322 breeding conditions for experimental testing) this makes Daphnia an excellent experimental model for research on the early evolutionary events shaping animal sex chromosomes. 324

325 Material and Methods

Linkage mapping of the NMP-determining region

In order to map the genomic region responsible for the NMP phenotype, we performed experimen-327 tal crosses between known NMP and MP genotypes. Microsatellite distributed across the genome, 328 were used to investigate the parental lines. Markers that were heterozygous in the NMP mother and for which, at the same time, the father genotype differed from that of the mother were geno-330 typed in the offspring. For all these markers, it could unambiguously be determined which of two 331 maternal alleles was inherited by a given offspring. Hence, linkage to the NMP-determining region 332 could be assessed, by assaying co-transmission of maternal alleles with the phenotype, which is 333 determined by a heterozygous locus or region (ZW genotype). Before genotyping, the offspring 334 were phenotyped using the juvenile hormone Methyl Farnesoate, which triggers the production of 335 males in MP strains but not in NMP strains of Daphnia (Galimov et al. 2011).

337

Three crosses were investigated, involving NMP females with strongly divergent mitochondrial All three crosses involved outcrossing between two populations to ensure that a 338 sufficient number of markers had different genotypes between fathers and mothers. One of the 339 crosses also involved a NMP mother that was already a hybrid between two populations (in order to maximize heterozygosity). Specifically, the first cross called NMPxMP 1 involved a NMP 341 female from Volvograd, Russia (N48ř31'48.00", E44ř29'13.00") and a male from Orog-Nur, Mongolia (N45ř1'57.75", E100ř39'37.73") as well as 66 of their F1 offspring. The second cross (NM-343 PxMP 2) used a hybrid NMP female (produced by crossing a NMP female from Moscow, Russia, N55ř45'48.65", E37ř34'54.00", with a male from Orog-Nur) and a male from Vääränmaanruskia, 345 Finland (N60ř16'17.82", E21ř53'46.74"), as well as 54 of their offspring. The third cross (NM-346 PxMP_3) involved an NMP female from Yakutsk, Russia (N61ř57'50.57", E129ř37'51.44") and a male from Rybnoye, Russia (N56ř25'30.01", E37ř36'9.62") and 22 of their offspring. 348 Phenotyping methods followed the hormone test as described in Galimov et al. (2011). Microsatellite loci were amplified using the M13-protocol (Schuelke 2000): For each locus, unlabeled forward 350 and reverse primers were used together with fluorescently labelled, universal M13 primer. The 351 forward primer consisted of a locus-specific part as well as an overhang complementary to M13. 352 PCR reactions were carried out using the Type-it Microsatellite PCR Kit (Qiagen) according to 353 the manufacturer's protocol with an annealing temperature of 60°C. After 22 cycles, the annealing temperature was lowered to 53°C for another 20 cycles in order to allow for proper M13 annealing. 355 The resulting PCR product was diluted four times and mixed with a LIZ5000 size ladder (Applied 356 Biosystems). Samples were genotyped using ABI 3730 capillary sequencer and GENEMAPPER 357 software v. 3.0 (Applied Biosystems). A total of 81 microsatellite loci (S1 Table) were tested in the 358 parents. Of these, 60 were polymorphic in one or both parents and thus genotyped in the offspring (47 in NMPxMP 1 and 21 in NMPxMP 2, partially overlapping). Linkage to the NMP pheno-360 type was assessed with a Fisher's Exact tests (two-tailed). Some of the markers were specifically 361 designed in regions for which linkage to the NMP phenotype was suspected based on information 362 from an earlier version of the genetic map (Routtu et al. 2010; Routtu et al. 2014) and the initial 363 finding of weak but significant linkage of one marker (dm_scf00243_208642) in the NMPxMP_1 cross. Therefore the markers do not represent a random sample throughout the genome. The 365 NMPxMP 3 cross was done at a later stage, and thus was only used as a validation for the results

obtained with the previous two crosses. As such, only three loci closely linked to NMP in the first two crosses were also genotyped in the offspring from this cross. 368 Linkage mapping of the NMP region was carried out in R/qtl (Broman et al. 2003). It became 369 evident that NMP mapped to a region of linkage group 3 (LG3) of the D. magna genetic map 370 v.4.0.1 (Svendsen et al. 2015; Duki et al. unpublished data). Hence, map construction was done 371 using markers that either showed significant linkage with the NMP phenotype (P < 0.01 in pairwise 372 Fisher's exact tests) or were found on scaffolds of the D. magna genome v2.4 that had been mapped 373 to LG3 (Svendsen et al. 2015; Duki et al. unpublished data). Markers that had more than one 374 third of missing genotypes (amplification failures, etc.) were discarded. 375 To construct the genetic map of the NMP genomic region, we first ordered those markers that 376 corresponded to scaffolds on the genetic map v4.0.1 according to the cM position of the nearest 377 SNP in v4.0.1, and then mapped the additional markers (found on scaffolds that had not been 378 mapped in v4.0.1) using R/qtl (based on pairwise map distances). The only exception to this procedure was done for microsatellite marker scf02066 483524, which is located on a mis-assembled 380 part of scf02066, closely linked to the end of scf00494 (Duki et al. unpublished data), and thus was 381 ordered according to this position. Once ordered, Kosambi-corrected genetic map distances among 382 all markers were inferred from the offspring genotypes using R/qtl (with the option sliding-window 383 = 8 markers). To test for reduced recombination around the NMP genomic region in the three MPxNMP crosses 385 compared to the MPxMP mapping cross, we compared the genetic distances for intervals between 386 adjacent markers between the crosses. Specifically, for each interval, we assessed the number of 387 recombinant vs. non-recombinant individuals in each cross and tested for significant differences 388 using Fisher's Exact tests (two-tailed) implemented in R core package stats (R Development Core Team, 2008). 390

Association mapping of SNPs in the NMP region, differentiation, and heterozygosity levels

391

Linkage mapping using microsatellites allowed us to map the NMP genomic region to a lowrecombining region of LG3 and confirmed the mode of transmission of NMP, with NMP individuals

being heterozygous (ZW) and MP individuals being homozygous (ZZ) for the NMP-determining part of that region. In an attempt to delimit the NMP-determining part of this genomic region more 397 precisely, we used SNP data obtained by RAD-sequencing of a random sample of 72 individuals (17 398 NMP and 55 MP; demultiplexed FASTQ files are available on the SRA database: reference XXX) 399 from the Moscow population using BWA (Li and Durbin 2009) and the Stacks software (Catchen et 400 al. 2013) (S2 Text, for details of the RAD-sequencing protocols and SNP calling, and S3 Table for 401 all the SNP obtained and analysed subsequently). We first performed a genome-wide association 402 study, using the expectation that any bi-allelic SNP functionally related to NMP or tightly linked 403 to it should be heterozygous in all NMP individuals and homozygous for the more frequent of the 404 two alleles in MP individuals (corresponding to the ZW and ZZ genotypes, respectively). To test 405 for an association with NMP we grouped individuals into four categories for each bi-allelic site 406 (only sites with a minor allele frequency over 0.1 and less than one third of the individuals with 407 missing genotypes): heterozygous NMP individuals, non-heterozygous NMP individuals, MP individuals homozygous for the major allele, and MP individuals non-homozygous for the major allele. 409 For each site, we counted the number of individuals in each of the four categories and calculated 410 the expected number of individuals (under the null-hypothesis of no association) using standard 411 Hardy-Weinberg proportions with allele frequencies estimated across all individuals. We then used 412 Pearson's Chi-square tests with two degrees of freedom to evaluate the genotype-phenotype association at each site. However, in order to only test the hypothesis specified above, any excess that 414 went in the direction opposite the hypothesis (for instance an excess of non-heterozygous individ-415 uals among NMP) was discarded (i.e., was not taken into account for the overall Chi-square value; 416 S4 Script for the R script of the association analysis). Significance of association was assessed by 417 correcting the P-value of the Chi-square test according to an overall false discovery rate (FDR) of 10^{-5} using the p.adjust function of the R core stats package. As an alternative test of differentiation 419 between MP and NMP individuals, we also used classical $F_{\rm ST}$ for each SNP, estimated with the R 420 package PopGenome (Pfeiffer et al. 2014). We also investigated levels of relative heterogysosity in 421 the NMP region, as well as at the genome scale for MP and NMP individuals, to test if a particular 422 difference in heterozygosity levels in the NMP region is or not correlated with a general difference in the rest of the genome. 424

Linkage disequilibrium estimation and detection of recombination events

425

While the genome-wide approach tested for the presence of associated SNPs within and outside 426 the NMP genomic region on LG3, it does not indicate whether the association of all the SNP in the 427 NMP region is due to physical linkage disequilibrium (association because of a lack recombination) rather than statistical linkage disequilibrium (association because positive selection on the genetic 429 background in the NMP region). We restricted the analysis in a second step to just the part of the 430 NMP genomic region (corresponding to LG3 centromeric linked region at 90 cM (Svendsen et al. 431 2015) and flanking peri-centromeric region, corresponding to positions between 85 and 95cM on 432 the genetic map). However, due to the dearth of recombination in this region, the relative position and orientation of many of the scaffolds is unknown (several entire scaffolds having the exact same 434 cM position). We hence first inferred the likely relative position and orientation of these scaffolds 435 by linkage disequilibrium (LD) mapping in MP individuals, assuming no structural rearrangement of those scaffold between MP and NMP individuals. Using only MP individuals (in order to avoid 437 circularity in later testing for differentiation between MP and NMP individuals), we estimated LD between terminal regions of different scaffolds (averaged across the three terminal SNPs) and 439 ordered and oriented scaffolds within each cM position in a way that minimizes LD (S5 Text, for 440 the methodology). To obtain a physical map of this region, we then used the inferred ordering 441 and orientation of these scaffolds, and distance in base pairs estimated from the position of the 442 SNPs within the scaffolds as well as the cumulative length of intervening scaffolds. Note that this most likely underestimates the true length in bp of the NMP region because it may also contain 444 unassembled sequences and unmapped scaffolds between the mapped scaffolds. Once the inferred physical map was established, we used it to map again the genotype-phenotype 446 association in the region. We estimated LD by calculating pairwise r2 values on all individuals for 447 each pair of SNPs across the region (S6 Table). Pairwise r2 values were estimated with MCLD (Zaikin et al. 2008), which uses genotypic data without the need to infer the (unknown) haplotypic 449 phase. Significance was tested using 9999 permutations in MCLD, and the extent of LD was 450 visualized using a heatmap constructed in R using the LDheatmap package (Shin et al. 2006). To 451 assess the minimum number of historical recombination events between MP and NMP haplotypes in 452 the region, we phased the data using the GERBIL program implemented in the package GEVALT

V2.0 (Davidovich et al. 2007), which results in two MP haplotypes for each MP individual and in one NMP and one MP haplotype for each NMP individual (NMP haplotypes were identified 455 according to the presence/absence of the two most strongly associated SNPs named scf2723_2194 456 and scf2723 13482). We used a filtered dataset composed of 140 polymorphic sites in the region, 457 retaining just one site per read (a maximum of two polymorphic sites on the same read were 458 present in the whole data set, but SNPs on the same read were always in full linkage). We did 459 not allow the program to infer missing genotypes, because this would have resulted in a data set 460 biased towards the more common MP alleles (only 17 out of 144 haplotypes are NMP haplotypes). 461 We then used Hudson's four-gamete test to infer the minimum number of historical recombination 462 events needed to explain the data. Because we were interested in estimating the minimum number 463 of recombination events, and because we could not exclude genotyping nor phenotyping error (the 464 latter only in the direction of falsely identifying an individual as NMP), we used conservative criteria 465 for the test: We first removed the NMP individual (RM1-01) that resulted the highest evidence for recombination (assuming that it may be the result of a phenotyping error). Furthermore, before 467 carrying out the test we corrected singleton variants within each haplotype group: if a variant 468 was present in only one haplotype in the group, we reverted its state to the majority allele in this 469 group (overall, 27 loci and 6 loci out of 140 loci were reverted in NMP and MP respectively). This 470 conservatively assumes that all these singleton variants were due to genotyping error (note that loci with a minor allele frequency of <0.1 across both groups had already been excluded during 472 the initial filtering; S7 Table for the list of haplotypes). Finally, to test only for recombination 473 between NMP and MP haplotypes (as opposed to recombination within MP), we inspected all 474 instances where recombination was detected by the four-gamete test and retained only those where 475 the inferred recombination had occurred between the two classes of haplotypes.

77 SNP effect and identification of candidate genes involved in sex determination

To assess whether the NMP region contains any candidate genes with already known functions related to sex differentiation or sex determination, we extracted all 1306 protein sequences corresponding to transcript sequences mapping to the scaffolds in the NMP region, and reduced isoform redundancy using BlastClust (available at http://toolkit.tuebingen.mpg.de/blastclust) with the following parameters: minimum length coverage of 60%, minimum identity of 90%, minimum transcript sequences corresponds to the scaffolds in the NMP region, and reduced isoform redundancy using BlastClust (available at http://toolkit.tuebingen.mpg.de/blastclust) with the

script size of 100 amino acids. This resulted in a set of 361 protein sequences, which we trimmed by
hand to remove redundancy (we only kept one transcript for each gene). The retained 283 protein
sequences (S8 Text for the complete list) were blasted against the Blast2GO database (Conesa &
Götz 2008), using blastp and a maximum e-value of 10⁻¹⁰. Annotated genes were then compared
with a list of 601 genes obtained from the NCBI gene data base using the keywords sex determination and sex differentiation. We also used the GFF file (available at *Daphnia* Genomic Consortium,
WFleaBase), which contains gene features of *D. magna*, to classify each SNP in the NMP region
according to whether it induces a synonymous or a non-synonymous change. This analysis was
done using the software tool IGV (Robinson et al. 2011).

492 Acknowledgements

We thank the Zoo of Moscow and N. I. Skuratov for sampling permits, and David Frey for help with culture maintenance indoors. We thank the Department of Biosystem Science and Engineering of 494 the ETH Zurich, in particular C. Beisel and I. Nissen for Illumina sequencing, and we gratefully acknowledge support by M.-P. Dubois, the platform Service des Marqueurs Génétiques en Ecologie 496 at CEFE, and the genotyping and sequencing facilities of the Institut des Sciences de l'Evolution-Montpellier and the Labex Centre Méditerranéen Environnement Biodiversité (CeMEB). We thank 498 M. Rösti for the modified RAD-seq protocol and for the discussions and scripts on the linkage dis-499 equilibrium analysis. We thank the University of Fribourg and the Montpellier Bioinformatic Biodiversity plateform and the Labex CeMEB for access to high-performance computing clusters. The 501 sequence data for the D. magna genome project V2.4 were produced by The Center for Genomics and Bioinformatics at Indiana University and distributed via wFleaBase in collaboration with the 503 Daphnia Genomics Consortium (project supported in part by NIH award 5R24GM078274-02 Daph-504 nia Functional Genomics Resources). We also thank Peter Fields for their constructive comments on earlier versions of the paper. This work was supported by the Swiss National Science Founda-506 tion (Grant no. 31003A_138203), the Russian Foundation of Basic Research, and by the European Union (Marie Curie Career Integration Grant PCIG13-GA-2013-618961, DamaNMP).

References

- Bachtrog D, Mank JE, Peichel CL, Kirkpatrick M, Otto SP, Ashman TL et al. 2014. Sex
- Determination: Why So Many Ways of Doing It? PLoS Biology. 12:e1001899.
- Beukeboom L, Perrin N. 2014. The evolution of sex determination. Oxford University Press,
- ISBN: 9780199657148.
- Broman KW, Wu H, Sen S, Churchill GA. 2003. R/qtl: QTL mapping in experimental crosses.
- Bioinformatics. 19:889–890.
- Bull JJ. Evolution of Sex Determining Mechanisms. 1983. Benjamin/Cummings Pub. Co.,
- Advanced Book Program, Menlo Park, CA.
- Catchen J, Hohenlohe P, Bassham S, Amores A, Cresko W. 2013. Stacks: an analysis tool set
- for population genomics. Molecular Ecology. 22:3124-3140.
- 520 Charlesworth B. 1991. The evolution of sex chromosomes. Science. 251:1030–1033.
- 521 Charlesworth B, Charlesworth D. 1978. A model for the evolution of dioecy and gynodioecy.
- The American Naturalist. 112:975–997.
- 523 Charlesworth D, Mank JE. 2010. The birds and the bees and the flowers and the trees: lessons
- from genetic mapping of sex determination in plants and animals. Genetics. 186:9–31.
- Conesa A, Götz S. 2008. Blast2GO: A comprehensive suite for functional analysis in plant
- genomics. International Journal of Plant Genomics. Article ID 619832.
- 527 Connor HE, Charlesworth D. 1989. Genetics of male sterility in gynodioecious Cortaderia
- ⁵²⁸ (Gramineae). Heredity. 63:373–382.
- Davidovich O, Kimmel G, Shamir R. 2007. GEVALT: An integrated software tool for genotype
- analysis. BMC Bioinformatics. 8:36–43.
- Duki M, Berner D, Roesti M, Haag CR, Ebert D. A high-density genetic map reveals variation
- in recombination rate across the genome of *Daphnia magna*. BMC Genetics, in review.

- Edwards AWF. 1998. Selection and the sex ratio: Fisher's sources. American Naturalist.
- 151:564-569.
- Ellegren H. 2011. Sex-chromosome evolution: recent progress and the influence of male and
- female heterogamety. Nature Reviews Genetics. 12:157–166.
- Galimov Y, Walser B, Haag CR. 2011. Frequency and inheritance of non-male producing clones
- in Daphnia magna: evolution towards sex specialization in a cyclical parthenogen? Journal of
- Evolutionary Biology. 24:1572–1583.
- Hoffmann AA, Rieseberg LH. 2008. Revisiting the impact of inversions in evolution: from
- population genetic markers to drivers of adaptative shifts and speciation? Annual Review of
- Ecology Evolution and Systematics. 39:21–42.
- Innes DG, Dunbrack RL. 1993. Sex allocation variation in *Daphnia pulex*. Journal of Evolu-
- tionary Biology. 6:559–575.
- Ironside JE. 2010. No amicable divorce? Challenging the notion that sexual antagonism drives
- sex chromosome evolution. BioEssays. 32:718–726.
- Jarne P, Auld JR. 2006. Animal mix it up too: the distribution of self-fertilization among
- hermaphroditic animals. Evolution. 60:1816–1824.
- Joron M, Frezal L, Jones RT, Chamberlain N, Lee SF, Haag CR et al. 2011. Chromoso-
- mal rearrangements maintain a polymorphic supergene controlling butterfly mimicry. Nature.
- 477:203-206.
- Karim FD, Guild GM, Thummel CS. 1993 The *Drosophila* Broad-Complex plays a key role in
- controlling ecdysone-regulated gene expression at the onset of metamorphosis. Development.
- 118:977–988.
- Kato Y, Kobayashi K, Watanabe H, Iguchi T. 2011. Environmental Sex Determination in
- the Branchiopod Crustacean *Daphnia magna*: Deep Conservation of a Doublesex Gene in the
- Sex-Determining Pathway. Plos Genetics. 7:e1001345.
- 558 Kohn J. 1988. Why be female? Nature. 335:431–433.

- Kotov A, Taylor DJ. 2011. Mesozoic fossils (>145MYA) suggest the antiquity of the subgen-
- era of *Daphnia* and their coevolution with chaoborid predators. BMC Evolutionary Biology.
- 11:129–138.
- Li H, Durbin R. 2009. Fast and accurate short read alignment with Burrows-Wheeler Trans-
- form. Bioinformatics, 25:1754–60.
- Lohr JN, Haag CR. 2015. Genetic load, inbreeding depression, and hybrid vigor covary with
- population size: An empirical evaluation of theoretical predictions. Evolution. 69:3109-3122.
- McCauley DE, Bailey MF. 2009 Recent advances in the study of gynodioecy: the interface of
- theory and empiricism. Annals of Botany. 104:611-620.
- Miura I. 2008 An evolutionary witness: the frog Rana rugosa underwent change of heteroga-
- metic sex from XY male to ZW female. Sexual Development. 1:23–331.
- Ohno S. 1967. Sex chromosomes and sex-linked genes. Springer-Verlag, Berlin, Heidelberg,
- New York.
- Olmstead AW, Leblanc GA. 2002. Juvenoid hormone methyl farnesoate is a sex determinant
- in the crustacean *Daphnia magna*. Journal of Experimental Zoology. 293:736–739.
- Penning TM, Burczynski ME, Jez JM, Hung CF, Lin HK, Ma H et al. 2000. Human 3alpha-
- 575 hydroxysteroid dehydrogenase isoforms (AKR1C1-AKR1C4) of the aldo-keto reductase su-
- 576 perfamily: functional plasticity and tissue distribution reveals roles in the inactivation and
- formation of male and female sex hormones. Biochemistry Journal.351:67–77.
- Pfeifer B, Wittelsbuerger U, Ramos Onsins SE, Lercher MJ. 2014. PopGenome: An Effi-
- cient Swiss Army Knife for Population Genomic Analyses. Molecular Biology and Evolution.
- 31:1929–1936.
- Pokorná M, Kratochvíl L. 2009. Phylogeny of sex-determining mechanisms in squamate rep-
- tiles: Are sex chromosomes an evolutionary trap? Zoological Journal of the Linnean Society.
- ₅₈₃ 156:168–183.

- Pokorná M, Kratochvíl L. 2016. What was the ancestral sex-determining mechanism in amniote
- vertebrates? Biological Reviews. 91:1–12.
- R Development Core Team. 2008. R: A language and environment for statistical comput-
- ing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-900051-07-0, URL
- http://www.R-project.org.
- Robinson JT, Thorvaldsdóttir, Winkler W, Guttman M, Lander ES, Getz G, Mesirov JP. 2011.
- Integrative Genomics Viewer. Nature Biotechnology. 29:24–26.
- Roulin AC, Routtu J, Hall MD, Janicke T, Colson I, Haag CR, Ebert D. 2013. Local adapta-
- tion of sex induction in facultative sexual crustacean: insights from QTL mapping in natural
- populations of *Daphnia magna*. Molecular Ecology. 22:3567–3579.
- Routtu J, Jansen B, Colson I, De Meester L, Ebert D. 2010. The first-generation Daphnia
- magna linkage map. BMC Genomics. 11:508–514.
- Routtu J, Hall MD, Albere B, Beisel C, Bergeron RD, Chaturvedi A, et al. 2014. An SNP-
- based second-generation genetic map of *Daphnia magna* and its application to QTL analysis
- of phenotypic traits. BMC Genomics. 15:1033.
- Salz HK. 2011. Determination in Insects: a binary decision based on alternative splicing.
- 600 Current Opinion in Genetics and Development. 21:395-400.
- Shin JH, Blay S, McNeney B, Graham J. 2006 LDheatmap: An R function for graphical
- display of pairwise linkage disequilibria between single nucleotide polymorphisms. Journal of
- Statistical Software. 16:Code Snippet 3.
- Shuelke M. 2000. An economic method for the fluorescent labeling of PCR fragments. Nature
- 605 Biotechnology. 18:233–234.
- Spigler RB, Lewers KS, Main DS, Ashman TL. 2008. Genetic mapping of sex determination
- in a wild strawberry, Fragaria virginiana, reveals earliest form of sex chromosome. Heredity.
- 101:507-517.

- Stöck M, Horn A, Grossen C, Lindtke D, Sermier R, Betto-Colliard C, et al. 2011. Ever-Young
- Sex Chromosomes in European Tree Frogs. PLoS Biology. 9.
- Svendsen N, Reisser CMO, Dukiç M, Thuillier V, Ségard A, Liautard-Haag C et al. 2015. Iden-
- tification of cryptic asexuality in *Daphnia magna* by RAD-sequencing. Genetics. 201:1143:1155.
- Tessier AJ, Caceres CE. 2004. Differentiation in sex investment by clones and populations of
- Daphnia. Ecology Letters. 7:695-703.
- The Tree of Sex Consortium. 2014. Tree of Sex: A database of sexual systems. Scientific Data.
- 1:140015.
- Van Dooren TJM, Leimar O. 2003. The evolution of environmental and genetic sex determi-
- nation in fluctuating environments. Evolution. 57:2667-2677.
- Weller SG, Sakai AK. 1991. The genetic basis of male sterility in *Schiedea* (Caryophyllaceae),
- an endemic Hawaiian genus. Heredity. 67:265–273.
- Wright AE, Dean R, Zimmer F, Mank JE. 2016. Nature Communications 7, Published 04 July
- 2016 How to make a sex chromosome. Nature Communications. 7:12087.
- Yu Q, Hou S, Hobza R, Feltus FA, Wang X, Jin W. 2007. Chromosomal location and gene
- paucity of the male specific region on papaya Y chromosome. Molecular Genetics and Ge-
- nomics. 278:177–185.
- Zaykin, DV, Pudovkin AI, Weir BS. 2008. Correlation-based inference for linkage disequilib-
- rium with multiple alleles. Genetics. 180:533–545.

List of Figures

643

648

655

Figure 1. Genetic map of the two NMPxMP crosses (microsatellite markers) and of 629 a MPxMP cross (SNP markers), showing Linkage Group 3. Map distances are in centiMorgans, calculated with the Kosambi mapping function in R/qtl. Areas in light blue / light 631 red show a non significant reduction / expansion of recombination by comparison to the MP cross. 632 while areas in bright blue indicates a significant reduction of recombination. For the two NM-633 PxMP crosses, one marker per position is represented. In NMPxMP_1, dm_scf02569_310402, 634 dm scf00933 2550 and dm scf00700 81490 were in full linkage with the NMP locus. In NM-PxMP_2, dm_scf00532_1398 was fully linked with dm_scf02121_20555; also, dm_scf02569_317703, 636 dm scf01492 1407, dm scf00933 2550, dm scf03156 57375 and dm scf00966 75426 were fully linked with the NMP locus. 638

- Figure 2. Marray map of LG3 in the MPxMP cross. Pointed lines delimitate the NMP linked region according to the microsatellite mapping. The centromeric region (90.8cM) is high-lighted in red. The X axis show the physical color-coded distribution of the scaffolds.
- Figure 3. Genome-Wide association results. Association of SNP loci with the NMP polymorphism in a sample of 53 MP and 17 NMP individuals (a) across the entire genome and (b) centered on LG3. On LG3, markers between 72.3cM and 95.7cM show significant association with the NMP phenotype (the red line shows significance, with FDR-corrected P-values <10⁻³).
- Figure 4. Differentiation, heterozygosity and association levels between NMP and
 MP individuals along LG3. Evolution of (a) the F_{ST} values, (b) the heterozygosity difference
 between NMP and MP, (c) the log transformed P-values for the association analysis. (d) shows
 the association in the NMP region and the minimum number of recombinant haplotypes found at
 particular positions. In addition, centiMorgan position from the genetic map and inferred physical
 position (linkage disequilibrium mapping) and length of scaffolds are represented.
 - 6 Figure 5. Linkage Disequilibrium Heatmap (r2 coefficients) in the NMP region. Re-

sults are shown for (a) the NMP associated SNPs, (b) all SNPs mapping within the genomic region corresponding to the NMP region using the 72 individuals (55 MP, 17 NMP). Black triangles represent scaffolds, and the bi-colored band represents the centiMorgan values at each SNP position. + and represent the orientation of the scaffold.

Figure 6. Gene content of the NMP region in Daphnia magna using the Gene Ontology (GO) annotations. Results are shown for (a) biological processes, (b) molecular functions and (c) cellular components.

665 List of Tables

661

673

Table 1. Fisher's Exact test P-values for all pairs of markers considered in (a) the NMPxMP_1 cross and (b) the NMPxMP_2 cross.

Table 2. List of scaffolds containing SNPs significantly associated to NMP (Chi square test; P<10⁻⁵). LG: linkage group; Size: total size of the scaffold (in basepair); Nb. SNPs: number of associated SNPs on the scaffold; SNPs position: position of the SNP on the scaffold; P value: resulting Chi square P value.

Table 3. Results of the Blast run showing the 14 candidate genes and their location
on the *D. magna* genome. The table reports the start and end position of the gene on the
scaffold it maps to, the size of the expected protein (number of amino-acid), the NCBI attributed
gene name, the taxon with the best blast hit, the corresponding e-value, and the percentage of
sequence similarity.

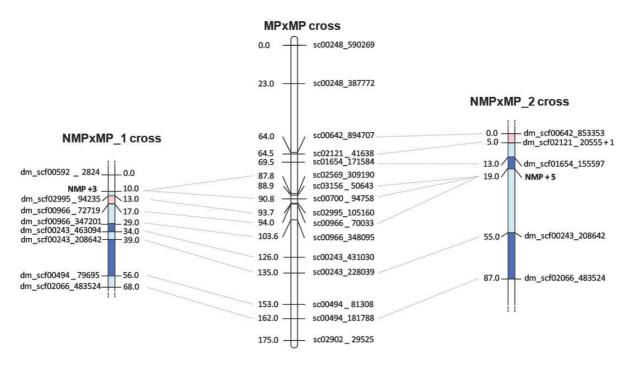


Figure 1: Genetic map of the two NMPxMP crosses (microsatellite markers) and of a MPxMP cross (SNP markers), showing Linkage Group 3. Map distances are in centiMorgans, calculated with the Kosambi mapping function in R/qtl. Areas in light blue / light red show a non significant reduction / expansion of recombination by comparison to the MP cross. while areas in bright blue indicates a significant reduction of recombination. For the two NMPxMP crosses, one marker per position is represented. In NMPxMP_1, dm_scf02569_310402, dm_scf00933_2550 and dm_scf00700_81490 were in full linkage with the NMP locus. In NMPxMP_2, dm_scf00532_1398 was fully linked with dm_scf02121_20555; also, dm_scf02569_317703, dm_scf01492_1407, dm_scf00933_2550, dm_scf03156_57375 and dm_scf00966_75426 were fully linked with the NMP locus.

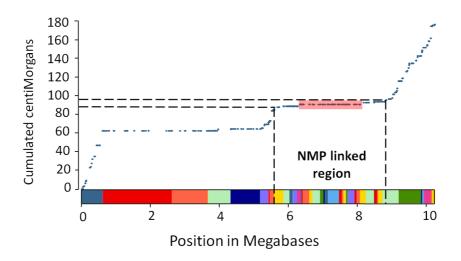


Figure 2: Marray map of LG3 in the MPxMP cross. Pointed lines delimitate the NMP linked region according to the microsatellite mapping. The centromeric region (90.8cM) is highlighted in red. The X axis show the physical color-coded distribution of the scaffolds.

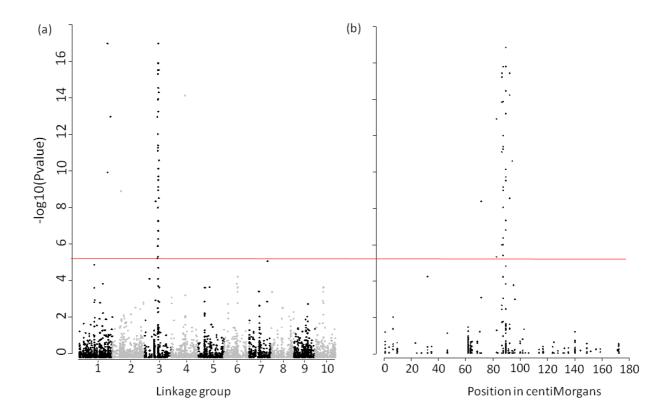


Figure 3: Genome-Wide association results. Association of SNP loci with the NMP polymorphism in a sample of 53 MP and 17 NMP individuals (a) across the entire genome and (b) centered on LG3. On LG3, markers between 72.3cM and 95.7cM show significant association with the NMP phenotype (the red line shows significance, with FDR-corrected P-values $<10^{-3}$).

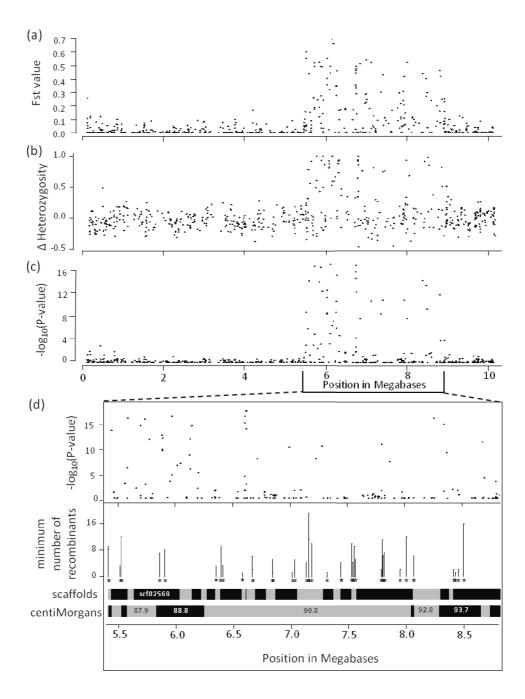


Figure 4: Differentiation, heterozygosity and association levels between NMP and MP individuals along LG3. Evolution of (a) the $F_{\rm ST}$ values, (b) the heterozygosity difference between NMP and MP, (c) the log transformed P-values for the association analysis. (d) shows the association in the NMP region and the minimum number of recombinant haplotypes found at particular positions. In addition, centiMorgan position from the genetic map and inferred physical position (linkage disequilibrium mapping) and length of scaffolds are represented.

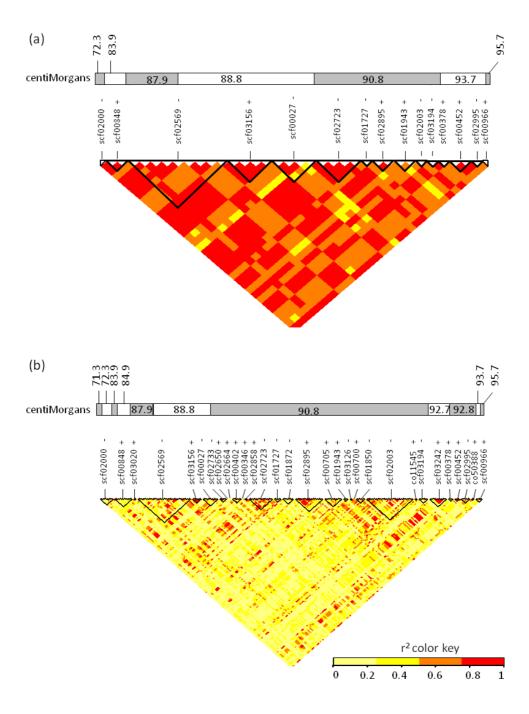


Figure 5: Linkage Disequilibrium Heatmap (r2 coefficients) in the NMP region. Results are shown for (a) the NMP associated SNPs , (b) all SNPs mapping within the genomic region corresponding to the NMP region using the 72 individuals (55 MP, 17 NMP). Black triangles represent scaffolds, and the bi-colored band represents the centiMorgan values at each SNP position. + and $\,$ represent the orientation of the scaffold.

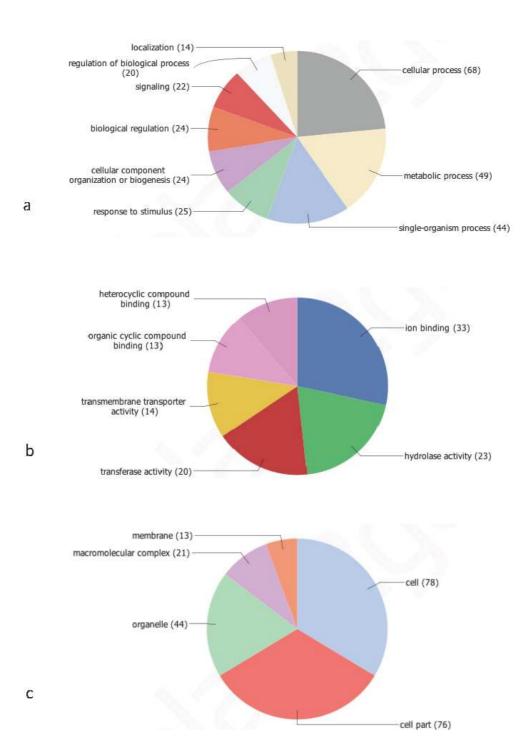


Figure 6: Gene content of the NMP region in Daphnia magna using the Gene Ontology (GO) annotations. Results are shown for (a) biological processes, (b) molecular functions and (c) cellular components.

Table 1: Fisher's Exact test P-values for all pairs of markers considered in (a) the NMPxMP_1 cross and (b) the NMPxMP_2 cross.

(a)	NMPxMP_1 versus MPxMP	P value	% recombinant NMPXMP_1	% recombinant MPxMP
	nmp - dm_scf02995_94235	1.000	1.515	1.905
	dm_scf02995_94235 - dm_scf00966_72719	0.300	4.545	0.952
	dm_scf00966_72719 - dm_scf00966_347201	0.655	12.121	15.238
	dm_scf00966_347201 - dm_scf00243_463094	< 0.001	4.545	30.476
	dm_scf00243_463094 - dm_scf00243_208642	0.070	4.545	13.333
	dm_scf00243_208642 - dm_scf00494_79695	0.016	13.636	30.476
	dm_scf00494_7969 - dm_scf02066_483524	1.000	12.121	13.333
(b)	NMPxMP_2 versus MPxMP	P value	% recombinant NMPxMP_2	% recombinant MPxMP
	dm_scf00642_853353 - dm_scf02121_20555	0.115	3.704	0
	dm_scf02121_20555 - dm_scf01654_155597	1.000	7.404	7.692
			7.404	20.0==
	dm_scf01654_155597 - nmp	0.015	7.404	23.077
	dm_scf01654_155597 - nmp nmp - dm_scf00243_208642	0.015	27.778	23.077 34.615

Size: total size of the scaffold (in basepair); Nb. SNPs: number of associated SNPs on the scaffold; SNPs position: position of the SNP Table 2: List of scaffolds containing SNPs significantly associated to NMP (Chi square test; P<10⁻⁵). LG: linkage group; on the scaffold; P value: resulting Chi square P value.

_	_	_									_		_		_				_
P values	2.04E-17; 1.48E-10	1.63E-13; 1.63E-13; 1.63E-13	1.46E-09	5.10E-09	5.62E-06; 1.67E-13	1.25E-06; 5.24E-16; 1.99E-14; 8.42E-16; 1.04E-11; 1.35E-12; 8.62E-10; 1.29E-09; 5.30E-12	2.30E-16; 1.20E-06; 1.20E-06; 5.14E-07	1.11E-08; 7.27E-12; 4.61E-06; 1.84E-14	8.44E-14; 2.04E-17;2.30E-16; 4.78E-15	5.62E-08	3.76E-10; 3.76E-10	5.79E-08; 2.42E-10	9.30E-11	1.91E-07	5.24E-16	8.23E-15; 8.23E-15	3.47E-09	3.33E-11	1.18E-14
SNPs position (bp)	1898250; 1898257	7803; 7820; 7827	49642	4181	34647; 68264	384651; 381715; 268729; 232532; 193880; 80640; 80555; 77438; 77432	4433; 50649; 50655; 79120	34526; 29953; 26878; 12813	2032; 2194; 13416; 13482	6345	190140; 190147	13984; 67370	27223	124008	22714	34895; 34916	108378	174527	669922
Nb.SNPs	2	33	1	П	2	6	4	4	2	1	2	2	П	1	П	2	П	1	
LG Scaffold Size (bp) Position (cM) Nb.SNPs	188.7	210.8	58.2	72.3	83.9	87.9 - 88.8	88.8	88.8	8.06	8.06	8.06	8.06	8.06	8.06	93.7	93.7	93.7	95.7	95.0
Size (bp)	3718170	87516	2111488	31222	142519	397658	106208	84679	46460	97879	190495	93640	324342	167565	73438	41028	126150	460511	941766
Scaffold	scf00512	scf00205	scf02190	scf02000	scf00848	scf02569	scf03156	scf00027	scf02723	scf01727	scf02895	scf01943	scf02003	scf03194	scf00378	scf00452	scf02995	scf00966	scf00311
LG	1	_	2	3	က	က	က	3	က	3	e2	3	e	3	ec	3	က	က	4

Table 3: Results of the Blast run showing the 14 candidate genes and their location on the *D. magna* genome. The table reports the start and end position of the gene on the scaffold it maps to, the size of the expected protein (number of amino-acid), the NCBI attributed gene name, the taxon with the best blast hit, the corresponding e-value, and the percentage of sequence similarity.

scaffold	Start position	End position	Size (aa)	NCBI name	Taxon	e value	% similarity
scf00027	2877	6078	316	Serine arginine-rich splicing factor 7	Harpegnatos saltator	4.0E-50	82.8
scf00848	96321	97283	136	Aldo-keto reductase family 1, member C4	Riptortus pedestris	4.1E-59	72.5
scf02003	35289	35935	136	Poly-U-binding splicing factor Half Pint	Acyrthosiphon pisum	5.9E-67	95.1
scf02003	213333	214454	115	Cytochrome P450 314 family	Daphnia magna	3.8E-46	88.3
scf02569	3227	4315	108	Zinc transporter zip11	Tribolium castaneum	3.9E-29	80.2
scf02569	9179	10907	300	Zinc transporter zip9	Poecilia formosa	2.1E-75	74.8
scf02569	35151	44725	606	SOX-9-like transcription factor	Acromyrmex echination	5.0E-48	89.8
scf02569	218892	220701	292	DnaJ homolog dnaj-5	Acromyrmex echination	4.4E-109	72.1
scf02569	334258	337000	462	Broad-complex	Oncopeltus fasciatus	8.3E-50	85.2
scf02569	340469	342584	281	Transformer 2	Daphnia pulex	2.9E-119	88.7
scf02569	76814	79370	558	Protein SPT2 homolog	Acyrthosiphon pisum	2,00E-33	63.9
scf02569	228772	229714	158	Histone deacetylase complex subunit sap18	Metaseiulus occidentalis	1.1E-55	82.1
scf02723	1124	6033	287	Epidermal growth factor receptor kinase	Zootermopsis nevadensis	1.7E-30	71.2
scf03156	4200	8559	794	Lysine-specific histone demethylase 1A	Stegodyphus mimosarum	0.0	85.3

Supplementary Material

S1 Table: microsatellites.xls

679

Excel file giving the list of the 81 microsatellite markers tested in this study.

82 S2 Text. RAD-sequencing and SNP calling protocol.

We used the RAD-sequencing protocol developed by Etter et al. (2011) with a few modifications. 683 The 72 individuals were divided in 2 libraries. Prior to DNA extraction, individuals were treated 684 for 72 hours with three antibiotics (Streptomycin, Tetracyclin, Ampicilin) at a concentration of 50 685 mg/L of each antibiotic and fed with microscopic glass beads (Sephadex Small by Sigma Aldrich: 50 tm diameter) at a concentration to 0.5g/100 mL. The aim of this treatment was to minimize con-687 taminant DNA (i.e., bacterial DNA or algal DNA) in in the gut and on the surface of the carapace. Genomic DNA was extracted using the Qiagen Blood and Tissue kit following manufacturer's 689 instructions and digested with PstI (New England Biolabs). Digested DNA was barcoded with 690 individual-specific P1 adapters and pooled to create a library containing 2100ng DNA. The pooled 691 library was sheared on a Bioruptor using 2 times 3 cycles (1 cycle 30 seconds ON, 1 minute OFF), 692 and fragments between 300 and 500bp were selected through agarose gel electrophoresis. DNA fragments were blunted and a P2 adapter was ligated. The library was amplified through PCR (30 694 seconds at 98°C, followed by 18 cycles of 10 sec. at 98°C, 30 sec. at 65°C and 30 sec. at 72°C; a 695 final elongation step was performed at 72 rC for 5 min.). A final electrophoresis was performed to 696 select and purify fragments between 350 and 600bp. Each library were sequenced on a single lane 697 of an Illumina HiSeq 2000, using single-end 100 cycle sequencing by the Quantitative Genomics Facility service of the Department of Biosystem Science and Engineering (D-BSSE, ETH), Basel, 690 Switzerland. The quality of the raw sequencing reads (library-wide and per-base) was assessed with 700 FastQC (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/), and reads were checked for 701 barcode integrity, absence of adapter sequences within the reads, and integrity of the PstI cut site. 702 The reads were sorted individually by barcode and filtered to remove reads with uncalled bases and an overall base quality score of less than 24. Reads were subsequently aligned to the Daphnia 704 magna genome (V2.4: Daphnia Genomic Consortium, WFleaBase) using BWA v.0.7.10 (Li and Durbin 2009). Reads that did not map to the reference genome or that mapped to more than one 706

place were discarded. The successfully mapped reads were filtered according to mapping quality 707 (end-to-end mapping with a mapping quality score of at least 25, no more than eight high quality 708 substitutions). 709 Assignment of reads to RAD loci (defined by unique 95 bp locations on the reference genome) 710 and genotype calling was performed in Stacks V1.19 with a bounded SNP model in pstacks 711 (-bound high of 0.04, according to the base call error rate provided by the sequencing facility) 712 and allowing a maximum of two high frequency haplotypes (i.e. alleles) per locus per individual. 713 Loci with more than two high frequency alleles were excluded because of a too high risk of falsely 714 mapping paralogous reads to a single locus. Cstacks and sstacks were operated with default settings 715 and with the -g option to use genomic location as method to group reads. The distribution of the 716 minor allele frequency indicated that heterozygous loci usually had a minor allele frequency ranging 717 between 0.2 and 0.5 within an individual. We thus fixed the max het seg parameter to 0.2 in the 718 program genotypes. As such, potentially heterozygous genotypes with a minor allele frequency of between 0.05 (default homozygote cut-off) and 0.2 were considered ambiguous and were scored as 720 missing in the results. Loci were also filtered according to sequencing depth: Loci with less than 721 20 reads were discarded (to reduce uncertainty in genotype calls) as were reads with a more than 722 five times higher depth than the average depth across individuals (to reduce the risk of including 723 repetitive elements). After final genotype calling, loci were mapped to the Daphnia magna genetic map v.3.0 (Duki et 725 al, submitted). This was done by extracting for each RAD locus the linkage group and cM position 726 of the nearest map-markers on the same scaffold and, if needed, by extrapolating the cM position 727 of the RAD locus by linear extrapolation between the two nearest map-markers. 728

730 References

Etter PD, Preston JL, Bassham S, Cresko WA, Johnson EA. 2011. Local de novo assembly of RAD paired-end contigs using short sequencing reads. PLoS ONE,6:755,e18561.

₃ S3 Table: snp_data.xls

734 Excel file containing the list of SNPs obtained from the RAD-sequencing pannel for all individuals.

735 Information listed: Linkage Group; order of SNP on the genetic map; CentiMorgan position on the

736 genetic map; basepair position on the physical map; MegaBase position; scaffold where the snp maps

to; position of the SNP on the scaffold (in bp); major allele; minor allele. Additionnal information:

Chi square value; associated P-value; mutation location and type (intergenomic, intron, 5' / 3'

UTR; aminoacid substitution); synonymous or non synonymous mutation; gene impacted.

740 S4 Script: association.R

R script for performing the association analysis at the genome wide level.

S5 Text. Protocol for the physical ordering of scaffold in the NMP associated non-recombining region of LG3.

The region controlling the NMP phenotype maps to a low recombination. Because of absence of recombination in the genetic map data, the relative position and orientation of the scaffolds 745 that have been mapped to this region are not resolved in the genetic map (i.e., several entire 746 scaffolds mapped to the exact same cM position across all markers on these scaffolds). Hence no physical order of the scaffolds in the region can be obtained from the genetic map. This is 748 problematic for genome-wide association studies and fine mapping of the NMP locus, especially for determining whether the NMP phenotype maps to one or multiple specific sub-regions. To obtain 750 a potential physical order of scaffold in the region, we performed linkage disequilibrium (LD) 751 mapping, which uses data on LD from a single population and therefore can make use of historical 752 recombination events present in the data. LD can be measured by estimating the correlation of the 753 allelic composition between two loci (r²). If little historical recombination occurred between two loci, alleles at one locus should tend to co-occur with specific alleles at the other locus (i.e., the 755 correlation should be high). 756

Loci that show high divergence between MP and NMP phenotypes are expected to have high LD,

if LD estimates are based on a mix of MP and NMP individuals. Hence, these loci would also tend to group closely together in LD mapping. Thus, in order to avoid a circular argument we 759 based the physical ordering using LD mapping only on the 54 MP individuals sampled from the 760 MOS population. We performed LD mapping on a somewhat larger region of LG3, between 85cM 761 and 95cM, in order to also include SNPs just outside the NMP linked region. The MOS dataset 762 contains SNPs on 30 mapped scaffolds in this region. Among these, there are three groups of 763 scaffolds for which the relative position and orientation could not be resolved with the genetic 764 map: two scaffolds at position 88.8 cM, 17 scaffolds at 90.8 cM and 4 scaffolds at 93.7 cM. For 765 physical ordering of the scaffolds within each of these groups, we used only biallelic SNPs with a 766 minor allele frequency over 0.1 and less than 33% missing genotypes among the MP individuals. 767 We first calculated pairwise r² values for each pair of SNPs with MCLD (Zaykin 2008), which uses 768 genotypic data irrespective of the haplotypic phase. To position the scaffolds relative to each other 769 and to orient them, we averaged the r² values of the three terminal SNPs on either side of each scaffold and created a matrix of pairwise average r² values between each pair of scaffold extremities 771 for each of the groups separately, also including the adjacent extremities of the two scaffolds that 772 mapped immediately outside that cM position. When more than two scaffolds had to be ordered 773 in a group, we perform a hierarchical clustering analysis to identify starting clusters (highly linked 774 scaffold extremities). The hierarchical clustering was performed in R using the helust function of the R core package stats. Scaffolds were then added one by one to the starting clusters, as indicated 776 by the dendogram, and positioned next to the scaffold and oriented in a way that maximized the 777 average r² values between adjacent scaffold extremities. 778

779 S6 Table: LD nmp region.xls

Excel document containing calculated r² values for the SNPs present in the NMP non recombining region.

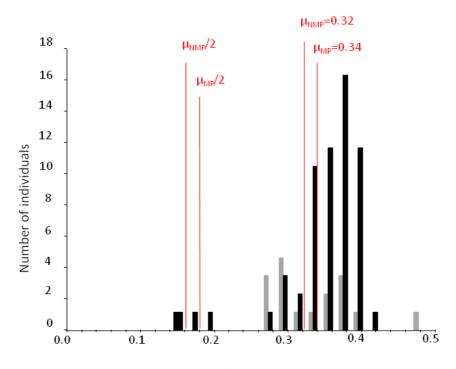
782 S7 Table: phased haplotypes.xls

Excel document containing the list of the raw and the corrected haplotypes phased in this study, along with the SNP coordinates for each position.

5 S8 Text: nmp_region_gene_content.fasta

FASTA formatted document listing the 283 genes used in the analysis.

787 S9 Figure:



Percentage of relative heterozygosity

Figure S9: Distribution of the percentage of relative heterozygosity in MP (black) and NMP (grey) individuals. Calculations were performed without LG3, as this chromosome shows a higher heterozygosity in NMP individuals.

788 Raw genomic data:

The FASTQ files of all the individuals used in this study will be available on the SRA database

vpo upon acceptance of the manuscript.