# Genome-Wide Scan for Adaptive Divergence and Association with Population-Specific Covariates 

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#### Abstract

In population genomics studies, accounting for the neutral covariance structure across population allele frequencies is critical to improve the robustness of genome-wide scan approaches. Elaborating on the BAYENV model, this study investigates several modeling extensions i) to improve the estimation accuracy of the population covariance matrix and all the related measures; ii) to identify significantly overly differentiated SNPs based on a calibration procedure of the Xt X statistics; and iii) to consider alternative covariate models for analyses of association with population-specific covariables. In particular, the auxiliary variable model allows to deal with multiple testing issues and, providing the relative marker positions are available, to capture some Linkage Disequilibrium information. A comprehensive simulation study is further carried out to investigate and compare the performance of the different models. For illustration purpose, genotyping data on 18 French cattle breeds are also analyzed leading to the identification of thirteen strong signatures of selection. Among these, four (surrounding the KITLG, KIT, EDN3 and ALB genes) contained SNPs strongly associated with the piebald coloration pattern while a fifth (surrounding PLAG1) could be associated to morphological differences across the populations. Finally, analysis of Pool-Seq data from 12 populations of Littorina saxatilis living in two different ecotypes illustrates how the proposed framework might help addressing relevant ecological question in non-model species. Overall, the proposed methods define a robust Bayesian framework to characterize adaptive genetic differentiation across populations. The BAYPASS program implementing the different models is available at http://www1.montpellier.inra.fr/CBGP/software/baypass/.


KEYWORDS Genome Scan; Bayesian statistics; population genomics; association studies; Linkage Disequilibriumontrasting patterns of local genetic variation over the whole genome represents a valuable strategy to identify loci underlying the response to adaptive constraints (Cavalli-Sforza 1966). As further noted by Lewontin and Krakauer (1973):"while natural selection will operate differently for each locus and each allele at a locus, the effect of breeding structure is uniform over all loci and all alleles". Hence, genome scan approaches to detect footprints of selection aim at discriminating among the global effect of the demographic evolutionary forces (e.g., gene flow, inbreeding and genetic drift) from the local effect of selection (Vitalis et al. 2001; Balding and Nichols 1995). In practice, applications of these methods have long been hindered by technical difficulties

[^0]in assessing patterns of genetic variation on a whole genome scale. However, the advent of next-generation sequencing and genotyping molecular technologies now allows to provide a detailed picture of the structuring of genetic variation across populations in both model and non-model species (Davey et al. 2011). As a result, in the population genomics era, a wide range of approaches have been developed and applied to detect selective sweeps using population data (see Vitti et al. 2013; Oleksyk et al. 2010, for reviews). Among these, population differentiation $\left(F_{\mathrm{ST}}\right)$ based methods still remain among the most popular, particularly in non-model species since they do not require accurate genomic resources (e.g., physical or linkage maps) and experimental designs with only a few tens of genotyped individuals per population are generally informative enough. Also, $\mathrm{F}_{\mathrm{ST}}-$ based methods are also well suited to the analysis of data from Pool-Seq experiments that consist in sequencing pools of indi-
vidual DNAs (Schlötterer et al. 2014) and provide cost-effective 92 alternatives to facilitate and even improve allele frequency estimation at genome-wide markers (Gautier et al. 2013).

In practice, assuming the vast majority of the genotyped markers behave neutrally, overly differentiated loci that are presumably subjected to selection might simply be identified from the extreme tail of the empirical distribution of the locus-specific $F_{\text {ST }}$ (Akey et al. 2002; Weir et al. 2005; Flori et al. 2009). Even if such a model-free strategy does not rely any arbitrary assumptions about the (unknown) demographic history of the sampled populations, it prevents from controlling for false positive (and negative) signals. Conversely, model-based approaches have also been developed and are basically conceived as locusspecific tests of departure from expectation under neutral demographic models (e.g, Gautier et al. 2010a). These include, for instance, demographic models under pure-drift (Gautier et al. 2010a; Nicholson et al. 2002) and at migration-drift equilibrium without (Beaumont and Balding 2004; Foll and Gaggiotti 2008; Riebler et al. 2008; Guo et al. 2009) or with selection (Vitalis et al. 2014). Although robust, to some extent, to more complex history (Beaumont and Nichols 1996; Beaumont 2005), these methods remain limited by the oversimplification of the underlying demographic models. In particular, hierarchically structured population history, as produced under tree-shaped phylogenies, have been shown to increase false positive rates (Excoffier et al. 2009). To cope with these issues, two kind of modeling extensions have recently been explored. They either rely on hierarchical island models thus requiring a prior definition of the sampled population relationships (Foll et al. 2014; Gompert et al. 2010), or consist in estimating the correlation structure of allele frequencies across the populations that originates from their shared history (Bonhomme et al. 2010; Coop et al. 2010; Günther and Coop 2013).

Whatever the method used, the main limitation of the indirect genome scan approaches ultimately resides in the biological interpretation of the footprints of selection identified, i.e., to which adaptive constraints the outlier loci are responding. In species with functionally annotated reference genomes, the characterization of co-functional relationships among the genes localized within regions under selection might help gaining insights into the underlying driving physiological pathways (e.g., Flori et al. 2009). Although, following a "reverse ecology" approach (Li et al. 2008), they may further lead to the definition of candidate adaptive traits for validation studies, such interpretations remain prone to misleading storytelling issues (Pavlidis et al. 2012). Alternatively, prior knowledge about some characteristics discriminating the populations under study could provide valuable insights. Focusing on environmental gradients, several approaches have recently been proposed to evaluate association of ecological variables with marker genetic differentiation by extending $F_{\mathrm{ST}}$-based models (Coop et al. 2010; de Villemereuil and Gaggiotti 2015; Frichot et al. 2013; Günther and Coop 2013; Guillot et al. 2014). The rationale is that environmental variables distinguishing the differentiated populations should be associated with allele frequencies differences at loci subjected to the selective constraints they impose (Coop et al. 2010). In principle, such population-based association studies may also be more broadly relevant to any quantitative of categorical populationspecific covariable. More generally, as for the covariable-free genome-scan approaches, accounting for the neutral correlation of allele frequencies across populations is critical for these methods (de Villemereuil et al. 2014; De Mita et al. 2013).

Overall, the Bayesian hierarchical model proposed by Coop et al. (2010) and implemented in the BAYENV2 software represents one the most flexible and powerful framework to both identify outlier loci (Günther and Coop 2013) and to further annotate the resulting footprints of selection by quantifying their association with population-specific covariables (if available). Indeed, although it might be viewed as purely instrumental, the (scaled) population covariance matrix across population allele frequencies explicitly incorporates their neutral correlation structure. This matrix is in turn highly informative for demographic inference purposes (Lipson et al. 2013; Pickrell and Pritchard 2012). Elaborating on the BAYENV model (Coop et al. 2010; Günther and Coop 2013), the purpose of this paper is threefold. First, we introduce modeling modifications and extensions to improve the estimation accuracy of the population covariance matrix and the different related measures. Second, we propose a posterior checking procedure to identify markers subjected to adaptive differentiation based on a calibration of the XtX statistics (Günther and Coop 2013). Third, we investigate alternative modeling strategies and decision criteria to perform association studies with population-specific covariables. In particular, we introduce a model with a binary auxiliary variable to classify each locus as associated or not. Through the prior distribution on this latter variable, the approach deals with the problem of multiple testing (e.g. Riebler et al. 2008). In addition, providing information about marker positions is available, this modeling also allows to account for Linkage Disequilibrium (LD) between markers via an Ising prior. As a by-product of this study, a userfriendly and freely available program, named BAYPASS, was developed to implement inferences under the different models. To evaluate the accuracy of the methods, we further carry out comprehensive simulation studies. In addition, two real data sets are analyzed in more details to illustrate the range of application of the methods. The first consists in 453 individuals from 18 French cattle breeds genotyped at 42,056 SNPs (Gautier et al. 2010b) and the second in a Pool-Seq data on 12 Littorina saxatilis populations from three distinct geographical regions and living in two different ecotypes (Westram et al. 2014).

## Models

In the following we describe the different Bayesian hierarchical models considered in this study and implemented in the BAYPASS program. Consider a sample made of $J$ populations (sharing a common history) with a label, $j$, which varies from 1 to $J$. The data consist in I SNP loci, which are biallelic markers with reference allele arbitrarily defined (e.g., by randomly drawing the ancestral or the derived state). Let $n_{i j}$ be the total number of genes sampled at the $i^{\text {th }}$ locus $(1 \leq i \leq I)$ in the $j^{\text {th }}$ population $(1 \leq j \leq I)$, that is, twice the number of genotyped individuals in a diploid population. Let $y_{i j}$ be the count of the reference allele at the $i^{\text {th }}$ locus in the $j^{\text {th }}$ sampled population. When considering allele count data, the $y_{i j}$ 's (and the $n_{i j}{ }^{\prime}$ s) are the observations while for Pool-Seq data, read count are observed instead. In this case, the $n_{i j}{ }^{\prime} s$ correspond for all the markers within a given pool to its haploid sample size $n_{j}$ (i.e., twice the number of pooled individuals for diploid species). Let further $c_{i j}$ be the (observed) total number of reads and $r_{i j}$ the (observed) number of reads with the reference allele. For Pool-seq data, to integrate over the unobserved allele count, the conditional distribution of the $r_{i j}$ given $c_{i j}, n_{j}$ and the (unknown) $r_{i j}$ is assumed binomial (Gautier et al. 2013; Günther and Coop
2013): $r_{i j} \mid c_{i j}, n_{j}, y_{i j} \sim \operatorname{Bin}\left(\frac{y_{i j}}{n_{i}}, c_{i j}\right)$.

Assuming Hardy-Weinberg Equilibrium, the conditional distribution of $y_{i j}$ given $n_{i j}$ and the (unknown) allele frequency $\alpha_{i j}$ is also assumed binomial:

$$
y_{i j} \mid n_{i j}, y_{i j}, \alpha_{i j} \sim \operatorname{Bin}\left(\alpha_{i j} ; n_{i j}\right)
$$

Note that this corresponds to the first level (likelihood) of the hierarchical model when dealing with allele count data and to the second level (prior) for Pool-Seq data. As previously proposed and discussed (Coop et al. 2010; Gautier et al. 2010a; Nicholson et al. 2002), for each SNP $i$ and population $j$ an instrumental variable $\alpha_{i j}^{\star}$ taking value on the real line is further introduced such that: $\alpha_{i j}=\min \left(1, \max \left(0, \alpha_{i j}^{\star}\right)\right)$. As represented in Figure 1, three different sub-classes of models are considered (each with their allele and read counts version). They are hereafter referred to as i) the core model (Figure 1A); ii) the standard covariate (STD) model (Figure 1B) and; iii) the auxiliary variable covariate (AUX) model (Figure 1C). Note that the core model is nested within the STD model which is itself nested within the AUX model.

## [Figure 1 about here.]

## The core model

The core model (Figure 1A) is a multivariate generalization of the model by Nicholson et al. (2002) that was first proposed by Coop et al. (2010). For each SNP $i$, the prior distribution of the vector $\alpha_{i}^{\star}=\left\{\alpha_{i j}^{\star}\right\}_{1 \ldots j}$ is multivariate Gaussian:

$$
\begin{equation*}
\boldsymbol{\alpha}_{i}^{\star} \mid \boldsymbol{\Lambda}, \pi_{i} \sim \mathrm{~N}_{J}\left(\pi_{i} \mathbf{I}_{\mathrm{j}} ; \pi_{i}\left(1-\pi_{i}\right) \boldsymbol{\Lambda}^{-1}\right) \tag{2}
\end{equation*}
$$

where $\mathbf{I}_{J}$ is the identity matrix of size $J$; the precision matrix $\boldsymbol{\Lambda}{ }^{221}$ is the inverse of the (scaled) covariance matrix $\Omega\left(\boldsymbol{\Lambda}=\boldsymbol{\Omega}^{-1}\right)^{222}$ of the population allele frequencies; and $\pi_{i}$ is the weighted ${ }^{223}$ mean reference allele frequency that might be interpreted as the ${ }^{224}$ ancestral population allele frequency (Coop et al. 2010; Pickrell ${ }^{225}$ and Pritchard 2012). The $\pi_{i}$ are assumed Beta distributed:

$$
\begin{equation*}
\pi_{i} \mid a_{\pi}, b_{\pi} \sim \beta\left(a_{\pi} ; b_{\pi}\right) \tag{3}
\end{equation*}
$$

In such models, the parameters $a_{\pi}$ and $b_{\pi}$ are frequently fixed. For instance in BAYENV2 (Coop et al. 2010), $a_{\pi}=b_{\pi}=1$ leading to a uniform prior on $\pi_{i}$ over the $(0,1)$ support. However, these parameters may be easily estimated from the model by specifying a prior distribution on the mean $\mu_{p}=\frac{a_{\pi}}{a_{\pi}+b_{\pi}}$ and the so-called "sample size" $v_{p}=a_{\pi}+b_{\pi}$ (Kruschke 2014). Hence, a uniform and an exponential prior distribution are respectively considered for these two parameters:

$$
\begin{equation*}
\mu_{p}=\frac{a_{\pi}}{a_{\pi}+b_{\pi}} \sim \operatorname{Unif}(0 ; 1) \tag{4}
\end{equation*}
$$

and

$$
\begin{equation*}
v_{p}=a_{\pi}+b_{\pi} \sim \operatorname{Exp}(1) \tag{5}
\end{equation*}
$$

Finally, a Wishart prior distribution is assumed for the the precision matrix $\Lambda$ :

$$
\begin{equation*}
\boldsymbol{\Lambda} \left\lvert\, \rho \sim \mathrm{W}_{J}\left(\frac{1}{\rho} \mathbf{I}_{\mathrm{J}}, \rho\right)\right. \tag{6}
\end{equation*}
$$

i.e., $\pi(\boldsymbol{\Lambda} \mid \rho)=\frac{\left(\frac{\rho}{2}\right)^{\frac{I \rho}{2}}}{\Gamma\left(\frac{\rho}{2}\right)}|\boldsymbol{\Lambda}|^{\frac{\rho+J+1}{2}} e^{-\frac{\rho}{2} \operatorname{tr}(\boldsymbol{\Lambda})}$. For $\rho \geq J$ this is ${ }^{244}$ strictly equivalent to the parametrization introduced in Coop et al. (2010) who eventually came to fix $\rho=J$. Here, weaker ${ }^{243}$
informative priors are also explored with $0<\rho<J$ (Gelman et al. 2003, p581) leading to so-called singular Wishart distributions. As will become apparent, $\rho=1$ appears as the best default choice. Note however that inspection of the full conditional distribution of $\boldsymbol{\Lambda}$ (see File S1) suggests the influence of the prior might become negligible with increasing number of SNPs I and populations $J$.

## The standard covariate model (STD model)

The STD model represented in Figure 1B extends the core model as Coop et al. (2010) proposed and allows to evaluate association of SNP allele frequencies with a population-specific covariable $Z_{j}$. Note that $Z_{j}$ is a (preferably scaled) vector of length $J$ containing for each population the measures of interest. Under the STD model,the prior distribution of the vector $\alpha_{i}^{\star}$ is multivariate Gaussian for each SNP $i$ :

$$
\begin{equation*}
\boldsymbol{\alpha}_{i}^{\star} \mid \boldsymbol{\Lambda}, \pi_{i} \sim \mathrm{~N}_{J}\left(\pi_{i} \mathbf{I}_{\mathrm{J}}+\beta_{i} \boldsymbol{Z}_{j} ; \pi_{i}\left(1-\pi_{i}\right) \boldsymbol{\Lambda}^{-1}\right) \tag{7}
\end{equation*}
$$

The prior distribution for the correlation coefficients $\left(\beta_{i}\right)$ is assumed uniform:

$$
\begin{equation*}
\beta_{i} \sim \operatorname{Unif}\left(\beta_{\min } ; \beta_{\max }\right) \tag{8}
\end{equation*}
$$

Unless stated otherwise, $\beta_{\min }=-0.3$ and $\beta_{\max }=0.3$ instead of $\beta_{\text {min }}=-0.1$ and $\beta_{\text {max }}=0.1$ as in Coop et al. (2010).

## The covariate model with auxiliary variable (AUX model)

The AUX model represented in Figure 1C is an extension of the STD model that consists in attaching to each locus regression coefficient $\beta_{i}$ a Bayesian (binary) auxiliary variable $\delta_{i}$. In a similar population genetics context, this modeling was also proposed by Riebler et al. (2008) to identify markers subjected to selection in genome-wide scan of adaptive differentiation (under a $\mathcal{F}$-model). In the AUX model, the auxiliary variable actually indicates whether a specific SNP $i$ can be regarded as associated with the covariable $\boldsymbol{Z}_{j}\left(\delta_{i}=1\right)$ or not $\left(\delta_{i}=0\right)$. As a consequence, the posterior mean of $\delta_{i}$ may directly be interpreted as a posterior probability of association of the SNP $i$ with the covariable, from which a Bayes Factor (BF) is straightforward to derive (Gautier et al. 2009). Under the AUX model, the prior distribution of the vector $\alpha_{i}^{\star}$ is multivariate Gaussian for each SNP $i$ :

$$
\begin{equation*}
\boldsymbol{\alpha}_{\boldsymbol{i}}^{\star} \mid \boldsymbol{\Lambda}, \pi_{i} \sim \mathrm{~N}_{J}\left(\pi_{i} \mathbf{I}_{\mathrm{J}}+\delta_{i} \beta_{i} \mathbf{Z}_{j} ; \pi_{i}\left(1-\pi_{i}\right) \boldsymbol{\Lambda}^{-1}\right) \tag{9}
\end{equation*}
$$

Providing information about marker positions is available, the $\delta_{i}$ 's auxiliary variables also makes it easy to introduce spatial dependency among markers. In the context of high-throughput genotyping data, SNP associated to a given covariable might indeed cluster in the genome due to LD with the underlying (possibly not genotyped) causal polymorphism(s). To learn from such positional information, the prior distribution of $\delta=\left\{\delta_{i}\right\}_{(1 \ldots I)}$, the vector of SNP auxiliary variables, takes the general form of a 1D Ising model with a parametrization inspired from DuforetFrebourg et al. (2014):

$$
\begin{equation*}
\pi\left(\delta \mid P, \beta_{\mathrm{isg}}\right) \propto P^{s_{1}}(1-P)^{s_{0}} e^{\eta \mathrm{is} s_{\beta}} \tag{10}
\end{equation*}
$$

where $s_{1}=\sum_{i=1}^{I} \mathbb{I}_{\delta_{i}=1}$ (respectively $s_{0}=I-s_{1}$ ) are the number of SNPs associated (respectively not associated) with the covariable, and $\eta=\sum_{i \sim j} \mathbb{I}_{\delta_{i}=\delta_{j}}$ is the number of pairs of consecutive markers (neighbors) that are in the same state at the auxiliary
variable (i.e., $\delta_{i}=\delta_{i+1}$ ). The parameter $P$ corresponds to the ${ }_{302}$ proportion of SNPs associated to the covariable and is assumed ${ }^{303}$ Beta distributed:

$$
\begin{equation*}
P \sim \beta\left(a_{P}, b_{P}\right) \tag{11}
\end{equation*}
$$

Unless stated otherwise, $a_{P}=0.02$ and $b_{P}=1.98$. This ${ }^{306}$ amounts to assume a priori that only a small fraction of the SNPs ${ }_{307}$ $\left(\frac{a_{P}}{a_{P}+b_{P}}=1 \%\right)$ are associated to the covariable, but within a reason- ${ }_{308}$ ably large range of possible values (e.g., $\mathrm{P}[P>10 \%]=2.8 \%$ a 309 priori). Importantly, integrating over the uncertainty on the key 310 parameter $P$ allows to deal with multiple testing issues.

Finally, the parameter is ${ }_{\beta}$, called the inverse temperature in 312 the Ising (and Potts) model literature, determines the level of ${ }^{313}$ spatial homogeneity of the auxiliary variables between neigh- 314 bors. When is ${ }_{\beta}=0$, the relative marker positions is ignored (no $\quad 315$ spatial dependency among markers). This is thus equivalent to ${ }^{316}$ assume a Bernoulli prior for the $\delta_{i}$ 's: $\delta_{i} \sim \operatorname{Ber}(P)$ as in Riebler et al. (2008). Conversely, is ${ }_{\beta}>0$ leads to assume that the $\delta_{i k}$ with ${ }^{317}$ similar values tend to cluster in the genome (the higher the is ${ }_{\beta},{ }_{318}$ the higher the level of spatial homogeneity). In practice, is ${ }_{\beta}=1$ 319 is commonly used and value of is ${ }_{\beta} \leq 1$ are recommended. Note that the overall parametrization of the Ising prior assumes no external field and no weight (as in the so-called compound Ising model) between the neighboring auxiliary variables. In other words, the information about the distances between SNPs is therefore not accounted for and only the relative position of markers are considered. Hence, marker spacing is assumed homogeneous .

## Material and Methods

## MCMC sampler

To explore the different models and estimate the full posterior distribution of the underlying parameters, a MetropolisHastings within Gibbs Markov Chain Monte Carlo (MCMC) algorithm was developed (see the File S1 for a detailed description) and implemented in a program called BAYPASS (for BAYesian Population ASSociation analysis). The software package containing the Fortran 90 source code, a detailed documentation and several example files is freely available for download at http://www1.montpellier.inra.fr/CBGP/software/baypass/. Unless otherwise stated, a MCMC chain first consists in 20 pilot runs of 1,000 iterations each allowing to adjust proposal distributions (for Metropolis and Metropolis-Hastings updates) with targeted acceptance rates lying between 0.2 and 0.4 to achieve good convergence properties (Gilks et al. 1996). Then MCMC chains are run for 25,000 iterations after a 5,000 iterations burn-in period. Samples are taken from the chain every 25 post-burn-in iterations to reduce autocorrelations using a so-called thinning procedure. To validate the BAYPASS sampler, an independent implementation of the core model was coded in the BUGS language and run in the OpenBUGS software (Thomas et al. 2009) as detailed in the File S2. Analyses of some (small) test data sets using both implementations gave consistent results (data not shown).

Finally, as a matter of comparison, in the analysis of prior sensitivity in $\Omega$ estimation, the BAYENV2 (Günther and Coop 2013) software was also used with default options except the total number of iterations which was set to 50,000.

## Estimation and visualisation of $\Omega$

Point estimates of each elements of $\Omega$ consisted of their cor- ${ }_{352}$ responding posterior means computed over the sampled ma- ${ }^{353}$
trices. For BayEnv2 analyses, the first ten sampled matrices were discarded and only the 90 remaining sampled ones were retained. For visualization purposes, the resulting $\widehat{\Omega}$ estimate was transformed into a correlation matrix $\widehat{\mathbf{P}}$ with elements $\widehat{\rho_{i j}}=\frac{\widehat{\omega}_{i j}}{\sqrt{\widehat{\omega_{i i}} \bar{\omega}_{i j}}}$ using the cov2cor() R function (R Core Team 2015). The graphical display of this correlation matrix was done with the corrplot () function from the R package corrplot (Wei 2013). In addition, hierarchical clustering of the underlying populations was performed using the hclust() R function considering $1-\widehat{\rho_{i j}}$ as a dissimilarity measure between each pair of population $i$ and $j$. The resulting bifurcating tree was plotted with the plot.phylo() function from the R package ape (Paradis et al. 2004). Note that the latter representation reduces the correlation matrix into a block-diagonal matrix thus ignoring gene flow and admixture events.

## Computation of the FMD metric to compare $\Omega$ matrices

The metric proposed by Förstner and Moonen (2003) for covariance matrices and hereafter referred to as the FMD distance was used to compare the different estimates of $\Omega$ and to assess estimation precision and robustness in the prior sensitivity analysis. Let $\Omega_{1}$ and $\Omega_{2}$ be two (symmetric positive definite) covariance matrices with rank J, the FMD distance is defined as:

$$
\begin{equation*}
\operatorname{FMD}\left(\boldsymbol{\Omega}_{\mathbf{1}}, \mathbf{\Omega}_{\mathbf{2}}\right)=\sqrt{\sum_{j=0}^{J} \ln ^{2} \lambda_{j}\left(\mathbf{\Omega}_{\mathbf{1}}, \mathbf{\Omega}_{\mathbf{2}}\right)} \tag{12}
\end{equation*}
$$

where $\lambda_{j}\left(\mathbf{\Omega}_{\mathbf{1}}, \mathbf{\Omega}_{\mathbf{2}}\right)$ represent the $j$ th generalized eigenvalue of the matrices $\Omega_{1}$ and $\Omega_{2}$ that were all computed with the R package geigen (Hasselman 2015).

## Computation and calibration of the XtX statistic

Genome scan for adaptive differentiation was based on the $\mathrm{Xt}_{\mathrm{t}} \mathrm{X}$ differentiation measure (Günther and Coop 2013). This statistic is homogeneous to a SNP-specific $F_{\mathrm{ST}}$ but explicitly correct for the scale covariance of population allele frequencies. For each SNP $i, \mathrm{X} \mathrm{t} \mathrm{X}$ was estimated from the $T$ MCMC (post-burn-in and thinned) parameters sampled values, $\boldsymbol{\alpha}_{i}(t), \pi_{i}(t)$ and $\boldsymbol{\Lambda}(t)$, as:

$$
\begin{equation*}
\widehat{X^{t} X_{i}}=\frac{1}{T} \sum_{t=1}^{T} \frac{\boldsymbol{\alpha}_{i}(t) \boldsymbol{\Lambda}(t)^{t} \boldsymbol{\alpha}_{i}(t)}{\pi_{i}(t)\left(1-\pi_{i}(t)\right)} \tag{13}
\end{equation*}
$$

To provide a decision criterion for discriminating between neutral and selected markers, i.e. to identify outlying $X t X$, we estimated the posterior predictive distribution of this statistic under the null (core) model by analyzing pseudo-observed data sets (POD). PODs are produced by sampling new observations (either allele or read count data) from the core inference model with (hyper-)parameters $a_{\pi}, b_{\pi}$ and $\boldsymbol{\Lambda}$ (the most distal nodes in the DAG of Figure 1) fixed to their respective posterior means obtained from the analysis of the original data. The sample characteristics are preserved by sampling randomly (with replacement) SNP vectors of $n_{i j}$ 's (for allele count data) or $c_{i j}{ }^{\prime}$ 's (for read count data) among the observed ones. For Pool-Seq data, haploid sample sizes are set to the observed ones. The R ( R Core Team 2015) function simulate. baypass () available in the BAYPASS software package was developed to carry out these simulations. The POD is further analyzed using the same MCMC parameters (number and length of pilot runs, burn-in, chain length, etc.) as for the analysis of the original data set. The XtX values computed for each simulated locus are then combined to obtain an empirical distribution. The quantiles of this
empirical distribution are computed and are used to calibrate 405 the XtX observed for each locus in the original data: e.g., the $99 \% 406$ quantile of the $\mathrm{Xt}_{\mathrm{t}} \mathrm{X}$ distribution from the POD analysis provides 407 a $1 \%$ threshold XtX value, which is then used as a decision crite- 408 rion to discriminate between selection and neutrality. Note that 409 this calibration procedure is similar to the one used in Vitalis 410 et al. (2014) for the calibration of their SNP KLD.

## Population Association tests and decision rules

Association of SNPs with population-specific covariables is as- ${ }^{413}$ sessed using Bayes Factors (BF) or what may be called "empirical 414 Bayesian P-values" (eBP). Briefly, for a given SNP, BF compares 415 models with and without association while eBP is aimed at mea- ${ }^{416}$ suring to which extent the posterior distribution of the regression ${ }^{417}$ coefficient $\beta_{i}$ excludes 0 .

Two different approaches were considered to compute BF's. 419 The first estimate (hereafter referred to as $B F_{\text {is }}$ ) relies on the ${ }^{420}$ Importance Sampling algorithm proposed by Coop et al. (2010) ${ }^{421}$ and uses MCMC samples obtained under the core model (see ${ }^{422}$ File S3 for a detailed description). The second estimate (hereafter ${ }^{423}$ referred to as $\mathrm{BF}_{\mathrm{mc}}$ ) is obtained from the posterior mean $\widehat{\mu\left(\delta_{i}\right)}$ of ${ }^{424}$ the auxiliary variable $\delta_{i}$ under the AUX model:

$$
\begin{equation*}
\mathrm{BF}_{\mathrm{mc}}=\frac{\widehat{\mu\left(\delta_{i}\right)}}{1-\widehat{\mu\left(\delta_{i}\right)}} \frac{b_{P}}{a_{P}} \tag{14}
\end{equation*}
$$

or 0 ). Note that, through the prior on $P$, the computation of $\mathrm{BF}_{\mathrm{mc}}$ explicitly accounts for multiple testing issues. $\mathrm{BF}^{\prime} \mathrm{s}$ are generally expressed in deciban units (dB) (via the transformation ${ }^{439}$ $10 \log _{10}(\mathrm{BF})$ ). The Jeffreys' rule (Jeffreys 1961) provide a useful ${ }^{440}$ decision criterion to quantify the strength of evidence (here ${ }^{441}$ in favor of assocation of the SNP with the covariable) using ${ }^{44}$ the following scale: "strong evidence" when $10<\mathrm{BF}<15$, "very ${ }^{443}$ strong evidence" when $15<\mathrm{BF}<20$ and "decisive evidence" when ${ }^{444}$ $B F>20$.

For the computation of eBP's, the posterior distribution of each SNP was approximated as a Gaussian distribution: ${ }^{447}$ $\mathrm{N}\left(\widehat{\mu\left(\beta_{i}\right)}, \widehat{\sigma^{2}\left(\beta_{i}\right)}\right)$ where $\widehat{\mu\left(\beta_{i}\right)}$ and $\widehat{\sigma\left(\beta_{i}\right)}$ are the estimated posterior mean and standard deviation of the corresponding $\beta_{i}$. The eBP's are further defined as:

$$
\begin{equation*}
\mathrm{eBP}=-\log _{10}\left(1-2\left|0.5-\Phi\left(\frac{\widehat{\mu\left(\beta_{i}\right)}}{\widehat{\sigma\left(\beta_{i}\right)}}\right)\right|\right) \tag{15}
\end{equation*}
$$

where $\Phi(x)$ is the cumulative distribution function of the dard normal distribution. Roughly speaking, a value of $\beta$ might ${ }_{456}$ be viewed as "significantly" different of 0 at a level of $10^{-\mathrm{eBP}} \%$. ${ }_{457}$ Two different approaches were considered to estimate the mo- ${ }^{458}$ ments of the posterior distribution of the $\beta_{i}{ }^{\prime}$ s. The first, detailed 459 in the File S3, rely on an Importance Sampling algorithm simi- 460 lar to the one mentioned above and thus uses MCMC samples ${ }_{461}$ obtained under the core model. The resulting eBP's estimates 462 are hereafter referred to as $\mathrm{eBP}_{\text {is }}$. The second approach relies on ${ }^{463}$
posterior samples of the MCMC obtained under the STD model. The resulting eBP's estimates are hereafter referred to as eBP mc.

Note finally, that for estimating $\mathrm{BF}_{\mathrm{mc}}$ (under the AUX model) and $\mathrm{eBP}_{\mathrm{mc}}$ (under the STD model), the value of the $\boldsymbol{\Lambda}$ was fixed to its posterior mean as obtained from an initial analysis carried out under the core model.

## Simulated Data sets

All the simulated data sets were obtained under the core or the STD inference models defined above (Figure 1) using the function simulate.baypass() available in the BAYPASS software package. Briefly, a simulated data set is specified by the $\Omega$ matrix, the parameters of the Beta distribution for the ancestral allele frequencies ( $a_{\pi}$ and $b_{\pi}$ ) and the sample sizes. As a matter of expedience, ancestral allele frequencies below 0.01 (respectively above 0.99 ) were set equal to 0.01 (respectively 0.99 ) and markers that were not polymorphic in the resulting simulated data set were discarded from further analyses. For the generation of PODs (see above), the $n_{i j}$ 's (or the $c_{i j}{ }^{\prime}$ s for Pool-Seq data) were sampled (with replacement) from the observed ones and for the power analyses, these were fixed to $n_{i j}=50$ for all the populations. To simulate under the STD model, the simulated $\beta_{i}$ 's (SNP regression coefficients) were specified and the population covariable vector $\boldsymbol{Z}$ was simply taken from the standard normal cumulative distribution function such that $z_{j}=\Phi\left(0.01+0.98 \frac{j-1}{J-1}\right)$ for the $j$ th population (out of the $J$ ones).

## Real Data sets

The HSA snp $_{\text {data set. This data set is the same as in Coop et al. }}^{\text {dat }}$ (2010) and was downloaded from the BAYENV2 software webpage (http://gcbias.org/bayenv/). It consists of genotypes at 2,333 SNPs for 927 individuals from 52 human population of the HGDP panel (Conrad et al. 2006).

The $\boldsymbol{B T A}_{\text {snp }}$ data set. This data set is a subset of the data from Gautier et al. (2010b) and consists of 453 individuals from 18 French cattle breeds (from 18 to 46 individuals per breed) genotyped for 42,046 autosomal SNPs displaying an overall MAF $>0.01$. As detailed in File S4, two breed-specific covariables were considered for association analyses. The first covariable corresponds to a synthetic morphology score (SMS) defined as the (scaled) first principal component of breed average weights and wither heights for both males and females (taken from the French BRG website: http://www.brg.prd.fr/). The second covariable is related to coat color and corresponds to the piebald coloration pattern of the different breeds that was coded as 1 for pied breed (e.g., Holstein breed) and -1 for breeds with a uniform coloration pattern (e.g., Tarine breed).

The $L S A_{p s}$ data set. This data set was obtained from whole transcriptomes of pooled Littorina saxatilis (LSA) individuals belonging to 12 different populations originating (Westram et al. 2014). These populations originate from three distinct geographical regions (UK, the United Kingdom; SP, Spain and SW, Sweden) and lived in two different ecotypes corresponding to the so-called "wave" habitat (subjected to wave action) and "crab" habitat (i.e., subjected to crab predation). The mpileup file with the aligned RNA-seq reads from the 12 pools (three countries $\times$ two ecotypes $\times$ two replicates) onto the draft LSA genome assembly was downloaded from the Dryad Digital Repository doi:10.5061/dryad.21pf0 (Westram et al. 2014). The mpileup file was further processed using a custom awk script to perform

SNP calling and derive read counts for each alternative base (af- ${ }^{523}$ ter discarding bases with a BAQ quality score $<25$ ). A position ${ }^{524}$ was considered as variable if i) it had a coverage of more than $20{ }_{525}$ and less than 250 reads in each population; ii) only two different 526 bases were observed across all the five pools and; iii) the minor allele was represented by at least one read in two different pool samples. Note that tri-allelic positions for which the two most frequent alleles satisfied the above criteria and with the third allele represented by only one read were included in the analysis as bi-allelic SNPs (after filtering the third allele as a sequencing error). The final data set then consisted in allele counts for 53,387 SNPs. As a matter of expedience, the haploid sample size was set to 100 for all the populations because samples consisted of pools of ca. 40 females with their embryos (from tens to hundreds per female) (Westram et al. 2014). To carry out the population analysis of association with ecotype and identify loci subjected to parallel phenotypic divergence, the habitat is considered as a binary covariable respectively coded as 1 for the "wave" habitat and -1 for the "crab" habitat.

## Results

Performance of the core model for estimation of the scaled population covariance matrix $\Omega$
The scaled covariance matrix $\Omega$ of population allele frequencies represents the key parameter of the models considered in this study. Evaluating the precision of its estimation is thus crucial. To illustrate how prior parametrization might influence estimation of $\Omega$, we first analyzed the BTA $_{\text {snp }}$ (with $\mathrm{J}=18$ French cattle populations) and the $\mathrm{HSA}_{\text {snp }}$ (with $\mathrm{J}=52$ worldwide human populations) data sets using both BAYPASS (under the core model represented in Figure 1A with $\rho=1$ ) and BAYENV2 (in which $\rho=J$ and $a_{\pi}=b_{\pi}=1$ according to Coop et al. (2010)). Note that the sampled populations in these two data sets have similar characteristics in terms of the overall $F_{S T}\left(F_{S T}=9.84 \%\right.$ and $F_{S T}=10.8 \%$ for the cattle and human sampled populations respectively). The resulting estimated $\Omega$ matrices are hereafter denoted as $\widehat{\Omega}_{\mathrm{BTA}}^{\text {bpas }}$ and $\widehat{\Omega}_{\mathrm{BTA}}^{\text {benv }}$ respectively for the cattle data set and are represented in Figure 2. Similarly, for the human data set, the resulting $\widehat{\Omega}_{\mathrm{HSA}}^{\mathrm{bpas}}$ and $\widehat{\Omega}_{\mathrm{HSA}}^{\text {benv }}$ are represented in Figure S1. For both data sets, the comparisons of the two different estimates of $\Omega$ reveal clear differences that suggest in turn some sensitivity of the model to the prior assumption. Analyses under three other alternative BAYPASS model parameterizations (i) $\rho=1$ and $a_{\pi}=b_{\pi}=1$; ii) $\rho=J$ and; iii) $\rho=J$ and $a_{\pi}=b_{\pi}=1$ ) confirmed this intuition (Figure S2). For the human data set, the FMD between the different estimates of $\Omega$ varied from 1.73 (BAYPASS with $\rho=1 v s$ BAYPASS with $\rho=1$ and $a_{\pi}=b_{\pi}=1$ ) to 31.1 (BAYPASS with $\rho=1$ vs BAYPASS with $\rho=52$ ). However, for the cattle data set that contains about 20 times as many SNPs for 3 times less populations, the four BAYPASS analyses gave consistent estimates (pairwise FMD always below 0.5) that clearly depart from the BAYENV2 one (pairwise FMD always above 14). Note also that BAYPASS estimates were in better agreement with the historical and geographic origins of the sampled breeds (see Figure 2 and Gautier et al. (2010b) for further details).

## [Figure 2 about here.]

Overall these contrasting results call for a detailed analysis 580 of the sensitivity of the model to prior specifications on both ${ }_{581}$ $\boldsymbol{\Omega}$ ( $\rho$ value) and the $\pi_{i}$ Beta distribution parameters ( $a_{\pi}$ and ${ }_{582}$ $b_{\pi}$ ), but also to data complexity (number and polymorphism ${ }^{583}$
of SNPs). To that end we first simulated under the core inference model (Figure 1A) data sets for four different scenarios labeled SpsH1, SpsH2, SpsB1 and SpsB2. In SpsH1 and SpsH2 (respectively SpsB1 and SpsB2), the population covariance matrix was set equal to $\widehat{\Omega}_{\mathrm{HSA}}^{\text {bpas }}$ (respectively $\widehat{\Omega}_{\mathrm{BTA}}^{\text {bpas }}$ ), and in SpsH 1 and SpsB1 (respectively SpsH2 and SpsB2) the $\pi_{i}$ 's were sampled from a Uniform distribution over $(0,1)$ (respectively a $\operatorname{Beta}(0.2,0.2)$ distribution). Note that the two different $\pi_{i}$ distributions lead to quite different SNP frequency spectrum, the Uniform one approaching (ascertained) SNP chip data (i.e., good representation of SNPs with an overall intermediate MAF) while the $\operatorname{Beta}(0.2,0.2)$ one is more similar to that obtained in whole genome sequencing experiments with an over-representation of poorly informative SNPs (see, e.g., results obtained on the LSA ps Pool-Seq data below). To assess the influence of the number of genotyped SNPs, data sets consisting of $1,000,5,000,10,000$ and 25,000 SNPs were simulated for each scenario. For each set of simulation parameters, ten independent replicate data sets were generated leading to a total of 160 simulated data sets ( 10 replicates $\times 4$ scenarios $\times 4$ SNP numbers) that were each analyzed with BAYENV2 (Coop et al. 2010) and four alternative BAYPASS model parameterizations (i) $\rho=1$; ii) $\rho=1$ and $a_{\pi}=b_{\pi}=1$; iii) $\rho=J$ and ; iv) $\rho=J$ and $a_{\pi}=b_{\pi}=1$ ). FMD distance (averaged across replicates) of the resulting $\Omega$ estimates from their corresponding true matrices are represented in Figure 3. Note that for a given simulation parameter set, the FMD distances remained quite consistent (under a given model parametrization) across the ten replicates (Figure S3).

## [Figure 3 about here.]

Except for BAYENV2 analyses, the estimated matrices converged to the true ones as the number of SNPs (and thus the information) increase. In addition, as observed above for real data sets, the BAYENV2 estimates were always quite different from those obtained with BAYPASS parametrized under the same model assumptions ( $\rho=$ npop and $a_{\pi}=b_{\pi}=1$ ). It should also be noticed that reproducing the same simulation study by using the $\widehat{\Omega}_{\mathrm{BTA}}^{\text {benv }}$ and $\widehat{\Omega}_{\mathrm{HSA}}^{\text {benv }}$ matrices in the four different scenarios lead to similar patterns (Figure S4). Reasons for this behavior of BAYENV2 (possibly the result of some minor implementation issues) were not investigated further and we hereafter only concentrated on results obtained with BAYPASS .

As expected, the optimal number of SNPs also depends on the overall level of polymorphism. Hence, when the simulated $\pi_{i}{ }^{\prime}$ s were sampled from a $\operatorname{Beta}(0.2,0.2)$ (Figure 3B and D) instead of a $\operatorname{Unif}(0,1)$ distribution, a higher number of SNPs was required (compare Figures 3B and A; and Figures 3D and C, respectively) to achieve the same accuracy. Likewise, all else being equal, the estimation precision was found always lower for the SpsH1 (and SpsH 2 ) than SpsB1 (and SpsB2) scenarios. This shows that the optimal number of SNPs is an increasing function of the number of sampled populations. One might also expect that more SNPs are required when population differentiation is lower (although this was not formally tested here). Regarding the sensitivity of the models to the prior definition, the parametrization with $\rho=$ 1 clearly outperformed the more informative one $(\rho=J)$, most particularly for smaller number of SNPs and more complex data sets. Naturally, estimating the parameters $a_{\pi}$ and $b_{\pi}$ compared to setting them to $a_{\pi}=b_{\pi}=1$ had almost no effect in the estimation precision of $\Omega$ for the SpsH1 and SpsB1 scenarios, their resulting posterior means being slightly larger than one ( $\simeq$ 1.1 due probably to the simulation SNP ascertainment scheme
as described in Material and Methods). Interestingly however, 645 a substantial gain in precision was obtained for the SpsH 2 and ${ }^{646}$ SpsB2 data sets (for which $\pi_{i}^{\text {sim }} \sim \operatorname{Beta}(0.2,0.2)$ ). Hence, for the ${ }_{647}$ SpsB2 data sets (Figure 3D), the FMD curves reached a plateau ${ }^{648}$ with the $a_{\pi}=b_{\pi}=1$ parametrization (for both $\rho=1$ and ${ }^{649}$ $\rho=18$ ) as the number of SNPs increase whereas precision kept ${ }_{650}$ improving when $a_{\pi}$ and $b_{\pi}$ were estimated.

We finally investigated to which extent estimation of $a_{\pi}$ and ${ }_{652}$ $b_{\pi}$ might improve robustness to SNP ascertainment. To that end, ${ }^{653}$ ten additional independent data sets of 100,000 SNPs were simu- 654 lated under both the SspH 1 and SspB 1 scenarios. For each of the 655 twenty resulting data sets, six subsamples were constituted by ${ }_{656}$ randomly sampling 25,000 SNPs with an overall MAF $>0,>0.01$, 657 $>0.025,>0.05,>0.075$ and $>0.10$ respectively. The 120 resulting ${ }_{658}$ data sets ( 2 scenarios $\times 10$ replicates $\times 6$ MAF thresholds) were ${ }_{659}$ analyzed with BAYPASS (assuming $\rho=1$ ) by either estimating $a_{\pi}$ and $b_{\pi}$ or setting $a_{\pi}=b_{\pi}=1$. Although the estimation precision of $\boldsymbol{\Omega}$ was found to decrease with increasing MAF thresholds (Figure S 5 ), estimating $a_{\pi}$ and $b_{\pi}$ allowed to clearly improve accuracy in these examples. Note however, that the effect of the ascertainment scheme remained limited, in particular for small MAF thresholds (MAF<0.05).

Performance of the XtX statistics to detect overly differenti- ${ }^{668}$ ated SNPs.
To evaluate the performance of the Xt X statistics to identify SNPs subjected to selection, data sets were simulated under the STD inference model (Figure 1B), i.e., with a population-specific covariable. This simulation strategy was mainly adopted to compare covariable-free Xt X based decision (scan for differentiation) with association analyses (based on covariate models) as described in the next section. Obviously, the XtX is a covariable-free statistic that is powerful to identify SNPs subjected to a broader kind of adaptive constraints, as elsewhere demonstrated (Bonhomme et al. 2010; Günther and Coop 2013). Hence, two different (demographic) scenarios, labeled SpaH and SpaB, were considered. In the scenario SpaH (respectively SpaB), $\Omega^{\text {sim }}$ was set equal to $\widehat{\Omega}_{\mathrm{HSA}}^{\mathrm{bpas}}$ (respectively $\widehat{\Omega}_{\mathrm{BTA}}^{\mathrm{bpas}}$ ), and the $\pi_{i}{ }^{\prime}$ s were sampled from a Uniform distribution. For each scenario, 25,600 SNPs were simulated of which 25,000 are neutral SNPs (i.e., with a regression coefficient $\beta_{i}=0$ ) and 600 are SNPs associated with a normally distributed population-specific covariable (see Material and Methods) and with regression coefficients $\beta_{i}=-0.2$ $(\mathrm{n}=100), \beta_{i}=-0.1(\mathrm{n}=100), \beta_{i}=-0.05(\mathrm{n}=100), \beta_{i}=0.05$ $(\mathrm{n}=100), \beta_{i}=0.1(\mathrm{n}=100), \beta_{i}=0.2(\mathrm{n}=100)$. For each scenario, ten independent replicate data sets, each with a randomized population covariable vector, were generated. The resulting 20 simulated data sets ( 10 replicates $\times 2$ scenarios) were then ana- ${ }_{690}$ lyzed with four alternative BAYPASS model parameterizations corresponding to i) the core model (Figure 1A) with $\rho=1$; ii) the core model by setting $\Omega=\Omega^{\text {sim }}$; iii) the STD model (Figure 1B) by setting $\Omega=\Omega^{\text {sim }}$ and; iv) the default AUX model (Figure 1C) i.e. with is ${ }_{\beta}=0$ and $\Omega=\Omega^{\text {sim }}$.

As expected, under the core model, the higher higher the estimated $\mathrm{X}+\mathrm{X}$ on average (Figure S6). As a matter of expedience, for power comparisons, $1 \%$ POD threshold were $\sigma_{9}$ further defined for each analysis using the Xt X distribution ob- ${ }^{69}$ tained for SNPs with simulated $\beta_{i}=0$. Note that the resulting 700 thresholds were very similar to those obtained using indepen- 701 dent data sets (e.g., SpsH1 and SpsB1) that lead to False Positive 702 Rates close to $1 \%$. As shown in Table 1, the power was optimal 703 ( $>99.9 \%$ ) for strongly associated SNPs $\left(\left|\beta_{i}\right|=0.2\right)$ in both sce- 704
narios but remained small ( $<10 \%$ ) for weakly associated SNPs. In addition, power was always higher with the SpaH than with the SpaB data probably due to a more informative design (three times as many populations). Likewise, estimating $\Omega$ (i.e., including information from the associated SNPs) slightly affected the performance of the XtX -based criterion when compared to setting $\Omega=\Omega^{\text {sim }}$ (see Table 1 and also the ROC curve analyses in Figure 57 ). Yet the resulting estimated matrices $\Omega$ were close to the true simulated ones ( $\overline{\mathrm{FMD}}=2.4$ across the SpaH and $\overline{\mathrm{FMD}}=0.5$ across the SpaB simulated data sets) suggesting in turn that the core model is also robust to the presence of SNPs under selection (at least in moderate proportion). Conversely, a misspecification of the prior $\Omega$, as investigated here by similarly analyzing the SpaH (respectively SpaB) data sets under the core, the STD and the AUX models but setting $\Omega=\widehat{\Omega}_{\mathrm{HSA}}^{\mathrm{benv}}$ (respectively $\Omega=\widehat{\Omega}_{\text {BTA }}^{\text {benv }}$ ), lead to an inflation of the $\mathrm{X}_{\mathrm{t}} \mathrm{X}$ estimates (Figure S8). The Xt X mean was in particular shifted away from $J$ (number of populations) expected under neutrality (see also Figure 5 in Günther and Coop (2013)). As a consequence, the overall performances of the Xt X -based criterion were clearly impacted (see Table S1 ROC and ROC curve analyses in Figure S7).

Interestingly, under both the STD and AUX models, the distribution of the XtX for SNPs associated to the population covariable was similar to the neutral SNP one, whatever the underlying $\beta_{i}$ (Figure S6). Accordingly, the corresponding true positive rates were close to the nominal POD threshold in Table 1. This suggests that both covariate models allow to efficiently correct the XtX estimates for the ("fixed") covariable effect of the associated SNPs.
[Table 1 about here.]

## Performance of the models to detect SNP associated to a population-specific covariable.

The performances of the STD and AUX models to identify SNPs associated to a population-specific covariable were further evaluated using results obtained on the SpaH and SpaB data sets (see above). As shown in Figure 4, the Importance Sampling estimates of the $\beta_{i}$ coefficients (computed from parameter values sampled under the core model) were found less accurate than posterior mean estimates obtained from values sampled under the STD or AUX models. For smaller $\left|\beta_{i}\right|$ however, the introduction of the auxiliary variable (AUX model) tended to shrink the estimates towards zero in the SpaB data sets probably due, here also, to a less powerful design (three times less populations).
[Figure 4 about here.]
Accordingly, the BF's estimated under the AUX model ( $\mathrm{BF}_{\mathrm{mc}}$ ) had more power to identify SNPs associated to the populationspecific covariables than the corresponding $\mathrm{BF}_{\text {is }}$ (Table 2 and Figure S9). Indeed, although constrained by construction to a maximal value (here 53.0 dB ) that both depends on the number of MCMC samples (here 1,000 ) and on the prior expectation of $P$ (here 0.01), at the "decisive evidence" threshold of 20 dB (Jeffreys 1961), the true positive rates for SNPs with a simulated $\left|\beta_{i}\right|=$ 0.05 were for instance equal to $81.7 \%$ with $\mathrm{BF}_{\mathrm{mc}}$ for the SpaH data compared to $31.9 \%$ with the $\mathrm{BF}_{\text {is }}$ based decision criterion (Table 2). For the SpaH data (but not for the SpaB ones) a similar trend was observed when comparing decision criteria based on the $\mathrm{eBP}_{\text {is }}$ (relying on Importance Sampling algorithm) and the $\mathrm{eBP}_{\mathrm{mc}}$ as estimated under the STD model (see Table 2 and Figure S10). In addition, Table 2 shows that the intuitive, but
still arbitrary, threshold of 3 on the eBP performed worse than 764 the 20 dB threshold on the BF , particularly for the smallest $\left|\beta_{i}\right| .765$ This suggests that a decision criterion rule relying on the $B F_{m c}$ may be the most reliable in the context of these models.

## [Table 2 about here.]

We next explored how a misspecification of the prior $\Omega$ affected the estimation of the $\beta_{i}$ 's and the different decision criteria. As in the previous section, we considered results obtained for the SpaH (respectively SpaB) data sets with analyses setting $\Omega=$ $\widehat{\Omega}_{\mathrm{HSA}}^{\text {benv }}$ (respectively $\Omega=\widehat{\Omega}_{\mathrm{BTA}}^{\text {benv }}$ ). Surprisingly, although the Importance Sampling estimates of the $\beta_{i}$ 's obtained under the core model clearly performed poorer (particularly for the SpaB data), the estimates obtained under the STD and AUX models were not so affected (Figure S11). Nevertheless, if the resulting true and false positive rates were similar to the previous ones for the SpaH data, for the SpaB data the power to detect associated SNPs strongly decreased with both the $\mathrm{BF}_{\text {is }}$ and $e \mathrm{eP}_{\text {is }}$ criteria. Conversely, increased false positive rates were observed with the $\mathrm{BF}_{\mathrm{mc}}$ (up to $22.5 \%$ ) and $\mathrm{eBP}_{\mathrm{mc}}$ based decision criteria (see Table S2 and compare with Table 2). These results thus suggest that the influence of model misspecification, although unpredictable, may be critical for association studies with the STD and AUX covariate models.

## Performance of the Ising prior to account for SNP spatial dependency in association analyses.

To evaluate the ability of the AUX model Ising prior to capture SNP spatial dependency information, a study was carried out using data sets simulated under the STD inference model with $\Omega^{\text {sim }}=\widehat{\Omega}_{\text {BTA }}^{\text {bpas }}$. Two scenarios, labeled SldBa and SldBb, were considered. They respectively consisted in 1,029 and 1,019 SNPs (genotyped in 18 populations) including 1,000 "neutral" SNPs (i.e., with $\beta_{i}=0$ ). For the SldBa scenario, the 29 remaining SNPs were associated to a population-specific covariable, the underlying $\beta_{i}$ 's coefficients varying from $\beta_{i}=0.01$ to $\beta_{i}=0.15$. The SNPs were then organized on a linear map in the following order: i) 500 neutral SNPs; ii) 14 associated SNPs with increasing $\beta_{i}\left(\right.$ from $\beta_{i}=0.01$ to $\beta_{i}=0.14$ with an increment by 0.01$) ;$ iii) one SNP with $\beta_{i}=0.15$; iv) 14 associated SNPs with decreasing $\beta_{i}$ (from $\beta_{i}=0.14$ to $\beta_{i}=0.01$ with an increment by -0.01 ) and; v) 500 neutral SNPs. The SldBb scenario is similar except that the maximum $\beta_{i}$ value is equal to $\beta_{i}=0.1$ (resulting in 19 associated SNPs) leading to a weaker association signal. For each scenario, 500 independent replicate data sets with randomized population covariable vectors were generated.

Of course, the adopted simulation procedure might clearly fail to reproduce (or even approximate) the complex patterns of genomic LD expected in real data sets. It was rather aimed at providing some basic insights on how the is ${ }_{\beta}$ Ising prior parameter may capture spatial information. The resulting 1,000 simulated data sets ( 500 replicates $\times 2$ scenarios) were thus analyzed under the AUX model (with $\Omega=\widehat{\boldsymbol{\Omega}}_{\mathrm{BTA}}^{\mathrm{bpas}}$ ) with three different parameterizations for the Ising prior i) is $\beta_{\beta}=0$ (no spatial dependency); 814 ii) is ${ }_{\beta}=0.5$ and; iii) is ${ }_{\beta}=1$. As shown in Figure 5, for the 815 SldBa scenario, increasing is ${ }_{\beta}$ improved the mapping precision. ${ }_{816}$ Indeed, both a noise reduction at neutral position $\left(\beta_{i}=0\right)$ and a 817 sharpening of the $99 \%$ envelope (containing $99 \%$ of the $\delta_{i}$ pos- ${ }^{818}$ terior means across the 500 replicate data sets) around the peak ${ }_{819}$ position can be observed (e.g., compare Figure 5A1 and A3). 820 Conversely, for the SldBb scenario characterized by a weaker ${ }_{82}$

SNP association signal, introducing SNP spatial dependency in the AUX model ( $\mathrm{is}_{\beta}>0$ ) strongly reduced the mapping power.

## [Figure 5 about here.]

## Analysis of the French cattle SNP data

The XtX estimates were obtained for the 42,046 SNPs of the $B T A_{\text {snp }}$ data (Figure S12) from the previous analysis under the core model with $\rho=1$ (e.g., Figure 2). In agreement with above results, setting instead $\Omega=\widehat{\Omega}_{\mathrm{BTA}}^{\mathrm{bpas}}$ (the estimate of $\Omega$ obtained in the latter analysis) gave almost identical XtX estimates ( $r=0.995$ ). To calibrate the $\mathrm{Xt}^{\mathrm{t}}$ 's, a POD containing 100,000 simulated SNPs was generated and further analyzed leading to a posterior estimate of $\Omega$ very close to $\widehat{\Omega}_{\mathrm{BTA}}^{\mathrm{bpas}}(F M D=0.098)$. Similarly, the posterior means of $a_{\pi}$ and $b_{\pi}$ obtained on the POD data set ( $\widehat{a_{\pi}}=1.44$ and $\widehat{b_{\pi}}=3.43$, respectively) were almost equal to the ones obtained in the original analysis of the $B T A_{\text {snp }}$ data set $\left(\widehat{a_{\pi}}=1.43\right.$ and $\widehat{b_{\pi}}=3.44$, respectively). This indicated that the POD faithfully mimics the real data set, allowing the definition of relevant POD significance thresholds on XtX to identify genomic regions harboring footprints of selection. To that end, the UMD3.1 bovine genome assembly (Liu et al. 2009) was first split into 5,400 consecutive $1-\mathrm{Mb}$ windows (with a 500 kb overlap). Windows with at least two SNPs displaying $\mathrm{XtX}>35.4$ (the $0.1 \%$ POD threshold) were deemed significant and overlapping "significant" windows were further merged to delineate significant regions. Among the 15 resulting regions, two regions were discarded because their peak Xt X value was lower than 40.0 (the $0.01 \%$ POD threshold). As detailed in Table 3, the 13 remaining regions lie within or overlap with a Core Selective Sweep (CSS) as defined in the recent meta-analysis by Gutiérrez-Gil et al. (2015). This study combined results of 21 published genome-scans performed on European cattle populations using various alternative approaches. The proximity of the XtX peak allows to define positional candidate genes (Table 3) that have, for most regions, already been proposed (or demonstrated) to be either under selection or to control genes involved in traits targeted by selection (see Discussion).

## [Table 3 about here.]

To illustrate how information provided by population-specific covariables might help in formulating or even testing hypotheses to explain the origin of the observed footprints of selection, characteristics of the 18 cattle populations for traits related to morphology (SMS) and coat pigmentation (piebald pattern) were further analyzed within the framework developed in this study. An across population genome-wide association studies was thus carried out under both the STD and AUX models (with $\Omega=\widehat{\Omega}_{\mathrm{BTA}}^{\mathrm{bpas}}$ ) allowing the computation for each SNP of the corresponding $\mathrm{BF}_{\text {is }}$ and $\mathrm{BF}_{\mathrm{mc}}$ estimates (Figure S12), and $\mathrm{eBP}_{\text {is }}$ and $\mathrm{ebP}_{\mathrm{mc}}$ estimates (Figure S13). We hereafter concentrated on results obtained with BF which are more grounded from a decision theory point of view (and roughly lead to similar conclusions than eBP). For both traits, the $\mathrm{BF}_{\text {is }}$ resulted in larger BF estimates and a higher number of significant association signals (e.g., at the 20 dB threshold) than $\mathrm{BF}_{\mathrm{mc}}$. This trend was confirmed by analyzing the POD. Indeed, the $99.9 \% \mathrm{BF}_{\text {is }}$ (respectively $\mathrm{BF}_{\mathrm{mc}}$ ) quantiles were equal to 24.9 dB (respectively 18.3 dB ) for association with SMS and to 26.3 dB (respectively 11.7 dB ) for association with piebald pattern. Nevertheless, at the BF threshold of 20 dB , the false discovery rate for $\mathrm{BF}_{\text {is }}$ remained small
( $0.035 \%$ ) and similar to the one obtained on the simulation stud- ${ }_{88}$ ies (e.g., Table 2). Interestingly, among the 13 regions identified 883 in Table 3, three contained (regions \#4, \#11 and \#12) at least one 884 SNP significantly associated with SMS based on the $\mathrm{BF}_{\text {is }}>20{ }_{885}$ criterion and none with $\mathrm{BF}_{\mathrm{mc}}>20$ criterion (although $\mathrm{BF}_{\mathrm{mc}}>5886$ for the peak of region \#12 providing substantial evidence accord- ${ }_{887}$ ing to the Jeffreys' rule). For the piebald pattern, results were more consistent since out of the four regions (regions \#3, \#7,\#8 and \#11) that contained at least one SNP with a $\mathrm{BF}_{\text {is }}>20$, the $\mathrm{BF}_{\mathrm{mc}}$ of the corresponding peak SNP was also $>20$ (although lower) for all but region \#11 (although $\mathrm{BF}_{\mathrm{mc}}=14.4$ for the peak providing strong evidence according to the Jeffreys' rule). Except for region \#7 where both BF peaks lied within the KIT gene (and to a lesser extent for region \#11 with SMS), the BF peaks colocalized with (regions \#3, \#4 and \#8) or were very close (less than 50 kb ) to the XtX peaks. Accordingly, the corresponding XtX estimates decreased when estimated under the STD model, i.e. accounting for the covariables (Figure S14). For instance, the SNP under the Xt X peak dropped from 76.3 to 50.3 (from 40.7 to 19.3) for region \#3 (respectively region \#8). Overall, the posterior means of the individual SNP $\beta_{i}$ regression coefficients estimated under the STD model ranged (in absolute value) from $2.2 \times 10^{-6}$ (respectively $1.0 \times 10^{-8}$ ) to 0.166 (respectively 0.233 ) for SMS (respectively piebald pattern). These estimates remained close to those derived from Importance Sampling algorithm, although the latter tended to be lower in absolute value (Figure S15). As expected from the above simulation studies, estimates obtained under the AUX model tended to be shrunk towards 0 , which was particularly striking in the case of SMS (Figure S15).

## [Figure 6 about here.]

Finally, analyses of association with SMS were conducted under the AUX model with three different Ising prior parameterizations (is $s_{\beta}=0$, is $_{\beta}=0.5$ and is $s_{\beta}=1$.) focusing on the 1,394 SNPs mapping to BTA14 (Figure 6). Under the is ${ }_{\beta}=0$ parametrization (equivalent to the AUX model analysis conducted above on a whole genome basis), four SNPs (all lying within region \#12) displayed significant signals of association at the $B F=20 \mathrm{~dB}$ threshold with a peak $\mathrm{BF}_{\mathrm{mc}}$ value of 28.5 dB at position 24.6 Mb (Figure 6A). These results, obtained on a chromosome-wide basis, provide additional support to the region \#12 signal previously observed. They alternatively suggest that power of the $\mathrm{BF}_{\mathrm{mc}}$ as computed on a whole genome basis might have been altered by the small proportion of SNPs strongly associated to SMS due to multiple testing issues (which $\mathrm{BF}_{\text {is }}$ computation is not accounted for). Hence, for SNPs mapping to BTA14, the $\mathrm{BF}_{\text {is }}$ estimated on the initial genome-wide analysis were almost identical to the $\mathrm{BF}_{\text {is }}(r=0.993)$ and highly correlated to the $\mathrm{BF}_{\mathrm{mc}}(r=0.805)$ estimated in the chromosomewide analysis. As expected from simulation results, increasing is ${ }_{\beta}$ lead to refine the position of the peak toward a single SNP mapping about 400 kb upstream the PLAG1 gene (Figure 6B and C).

## Analysis of the Littorina saxatilis Pool-Seq data

 The LSA ${ }_{p s}$ Pool-Seq data set was first analyzed under the core ${ }^{935}$ model (with $\rho=1$ ). In agreement with previous results (Wes- ${ }^{936}$ tram et al. 2014), the resulting estimate of the population covari- ${ }^{937}$ ance matrix $\Omega$ confirmed that the 12 different Littorina popu- ${ }^{938}$ lations cluster at the higher level by geographical location and 939 then by ecotype and replicate (Figure 7A). This analysis also al- 940 lowed to estimate the Xt X for each of the 53,387 SNPs that were ${ }_{94}$further calibrated by analyzing a POD containing 100,000 simulated SNPs to identify outlier SNPs (Figure 7). As for the cattle data analysis, the estimate of $\Omega$ on the POD was close to the matrix estimated on the original LSA $_{p s}$ data set ( $F M D=0.516$ ) although the posterior means of $a_{\pi}$ and $b_{\pi}$ were slightly higher ( $\widehat{a_{\pi}}=\widehat{b_{\pi}}=0.370$ compared to $\widehat{a_{\pi}}=\widehat{b_{\pi}}=0.214$ with the LSA data set). In total, 169 SNPs subjected to adaptive divergence were found at the $0.01 \%$ POD significance threshold. To illustrate how the BAYPASS models may help discriminating between parallel phenotype divergence from local adaptation, analyses of association were further conducted with ecotype (crab vs wave) as a categorical population-specific covariable. Among the 169 XtX outlier SNPs, 65 (respectively 75) displayed $\mathrm{BF}_{\text {is }}>20 \mathrm{~dB}$ (respectively $\mathrm{BF}_{\mathrm{mc}}>20 \mathrm{~dB}$ ) (Figure 7B). The two BF estimates resulted in consistent decisions ( 113 SNPs displaying both $\mathrm{BF}_{\mathrm{mc}}>20$ dB and $\mathrm{BF}_{\mathrm{mc}}>20 \mathrm{~dB}$ ), although at the 20 dB more SNPs were found significantly associated under the AUX model ( $\mathrm{n}=176$ ) than with $\mathrm{BF}_{\text {is }}(\mathrm{n}=117)$. Interestingly, several overly differentiated SNPs (high XtX value) were clearly not associated to the population ecotype covariable (small BF). These might thus be responding to other selective pressures (local adaptation) but might also, for some of them, map to sex-chromosomes (Gautier 2014). As a consequence, SNP XtX estimated under the AUX model (i.e., corrected for the "fixed" ecotype effect) remained highly correlated with the XtX estimated under the core model (including for some Xt X outliers) with the noticeable exception of the SNPs significantly associated to the ecotype. For the latter, the corrected XtX dropped to values generally far smaller than the $0.01 \%$ POD threshold (Figure 7C). Finally, Figure 7D gives the posterior mean of the SNP regression coefficients quantifying the strength of the association with the ecotype covariable. It shows that several SNPs displayed strong association signals $\left(\left|\widehat{\beta}_{i}\right|>0.2\right)$ pointing towards candidate genes underlying parallel phenotype divergence. As observed above in the simulation study and in the analysis of the cattle data set, the AUX model estimates tended to be shrunk towards 0 , except for the highest values (corresponding to SNPs significantly associated to the covariable) when compared to the estimates obtained under the STD model (Figure S16A). A similar trend for the $\beta_{i}$ estimates of the strongly associated SNPs was observed with the importance sampling estimates (Figure S16B).

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\text { [Figure } 7 \text { about here.] }
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## Discussion

The main purpose of this study was to develop a general and robust Bayesian framework to identify genomic regions subjected to adaptive divergence across populations by extending the approach first described in Coop et al. (2010) and Günther and Coop (2013). Because of the central role played in the underlying models by the scaled population covariance matrix $(\Omega)$, a first objective was to improve the precision of its estimation. To that end, instead of defining an Inverse-Wishart prior on $\Omega$ as in Coop et al. (2010), a Wishart prior defined on the precision matrix $\boldsymbol{\Lambda}\left(\boldsymbol{\Lambda}=\mathbf{\Omega}^{-1}\right)$ was rather considered and equivalently parametrized with an identity scale matrix but varying number of degrees of freedom ( $\rho$ ). As the extensive simulation study revealed, the most accurate estimates were obtained by setting $\rho=1$ (instead of the number of populations which is equivalent to Coop et al. (2010)) leading to a weaker (and singular) informative Wishart prior. Although flexible, the purely instrumental nature of the $\Omega$ prior parametrization considered in our mod-
els makes it difficult to incorporate prior and possibly relevant 1004 information about the populations under study. For instance, 1005 spatially (Guillot et al. 2014) or even phylogenetically explicit 1006 prior might represent in some context attractive alternatives, 1007 borrowing for the latter on population genetics theory to model 1008 the effect of the demographic history on the covariance matrix 1009 (Lipson et al. 2013; Pickrell and Pritchard 2012). Apart from 1010 investigating different $\Omega$ prior specification, additional levels 1011 in the hierarchical models were also introduced to estimate the 1012 parameters of the (Beta) prior distribution on the ancestral allele ${ }_{1013}$ frequency. Interestingly, estimating these parameters improved 1014 robustness to the SNP ascertainment scheme, in particular when 1015 the allele frequency spectrum is biased towards poorly informa- 1016 tive SNPs as generally obtained with data from whole genome 1017 sequencing experiment (e.g., Pool-Seq data). Simulation results 1018 on MAF filtered data sets also suggested that these additional levels might reduce sensitivity of the models to SNP ascertain- 1019 ment bias characterizing genotyping data obtained from SNP 1020 chip. Finally, inclusion of a moderate proportion of SNPs under ${ }^{1021}$ selection did not significantly affect estimation of $\boldsymbol{\Omega}$. Overall, 1022 it can be concluded that the core model parametrized with a ${ }^{1023}$ weakly informative Wishart prior $(\rho=1)$ and that includes the ${ }_{1024}$ estimation of the parameters $a_{\pi}$ and $b_{\pi}$ provides a general robust ${ }_{1025}$ and accurate approach to estimate $\Omega$ even with a few thousands ${ }^{1026}$ of genotyped SNPs. It should also be noted that it outperforms ${ }^{1027}$ previous implementations carried out under a similar hierarchi- 1028 cal Bayesian framework, as in the BAYENV2 software (Coop et al. 1029 2010), or relying on moment-based estimators Lipson et al. (2013); 1030 Bonhomme et al. (2010); Pickrell and Pritchard (2012) (data not 1031 shown). As the latter are based on sample allele frequencies, 1032 they also remain more sensitive to sample size (and coverage ${ }^{1033}$ for Pool-Seq data) and, more importantly, they do not allow ${ }^{1034}$ combining estimation of both the ancestral allele frequencies ${ }^{1035}$ and covariance matrix that represent a serious issue for small ${ }^{1036}$ and/or unbalanced designs. Finally, as briefly sketched with ${ }^{1037}$ visualizations based on correlation plot or hierarchical trees in ${ }^{1038}$ the present study, the estimation procedure implemented in the 1039 BAYPASS core model might be quite relevant for demographic 1040 inference purposes since the matrix $\Omega$ has already been shown to ${ }^{1041}$ be informative about the population history Lipson et al. (2013); 1042 Pickrell and Pritchard (2012).

Accounting for $\Omega$ renders the identification of SNPs subjected 1045 to selection less sensitive to the confounding effect of demogra- 1046 phy (Bonhomme et al. 2010; Günther and Coop 2013). To that ${ }_{1047}$ end the Xt X introduced by Günther and Coop (2013) provides 1048 a valuable differentiation measure for genome scan of adaptive 1049 divergence. While XtX might be viewed as a Bayesian counter- 1050 part of the FLK statistic (Bonhomme et al. 2010), its computation 1051 allows considering population histories more complex than bi- 1052 furcating trees (i.e., including migration or ancestral admixture ${ }^{1053}$ events) not to mention improved precision in the estimation of 1054 the underlying $\Omega$. For practical purposes however, defining ${ }_{1055}$ significance threshold for the Xt X remains challenging. Indeed, 1056 although the XtX are expected under the neutral model to be ${ }_{1057}$ $\chi$-squared distributed (Günther and Coop 2013), the Bayesian 1058 (hierarchical) model based procedure leads to shrink the XtX ${ }_{1059}$ posterior mean towards their prior mean (Gelman et al. 2003). 1060 As a consequence, an empirical posterior checking procedure, 1061 similar in essence to the one previously used in a similar context 1062 (Vitalis et al. 2014), was evaluated here. It represents a relevant ${ }^{1063}$ alternative to arbitrary threshold although it comes at a cost 1064 of some additional computational cost. The procedure indeed 1065
consists in analyzing (POD) data simulated under the inference model with hyperparameters $\Omega, a_{\pi}$ and $b_{\pi}$ set equal to those estimated on the real data. Comparing the $\Omega, a_{\pi}$ and $b_{\pi}$ estimates obtained on the POD to the original ones ensures that the simulated data provide good surrogates to neutrally evolving SNPs under a demographic history similar to that of the sampled populations. More generally, given the efficiency of the simulation procedure, such simulated data sets might also be relevant to investigate the properties of other estimators of genetic diversity or to evaluate the robustness of various approaches to demographic confounding factors. In the context of this study, a better estimation of $\Omega$ was hence shown to improve the performance of the XtX -based differentiation test and association studies with population-specific covariables under the STD and AUX covariate models.

Based on the STD model, Coop et al. (2010) relied on an importance sampling $\left(B F_{\text {is }}\right)$ estimates of the BF to assess association of allele frequency differences with population-specific covariables. A major advantage of this algorithm stems from its computational efficiency, since only parameter samples drawn from the core model are required. However, the simulation study showed that estimating the $\beta_{i}$ regression coefficients with this approach tended to bias (sometimes strongly) the estimates towards zero, as opposed to the posterior means from MCMC parameters values sampled under the STD model. Accordingly, the performances of decision criteria based on eBP's, that measure to which extent the posterior distribution of the $\beta_{i}$ departs from 0 , were generally poorer for the $e B P_{\text {is }}$ than $B F_{\mathrm{mc}}$. In addition, while a POD calibration, similar to the XtX one considered above is straightforward to apply in practice, $\mathrm{eBP}\left(e B P_{\mathrm{is}}\right.$ and $\left.e B P_{\mathrm{mc}}\right)$ and $B F_{\text {is }}$ could not per se deal with multiple testing issues. As previously proposed in a similar modeling context (Riebler et al. 2008), introducing binary auxiliary variables attached to each SNP to indicate whether or not they are associated to a given population covariable allows to circumvent these limitations. The resulting $B F_{\mathrm{mc}}$ showed indeed improved power in the simulation study compared to $B F_{\text {is }}$. In analyses of real data sets, whereas $B F_{\text {is }}$ estimates were found similar to the $B F_{\mathrm{mc}}$ ones in analysis of association with ecotype in the Littorina data set, they lead to inflated estimates with the cattle data and thus more (possibly false) significant signals. Although not clear from the simulation study, the intrinsic multiple testing correction (through the prior on the auxiliary variable) might in turn affect the power of $B F_{\mathrm{mc}}$ decision based criterion. This might explain differences between the results obtained with genome-wide and chromosome-wide analyses of association with the morphology trait in cattle for the region surrounding the PLAG1 gene (BTA14). Besides, in the context of dense genomic data, the AUX model might also be viewed as relevant to more focused analyses for validation (e.g., of genome-wide $B F_{\text {is }}$ signals) and fine mapping purposes. Hence, the Ising prior on the SNP auxiliary variable provides a straightforward and computationally efficient modeling option to account for the spatial dependency among the neighboring markers (Duforet-Frebourg et al. 2014). It should however be noticed that the Ising prior essentially consists in a local smoothing of the association signals whose similarity stems from a correlation of the underlying allele frequencies (across all the populations). It thus does not fully capture LD information contained in the local haplotype structure. To that end further extensions of the AUX (and STD) model following the hapFLK method (Fariello et al. 2013) that directly relies on haplotype information, might be particularly appropriate although difficult
to envision for data originating from Pool-Seq experiments.
As expected, in both simulated and real data sets, SNPs strongly associated $\left(\left|\beta_{i}\right|>0.2\right)$ with a given covariable tended to be overly differentiated (high $\mathrm{Xt} X$ value). Interestingly however, the STD and AUX covariate models remained more powerful to identify SNPs displaying weaker association signal (typically with $\left|\beta_{i}\right|<0.1$ ) for which the $\mathrm{XtX}_{\mathrm{t}}$ values did not overly depart from that of neutral SNPs. Providing information on an underlying covariable (or a proxy of it) is available, the STD and AUX models might thus allow to identify SNPs within soft adaptive sweeps or subjected to polygenic adaptation, these types of selection schemes leading to more subtle population allele frequencies differences difficult to detect (e.g., Pritchard et al. 2010). Conversely, the covariate models were shown to correct the XtX differentiation measure for the fixed effects of the considered population-specific covariables, refining the biological interpretation of the remaining overly differentiated SNPs by excluding these covariable as key drivers. In principle, acrosspopulation association analyses could be performed with any population-specific covariable like environmental covariables (Coop et al. 2010; Günther and Coop 2013) but also categorical or quantitative traits as illustrated in examples treated in this study. As such, the STD and AUX covariate models might also be viewed as powerful alternatives to $\mathrm{Q}_{\mathrm{ST}}-\mathrm{F}_{\mathrm{ST}}$ comparisons to assess divergence of quantitative traits (see Leinonen et al. 2013, for review) by accurately incorporating genomic information to account for the neutral covariance structure across population allele frequencies. Yet, it should be kept in mind that the considered models only capture linear relationships between allele frequencies differences and the covariable. Apart from possibly lacking power for more complex types of dependency, the correlative (and not causative) nature of the association signals might be misleading, noticeably when the (unobserved) causal covariable is correlated with the analyzed trait or with the principal axes of the covariance matrix (Günther and Coop 2013). Nevertheless, increasing the number of populations and (if possible) the number of studied covariables should overcome these limitations. Still, when jointly considering several covariables, this also advocates for an orthogonal transformation (and scaling) step, e.g. using PCA, to better assess their relationships and to further perform analysis of association on an uncorrelated set of covariables (e.g., principal components).

As a proof of concept, analyses were carried out on real data sets from both model and non model species. Results obtained for the French cattle data demonstrated the versatility of the approach and illustrated how association studies could give in- ${ }_{11}$ sights into the putative selective forces targeting footprints of selection. As a matter of expedience we only hereby focused on the thirteen strongest differentiation signals. As expected from the importance of coat pigmentation in the definition of breed standards, at least six genomic regions contained genes known to be associated to coat color and patterning variation, in agreement with previous genome scan for footprints of selection (see Gutiérrez-Gil et al. 2015, for review). These include MC1R (region \#13) that corresponds to the locus Extension with three alleles identified to date in cattle responsible for the red, black (or combination of both) colors (Seo et al. 2007). Similarly, variants localized within the KIT (region \#7) and PAX5 (region \#10) genes were found highly associated to patterned pigmentation (proportion of black) in Hosltein, accounting for respectively $9.4 \%$ and $6.0 \%$ of the trait variance (Hayes et al. 2010). Within region \#7, KIT clusters with KDR (closest to the XtX peak) and

PDGFRA, two other Tyrosine kinase receptor genes that have also been proposed as candidate coloration genes under selection in other studies (Flori et al. 2009; Gutiérrez-Gil et al. 2015; Qanbari et al. 2014). In region \#11, the XtX peak was less than 25 kb upstream EDN3 that is involved in melanocyte development and within which mutations were found associated to pigmentation defects in mouse, human and also chicken (Bennett and Lamoreux 2003; Dorshorst et al. 2011; Saldana-Caboverde and Kos 2010). Accordingly, Qanbari et al. (2014) recently found a variant in the vicinity of EDN3 strongly associated with coat spotting phenotype of bulls (measured as the proportion of their daughters without spotting) in the Fleckvieh breed. The peak in region \#2 was 100 kb upstream the KITLG gene which is involved in the roan phenotype (mixture of pigmented and white hairs) observed in several cattle breeds (Seitz et al. 1999). Mutations in this gene have also been found to underlie skin pigmentation diseases in human (Picardo and Cardinali 2011). Finally, region \#5 contains the LEF1 gene ( 100 kb from the XtX peak) that has recently been demonstrated to be tightly involved in blond hair color in (human) Europeans (Guenther et al. 2014). Three other regions contained genes that affect cattle body conformation. These include region \#1 containing the myostatin gene (MSTN), one of the best known examples of economically important genes in farm animals since it plays an inhibitory role in the development and regulation of skeletal muscle mass (Stinckens et al. 2011). MSTN is in particular responsible for the so-called double muscling phenotype in cattle (Grobet et al. 1997). Region \#12 contains PLAG1 that has been demonstrated to influence bovine stature (Karim et al. 2011). Similarly, region \#6 contain encompasses the NCAPG-LCORL cluster in which several polymorphisms have been found strongly associated to height in human (Allen et al. 2010), horse (Signer-Hasler et al. 2012) and cattle (Pryce et al. 2011). However, combining results from a genome-scan for adaptive selection with a comprehensive genome-wide association study with milk production traits in the Holstein cattle breed, Xu et al. (2015) proposed the LAP3 gene (within which the XtX peak mapped) as the main driver of a selective sweep overlapping with region \#12. Regarding the four remaining regions ( $\# 2, \# 4, \# 8$ and $\# 9$ ), the retained candidate genes corresponded to the gene within which the XtX peak is located (NUDCD3, RPS26 and VDAC1 for regions \#2, \#4 and \#9 respectively) or is the closest (less than 15 kb from ALB for region \#8). As for RPS26, although NUDCD3 has been highlighted in other studies (e.g., Flori et al. 2009; Xu et al. 2015), the poorly known function of these genes makes highly speculative any interpretation of the origin of the signals. Conversely, the various and important roles played by ALB (bovine serum albumin precursor) do not allow a clear hypothesis to be formulated about the trait underlying the region \#8 signal. More presumably, due to the role of VDAC1 in male fertility (Kwon et al. 2013), the footprint of selection observed in region \#9 might result from selection for a trait related to reproduction. Overall, association analyses carried out under the covariate models revealed strong association of SNPs within KITLG (region \#3), KIT (region \#7) and EDN3 (region \#11) with variation in the piebald pattern across the populations thereby supporting the hypothesis of selection on coat coloration to be the main driver of the three corresponding signatures of selection. These results also confirm the already well known key role of these genes on coloration patterning. Interestingly, the observed association signals within ALB (region \#8) also suggest that this gene might influence coat coloration in cattle which, to our knowledge, has
not been previously reported. Finally, association studies on the ${ }^{1251}$ SMS trait suggested that PLAG1 (region \#12) has been under ${ }_{1252}$ strong selection in European cattle and contribute to morpholog-1253 ical differences across the breeds. Yet, the strongest association signals was 400 kb upstream PLAG1 suggesting the existence of some functional variants (possibly in regulatory regions) different from those already reported (Karim et al. 2011) although such results need to be confirmed with denser SNP data sets. ${ }_{1255}$ Conversely, no association signal was found within the selection ${ }^{1256}$ signature under region \#6 adding more credits to selection for ${ }^{1257}$ milk production (Xu et al. 2015) as the main underlying adaptive ${ }^{1258}$ constraint rather than morphological trait as previously hypoth- 1259 esized (see above). Analysis of the Littorina saxatilis Pool-Seq ${ }^{1260}$ data (Westram et al. 2014) illustrate how BAYPASS can be helpful ${ }^{1261}$ to realize a typology of the markers relative to an ecological co- 1262 variable in a non-model species. In agreement with the original ${ }^{1263}$ results, several genes represent good candidate to underlie par- 1264 allel phenotypic divergence in this organism and might deserve ${ }^{1265}$ follow-up validation studies. From a practical point of view ${ }^{1266}$ however, compared to combining several pairwise $\mathrm{F}_{\text {ST }}$ popu- ${ }^{1267}$ lation tests (Westram et al. 2014), the approach proposed here ${ }^{1268}$ greatly simplified the analyses and the biological interpretation 1269 of the results while allowing both an optimal use of the data and ${ }^{1270}$ a better control for multiple testing issues.

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Overall, the models described here and implemented in the ${ }^{1272}$ software package BAYPASS provide a general and robust frame- ${ }^{1273}$ work to better understand the patterns of genetic divergence ${ }^{1274}$ across populations at the genomic level. They allow i) an accu- 1275 rate estimation of the scaled covariance matrix whose interpreta- 1276 tion gives insights into the history of the studied populations; ${ }^{1277}$ ii) a robust identification of overly differentiated markers by ${ }^{1278}$ correcting for confounding demographic effects; and iii) robust ${ }^{1279}$ analyses of association of SNP with population-specific covari- 1280 ables giving in turn insights into the origin of the observed ${ }^{1281}$ footprints of selection. In practice, when compared to BAYENV2 ${ }^{1282}$ , BAYPASS lead to a more accurate and robust estimation of the ${ }^{1283}$ matrix $\Omega$ (and the related measures) and thus improved the ${ }^{1284}$ performances of the different tests. In addition, various program ${ }^{1285}$ options were developed to investigate the different modeling ${ }^{1286}$ extensions, including analyses under the STD and AUX mod- ${ }^{1287}$ els and exploration of the Ising prior parameters to incorporate ${ }^{1288}$ LD information. Finally, the decision measures (XtX, eBP and ${ }^{1289}$ BF) can be computed for both allele (from standard individual ${ }^{1290}$ genotyping experiments) and read (from Pool-Seq experiments) ${ }^{1291}$ count data (while also accommodating missing data). Compu-1292 tation times scale roughly linearly with the data set complexity ${ }^{1293}$ (number of populations $\times$ number of markers). For instance ${ }^{1294}$ analyzing a medium sized data set consisting of 25,000 SNPs on ${ }^{1295}$ 20 populations should only take a couple of hours on a standard ${ }^{1296}$ computer (a little more for Pool-Seq data). For very large data ${ }^{1297}$ sets, several strategies might be efficient to reduce computational ${ }^{1298}$ burden. For instance, because estimation of $\Omega$ was found robust ${ }^{1299}$ to moderate ascertainment bias, one may filter low polymorphic ${ }^{1300}$ markers (e.g., overall MAF $<0.01$ ) since those are not informative ${ }^{1301}$ for genome scan purposes, and/or consider sub-sampling of the ${ }^{1302}$ initial data set (e.g., chromosome-wide analyses).

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A) Basic Model (without covariate)

B) Standard covariate model (STD)

C) Auxiliary variable covariate model (AUX)


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Figure 5 Comparison of the performances of three different Ising prior parameterizations for the AUX model (is is $_{\beta}=0$, is ${ }_{\beta}=0.5$ and $\mathrm{is}_{\beta}=1$ ) on the SldBa and SldBb simulated data sets. Each panel summarizes the distribution at each SNP position (x-axis) of the $\delta_{i}$ (auxiliary variable) posterior means over the 500 independent data sets simulated for a given scenario with the median values in black and the $99 \%$ envelope in gray. For each panel, the main figure focuses on the region containing the SNPs associated to the population-specific covariable (within the region delineated by the two vertical dashed lines where the arrow indicated the peak $\beta_{i}$ position) while the distribution over the whole map is represented in the upper left corner.


Figure 6 Results of the BTA14 chromosome-wide association analyses with SMS under three different Ising prior parameterizations of the AUX model (A) is $\left.{ }_{\beta}=0 ; \mathrm{B}\right)$ is ${ }_{\beta}=0.5$ and; C ) is ${ }_{\beta}=1$.). Plots give, for each SNP, the posterior probability of being associated ( $\mathrm{P}\left[\delta_{i}=1 \mid\right.$ data $]$ ) according to their physical position on the chromosome. The main figure focuses on the region surrounding the candidate gene PLAG1 (positioned on the vertical dotted line) while results over the whole chromosome are represented in the upper left corner. In A), the horizontal dotted line represents the threshold for decisive evidence (corresponding to $B F=20 \mathrm{~dB}$ ).


Figure 7 Analysis of the LSA ${ }_{p s}$ Pool-Seq data. A) Inferred relationship among the 12 Littorina populations represented by a correlation plot and a hierarchical clustering tree derived from the matrix $\Omega$ estimated under the core model (with $\rho=1$ ). Each population code indicates its geographical origin (SP for Spain, SW for Sweden and UK for the United Kingdom), its ecotype (crab or wave) and the replicate number (1 or 2). B) SNP Xt X (estimated under the core model) as a function of the $\mathrm{BF}_{\text {is }}$ for association with the ecotype population covariable. The vertical dotted line represents the $0.1 \%$ POD significance threshold $(X t X=28.1)$ and the horizontal dotted line represents the 20 dB threshold for BF . The point symbol indicates significance of the different XtX values, $\mathrm{BF}_{\text {is }}$ and $\mathrm{BF}_{\mathrm{mc}}$ (AUX model) estimates. C) SNP Xt X corrected for the ecotype population covariable (estimated under the STD model) as a function of Xt X estimated under the core model. The vertical and horizontal dotted lines represent the $0.1 \%$ POD significance threshold ( $\mathrm{XtX}=28.1$ ). Point symbols follow the same nomenclature as in B). D) Estimates of SNP regression coefficients ( $\beta_{i}$ ) on the ecotype population covariable (under the AUX model) as a function of $X \mathrm{t} X$. Point symbols follow the same nomenclature as in B ).

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1 True Positive Rates (TPR) at the $\mathbf{1 \%}$ POD threshold as a function of the simulated $\left|\beta_{i}\right|$ values for four different model parameterizations. TPR are given in $\%$ and were computed by combining results over the ten replicate data sets for each SpaH (and SpaB given in parenthesis) scenarios.
2 True (TPR) and False (FPR) Positive Rates as a function of the decision criterion and the model parametrization (with $\Omega=\hat{\Omega}_{\text {HSA }}^{\text {bpas }}$ for the SpaH and $\Omega=\widehat{\Omega}_{\text {BTA }}^{\text {bpas }}$ for the SpaB data sets respectively). The thresholds are set to 20 dB for both the $\mathrm{BF}_{\text {is }}$ and $\mathrm{BF}_{\mathrm{mc}}$ Bayes Factors; and to 3 for both the $\mathrm{eBP}_{\text {is }}$ and $\mathrm{eBP} \mathrm{mc}_{\mathrm{mc}}$ (empirical) Bayesian P-values. The true and false positive rates (given in \%) are computed by combining results over the ten replicate data sets from the SpaH and SpaB (given in parenthesis) scenarios.
3 Regions harboring footprints of selection based on the XtX measure of differentiation and association of the underlying SNPs with SMS (morphology related trait) and piebald coloration differences across the 18 French cattle breeds. For each region, the table gives the peak $\mathbf{X t X}$ value (and position in $\mathbf{M b}$ ) and the peak $\mathrm{BF}_{\text {is }}$ and $\mathrm{BF}_{\mathrm{mc}}$ values in dB units (and positions in $\mathbf{M b}$ ) for each traits if the evidence for association is decisive ( $\mathbf{n} . \mathrm{s}$. if $\mathbf{B F}<20$ ). The Table also gives the overlapping Core Selective Sweeps (CSS) regions (with their corresponding sizes and the number of supporting studies) from the meta-analysis by Gutiérrez-Gil et al. (2015). Finally, putative underlying candidate genes (and associated candidate functions) are proposed (see the main text).

Table 1 True Positive Rates (TPR) at the 1\% POD threshold as a function of the simulated $\left|\beta_{i}\right|$ values for four different model parameterizations. TPR are given in \% and were computed by combining results over the ten replicate data sets for each SpaH (and SpaB given in parenthesis) scenarios.

| Analysis | core model | core model with $\boldsymbol{\Omega}=$ <br> $\boldsymbol{\Omega}^{\text {sim }}$ | STD model with $\boldsymbol{\Omega}=$ <br> $\boldsymbol{\Omega}^{\text {sim }}$ | AUX model with $\boldsymbol{\Omega}=$ <br> $\boldsymbol{\Omega}^{\text {sim }}$ |
| :--- | :--- | :--- | :--- | :--- |
| $\left\|\beta_{i}\right\|=0.05$ | $2.90(2.30)$ | $9.15(3.35)$ | $0.55(0.95)$ | $0.70(1.35)$ |
| $\left\|\beta_{i}\right\|=0.1$ | $36.3(13.5)$ | $82.6(22.3)$ | $0.45(1.15)$ | $0.65(1.50)$ |
| $\left\|\beta_{i}\right\|=0.2$ | $100(86.3)$ | $100(96.4)$ | $0.95(0.60)$ | $1.10(0.75)$ |

Table 2 True (TPR) and False (FPR) Positive Rates as a function of the decision criterion and the model parametrization (with $\Omega=\widehat{\Omega}_{\text {HSA }}^{\text {bpas }}$ for the SpaH and $\Omega=\widehat{\Omega}_{\mathrm{BTA}}^{\text {bpas }}$ for the SpaB data sets respectively). The thresholds are set to 20 dB for both the $\mathrm{BF}_{\text {is }}$ and $\mathrm{BF}_{\mathrm{mc}}$ Bayes Factors; and to 3 for both the $\mathbf{e B P}_{\text {is }}$ and $\mathrm{eBP}_{\mathrm{mc}}$ (empirical) Bayesian P -values. The true and false positive rates (given in \%) are computed by combining results over the ten replicate data sets from the SpaH and SpaB (given in parenthesis) scenarios.

| Criterion | $\mathrm{BF}_{\text {is }}$ | $\mathrm{BF}_{\mathrm{mc}}$ | $\mathrm{eBP}_{\text {is }}$ | $\mathrm{eBP}_{\mathrm{mc}}$ |
| :--- | :--- | :--- | :--- | :--- |
| FPR | $0.01(0.02)$ | $0.39(0.11)$ | $0.00(2.03)$ | $0.17(0.01)$ |
| $\operatorname{TPR}\left(\left\|\beta_{i}\right\|=0.05\right)$ | $31.9(4.35)$ | $81.7(13.0)$ | $22.7(30.1)$ | $69.25(3.6)$ |
| $\operatorname{TPR}\left(\left\|\beta_{i}\right\|=0.1\right)$ | $98.5(47.0)$ | $99.9(64.1)$ | $94.9(86.8)$ | $99.9(41.9)$ |
| $\operatorname{TPR}\left(\left\|\beta_{i}\right\|=0.2\right)$ | $100(99.9)$ | $100(99.9)$ | $100(100)$ | $100(99.5)$ |

Table 3 Regions harboring footprints of selection based on the $\mathbf{X t X}$ measure of differentiation and association of the underlying SNPs with SMS (morphology related trait) and piebald coloration differences across the 18 French cattle breeds. For each region, the table gives the peak $X t X$ value (and position in Mb ) and the peak $B F_{\text {is }}$ and $B F_{m c}$ values in $d B$ units (and positions in $\mathbf{M b}$ ) for each traits if the evidence for association is decisive ( $n$.s. if $\mathbf{B F}<20$ ). The Table also gives the overlapping Core Selective Sweeps (CSS) regions (with their corresponding sizes and the number of supporting studies) from the meta-analysis by Gutiérrez-Gil et al. (2015). Finally, putative underlying candidate genes (and associated candidate functions) are proposed (see the main text).

| ID | Region size in Mb | Overlapping CSS ${ }^{a}$ size in Mb (\#studies) | $\begin{gathered} \text { XtX } \\ \text { (Peak Position) } \end{gathered}$ | $\begin{aligned} & \quad \mathrm{BF}_{\mathrm{is}}-\mathrm{BF}_{\mathrm{mc}} \\ & \text { for morphology } \end{aligned}$ | $\mathrm{BF}_{\mathrm{is}}-\mathrm{BF}_{\mathrm{mc}}$ <br> for piebald | Candidate Gene (Function) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \#1 | BTA02:4.17-8.64 | CSS-32 | 58.4 | n.s.-n.s. | n.s.-n.s. | MSTN:6.214-6.220 |
|  | 4.47 | 13.8 (8) | (6.70) |  |  | (conformation) |
| \#2 | BTA04:76.7-78.6 | CSS-93 |  | n.s.-n.s. | n.s.-n.s. | NUDCD3: 77.599-77.670 |
|  | 1.93 | 2.17 (4) | (77.6) |  |  | (unknown) |
| \#3 | BTA05:18.0-19.5 | CSS-103 | 76.3 | n.s.-n.s. | 69.04-52.96 | KITLG:18.318-18.377 |
|  | 1.50 | 1.77 (2) | (18.5) |  | (18.5) | (pigmentation) |
| \#4 | BTA05:54.7-58.6 | CSS-109 | 54.7 | 26.6-n.s. | n.s.-n.s. | RPS26:57.604-57.607 |
|  | 3.93 | 22.3 (9) |  | (57.6) |  | (unknown) |
| \#5 | BTA06:17.6-19.2 | CSS-117 | 63.2 | n.s.-n.s. | n.s.-n.s. | LEF1:18.335-18.451 |
|  | 1.54 | 0.01 (1) |  |  |  | (pigmentation) |
| \#6 | BTA06:37.8-40.2 | CSS-123 | 69.4 | n.s.-n.s. | n.s.-n.s. | LAP3:38.575-38.600 |
|  | 2.40 | 5.09 (8) |  |  |  | (conformation/dairy traits) |
| \#7 | BTA06:65.5-74.9 | CSS-130 | 55.6 | n.s.-n.s. | 37.42-26.45 | KIT:71.796-71.917 |
|  | 9.38 | 15.3 (12) | (72.5) | n.s.-n.s. | (71.9) | (pigmentation) |
| \#8 | BTA06:89.6-90.6 | CSS-130 | 40.7 | n.s.-n.s. | 52.07-38.76 | ALB:90.233-90.251 |
|  | 1.02 | 13.3 (3) | (90.2) | n.s.-n.s. | (90.2) | (pigmentation?) |
| \#9 | BTA07:46.4-47.8 | CSS-141 | 46.5 | n.s.-n.s. | n.s.-n.s. | VDAC1:47.248-47.273 |
|  | 1.48 | 12.5 (10) | (47.3) |  |  | (reproduction?) |
| \#10 | BTA08:61.4-63.3 | CSS-162 | 49.8 | n.s.-n.s. | n.s.-n.s. | PAX5:61.400-61.580 |
|  | 1.94 | 0.06 (1) | (61.8) | n.s.-n.s. |  | (pigmentation) |
| \#11 | BTA13:56.6-58.6 | CSS-248 | 71.6 | 23.7-n.s. | 26.58-n.s. | EDN3:57.571-57.597 |
|  | 1.98 | 10.4 (5) | (57.5) |  |  | (pigmentation) |
| \#12 | BTA14:22.1-28.8 | CSS-254 | 52.0 | 35.7-n.s. | n.s.-n.s. | PLAG1:25.007-25.009 |
|  | 6.76 | 7.96 (7) | (24.4) |  |  |  |
| \#13 | BTA18:13.3-16.0 | CSS-297 | 51.8 | n.s.-n.s. | n.s.-n.s. | MC1R:14.757-14.759 |
|  | 2.75 | 14.2 (10) | (14.5) |  |  | (pigmentation) |

[^1]
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[^1]:    ${ }^{a}$ Full descriptions of the CSS (including references to the original studies) are provided in Table S2 by Gutiérrez-Gil et al. (2015)

