

An opinionated guide to the proper care and feeding of your transcriptome

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1 Abstract

2 Characterizing transcriptomes in both model and non-model organisms has resulted in a
3 massive increase in our understanding of biological phenomena. This boon, largely made
4 possible via high-throughput sequencing, means that studies of functional, evolutionary
5 and population genomics are now being done by hundreds or even thousands of labs
6 around the world. For many, these studies begin with a *de novo* transcriptome assembly,
7 which is a technically complicated process involving several discrete steps. Each step
8 may be accomplished in one of several different ways, using different software packages,
9 each producing different results. This analytical complexity begs the question – *Which*
10 *method(s) are optimal?* Using reference and non-reference based evaluative methods, I
11 propose a set of guidelines that aim to standardize and facilitate the process of transcrip-
12 tome assembly. These recommendations include the generation of between 20 million
13 and 40 million sequencing reads from single individual where possible, error correction of
14 reads, gentle quality trimming, assembly filtering using **Transrate** and/or gene expres-
15 sion, annotation using **dammit**, and appropriate reporting. These recommendations have
16 been extensively benchmarked and applied to publicly available transcriptomes, result-
17 ing in improvements in both content and contiguity. To facilitate the implementation
18 of the proposed standardized methods, I have released a set of version controlled open-
19 sourced code, The Oyster River Protocol for Transcriptome Assembly, available at
20 <http://oyster-river-protocol.rtfid.org/>.

21 Introduction

22 For all biology, modern sequencing technologies has provided for an unprecedented oppor-
23 tunity to gain a deep understanding of genome level processes that underlie a very wide

24 array of natural phenomenon, from intracellular metabolic processes to global patterns
25 of population variability. Transcriptome sequencing has been influential, particularly in
26 functional genomics, and has resulted in discoveries not possible even just a few years
27 ago. This in large part is due to the scale at which these studies may be conducted.
28 Unlike studies of adaptation based on one or a small number of candidate genes (e.g.
29 (Fitzpatrick et al., 2005; Panhuis, 2006)), modern studies may assay the entire suite of
30 expressed transcripts – the transcriptome – simultaneously. In addition to issues of scale,
31 as a direct result of enhanced dynamic range, newer sequencing studies have increased abil-
32 ity to simultaneously reconstruct and quantitate lowly- and highly-expressed transcripts,
33 (Wolf, 2013; Vijay et al., 2013). Lastly, improved methods for the detection of differences
34 in gene expression (e.g., Robinson et al. (2010); Love et al. (2014)) across experimental
35 treatments has resulted in increased resolution for studies aimed at understanding changes
36 in gene expression.

37
38 As a direct result of their widespread popularity, a diverse toolset for the assembly
39 and analysis of transcriptome exists. Notable amongst the wide array of tools include sev-
40 eral for quality visualization - FastQC ([available here](#)) and SolexaQA (Cox et al., 2010),
41 read trimming (e.g. Skewer (Jiang et al., 2014), Trimmomatic (Bolger et al., 2014) and
42 Cutadapt (Martin, 2011)), read normalization (khmer (Pell et al., 2012)), error correction
43 (Le et al., 2013), assembly (Trinity (Haas et al., 2013), SOAPdenovoTrans (Xie et al.,
44 2014)), and assembly verification (Transrate (Smith-Unna et al., 2015)), BUSCO (Simão et al.,
45 2015), and RSEM-eval (Li et al., 2014)). The ease with which these tools may be used to
46 produce transcriptome assemblies belies the true complexity underlying the overall pro-
47 cess. Indeed, the subtle (and not so subtle) methodological challenges associated with
48 transcriptome reconstruction may result in highly variable assembly quality. Amongst
49 the most challenging include isoform reconstruction and simultaneous assembly of low-
50 and high-coverage transcripts (Modrek et al., 2001; Johnson et al., 2003), which together
51 make accurate transcriptome assembly technically challenging. As in child rearing, pro-
52 duction of a respectable transcriptome sequence requires a large investment in time and
53 resources. At every step in development, care must be taken correct, but not overcorrect.
54 Here, I propose a set of guidelines for the care and feeding that will result in the produc-
55 tion of an accurate, useful, and well-adjusted transcriptome.

56
57 In particular, I focus my efforts on the early- and mid-development of the transcrip-

58 tome – which, unfortunately are steps often neglected or abused – and reporting. Par-
59 ticularly flagrant are abuses related to the selection and quality control of input data,
60 and lack of appropriate post-assembly quality evaluation. Here, I aim to define a set of
61 evidence based analyses and methods aimed at improving transcriptome assembly, which
62 in turn has significant effects on all downstream analyses.

63

64 Methods

65 To demonstrate the merits of my recommendations, a large number of assemblies were
66 produced using a variety of methods. For all assemblies performed, Illumina sequencing
67 adapters were removed from both ends of the sequencing reads, as were nucleotides with
68 quality Phred ≤ 2 , using the program `Trimmomatic` version 0.32 (Bolger et al., 2014). The
69 reads were assembled using Trinity release 2.1.1 (Haas et al., 2013) using default settings.
70 `Trinity` was used as the default assembler as it has been previously reported to be best
71 in class (Li et al., 2014; Smith-Unna et al., 2015). Assemblies were characterized using
72 `Transrate` version 1.0.1 (Smith-Unna et al., 2015). Using this software, I generated three
73 kinds of metrics: contig metrics; mapping metrics which used as input the same reads
74 that were fed into the assembler for each assembly; and comparative metrics which used
75 as input the *Mus musculus* version 75 transcriptome. In addition to the metrics provided
76 by `Transrate`, I evaluated completeness of each assembly by use of BUSCO, a software
77 package that searches for highly conserved, near-universal, single copy orthologs.

78

79 To understand the influence of read depth on assembly quality, I produced subsets of
80 size 1,2,5,10,20,40,60,80,100 million paired end reads of two publicly available paired-end
81 datasets - A *Mus* dataset -SRR797058 described in Macfarlan et al. (2012) and a human
82 dataset - SRR1659968. The subsampling procedure was accomplished via the software
83 package `seqtk` (<https://github.com/lh3/seqtk>). For the evaluation of the effects of
84 sequence polymorphism on assembly quality, I use reads from BioProject PRJNA157895
85 described in Macmanes and Lacey (2012), a *Ctenomys* dataset which consists of 10 read
86 files from the hypothalami of 10 different individuals. This dataset was assembled two
87 ways. First, the reads from all 10 individuals were jointly assembled in one large assem-
88 bly [CODE]. This assembly was compared to the assembly of a single individual [CODE].
89 Assemblies were generated and evaluated as per above.

90

91 To evaluate the effects of error correction, I used the subsampled read datasets, which
92 were subsequently error corrected using the following software packages: SEECER ver-
93 sion 0.1.3 (Le et al., 2013), Lighter version 1.0.7 (Song et al., 2014), SGA version 0.10.13
94 (Simpson and Durbin, 2012), bfc version r177 (Li, 2015), RCorrector (Song and Florea,
95 2015), and BLESS version 0.24 (Heo et al., 2014). In correction algorithms (SGA, BLESS,
96 bfc) that allowed for the use of larger *kmer* lengths, I elected to error correct with a small
97 ($k = 31$) and a long ($k = 55$) *kmer*, while for the other software (RCorrector, SEECER
98 and Lighter) that does not allow for longer *kmer* values, I set $k = 31$. bfc requires
99 interleaved reads, which was accomplished using khmer version 2.0 (Brown et al., 2015,
100 2012; McDonald and Brown, 2013). Code for performing these steps is available [\[here\]](#).

101

102 The effects of khmer digital normalization (Pell et al., 2012) were characterized by
103 generating three 20 million, 40 million, and 80 million read subsets of the larger *Mus*
104 dataset. Digital normalization was performed using a median kmer abundance threshold
105 of 30. The resulting datasets were assembled using Trinity, and evaluated using BUSCO
106 and Transrate. Code for performing these steps is available in the `diginorm` target of
107 the [\[Makefile\]](#).

108

109 Post-assembly processing was evaluated using several assembly datasets of various
110 sizes, generated above. Each assembly was evaluated using Transrate. Transrate pro-
111 duces a score based on contig and mapping metrics, as well as a more optimal assembly
112 where poorly supported contigs (putative assembly artifacts) are removed. Both the origi-
113 nal and Transrate optimal assembly are evaluated using BUSCO, to help better understand
114 if filtration results in the loss of non-artifactual transcripts. In addition to Transrate fil-
115 tration, an additional, or alternative filtration step is performed using estimates of gene
116 expression (TPM=transcripts per million). TPM is estimated by two different software
117 packages that implement two distinct methods - Salmon (Patro et al., 2015) and Kallisto
118 (Bray et al., 2015). Transcripts whose expression is estimated to be greater than a given
119 threshold, typically TPM=1 or TPM=0.5 are retained. As above, the filtered assemblies
120 are evaluated using BUSCO, to help better understand if filtration results in the loss of
121 non-artifactual transcripts. Code for performing these steps is available in the `QC` target
122 of the makefile available [\[here\]](#).

123

124 Recommendations

125 0.1 Input Data

126 **Summary Statement: Sequence 1 or more tissues from 1 individual to a depth**
127 **of between 20 million and 40 million 100bp or longer paired-end reads.**

128 When planning to construct a transcriptome, the first question to ponder is the type
129 and quantity of data required. While this will be somewhat determined by the specific
130 goals of the study and availability of tissues, there are some general guiding principals.
131 As of 2014, Illumina continues to offer the most flexibility in terms of throughout, ana-
132 lytical tractability, and cost (GLENN, 2011). It is worth noting however, that long-read
133 (e.g. PacBio) transcriptome sequencing is just beginning to emerge as an alternative
134 (Au et al., 2013), particularly for researchers interested in understanding isoform com-
135 plexity. Though currently lacking the throughput for accurate quantitation of gene ex-
136 pression, long read technologies, much like they have done for *de novo* genome assembly,
137 seem likely to replace short-read-based *de novo* transcriptome assembly at some point in
138 the future.

139
140 For the typical transcriptome study, one should plan to generate a reference based
141 on 1 or more tissue types, with each tissue adding unique tissue-specific transcripts and
142 isoforms. Though increasing the amount of sequence data collected does increase the accu-
143 racy and completeness of the assembly (Figure 1, 3) albeit marginally, a balance between
144 cost and quality exists. For the datasets examined here (mammal tissues), sequencing
145 more than between 20M and 40M paired-end reads is associated with the discovery of
146 very few additional transcripts, and only minor improvement in other assembly metrics.
147 Read length should be at least 100bp, with longer reads likely aiding in isoform recon-
148 struction and contiguity (Garber et al., 2011).

149
150 Because sequence polymorphism increases the complexity of the *de bruijn* graph
151 (Iqbal et al., 2012; Studholme, 2010), and therefore may negatively effect the assembly
152 itself, the reference transcriptome should be generated from reads corresponding to as ho-
153 mogeneous a sample as possible. For outbred, non-model organisms, this usually means
154 generating reads from a single individual. When more then one individual is required to

155 meet other requirements (e.g. number of reads or experimental treatment conditions),
156 keeping the number of individuals to a minimum is paramount. For instance, when
157 performing an experiment where a distinct set of genes may be expressed in different
158 treatments (or sexes), the recommendation is to sequence one individual from each treat-
159 ment class.

160

161 To illustrate this effect, I examined the effects of assembling reads from 10 individuals
162 jointly, versus assembling a representative individual. This individual was selected based
163 on having the highest number of reads. The individual assembly of 38 million paired end
164 read took approximately 23 hours and 20Gb of RAM, while the joint assembly took five
165 days and 150Gb of RAM. Per Table 1, the joint assembly used more than eight times more
166 reads, and is more than four times larger than the assembly of a single individual. Despite
167 the additional read data, the **Transrate** score is markedly decreased, although the BUSCO
168 statistics are slightly better. The large joint assembly suffers from major structural prob-
169 lems that are unfixable via the proposed filtering procedures. Specifically, read-mapping
170 data suggests that 28.7% of the contigs in the joint assembly could be merged, versus 15%
171 in the single assembly. This structural problem is likely the result of sequence polymor-
172 phism and may cause significant issues for many common downstream processes.

173

174 **Table 1**

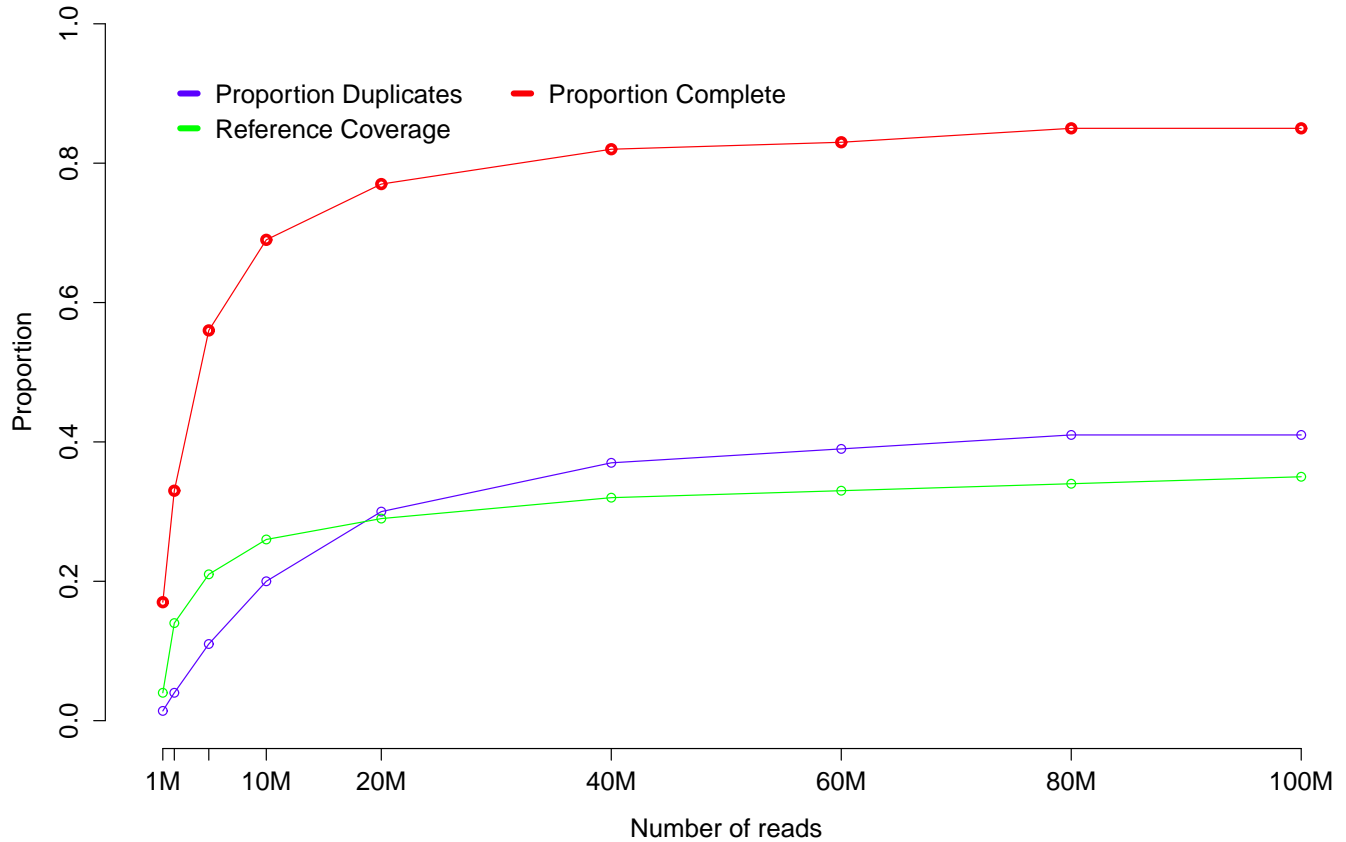
175

	Name	Num. Reads	Num. Contigs	Assembly Size	Score	BUSCO
176	Single Ind.	38M	205812	131.6Mb	0.3064	C:81%,D:41%,M:9%
	10 Ind.	269M	913295	440.2Mb	0.22011	C:88%,D:51%,M:5%

177 Table 1. A comparison of the raw assemblies resulting from a single individuals
178 versus the joint assembly of 10 individuals. The individual assembly of 38 million
179 reads resulted in an assembly of size 131.6 million bases, a **Transrate** score of
180 0.3064. 81% of BUSCOs were found to be complete, with 9% missing from the
181 dataset. The joint assembly of 10 individuals, consisting of 269 million paired-end
182 reads resulted in an assembly of size 440.2 million bases and a **Transrate** score of
183 0.22011.

184

Figure 1



185

186 Figure 1. Assembly of multiple subsetting datasets suggests that sequencing beyond
187 20-40 million paired end reads does not result in further sequence discovery. Pro-
188 portion complete indicates the proportion of BUSCOs that were found to be full
189 length. Proportion duplicates are those BUSCOs that were found multiple times
190 in the assembly dataset. Reference coverage is a *Transrate* generated metric in-
191 dicating the proportion of the reference *Mus* transcriptome found in the *de novo*
192 assembly. Higher numbers for reference coverage and proportion complete indicate
193 a more complete assembly.

194 0.2 Quality Control of Sequence Read Data

195 **Summary Statement:** Visualize your read data. Error correct reads using
196 `bfc` for low to moderately sized datasets and `RCorrector` for higher coverage
197 datasets. Remove adapters, and employ gentle quality filtering using PHRED
198 ≤ 2 as a threshold.

199 Before assembly, it is critical that appropriate quality control steps are implemented.
200 It is often helpful to generate some metrics of read quality on the raw data. Several
201 software packages are available – I am fond of `SolexaQA` (Cox et al., 2010) and `FastQC`.
202 Immediately upon download of the read dataset from the sequence provider, metrics of
203 read quality, generated by either of these two software packages, should be generated.
204 Of note – a copy of the raw reads should be compressed and archived, preferably on a
205 physically separated device for long term archival storage. For this, I have successfully
206 used Amazon S3 cloud storage, though many options exist.

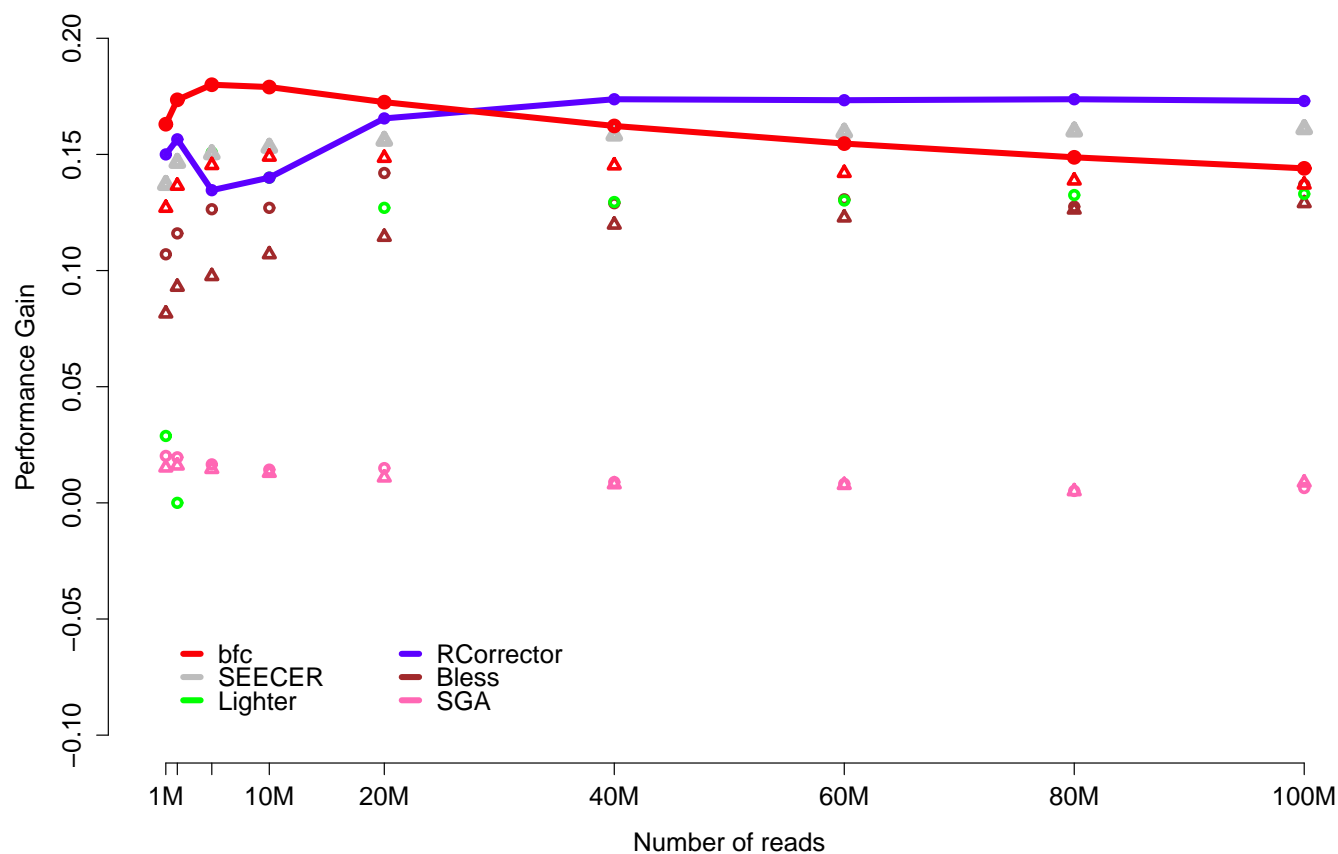
207
208 Immediately after visualizing the raw data, error correction of the sequencing reads
209 should be done (MacManes and Eisen, 2013). A very large number of read correction
210 software packages exist, and several of them are benchmarked here using the *Mus* (Figure
211 2, and Tables S1-S11) and *Homo* datasets (Tables S12-S21). In all evaluated datasets, the
212 error correction `bfc` was the best when correcting less than approximately 20M paired-end
213 reads. When correcting more, the software `RCorrector` provided the optimal correction.
214 The effects of error correction on assembly were evaluated using `BUSCO` and `Transrate`.
215 While error correction did not result in significant improvements in `BUSCO` metrics, the
216 `transrate` scores were substantially improved (Figure 3). These scores were largely im-
217 proved by the fact that assemblies using error corrected reads had fewer low-covered based
218 and contigs, and a slightly higher mapping rate.

219
220 The error corrected reads are then subjected to vigorous adapter sequence removal,
221 typically using `Trimmomatic` (Bolger et al., 2014) or `Skewer` (Jiang et al., 2014). With
222 adapter sequence removal may be a quality trimming step. Here, substantial caution is
223 required, as aggressive trimming has detrimental effects on assembly quality (MacManes,
224 2014). Specifically, I recommend trimming at Phred=2, a threshold associated with re-
225 moval of only the lowest quality bases. After adapter removal and quality trimming, the

226 previously error corrected reads are now ready for *de novo* transcriptome assembly.

227

228 **Figure 2**

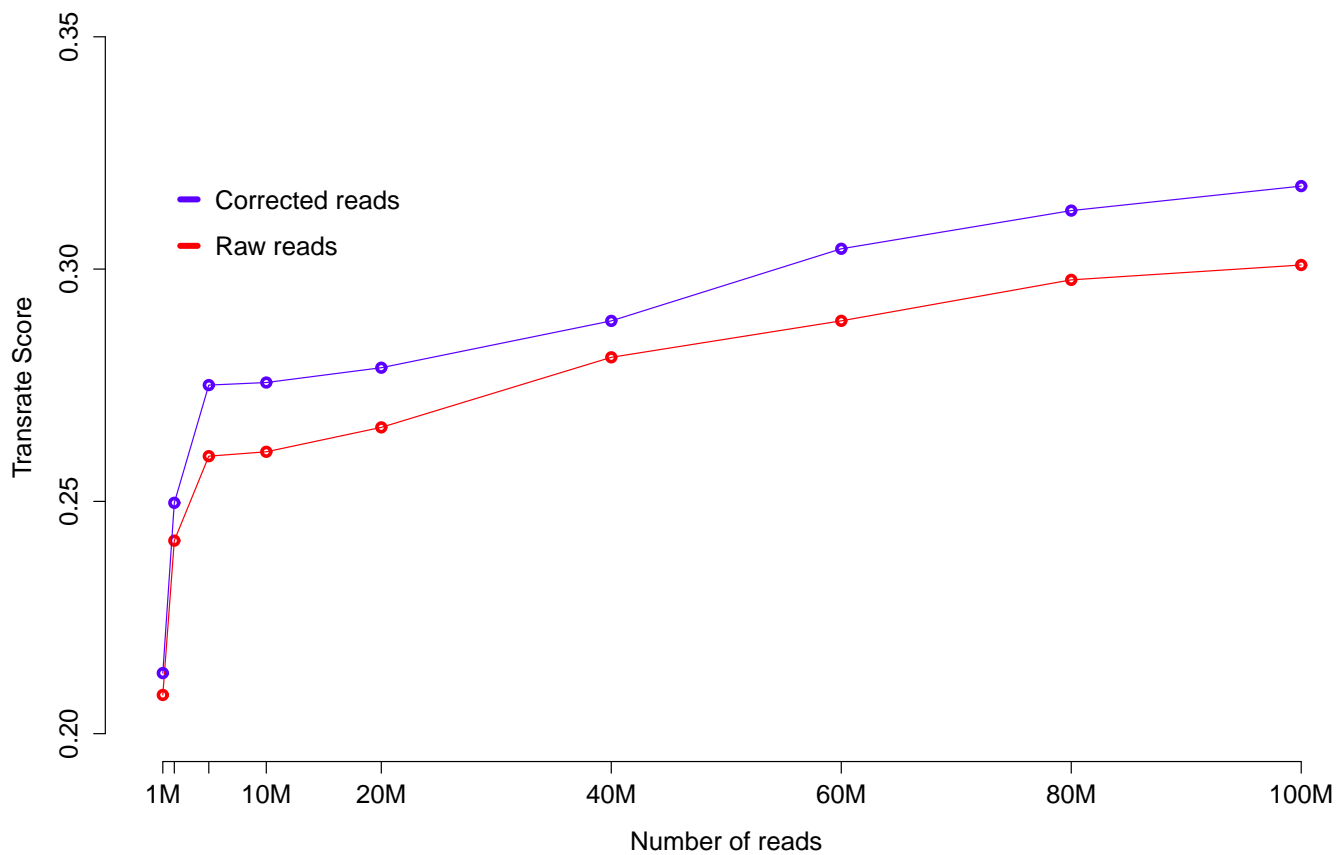


229

230 Figure 2. Error correction of reads results in a performance gain (defined as: (perfect
231 error corrected reads - perfect raw reads) + reads made better - reads made worse).
232 Perfect reads are reads that map to the reference without mismatch. Better and
233 worse reads are those that map with fewer or more mismatches. Low coverage
234 datasets are best corrected with **bfc**, which higher coverage datasets are optimally
235 corrected with **RCorrector**. The best performing corrections improve the quality
236 of more than 15% of reads.

237

Figure 3



238

239 Figure 3. Error correction (with the best performing correction software, described
240 in Figure 2), results in a consistent increase in the Transrate score, which indicates
241 a higher quality assembly across all coverage depths.

242 0.3 Coverage normalization

243 **Summary Statement: Normalize your data, only if you have to.**

244 Depending on the volume of input data, the availability of a high-memory worksta-
245 tion, and the rapidity with which the assembly is needed, coverage normalization may
246 be employed. This process, which, using a streaming algorithm and measurement of the
247 median kmer abundance of each read, aims to erode areas of high coverage while leaving
248 untouched, reads spanning lower coverage areas. Normalization may be accomplished in
249 the software package `khmer` (Pell et al., 2012), or within `Trinity` using a computational
250 algorithm based on `khmer`. In our tests, normalization did dramatically reduce RAM re-
251 quirements and runtime, though it also decreased the number of complete BUSCO's found
252 by 4%, and the transrate score from 0.266 to 0.251. Given this, our recommendation is
253 to employ digital normalization when the assembly is otherwise impossible, or when re-
254 sults are urgently needed, but that it should not be used by default for the production of
255 transcriptome assemblies.

256

257 0.4 Assembly

258 **Summary Statement: Assemble your data using Trinity, then remove poorly**
259 **supported contigs.**

260 For non-model organisms lacking reference genomic resources, the error correction,
261 adapter and quality trimming reads should be assembled *de novo* into transcripts. Cur-
262 rently, the assembly package `Trinity` (Haas et al., 2013) is thought to currently be the
263 most accurate (Li et al., 2014), and therefore is recommended over other assemblers.
264 While attempting a merged assembly with multiple assemblers may *ultimately* result in
265 the highest quality assembly, options for merging assemblies are currently limited, and
266 therefore is not recommended.

267

268 `Trinity`'s underlying algorithm have been pre-optimized to recover large numbers of
269 alternative isoforms, including many that are minimally supported by read data. As a
270 result, in many cases, the raw assembly will require filtration to remove these assembly

271 artifacts. Reference dependent and independent evaluative tools (*e.g.*, **Transrate**, **BUSCO**)
272 allow for evidence-based post-assembly filtration. Typically, an initial quality-evaluation
273 and filtration step is implemented using **Transrate**. This process assigns a score to the
274 assembly, and creates an alternative assembly by removing contigs based on read-mapping
275 metrics. This filtration step may result in the removal of a large proportion (as much as
276 67%) of the transcripts. Reference-based metrics are generated before and after this filtra-
277 tion step to ensure that filtration has not been too aggressive - that a significant number
278 of known transcripts have not been removed. After **Transrate** filtration, or alternative
279 to it, it is often helpful to employ a filtration step based on TPM. Because underlying
280 assumptions of gene expression estimation software vary, which may results in variation in
281 the actual estimates, gene expression is typically estimated using two different packages,
282 **Salmon** and **Kallisto**. Transcripts whose expression is less than either 1 or 0.5 are re-
283 moved. Again, reference-based metrics are generated to ensure that a significant number
284 of known transcripts are not removed.

285

286 The results of filtration on several datasets of varying size are presented in Table 2.
287 The reads used in the 1M,5M,10M,20M subset assemblies were corrected with **bfc**, while
288 the reads for the larger assemblies were corrected with **RCorrector**. Each dataset was
289 trimmed to a quality of Phred <2, and assembled with **Trinity**. The raw assembly was
290 filtered by **Transrate** and by gene expression. **BUSCO** evaluation was performed before
291 and after these filtration steps. In general, for low coverage datasets (less than 20 million
292 reads), filtering based on expression, using TPM=1 as a threshold performs well, with
293 **Transrate** filtering being too aggressive. With higher coverage data (more than 60 mil-
294 lion reads) **Transrate** filtering may be optimal, as may gene expression filtering using a
295 threshold of TPM=0.5.

296

Name	Subset	BUSCO	Num. Contigs	Assembly Size	Transrate Score
Raw	1M	C:17%[D:1.4%],F:10%,M:72%	29719	9.8Mb	0.21274
Transrate_Filt	1M	C:16%[D:1.2%],F:8.2%,M:75%	9860	9.1Mb	0.31918
TPM_Filt	1M	C:17%[D:1.4%],F:10%,M:72%	29503	9.8Mb	0.21683
Raw	5M	C:56%[D:13%],F:9.8%,M:33%	52611	35.3Mb	0.27401
Transrate_Filt	5M	C:52%[D:11%],F:9.2%,M:38%	21383	31.1Mb	0.39856
TPM_Filt	5M	C:56%[D:12%],F:10%,M:33%	51476	33.9Mb	0.28302
Raw	10M	C:69%[D:23%],F:7.0%,M:23%	72688	52.8Mb	0.27558
Transrate_Filt	10M	C:64%[D:20%],F:6.3%,M:29%	28249	44.7Mb	0.4092
TPM_Filt	10M	C:69%[D:21%],F:7.0%,M:23%	69561	49.2Mb	0.2881
Raw	20M	C:78%[D:32%],F:4.5%,M:17%	108072	76.2Mb	0.27888
Transrate_Filt	20M	C:70%[D:27%],F:4.7%,M:24%	45169	62.1Mb	0.39389
TPM_Filt	20M	C:77%[D:29%],F:4.8%,M:17%	97519	66.8Mb	0.29878
Raw	40M	C:82%[D:38%],F:3.7%, M:14%	163561	107Mb	0.2859
Transrate_Filt	40M	C:74%[D:32%],F:4%, M:21%	91367	85.5Mb	0.3796
TPM_Filt	40M	C:82%[D:32%],F:3.6%, M:14%	117819	83.3Mb	0.3037
Raw	60M	C:84%[D:40%],F:3.2%,M:12%	204040	127Mb	0.29616
Transrate_Filt	60M	C:78%[D:35%],F:3.2%,M:18%	166503	107Mb	0.37018
TPM_Filt	60M	C:82%[D:31%],F:3.3%,M:13%	109485	86.9Mb	0.30128
Raw	80M	C:85%[D:40%],F:3.2%,M:11%	237401	146Mb	0.30139
Transrate_Filt	80M	C:85%[D:39%],F:3.2%,M:11%	222900	132Mb	0.37997
TPM_Filt	80M	C:82%[D:32%],F:2.9%,M:14%	96968	88.5Mb	0.29261
Raw	100M	C:85%[D:41%],F:3.0%,M:11%	264751	159Mb	0.30567
Transrate_Filt	100M	C:85%[D:40%],F:3.1%,M:11%	247413	143.8Mb	0.39242
TPM_Filt	100M	C:83%[D:32%],F:2.7%,M:14%	86993	88.4Mb	0.2828

297

298 Table 2. Post-assembly filtration. Using assemblies from the 1M,5M,10M,20M,40M,60M,80M,100M read subsets,
299 I evaluated the effects of **Transrate** and TPM filtration using a threshold of TPM=1. Both **Transrate** and TPM
300 filtering reduced the number of contigs and assembly size, though the magnitudes were dependent on the depth
301 of sequencing. **BUSCO** scores were either decreased in some cases, or stable in others, representing the differential
302 effects of filtering on different sized assemblies. In general, for low coverage datasets (less than 20 million reads),
303 filtering based on expression, using TPM=1 as a threshold performs well, with **Transrate** filtering being too
304 aggressive. With higher coverage data (more than 60 million reads) **Transrate** filtering may perform better, as
305 mat expression filtering with a lower threshold.

306 **0.5 Annotation, post-assembly quality verification, & reporting**

307 **Summary Statement: Verify the quality of your assembly using content based**
308 **metrics. Annotate using dammit Report Transrate score, BUSCO statistics, num-**
309 **ber of unique transcripts, etc. Do not report meaningless statistics such as**
310 **N50.**

311 Annotation is a critically important step in transcriptome assembly. Much like other
312 steps, numerous options exist. Though the research requirements may drive the anno-
313 tation process, I propose that a core set of annotations be provided with all *de novo*
314 transcriptome assembly projects. The process through which these core annotations are
315 accomplished is coordinated by the software package **dammit**. This software takes as in-
316 put a fasta file and outputs a standard gff3 containing annotations. After annotation, but
317 before downstream use, it is important to assess the quality of a transcriptome. Many
318 authors have attempted to use typical genome assembly quality metrics for this pur-
319 pose. In particular, N50 and other length-based summary statistic are often reported
320 (e.g. (Hiz et al., 2014; Shinzato et al., 2014; Liang et al., 2013)). However, in addition
321 to being a poor proxy for quality in genome assembly (Bradnam et al., 2013), N50 in
322 the context of a transcriptome assembly carries very little information because the opti-
323 mal contig length is not known (Li et al., 2014) - real transcripts vary greatly in length,
324 ranging from tens of nucleotides to tens of thousands of nucleotides. Reportable metrics
325 should be chosen based on their relevance for assembly optimization given the biological
326 question at hand. In most cases, this means maximizing the number of transcripts that
327 can be confidently attributed to the organism, while minimizing the number of technical
328 artifacts related to the process of sequencing, quality control, and assembly. For many re-
329 searchers, this means evaluation with both **BUSCO** and **Transrate**. The statistics found in
330 Table 1 should be presented for all assemblies, with additional information supplementing
331 these core vital statistics as needed.

332

333 **Testing the Oyster River Protocol**

334 To evaluate the Oyster River Protocol for Transcriptome Assembly, I selected three
335 publicly available Illumina RNAseq datasets and their corresponding assembled tran-

336 scriptomes. These three assemblies included the Nile Tilapia, *Oreochromis niloticus*
337 (Zhang et al. (2013), SRR797490), an unpublished study of the Mediterranean black
338 widow, *Latrodectus tredecimguttatus* (SRR954929), and lastly a work on *Delia antiqua*
339 (Guo et al. (2015), SRR916227). I analyzed the original transcriptomes using both BUSCO
340 and **Transrate**, then followed the protocol as described [here](#). Code for data analysis of
341 the *Oreochromis* is available [here](#). The other samples were processed in an identical fash-
342 ion. The application of the Oyster River Protocol on these datasets resulted universally
343 in a substantial (as much as 22%) improvement in the completeness of assemblies. Given
344 a major goal of these types of studies includes reconstruction all expressed genes, this
345 improvement may have substantial improvement on downstream work. The **Transrate**
346 score was dramatically improved as well, particularly in the *Oreochromis* and *Delia* as-
347 semblies. This improvement speaks to the improvement of the structure of the assembly.

348

349 The filtering process through which these more optimal assemblies were is key. Evalu-
350 ating both the BUSCO and **Transrate** scores before and after, allows for an objective way
351 to decide if filtering has been too restrictive or not. Indeed, for the *Latrodectus* assembly,
352 both **Transrate** and TPM filtering reduced the BUSCO score, while substantially increas-
353 ing the **Transrate** score. Depending on the goals of the experiment, it may be determined
354 that the structural integrity of the assembly outweighs improved content. In contrast to
355 how post-assembly filtering is typically done, this method allow for the researcher to make
356 an informed decision about these processes.

357
358

Table 3

Name	Number Reads	Number Contigs	Assembly Size (Mb)	Transrate Score	BUSCO Score
Oreochromis	25.2M	79198/140035/100376/116038/88456	32.0/75.1/69.5/58.6/57.7	0.1103/0.2173/0.4778/0.2595/0.4479	C:39%,M:46%/C:58%,M:28%/C:57%,M:30%/C:57%,M:30%/C:56%,M:31%
Latrodectus	27.6M	10259/36394/30932/27973/NA	10.6/13.5/13.1/10.9/NA	0.43673/0.2795/0.4968/0.338/NA	C:48%,M:38%/C:58%,M:28%/C:46%,M:39%/C:46%,M:41%/NA
Delia	25.8M	29451/49099/38614/46145/32689	12.4/19.3/18.8/17.9/15.8	0.393/0.2036/0.4572/0.2305/0.4341	C:40%,M:48%/C:62%,M:21%/C:61%,M:23%/C:61%,M:23%/C:61%,M:25%

360 Table 3. The results of the application of the Oyster River Protocol to three available transcriptomes. Within
 361 each column, the 5 metrics, separated by forward slashes are: 1. The original assembly 2. The raw Trinity
 362 assembly 3. The Transrate filtered assembly 4. The TPM=1 filtered assembly, and 5. The Transrate filtered
 363 assembly that has been further filtered by expression. In all cases the assembly content, as evaluated by the BUSCO
 364 score is dramatically improved over the original assembly. These content-improved assemblies have acceptable
 365 Transrate scores, which in 2 of 3 cases are vastly superior to the scores of the original assembly.

366 Conclusions

367 With the rapid adoption of high-throughput sequencing, studies of functional, evolution-
368 ary and population genomics are now being done by hundreds or even thousands of labs
369 around the world. These studies typically begin with a *de novo* transcriptome assem-
370 bly. Assembly may be accomplished in one of several different ways, using different soft-
371 ware packages, with each method producing different results. This complexity begs the
372 question – *Which method(s) are optimal?* Using reference and non-reference based eval-
373 uative methods, I have proposed a set of guidelines **The Oyster River Protocol for**
374 **Transcriptome Assembly** that aim to standardize and facilitate the process of transcrip-
375 tome assembly. These recommendations include limiting assembly to between 20 million
376 and 40 million sequencing reads from single individual where possible, error correction
377 of reads, gently quality trimming, assembly filtering using **Transrate** or gene expression,
378 annotation using **dammit**, and appropriate reporting. The processes result in a high qual-
379 ity transcriptome assembly appropriate for downstream usage. Assemblies generated in
380 the process of developing this protocol are available [here](#).

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