

1 **Title**

2 Persistent activation of interlinked Th2-airway epithelial gene networks in sputum-derived
3 cells from aeroallergen-sensitized symptomatic atopic asthmatics

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34 **Keywords**

35 Asthma, House dust mite, Transcriptomics, Sputum, Raine Study

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37

38 **ABSTRACT**

39

40 **Rationale:** Atopic asthma is a persistent disease characterized by intermittent wheeze and
41 progressive loss of lung function. The disease is thought to be driven primarily by chronic
42 aeroallergen-induced Th2-associated airways inflammation. However, the vast majority of
43 atopics do not develop asthma-related wheeze, despite ongoing exposure to aeroallergens
44 to which they are strongly sensitized, indicating that additional pathogenic mechanism(s)
45 operate in conjunction with Th2 immunity to drive asthma pathogenesis.

46 **Objectives:** Employ systems level analyses to identify inflammation-associated gene
47 networks operative at baseline in sputum-derived RNA from house dust mite-sensitized
48 (HDM^S) subjects with/without wheezing history; identify networks characteristic of the
49 ongoing asthmatic state. All subjects resided in the “constitutively-HDM^{high}” Perth
50 environment.

51 **Methods:** Genome wide expression profiling by RNASeq followed by gene coexpression
52 network analysis.

53 **Measurements/Results:** HDM^S-nonwheezers displayed baseline gene expression in sputum
54 including IL-5, IL-13 and CCL17. HDM^S-wheezers showed equivalent expression of these
55 classical Th2-effector genes but their overall baseline sputum signatures were more
56 complex, comprising hundreds of Th2-associated and epithelial-associated genes,
57 networked into two separate coexpression modules. The first module was connected by the
58 hubs EGFR, ERBB2, CDH1 and IL-13. The second module was associated with CDHR3, and
59 contained genes that control mucociliary clearance.

60 **Conclusions:** Our findings provide new insight into the inflammatory mechanisms operative
61 at baseline in the airway mucosal microenvironment in atopic asthmatics undergoing natural
62 perennial aeroallergen exposure. The molecular mechanism(s) that determine susceptibility
63 to asthma amongst these subjects involve interactions between Th2- and epithelial function-
64 associated genes within a complex co-expression network, which is not operative in
65 equivalently sensitized/exposed atopic non-asthmatics.

66

67 **INTRODUCTION**

68 Asthma is a chronic disease of the conducting airways that is characterized by airways
69 inflammation, airways remodeling, and progressive loss of lung function. It is increasingly
70 recognized as a highly heterogeneous disorder comprising multiple sub-phenotypes (1). The
71 atopic form of the disease develops in early childhood, and is initiated by sensitization to
72 inhalant allergens exemplified by house dust mite (HDM). Progression of atopic asthma
73 towards chronicity is driven by repeated cycles of airways inflammation, in particular severe
74 exacerbations triggered by respiratory infections which involve interactions between host
75 anti-viral and atopy-associated effector mechanisms (2, 3), and the rate of the ensuing
76 decline in lung function is related to the frequency and intensity of these exacerbations (4-
77 6).

78

79 Recent clinical intervention studies, including those demonstrating that treatment with anti-
80 IgE reduces exacerbation frequency, confirms the causal role of Th2 responses in these
81 intermittent events (7-9). However the degree to which chronic exposure to Th2-stimulatory
82 perennial aeroallergens contributes to the inflammatory milieu in the airway mucosa of
83 sensitized atopics during the periods between overt exacerbation events, thus potentially
84 influencing long-term persistence of the asthma-associated wheezy phenotype, remains
85 unclear. This is an important issue in relation to design of future therapeutic strategies for
86 prevention of asthma progression i.e. is it sufficient to target severe exacerbation events
87 alone, or is it potentially necessary to also dampen ongoing aeroallergen-driven Th2
88 reactivity at baseline in sensitized/perennially exposed subjects?

89

90 We have addressed this issue in a study population consisting of 22yr olds from an
91 unselected birth cohort resident in Perth Western Australia (10). We have previously shown
92 that the dominant asthma-associated aeroallergen in this region is HDM (11) which is
93 present in local households at high levels throughout the year (12), and accordingly the
94 study focused primarily on atopics who were sensitized and chronically exposed to HDM.
95 Our approach was based on the recent demonstration that induced sputum, which contains
96 a sample of cell populations present on the airway surface, can potentially be used for gene
97 expression profiling of wheeze-associated inflammatory responses in asthmatics (13, 14).

98

99 In the present investigation we have employed RNA-Seq in conjunction with coexpression
100 network analysis to profile asthma-associated gene networks in sputum samples collected at
101 (symptom-free) baseline from study groups matched for age, HDM sensitization status and
102 environmental exposure, but dichotomous with respect to wheezing symptom expression.
103 Our findings suggest that upregulation of Th2 signature genes exemplified by the effector
104 cytokines IL-5 and IL-13 is a common feature across the whole HDM^S/exposed population at
105 baseline, but in the subgroup with history of current wheeze the Th2 signature is more
106 complex and intense, and is uniquely networked with a series of concomitantly upregulated
107 epithelial cell associated pathways.

108

109

110 **METHODS**

111 **Study population**

112 This study was conducted within the 22-year follow-up of an unselected longitudinal birth
113 cohort recruited in Perth, Western Australia, namely the Western Australia Pregnancy

114 Cohort (Raine study, (10, 11)). The 22-year follow-up included 1234 active participants.
115 Subjects were selected for case/control studies based on their clinical characteristics and the
116 availability of high quality sputum samples (see below). Four clinical groups were defined; (i)
117 HDM sensitized atopics (SPT \geq 3.0mm) with current wheeze during previous 12mths, with or
118 without a physician diagnosis of “asthma ever” (HDM^S wheezers, n=16); (ii) HDM sensitized
119 atopics without current asthma or wheeze (HDM^S nonwheezers, n=24); (iii) nonatopics with
120 current asthma and/or wheeze (nonatopic wheezers, n=7); (iv) nonatopics without current
121 asthma or wheeze (nonatopic controls, n=21).

122

123 **Sputum induction and processing**

124 Induced sputum was obtained after mannitol inhalation challenge (15). The samples were
125 stored at 4 °C for up to 2 hours prior to processing. Sputum was processed (see the online
126 data supplement) by selection and subsequent disruption of mucus plugs with forceps to
127 minimize contamination with saliva (16).

128

129 **Transcriptome profiling by RNA-Seq**

130 Total RNA was extracted from good quality sputum (cell viability > 48%, squamous < 32%)
131 employing TRIzol (Ambion) followed by RNeasy MinElute (QIAGEN). The mean \pm sd RNA
132 integrity number was 7.6 \pm 1.0 as assessed on the bioanalyzer (Agilent). RNA samples were
133 shipped on dry ice to the Australian Genome Research Facility for library preparation
134 (TruSeq Stranded mRNA Library Prep Kit, Illumina) and sequencing (Illumina HiSeq2500, 50-
135 bp single-end reads, v4 chemistry). The raw data are available at the NCBI Short Read
136 Archive (accession; SRP057350).

137

138 **RNA-Seq data analysis**

139 The quality of the RNA-Seq data was assessed with the Bioconductor package Rqc (see Fig.
140 E1 in the online data supplement). Reads were aligned to the reference genome (hg19)
141 using Subread, and summarized at the gene-level using featureCounts (17). Genes with less
142 than 300 total counts were removed from the analysis. Differentially expressed genes were
143 identified employing Empirical analysis of digital gene expression data in R (EdgeR) with
144 False Discovery Rate (FDR) control for multiple testing (18). The analysis was adjusted for
145 latent variation using the Remove Unwanted Variation (RUV) algorithm (Fig. E2 (19)). A
146 coexpression network was constructed employing the weighted gene coexpression network
147 analysis (WGCNA) algorithm (20). Prior to network analysis, the count data was transformed
148 using the variance stabilizing transformation algorithm (18). Modules associated with clinical
149 traits were identified by plotting the $-\log_{10}$ p-values from the edgeR analysis on a module-
150 by-module basis. The wiring diagram of selected gene networks was reconstructed
151 employing two different methods. The first method utilized “prior knowledge” comprising
152 experimentally supported molecular relationships based on data from the Ingenuity Systems
153 KnowledgeBase (www.ingenuity.com) (20). The second method utilized unbiased
154 connectivity patterns derived from WGCNA, and the network was visualized using VisANT
155 (21). Biological pathways and functions enriched in the data were identified with Enrichr
156 (22).

157

158 **Immunostaining**

159 Primary bronchial epithelial cells were obtained from 8 healthy nonatopic children and 8
160 atopic asthmatic children with HDM allergy who were undergoing elective surgery for non-

161 respiratory related conditions. Cytospins were prepared and stained for CDHR3 and DAPI
 162 using methods previously described (see online supplementary methods).

163

164

165 RESULTS

166 The characteristics of the 4 study groups are illustrated in Table 1.

167

168 **Table 1. Characteristics of the study population**

169

	Nonatopic controls	Nonatopic wheezers	HDM ^S nonwheezers	HDM ^S wheezers	P
Number of participants	21	7	24	16	
Male (%)	42.9	42.9	54.2	56.3	0.804
Wheeze in past 12 months [A] (%)	0.0	100.0	0.0	100.0	
Doctor diagnosis of asthma ever [B] (%)	0.0* a	57.1 a,b	43.5* a	93.8 b	<0.001
Asthma medication use in past 12 months [C] (%)	4.8 a	14.3 a	8.3 a	75.0 b	<0.001
Current medicated asthma [Positive for A,B&C] (%)	0.0	14.3	0.0	75.0	0.007 [^]
Current asthma [Positive for A&B] (%)	0.0	57.1	0.0	93.8	0.033 [^]
Airways hyperresponsiveness (%)	0.0	0.0	0.0	43.7	<0.001
Any positive skin prick test (wheal \geq 3mm; %)	0.0	0.0	100.0	100.0	
Positive HDM skin prick test (wheal \geq 3mm; %)	0.0	0.0	100.0	100.0	
HDM SPT wheal diameter (mm):					
<i>Dermatophagoides pteronyssinus</i>	0.0 [0]	0.0 [0]	6.0 [5.3]	9.0 [3.5]	0.039 ^{^^}
<i>Dermatophagoides farinae</i>	0.0 [0]	0.0 [0]	4.3 [5.5]	6.7 [5.7]	0.090 ^{^^}
Sum of <i>D. pteronyssinus</i> and <i>D. farinae</i>	0.0 [0]	0.0 [0]	10.3 [11.3]	15.9 [10.1]	0.062 ^{^^}
Parental history of asthma at recruitment (%)	10.0*	28.6	21.7*	37.5	0.263
Baseline FEV (z score)	0.0 [1.3]	0.4 [0.6]	-0.3 [1.5]	-0.6 [1.5]	0.438
Baseline FVC ₁ (z score)	-0.3 [1.0]	0.3 [1.3]	0.0 [1.2]	0.1 [1.4]	0.609
Baseline FEV/FVC ₁ (z score)	-0.2 [1.1]	0.1 [1.5]	-0.3 [1.3]	-1.0 [1.2]	0.137

Current rhinoconjunctivitis (%)	0.0 a	42.9 b	0.0 a	75.0 b	<0.001
Current smokers (%)	23.8	14.3	33.3	25.0	0.740
Height (cm)	176 [14.0]	170.0 [19.0]	175.5 [16.0]	173.0 [24.0]	0.422
Weight (kg)	67.6 [21.4]	76.9 [27.0]	75.0 [23.3]	70.3 [41.5]	0.561
Hip to waist ratio	0.84 [0.1]	0.83 [0.1]	0.85 [0.1]	0.87 [0.1]	0.754
Age at assessment and sputum collection (years)	22.0 [0.4]	22.0 [0.3]	22.1 [1.0]	22.0 [0.9]	0.754
Median [interquartile range] is displayed for all continuous measures. P value is derived from analyses comparing the four groups: prevalence values were compared by Chi square analysis; continuous measures were compared by Kruskal Wallis analysis. Where significant differences were observed between the four group groups (P<0.5 in table), each letter denotes sputum groups that do not differ significantly at the 0.05 level after adjusting for multiple comparisons (a vs a = not different; a vs b = significantly different). *Data was missing for 1 participant in each group; percentage represents proportion of available cases positive for outcome. ^Wheezing groups only were compared by Mann Whitney U test. ^^Atopic groups only were compared by Mann Whitney U test.					

170

171 The cellular composition of sputum from these subjects was dominated by macrophages
 172 and neutrophils, which constituted 88-94% of the overall population, and the proportion of
 173 these cell types (and overall total yields) did not differ between the groups (see
 174 supplementary Table E1). Squamous cells and lymphocytes comprised on average 4.5% and
 175 1.8% respectively and also did not differ between groups. Small numbers of eosinophils
 176 were detectable only in the atopic groups and were highest in the wheezers (Table E1).

177

178 Gene expression patterns in sputum were firstly compared between HDM^S nonwheezers
 179 and nonatopic controls. The data showed that 80 genes were upregulated (including the Th2
 180 signature genes IL-5 [4.15 logfold] and IL-13 [2.92 logfold]) and 11 genes were
 181 downregulated (FDR < 0.05, Table E2). To obtain detailed information on the regulatory
 182 interactions between these genes, we utilized experimentally supported findings from
 183 published studies (prior knowledge) to reconstruct the underlying network (20). This
 184 analysis showed that the genes were mainly involved in IL-1B and IL-5/IL-13 signaling (Fig 1).

185

186 Secondly, comparing gene expression patterns between HDM^S wheezers and nonatopic
187 controls showed that 842 genes were upregulated (again including IL-5 [5.29 logfold], IL-13
188 [3.03 logfold] and IL-33 [2.59 logfold]) and 11 genes were downregulated in the wheezers
189 (FDR < 0.05, Table E3). As illustrated in Fig. 2, the prior knowledge network revealed that
190 these genes revolved around a few hubs - erb-b2 receptor tyrosine kinase 2 (ERBB2/HER2),
191 which was involved in 59 interactions (also known as 'edges' in graph theory (23)), IL-13 (44
192 edges), and E-Cadherin/CDH1 (37 edges).

193

194 Thirdly, we compared gene expression patterns between HDM^S wheezers versus HDM^S
195 nonwheezers. The data showed that 859 genes were upregulated and 8 genes were
196 downregulated (FDR < 0.05, Table E4). The prior knowledge network constructed from these
197 genes (Fig. 3) identified epidermal growth factor receptor (EGFR, 60 edges), ERBB2 (56
198 edges) and CDH1 (38 edges) as hub genes. It is noteworthy that IL-13 did not feature here
199 since it was not differentially expressed after adjustment for multiple testing (p-value =
200 0.028, FDR = 0.27). In contrast, IL-33 was upregulated in this comparison (2.74 logfold; Table
201 E4).

202

203 Finally, we compared gene expression in nonatopic wheezers with nonatopic controls, and a
204 single gene - LIM domain binding 3 (LDB3), was upregulated in the subjects with wheeze
205 (FDR = 2.6×10^{-5}). As expected, there was no evidence of a Th2 signature.

206

207 It has been reported that hub genes in biological interaction networks often exhibit limited
208 expression changes in experimental asthma models (24), thus a potential caveat of the
209 above analyses, which focused on differentially expressed genes, is that some hubs may

210 have escaped detection. To address this issue, we constructed a genome-wide coexpression
211 network, utilizing the data from both atopic groups (n=40). The resulting network comprised
212 14,833 genes organized into 23 coexpression modules. To identify disease-associated
213 modules, we plotted the $-\text{Log}_{10}$ p-values derived from the above differential expression
214 analyses on a module-by-module basis. The data showed that the modules were not
215 different between HDM^S nonwheezers and nonatopic controls (Fig E5A). In contrast, three
216 modules (designated A, P, and Q) were upregulated in HDM^S wheezers versus the other two
217 groups (nonatopic controls, HDM^S nonwheezers, Fig E5B, E5C).

218

219 Module “P” contained 319 genes, and the prior knowledge network constructed from these
220 genes contained the hub genes EGFR (35 edges) and CDH1 (31 edges, Fig E6). Module “Q”
221 contained 440 genes, and the hubs in the resultant prior knowledge network were ERBB2
222 (35 edges) and IL-13 (27 edges, Fig E7). Principal component analysis showed that these two
223 modules (P, Q) were highly correlated (Pearson correlation: 0.897, P-value = 4.441×10^{-15})
224 (Fig E8), suggesting they are subunits of a larger parent module. Therefore, we merged them
225 into a single network. In the merged network the dominant hubs were EGFR (73 edges),
226 ERBB2 (65 edges), CDH1 (56 edges) and IL-13 (48 edges, Fig E9). Notably, these hubs connect
227 to both common and unique pathways (Fig 4). The biological function of the genes that
228 interact with the hubs was interrogated using Gene Ontology terms (Table E5) and Pubmed
229 searches (Table E6). Module “A” contained 506 genes. It was not possible to reconstruct
230 this module using prior knowledge, because no interactions were found for the vast majority
231 of genes. Therefore we used unbiased correlation patterns to reconstruct the network (21).
232 This analysis showed that the highest-ranking coexpression hubs were TEK1, FOXJ1,
233 ARMC3, PIFO, DNAH5, RSPH1, FAM81B, SNTN, CDHR3, ERICH3, DNAH9, and CAPSL (Fig 5).

234 This module was strongly enriched with genes involved in the function of ciliated epithelial
235 cells (Table E7).

236

237 We selected CDHR3 for further study because our data suggests it is a hub that functions in
238 ciliated epithelial cells, and a previous study reported it was a susceptibility gene for severe
239 asthma exacerbations (25). CDHR3 expression was examined in bronchial epithelial cells
240 from HDM sensitized children with asthma (n=8) and from nonatopic controls (n=8) using
241 immunostaining (see Table E7 for subject characteristics). The data showed there was
242 positive staining localized to the apical surface of columnar epithelial cells in both cohorts
243 (Fig. 6A, Fig E10). Of particular interest was the observation that expression of CDHR3
244 (green) appeared more intense and defined in airway epithelial cells derived from the
245 asthmatic children, and this was confirmed by image quantification (Fig. 6B).

246

247

248 **DISCUSSION**

249 An increasing body of epidemiological and experimental evidence (reviewed (2, 3, 26)), now
250 supported by a range of intervention studies (4-6), argues for a causal role for Th2-
251 associated inflammatory mechanisms in the aetiology and pathogenesis of atopic asthma.
252 However the precise details of the underlying causal pathways still remain incompletely
253 understood. In particular, the relative contributions of airways inflammation resulting from
254 acute severe exacerbation events versus chronic exposure to relevant aeroallergens to time-
255 related lung function decline in asthmatics, remains unknown. Moreover, while it is
256 undisputed that sensitization to perennial aeroallergens is an important asthma risk factor,
257 community wide studies clearly demonstrate that only a minority of sensitized subjects

258 (including of those highly sensitized to HDM (11)) ever develop persistent wheeze. This
259 suggests that additional cofactor(s) may be required to unmask the full pathogenic potential
260 of aeroallergen-specific sensitization. A likely candidate in this regard is the airway
261 epithelium which may function as both a target for Th2-associated inflammation and/or as
262 an active participant via production of a range of immunomodulatory molecules that can
263 regulate the local functioning of Th2 cells and also Th2 cytokine-secreting group 2 innate
264 lymphoid cells (7-9).

265

266 Our current study design represents an unbiased approach towards testing this possibility.
267 In the core experiments we have sampled induced sputum cell populations from
268 equivalently sensitized adult atopics undergoing natural aeroallergen exposure, and
269 subsequent gene expression profiling and ensuing bioinformatics analyses after stratification
270 on the basis of wheezing phenotypes provides novel insight into the nature of the
271 inflammatory processes ongoing on the airway mucosal surface at the time of sampling.

272

273 Our initial analyses showed that a Th2 gene expression program was upregulated in baseline
274 sputum samples from the HDM sensitized atopics, regardless of whether these subjects
275 have current history of wheeze. The key Th2 genes IL-5 and IL-13 were upregulated to
276 comparable degrees in both groups, however in HDM^S nonwheezers the overall Th2
277 program was restricted to only a small number of IL-5/IL-13-associated genes. In contrast,
278 hundreds of genes were upregulated in HDM^S wheezers and network analysis suggested
279 that these genes function in the context of two discrete coexpression modules.
280 Reconstruction of the first module using prior knowledge revealed that the hub genes EGFR,
281 ERBB2, CDH1, and IL-13 dominated the network structure. The second coexpression module

282 comprised genes that control mucociliary clearance, and reconstruction of this module
283 employing unbiased gene coexpression patterns identified CDHR3 as a hub. Overall, our
284 findings suggest that the molecular mechanisms that determine susceptibility to asthma-
285 associated wheeze amongst HDM sensitized atopics involve complex interactions between
286 Th2 and epithelial gene networks.

287

288 EGFR was the dominant hub in the first module. Downstream of this gene is a complex
289 signaling pathway that can be activated by multiple ligands (e.g. amphiregulin, EGF,
290 epiregulin, HB-EGF, TGF- α) (27). Puddicombe et al. reported that EGFR was upregulated in
291 the bronchial epithelium of patients with asthma and in particular severe asthma in
292 comparison to healthy controls, and expression levels were correlated with sub-epithelial
293 reticular membrane thickening (28). Le Cras et al. reported that inhibition of EGFR signaling
294 with a tyrosine kinase inhibitor reduced goblet cell hyperplasia, airway hyperreactivity and
295 airway smooth muscle thickening in a chronic mouse model of HDM exposure (29). The
296 latter two phenotypes were also reduced by conditional transgenic expression of a
297 dominant negative EGFR mutant in the lung epithelium. Together, these data suggest that
298 upregulation of EGFR signaling networks in the context of HDM exposure plays a causal role
299 in the development of asthma-related traits.

300

301 The second hub ERBB2 is an orphan receptor from the EGFR family. It lacks a ligand-binding
302 domain and transduces signals by forming heterodimers with other ligand bound members
303 of the EGF receptor family, including EGFR. Polosa et al. reported that ERBB2 expression was
304 not different in bronchial epithelial cells from asthmatic subjects compared to healthy
305 controls (30). Song and Lee identified ERBB2 as an asthma susceptibility gene based on a

306 pathways analysis of genome-wide single nucleotide polymorphism data (31). The function
307 of ERBB2 in asthma has not been previously investigated in animal models. Vermeer et al.
308 reported that blockade of ERBB2 signaling in differentiated airway epithelial cells cultured at
309 air-liquid interface reduced the number of ciliated epithelial cells (32). Kettle et al. reported
310 that blocking ERBB2 signaling *in vitro* attenuated neuregulin-induced upregulation of
311 MUC5AC and MUC5B (33). Notably, our network analysis showed that ERBB2 connects to
312 anterior gradient 2 (AGR2). Previous studies have shown that ERBB2 upregulates the
313 transcription and secretion of AGR2 (34, 35). AGR2 binds to immature MUC5AC in the
314 endoplasmic reticulum, where it is thought to play a role in mucin folding. AGR2 deficient
315 mice have profound defects in intestinal mucus production and reduced mucus production
316 in the airways of allergen challenged mice (36, 37). Upregulation of ERBB2 networks may
317 therefore influence asthma by modulating epithelial differentiation and mucus production.

318

319 The third hub E-cadherin (CDH1) is a cell adhesion molecule that forms adherence junctions
320 between adjacent airway epithelial cells and maintains epithelial barrier integrity (38). HDM
321 disrupts epithelial barrier function by delocalizing E-cadherin and other junction molecules,
322 and this is thought to enhance allergic sensitization and inflammation (39). Polymorphisms
323 in CDH1 are associated with airways remodeling and lung function decline, but only in those
324 asthma patients using corticosteroids (40). Dysregulation of CDH1 signaling networks may
325 impact on barrier function, inflammation, and airways remodeling.

326

327 The fourth hub IL-13 plays a central role in the pathogenesis of asthma by driving mucus
328 production, airways hyper-responsiveness, and airways remodeling (26). It is produced by
329 Th2 and group 2 innate lymphoid cells (ILC2), and it can also be produced by macrophages

330 (41, 42). IL-13 itself was not differentially expressed in HDM^S wheezers versus nonwheezers,
331 however network analysis demonstrated that in the wheezers it was connected to an
332 extensive set of genes that have established roles in mouse models of allergic asthma. For
333 instance, IL-33 stimulates the production of IL-5 and IL-13 by type 2 innate lymphoid cells
334 and Th2 cells (43, 44), and in the presence of GM-CSF it can drive allergic inflammation at
335 sub-threshold allergen doses (45). In animal models, deficiency of multiple genes from the
336 IL-13 network can impact on asthma-related traits, including allergic sensitization and/or
337 inflammation (ALOX15 (46), CYBB (47)), and airways hyperresponsiveness and mucus
338 production/goblet cell hyperplasia (POSTN (48), SERPINB3/4 (49)). Moreover, transgenic
339 expression of SPDEF or FOXA3 leads to upregulation of pulmonary Th2 cytokines and
340 increased goblet cell differentiation, eosinophilic inflammation, and airway
341 hyperresponsiveness (50). It is noteworthy, that whilst both IL-13 and EGFR ligands can
342 induce the transcription of mucin genes, microarray profiling studies have shown that these
343 pathways have largely independent effects on gene regulation in bronchial epithelial cells,
344 and they play distinct roles in goblet cell metaplasia (36, 51, 52). Many other pathways were
345 also identified that are regulated by IL-13 and relevant to asthma pathogenesis (e.g. CCL17,
346 CCL26, CTGF, FCER1A, KITLG, MUC2, NOS2, TLR3, see Table E5).

347

348 The second coexpression module we identified comprised genes expressed in ciliated
349 epithelial cells that control mucociliary clearance. The primary function of cilia is to beat in a
350 synchronous manner to clear mucus from the airways and into the pharynx. Thomas et al.
351 reported that cilia beat frequency was decreased in patients with asthma, and severe
352 asthmatics had abnormal ciliary orientation and microtubule defects (53). Notably,
353 employing network analysis we showed that CDHR3 was a highly ranked coexpression hub

354 within this module. This prompted us to examine CDHR3 protein expression in bronchial
355 epithelial cells, and we demonstrated that expression was localized to the apical surface of
356 columnar epithelial cells and was increased in HDM sensitized atopics with asthma
357 compared to nonatopic controls. Ross et al. reported that CDHR3 was highly upregulated
358 during mucociliary differentiation of human airway epithelial cells (54). Bisgaard and
359 coworkers reported that polymorphisms in CDHR3 were associated with recurrent, severe
360 childhood asthma exacerbations (25). More research will be required to investigate the role
361 of CDHR3 in ciliated epithelial cells.

362

363 This exploratory study has limitations including small sample size that should be
364 acknowledged. The molecular profiling studies were based on a heterogeneous cell
365 population, and the pathways we identified were mainly associated with airway epithelial
366 cells, which represent a minority population in sputum. We cannot exclude the possibility
367 that epithelial shedding may have varied across the study groups and impacted on the
368 analysis, although the RUV adjustment we employed should minimize any potential
369 confounding by biological and/or technical variations. It is additionally noteworthy that
370 using prior knowledge to reconstruct gene networks relies on data derived from
371 experimental settings that may be far removed from the current study, which means that
372 conclusions drawn from these analyses may be oversimplified given that genes can function
373 in a context specific manner. Detailed follow-up mechanistic will therefore be required to
374 elucidate the specific cellular mechanisms involved, and dissect the role of the molecular
375 pathways we have identified.

376

377 Notwithstanding these caveats, our findings collectively are consistent with the general
378 hypothesis that progression from subclinical responsiveness to aeroallergen exposure in
379 atopic asthmatics to expression of the persistent wheezing phenotype involves the
380 establishment of coexpression networks linking Th2 effector cytokine genes in immune cells
381 recruited to the airway surface with genes expressed in adjacent epithelial cells that have
382 been implicated in myriad asthma-relevant functions including mucosal barrier integrity,
383 mucus production, tissue remodeling, responsiveness to irritants, and (exemplified by IL-33)
384 intensification of aeroallergen-specific Th2 immunity. Targeting drug development programs
385 specifically at these chronic mechanisms, as opposed to simply those that are triggered
386 during acute exacerbation events, may provide improved therapeutics for prevention of
387 asthma progression in atopics who represent the segment of the population at greatest risk
388 of this disease.
389

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391

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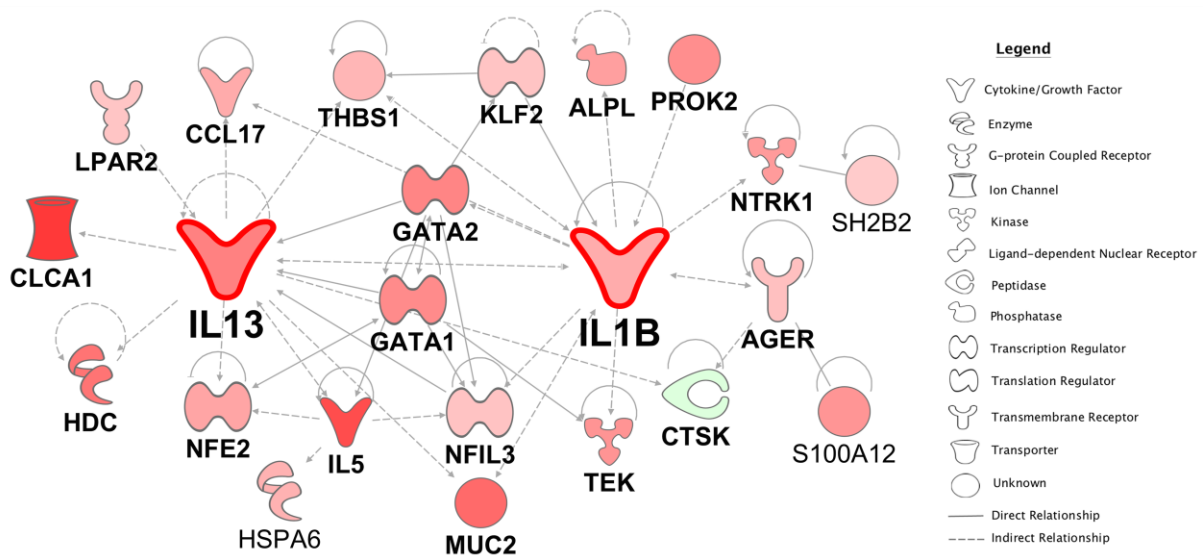
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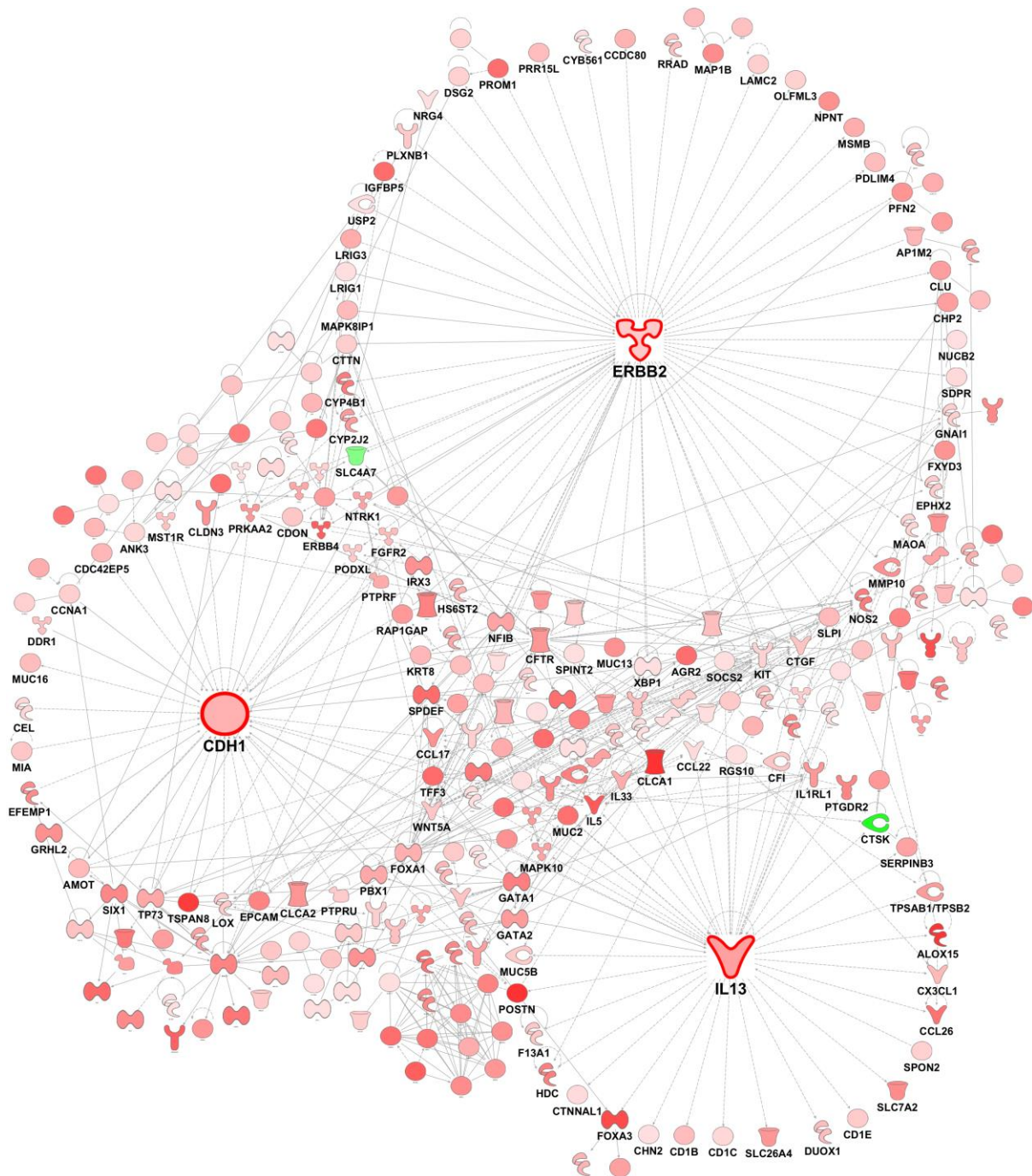
554 **Figures**

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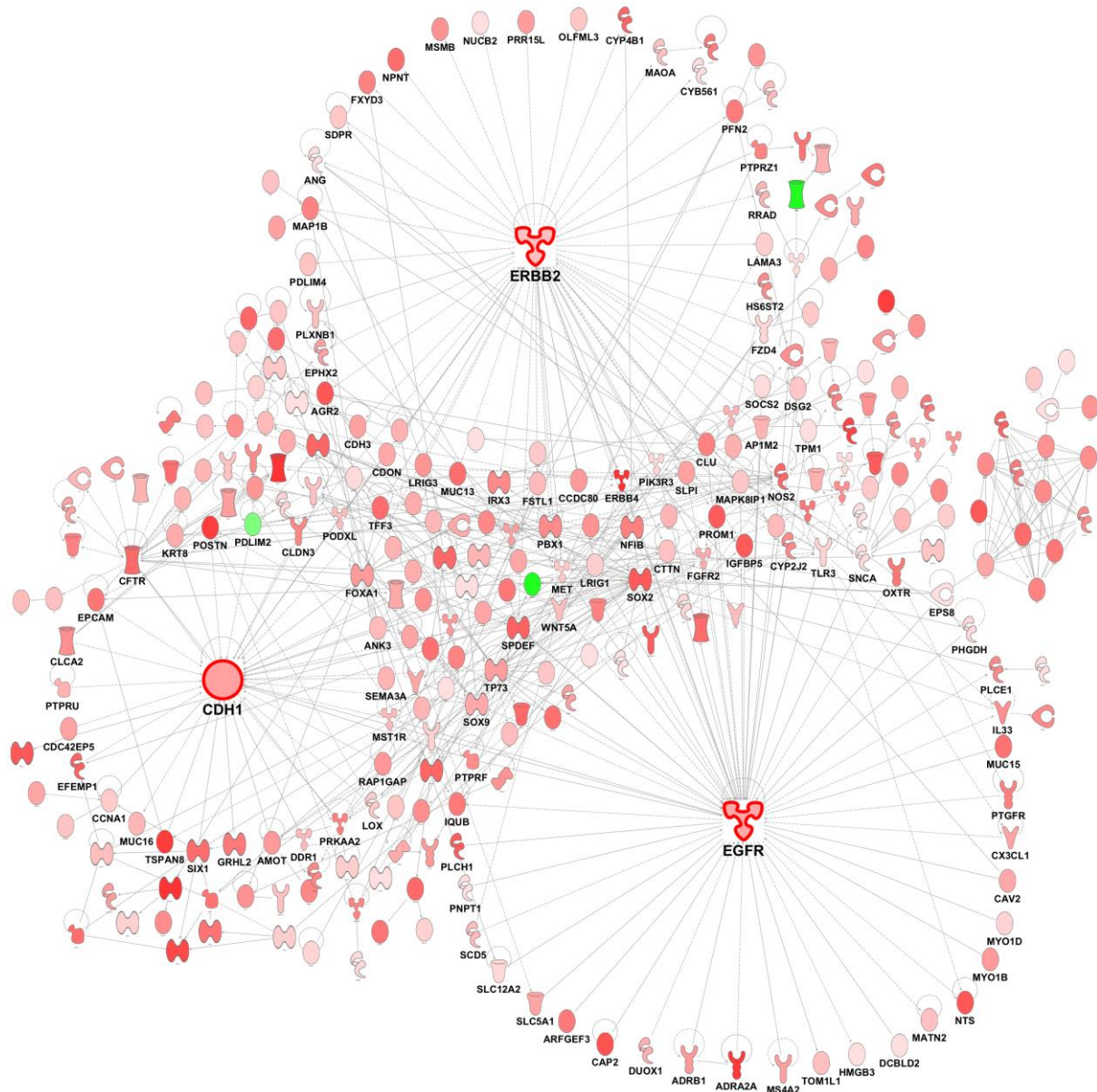
556

557 **Figure 1:** Gene expression patterns in sputum were compared between HDM^S nonwheezers
558 and nonatopic controls. The network was reconstructed employing prior knowledge from
559 the literature. Genes highlighted in red denote upregulation, whilst green indicates
560 downregulation in HDM^S nonwheezers.



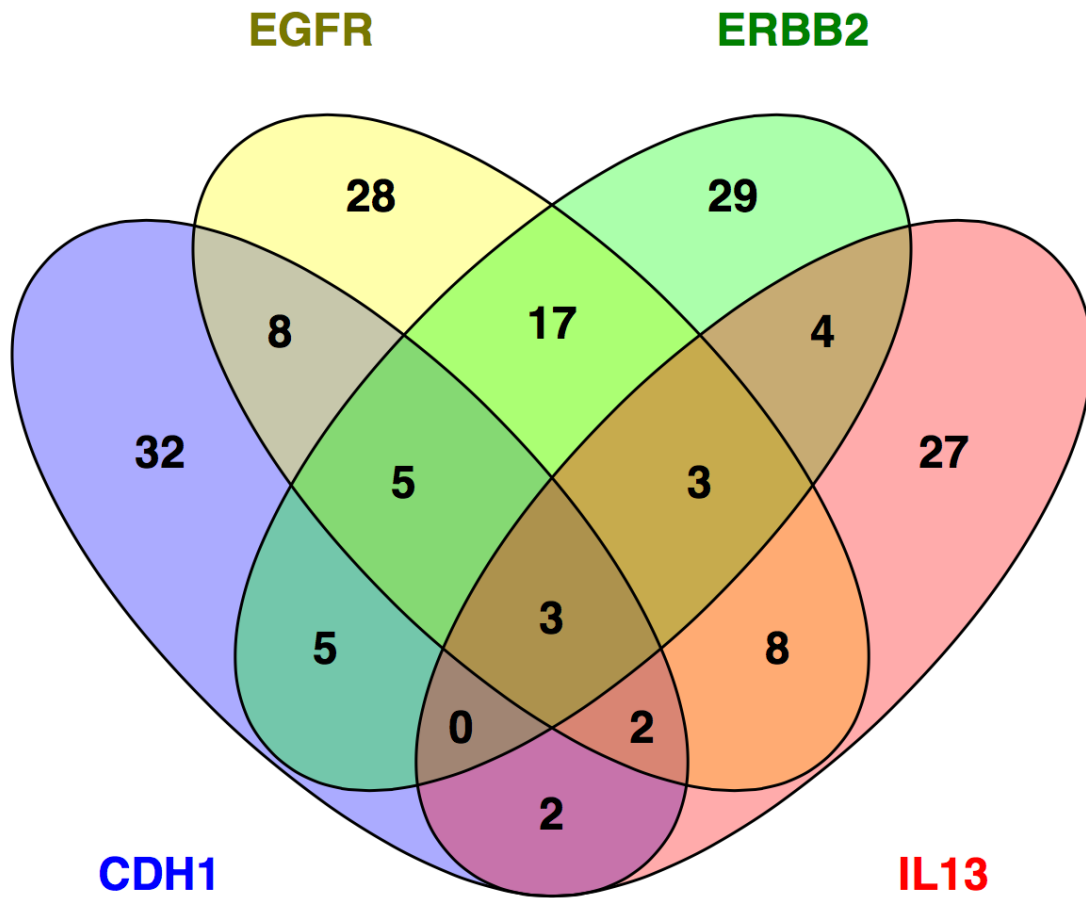
561

562 **Figure 2:** Gene expression patterns in sputum were compared between HDM^S wheezers and
563 nonatopic controls. The gene network was reconstructed using prior knowledge. Genes
564 highlighted in red denote upregulation, whilst molecules in green indicate downregulation in
565 HDM^S wheezers.



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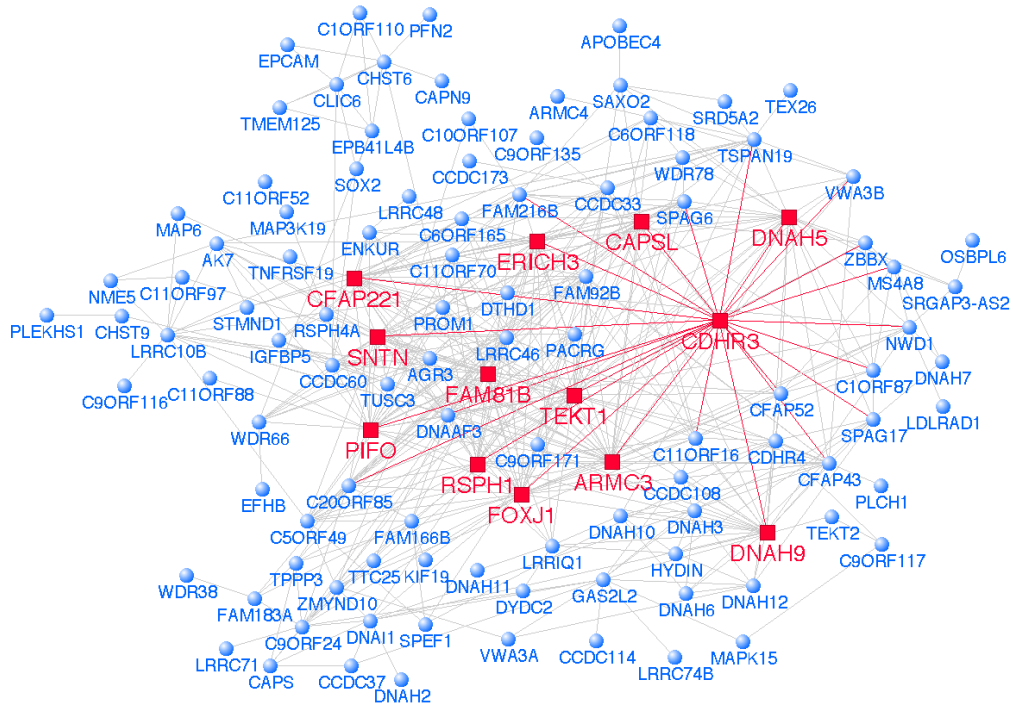
567 **Figure 3:** Gene expression patterns in sputum were compared between HDM^S wheezers
568 versus HDM^S nonwheezers. The network was reconstructed employing prior knowledge
569 from the literature. Genes highlighted in red denote upregulation, whilst molecules in green
570 indicate downregulation in HDM^S wheezers.



571

572 **Figure 4:** The Venn diagram illustrates the overlap between the genes that are networked

573 with each hub.



574

575 **Figure 5:** Reconstruction of the mucociliary clearance module identifies CDHR3 as a hub.

576 This module was reconstructed with weighted correlation network analysis (WGCNA). The

577 dominant hubs are highlighted in red.

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A

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Nonatopic
control

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Atopic
asthmatic

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B

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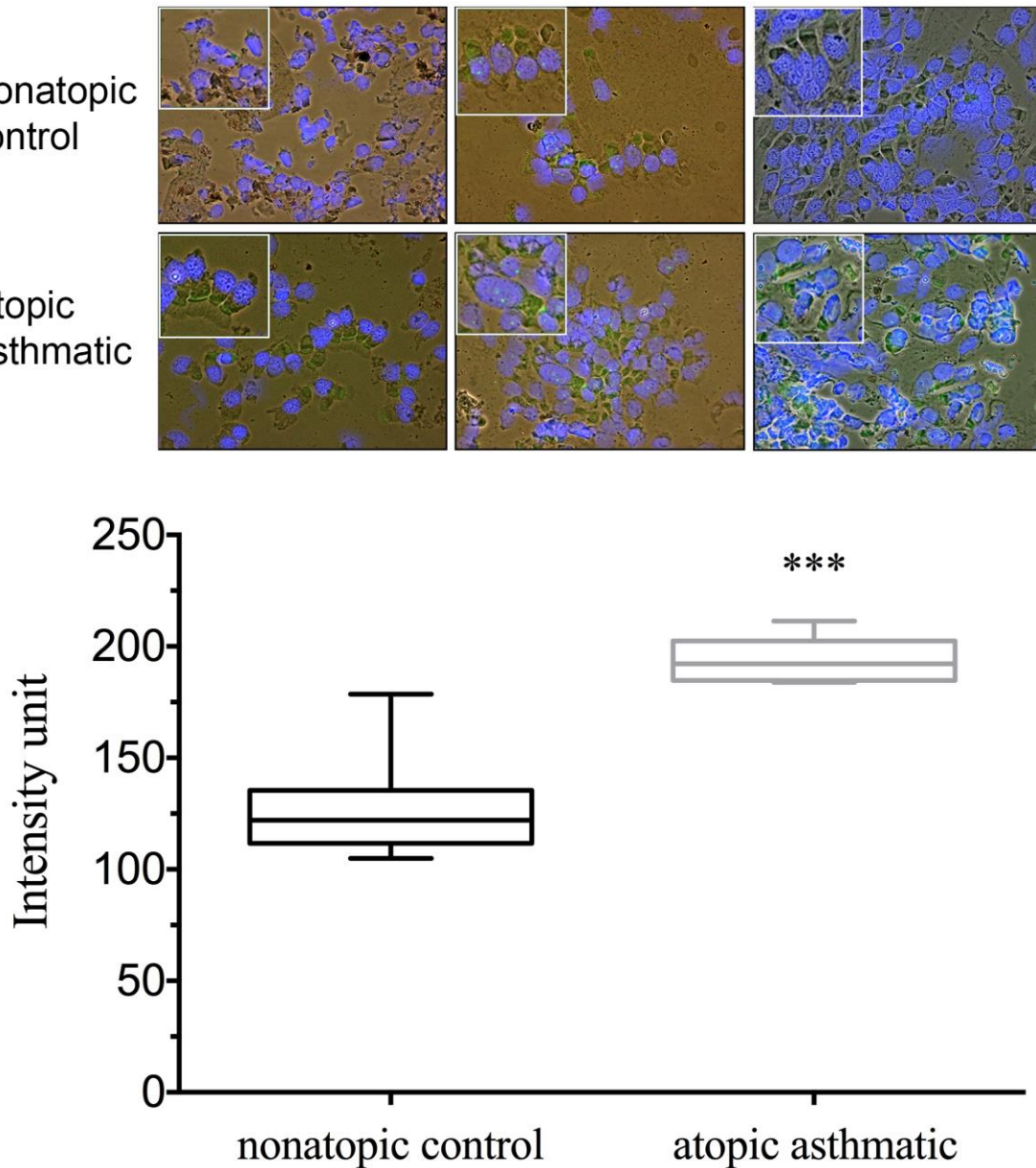
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607 **Figure 6:** Expression of CDHR3 in bronchial epithelial cells from HDM sensitized atopics with

608 asthma and nonatopic controls. **A)** Bronchial epithelial cells were immunofluorescently

609 stained for CDHR3 expression (green) and nuclei with DAPI (blue). Staining images were then

610 overlaid over bright field images taken of the same field of view. Note: mag 200x; inset 400x.

611 **B)** Quantification of the images demonstrated that the expression was more intense in the

612 atopics with asthma. *** P-value < 0.001