

## **svclassify: a method to establish benchmark structural variant calls**

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# Abstract

The human genome contains variants ranging in size from small single nucleotide polymorphisms (SNPs) to large structural variants (SVs). High-quality benchmark small variant calls for the pilot National Institute of Standards and Technology (NIST) Reference Material (NA12878) have recently been developed by the Genome in a Bottle Consortium, but no similar high-quality benchmark SV calls exist for this genome. Since SV callers output highly discordant results, we developed methods to combine multiple forms of evidence from multiple sequencing technologies to classify candidate SVs into likely true or false positives. Our method (svclassify) calculates annotations from one or more aligned bam files from any high-throughput sequencing technology, and then builds a one-class model using these annotations to classify candidate SVs as likely true or false positives. We first used pedigree analysis to develop a set of high-confidence breakpoint-resolved large deletions. We then used svclassify to cluster and classify these deletions as well as a set of high-confidence deletions from the 1000 Genomes Project and a set of breakpoint-resolved complex insertions from Spiral Genetics. We find that likely SVs generally cluster separately from likely non-SVs based on our annotations, and that the SVs cluster into different types of deletions. We then developed a supervised one-class classification method that uses a training set of random non-SV regions to determine whether candidate SVs have abnormal annotations different from most of the genome. To test this classification method, we use our pedigree-based breakpoint-resolved SVs, 1000 Genomes Project validated SVs validated by the 1000 Genomes Project, and assembly-based breakpoint-resolved insertions, along with semi-automated visualization using svviz. We find that candidate SVs with high scores are generally true SVs, and candidate SVs with low scores are questionable. We distribute a set of 2676 high-confidence deletions and 68 high-confidence insertions with high svclassify scores from these call sets for benchmarking SV callers.

## Introduction

The human genome contains variants ranging in size from small single nucleotide polymorphisms (SNPs) to large structural variants (SVs). SVs include variations such as novel sequence insertions, deletions, inversions, mobile-element insertions, tandem duplications, interspersed duplications and translocations. In general, SVs include deletions and insertions larger than 50 base pairs (bps), while smaller insertions or deletions are referred to as indels, though the threshold of 50 bps is somewhat arbitrary and based on the fact that different bioinformatics methods are usually used to detect SVs vs. small indels and SNPs. SVs have long been implicated in phenotypic diversity and human diseases [1]; however, identifying all SVs in a whole genome with high-confidence has proven elusive. Recent advances in next-generation sequencing (NGS) technologies have facilitated the analysis of SVs in unprecedented detail, but these methods tend to give highly non-overlapping results [2]. In this work, we develop methods to evaluate candidate SVs based on evidence from multiple NGS technologies.

NGS offers unprecedented capacity to detect many types of SVs on a genome-wide scale. Many bioinformatics algorithms are available for detecting SVs using NGS including depth of coverage (DOC), paired-end mapping (PEM), split-read and assembly-based methods [2]. DOC approaches identify regions with abnormally high or low coverage as potential copy number variants. Hence, DOC methods are limited to detecting only deletions and duplications but not other types of SVs, and they have more power to detect larger events and deletions. PEM methods evaluate the span and orientation of paired-end reads. Read pairs map farther apart around deletions and closer around insertions, and orientation inconsistencies indicate potential inversions or tandem duplications. Split reads are used to identify SVs by identifying reads whose alignments to the reference genome are split in two parts and contain the SV breakpoint. Assembly-based methods first perform a *de novo* assembly, and then the assembled genome is compared to the reference genome to identify all types of SVs. By combining various approaches to detect SVs, it is possible to overcome the limitations of individual approaches in terms of the types and sizes of SVs that they are able to detect, but still difficult to determine which are true [3, 4].

Numerous methods have been developed to find candidate SVs using NGS, but clinical adoption of human genome sequencing requires methods with known accuracy. The Genome in

a Bottle Consortium (GIAB) is developing well-characterized whole-genome reference materials for assessing variant-call accuracy and understanding biases. Recently GIAB released high-confidence SNP, indel, and homozygous reference genotypes for Coriell DNA sample NA12878, which is also candidate National Institute of Standards and Technology (NIST) Reference Material 8398 [5]. In this work, we developed methods to integrate evidence of SVs in mapped sequencing reads from multiple sequencing technologies. We used unsupervised machine learning to determine the characteristics of the different SV types, and we used One Class Classification to classify candidate SVs as likely true positives, false positives, or ambiguous. Using these methods, we classified three independently established “validated” call sets containing large deletions or insertions.

Our classification methods use the machine learning technique One Class Classification (OCC) [6, 7]. In contrast to the more common two-class models that have two training sets (e.g., positives and negatives), one-class methods have only a single training set and try to identify sites unlike the training set. In our OCC methods, the algorithm tries to identify a region,  $R$ , of the annotation space that contains a specified, large proportion (e.g. 95% or 99%) of the non-SVs. Sites that have annotations falling outside  $R$  are classified as SVs. In essence, these are outliers relative to the non-SVs. For selecting  $R$ , only a representative set of non-SVs is required for the training. In our model, we use random genomic coordinates as our one class because random coordinates are unlikely to be near true SV breakpoints. For our one-class model, we only include annotations that are likely to indicate a SV if they differ from random coordinates for a defined set of parameters (e.g., read clipping, pair distance, and coverage). We do not include annotations like mapping quality that may not always distinguish SVs from non-SVs because atypical values may also indicate random regions of the genome that are difficult to sequence. We do not use a two class machine learning model because our potential training SV call sets are primarily easier-to-detect mid-size deletions and insertions and are not representative of all types of deletions, insertions, or other SV types, which is an important assumption of two-class models. Therefore, a two class model trying to differentiate our SV sets from random genomic coordinates can do a very good job separating these two sets, but the model is likely to misclassify other candidate SVs not in the “Validated/assembled” call sets (e.g., duplications, deletions in difficult parts of the genome, etc.). Because our one-class model does not rely on biased “Validated/assembled” call sets, we expect our one-class model to be more generalizable

to other types of SVs by selecting annotations for which atypical values are usually associated with SVs. Our methods, which classify based on evidence from multiple technologies, are complementary to the recently published Parliament method [8], which generates candidate SVs using multiple technologies and bioinformatics methods, and then uses a PacBio/Illumina hybrid assembly to determine whether the candidate SVs are likely to be true. Similarly, in the characterization of the performance of the LUMPY tool, the authors developed a high-confidence set that had breakpoints supported by long reads from PacBio or Moleculo. In addition to using svviz to visualize and determine the number of reads supporting the alternate, we also combine the support from multiple sequencing technologies in a robust machine learning model.

# Materials and Methods

## *Data sets*

Four whole-genome sequencing data sets (Table 1) were used to develop methods to classify candidate SVs into true positives and false positives for Coriell DNA sample NA12878. Two data sets were generated using short-read sequencing technologies, and two data sets were generated using long-read sequencing technologies. For the Platinum Genomes 2x100bps HiSeq data, raw reads were mapped to the National Center for Biotechnology Information (NCBI) build 37 using the Burrows-Wheeler Aligner (BWA) software with default parameters [9]. For Illumina HiSeq (read length = 250 bps), PacBio, and Molecuro whole-genome sequencing data sets, aligned bam files were publicly available and were used directly in this study.

## *SV validated/assembled sets*

Three validated/assembled SV sets (Table 2) totaling 5,035 deletions and 70 insertions were derived from Coriell DNA sample NA12878.

(A) Personalis deletions calls were derived based on pedigree analysis, which included 16 members of the family.

To be included in the validated/assembled set, the following conditions had to be met:

- (1) Deletion must have been detected in at least one NA12878 sample.
- (2) Deletion must have been detected in at least 2 other samples in the pedigree with exact breakpoint matches.

The Personalis gold data set was further refined by experimental validations. Primers were designed based on following criteria:

- (1) Each primer maps no more than 3 times in genome.
- (2) Require unique polymerase chain reaction (PCR) product in genome.
- (3) 400 - 800 bps product size.
- (4) Pad 100 bps around each deletion junction.

For small deletions (< 200 bps) a single primer pair was designed that straddled the deletion. For large deletions (> 1500 bps) two primer pairs were designed around each reference breakpoint junction. Site specific PCR amplification and high depth MiSeq shotgun sequencing followed by manual inspection of the alignments was used to validate all the deletions. Sanger sequencing was used when we were not able confirm the deletion with MiSeq. For 3 deletions (2:104186941-104187136, 7:13022102-13028550, and 14:80106289-80115049) this was done because we did not see any junction reads.

(B) The 1000 Genomes Project validated/assembled contains the set of validation deletion calls found in the genome of NA12878 by the 1000 Genomes Project pilot phase [10, 11]. These deletion calls were validated by assembly or by other independent technologies such as array comparative genomic hybridization, sequence capture array, superarray, or PCR.

(C) Spiral Genetics' Anchored Assembly was performed whole read overlap assembly on corrected, unmapped reads to detect structural variants using Illumina 2x100bps HiSeq whole-genome sequencing data set. Sequencing errors were corrected by counting k-mers. Low count k-mers were discarded as erroneous. The set of high scoring, or true k-mers was used to construct a de Bruijn graph representing an error-free reconstruction of the true read sequences. Each read was corrected by finding the globally optimum base substitution(s) so that it aligned to the graph with no mismatches and differed by the smallest base quality score from the original read. Of these corrected reads, those that did not match the reference exactly were assembled into a discontinuous read overlap graph to capture sequence variation from the reference. Variants were mapped to human reference coordinates (NCBI build 37) by walking the read overlap graph in both directions until an "anchor" read, where a continuous 65 bps matches the reference, denoted the beginning and end of each variant. Where a variant had more than one anchor, pairing information was used to determine the correct location of the anchor. We used 70 calls from the "Insertions" output, all of which were complex insertions (i.e., a set of reference bases was replaced by a larger number of bases).

### *Deduplicated deletions*

Any overlapping deletions within the validated/assembled SV sets were discarded, which resulted in 2336 unique Personalis deletion calls and 1825 unique 1000 Genomes deletion calls (Table 2). Bedtools' intersect function was used to screen overlap between these two datasets (Supplementary table 1). Merged deduplicated deletion calls were generated by keeping all the 2336 unique Personalis deletion calls and merging with 746 non-overlapping 1000 Genomes deletion calls with minimum overlap required to be 1 bp, which resulted 3082 deduplicated deletion calls.

### *Random region non-SV call sets*

In addition, five sets of likely non-SVs were generated: 2 random and 3 from repetitive regions of the genome (Table 2) as follows:

- (1) 4000 random regions were generated with a uniform size distribution on a log scale from 50 bps to 997527 bps. Start sites were chosen randomly using the Generate Random Genomic Coordinates script in R (<http://www.niravmalani.org/generate-random-genomic-coordinates/>).
- (2) 2306 random regions were generated with a size distribution matching the calls from the pedigree-based Personalis deletions call set. Start sites were chosen randomly using the Generate Random Genomic Coordinates script in R (<http://www.niravmalani.org/generate-random-genomic-coordinates/>).
- (3) 497 long interspersed nuclear elements (LINEs) were randomly selected from a list of LINEs from the University of California, Santa Cruz (UCSC) Genome Browser's RepeatMasker Track.
- (4) 498 long terminal repeat elements (LTRs) were randomly selected from a list of LTRs from the UCSC Genome Browser's RepeatMasker Track.
- (5) 496 short interspersed nuclear elements (SINEs) were randomly selected from a list of SINEs from the UCSC Genome Browser's RepeatMasker Track.

### *svclassify*



The svclassify tool was developed to quantify annotations of aligned reads inside and around each SV (Figure 1). It was written using the Perl programming language employing SAMtools (version 0.1.19-44428cd) [12] and BEDTools (version 2.17.0) [13] to calculate parameters such as coverage, paired-end distance, soft clipped reads, mapping quality, numbers of discordant paired-ends reads, numbers of heterozygous and homozygous SNP genotype calls, percentage of the GC-content, and percentage of the repeats and low complexity DNA sequence bases, and mapping quality. svclassify requires the following inputs: a BAM file of aligned reads, a list of SVs, homozygous and heterozygous SNP genotype calls, a list of repeats from the UCSC Genome Browser's RepeatMasker Track and a reference genome. BAM files can come from any aligner. The user can specify the size for the flanking regions. svclassify also includes partially mapped reads to the L, LM, M, RM, or R regions for calculations. The insert size is calculated as the end-to-end distance between the reads (length of both reads + distance separating the reads). Because PacBio reads have high insertion and deletion error rates, Del (the mean of deleted bases of the reads) and Ins (the mean of inserted bases of the reads) were normalized by subtracting the mean Del (0.0428) and Ins (0.0948) per read length of 4000 random regions. For exploratory analyses, svclassify generates 85 to 180 annotations for each SV from each dataset, depending on sequencing technology (Supplementary table 2 and 3). For our unsupervised and one class analyses, we used only subsets of these annotations that we expected to give the best results. These subsetted annotations are given in the csv files (Supplementary table 4 to 7).

### *Data Analysis*

The results from svclassify were subjected to two types of analyses – (1) Unsupervised Learning based on a hierarchical cluster analysis using the  $L_1$  distance (also called *Manhattan distance*), and (2) One Class Classification using the  $L_1$  distance or support vector machines (SVM) using a carefully selected set of 4000 non-SVs.

### *Unsupervised Learning*

Data values for each variable (characteristic) used in the analysis were first transformed using an inverse hyperbolic sine transformation (Burbidge *et al.* *Alternative Transformations to Handle Extreme Values of the Dependent Variable*). This transformation uses the following function.

$$y = \sinh^{-1}(x) = \log_e[x + \sqrt{x^2+1}]$$

This function is often used as an alternative to the logarithmic transformation. It has the advantage that zero or negative values of  $x$  do not cause problems. Generally speaking it is quite similar to a standard logarithmic transformation except near and below zero. Next, all variables were standardized by subtracting the mean and dividing by the standard deviation. All further work was done using these transformed data.

A hierarchical cluster analysis was performed with all 7797 random sites, 5035 deletion sites, and 70 insertion sites (see Table 2), using  $L_1$  distance as the distance function rather than Euclidean distance [14] since Manhattan distance is less influenced by outliers within the non-SV class. The Ward method was used for clustering [15]. A classical multidimensional scaling (MDS) analysis was carried out to help visualize the spatial locations of the clusters [16]. For a given positive integer  $k$ , the MDS algorithm determines a  $k$ -dimensional representation of the data space such that the distances between pairs of data points in the original data space are preserved as best as possible. We used  $k = 3$  in our analysis to facilitate visualization. We used the OneClassPlusSVM.R script.

### *One-class classification using $L_1$ distance*

The set of 4000 random sites representing the class of likely non-SVs with a size range of 50 bps to 997527 bps were used for training the one-class classifier. First, a separate classifier was developed using data from each sequencing technology for these 4000 sites. The classifier was based on the empirical distribution of  $L_1$  distances of each of the 4000 sites from the mean  $M$  for the 4000 sites. For these likely non-SVs, a threshold value  $t_p$  was determined such that a proportion  $p$  of the 4000  $L_1$  distances were less than or equal to  $t_p$ . The region  $R$  is then defined as the set of all points in the transformed data space whose  $L_1$  distance from the mean  $M$  is less

than or equal to  $t_p$ . When there are only two annotations measured for each site, this region takes the shape of a rhombus. In the high dimensional data space the shape of the region  $R$  is a multidimensional rhombus. The classification rule is as follows. Given any new site, calculate its  $L_1$  distance from  $M$ . If it is greater than  $t_p$  classify it as a SV. Otherwise call it a non-SV. Five classifiers were developed one for each of the four sequencing technologies and one using the combined data. We used the Unsupervised.R script.

### *One-class classification using one-class SVM*

Support Vector Machines (SVM) [17] are generally used for supervised learning when it is desired to develop a classification rule for classifying sites into two or more classes. Different versions of SVMs have been developed for one-class classification [18, 19]. We use the version proposed by Schölkopf *et al.* just as in the case of  $L_1$  one-class classification discussed above, we develop five classifiers based on data from each of the four sequencing technologies and a classifier based on the combined data from all four sequencing technologies to distinguish SVs from random regions and SVs from validated/assembled sets. In this analysis, a different data transform method was applied to each annotation. First, for each annotation we defined the deviation directions of interest compared to the reference distribution of SVs from the random regions to define outliers. According to the defined directions of deviations, we transformed the data so that the range of each annotation satisfies the required condition of one-class SVM. i.e. for each annotation, the larger the directional deviation was, the closer to 0 the transformed value was. One-class SVM implemented with e1071 package of the Comprehensive R Archive Network was trained by the transformed data of 4000 random regions to define linear class boundaries that may discriminate true SVs from randomly generated SVs. The proportion of SVs in the training set identified as outliers (false positive rate)  $1-p$  was approximately controlled by a factor  $v$  in the training algorithm defined by the authors (supplementary information 1). We used the OneClassPlusSVM.R script.

### *Ensemble classifiers*

Above, an  $L_1$  classifier was developed separately for data from each of the four sequencing datasets. A fifth classifier was developed by combining annotations from all four datasets into a single model. Rather than combining the datasets, we can combine the four classifiers using an idea referred to as ensemble learning. We consider ensemble classifiers that are based on declaring a new site to be a SV provided at least  $k$  of the individual classifiers predict the site as a SV. We can do this for  $k=1, 2, 3, 4$ . These ensemble classifiers arising from the four  $L_1$  classifiers were investigated and their performances are reported in the results section. A similar process was repeated for the one-class SVM classifier. As the class boundaries developed with one-class SVM could have intersections, in one-class SVM analysis, for each SV, we recorded the smallest true negative rate of the training that lead to a classifier defines this SV as one from the random regions, as an equivalent to the proportion  $p$  used for the  $L_1$  classifiers.

We chose  $k=3$  from the  $L_1$  classifier to produce our final high-confidence SVs, since we expect classifications based on evidence from multiple datasets are more likely to be robust. Candidate SV sites from Personalis, 1000 Genomes, and Spiral Genetics as well as Random Genome sites were stratified into sites with varying levels of evidence for an SV using the  $L_1$  classifier. To exclude difficult regions in which our classifier may give misleading results, we first excluded sites with Platinum Genomes coverage  $> 300$  in the left and right flanking regions ( $\sim 1.5$  times the mean coverage, so these may be inside duplicated regions), as well as sites with Platinum Genomes mean mapping quality  $< 30$  in the left or right flanking regions. We used the OneClassPlusSVM.R script.

### *Manual inspection of SVs*

To understand the accuracy of our classifier, we manually inspected a subset of the sites from each call set in each Phred-score category. Specifically, we inspected all 17 random sites with  $p > 0.99$  to determine if these might be real SVs. We also randomly selected 20 sites each from Personalis and 1000 Genomes with  $p > 0.99$ , and 10 sites from Personalis and 1000 Genomes with  $p < 0.68$ ,  $0.68 < p < 0.90$ , and  $0.90 < p < 0.99$  (or we inspected all sites if there were fewer than 10 in any category). Manual inspection was performed using the GeT-RM project browser (<http://www.ncbi.nlm.nih.gov/variation/tools/get-rm/browse/>), the integrative genomics viewer (IGV) (version 2.3.23 (26)) [20] and svviz (version 1.0.9; <https://github.com/svviz/svviz>). We

selected the following tracks on GeT-RM Browser for manual inspections: GRCh37.p13 (GCF\_000001405.25) Alternate Loci and Patch Alignments, GRC Curation Issues mapped to GRCh37.p13, Repeats identified by RepeatMasker, 1000 Genomes Phase 1 Strict Accessibility Mask, dbVar ClinVar Large Variations, dbVar 1000 Genomes Consortium Phase 3 (std214), NIST-GIAB v.2.18 abnormal allele balance, NIST-GIAB v.2.18 calls with low mapping quality or high coverage, NIST-GIAB v.2.18 evidence of systematic sequencing errors, NIST-GIAB v.2.18 local alignment problems, NIST-GIAB v.2.18 low coverage, NIST-GIAB v.2.18 no call from HaplotypeCaller, NIST-GIAB v.2.18 regions likely have paralogs in the 1000 Genomes decoy, NIST-GIAB v.2.18 regions with structural variants in dbVar for NA12878, NIST-GIAB v.2.18 Simple Repeats from RepeatMasker, NIST-GIAB v.2.18 support from < 3 datasets after arbitration, NIST-GIAB v.2.18 uncertain regions due to low coverage/mapping quality. We observed coverage of the regions, numbers of soft-clipped reads, numbers of reads with deletions relative to the reference genome and numbers of SNPs/indels in the regions from Molecule and PacBio aligned bam files using IGV.

*svviz*

svviz (version 1.0.9; <https://github.com/svviz/svviz>) was used to visualize all four whole-genome sequencing data sets to see if there is support for a given structural variant [21]. It uses a realignment process to identify reads supporting the reference allele, reads supporting the structural variant (or alternate allele), and reads that are not informative one way or the other (ambiguous). svviz batch mode was used with default parameters to calculate summary statistics for SVs and non-SVs. In addition, inserted sequences were included as an input for svviz for Spiral Genetics' insertions calls. For PacBio sequencing data, svviz's "pacbio" optional parameter was used to retain lower quality alignments as support for the reference and alternate alleles since PacBio sequencing has a relatively high error rate. svviz's commands, input files and output files are provided in svviz.zip.

## Results

To assess the utility of our classification methods, we compiled four whole genome sequencing datasets for Coriell DNA sample NA12878 (Table 1). We used two deletion call sets from Personalis and the 1000 Genomes Project totaling 3082 unique deletions, as well as 70 assembly-based breakpoint-resolved insertions. Moreover, we generated several likely non-SV call sets with different size distributions and sequence contexts (Table 2). We first present PCR validation results for the Personalis deletions. Then, we generate annotations for the candidate SV and non-SV call sets from the four sequencing datasets. We use hierarchical clustering to show that SVs generally cluster separately from non-SVs using these annotations, and that SVs cluster into several different types of deletions. Finally, we use one class classification methods to classify calls as high-confidence SVs, high-confidence non-SVs, or uncertain.

### *PCR Validation of Personalis SVs*

To obtain initial estimates of accuracy of the Personalis deletion calls, we performed experimental validation for some of the calls. Only 44 of 2350 calls met the criteria for designing primers, 3 primer pairs failed and in one case we were unable to make a call. We were able to validate 38 of Personalis' deletions with exact breakpoints (including 3 within 1 bps) out of the 40 deletions that we could test. A 39th case was off by 44 bps on one side and the last case was a false positive call. All homozygous calls (6) were confirmed by the validation. Only 10 out of 21 heterozygous calls had the correct zygosity call. Of the heterozygous calls with incorrect zygosity, 7 were actually homozygous, 1 could not be determined by the validation and 1 was not a deletion. The remaining cases did not have a zygosity call, of which 9 were homozygous and 7 were heterozygous.

### *Generation of annotations from reads in sequencing datasets*

To assess the evidence for any candidate SV without the need to design primers for validation experiments, we developed svclassify to quantify annotations of aligned reads inside and around each SV (Figure 1). We generated 85 to 180 annotations (supplementary table 4 to

7) from each sequencing dataset for each of the SV calls as well as likely non-SV regions from four aligned sequence data for NA12878 using svclassify. Some of the annotations, such as depth of coverage (Figure 2), could clearly distinguish most Personalis “Gold” deletions from random regions by themselves. Although annotations such as coverage can be used by themselves to classify most Personalis deletions, additional annotations increase confidence that the deletion is real and not an artifact (e.g., low coverage due to extreme GC content). In addition, other annotations are necessary to classify other types of SVs like inversions and insertions that may not have abnormal coverage. Therefore, we developed unsupervised and one-class supervised machine learning models to combine information from many annotations for clustering and classification.

### *Results of the hierarchical cluster analysis*

To understand the types of SV calls in the validated/assembled deletion sets and how they segregate from random genomic regions, we first performed unsupervised machine learning using hierarchical clustering with a manually selected subset of 11 to 35 annotations from svclassify, depending on the technology (Supplementary table 4 to 7). This subset of annotations was chosen to reduce the number of annotations used in the model to those that we expected to be most important for clustering calls into different categories. We decided to focus our analyses on eight major clusters, which are visualized as a tree (dendrogram) in Figure 4A and with multidimensional scaling in Figures 4B and 4C. Six of the clusters (1, 2, 3, 6, 7) were predominantly (98.5 %) SVs, two clusters (4 and 5) were predominantly (98.9 %) non-SVs, and one cluster (8) was 40 % SVs and 60 % non-SVs. The label (SV or non-SV) associated with each site was not provided to the clustering method, and yet the clusters showed a good separation of SVs from non-SVs based entirely on the annotation values. To ensure the 4000 random regions sufficiently represented non-SVs, we also generate random regions matching the size distribution of the Personalis deletions, as well as random SINEs, LINEs, and LTRs. It is promising that even the randomly selected SINEs, LINEs, and LTRs generally segregate with the random genome regions even though they are from regions of the genome that are difficult to map.



We further compared the annotations of these 8 clusters to understand whether they represent different categories of SVs and random regions. Clusters 4 and 5 contain close to 99 % non-SVs, but Cluster 4 generally contains larger sites than Cluster 5. Cluster 8 is a mix of 60 % non-SVs and 40 % SVs, and sites in Cluster 8 generally have a coverage between the normal coverage and half the normal coverage, and more sites have lower mapping quality, repetitive sequence, and high or low GC content. Further subdivisions of Cluster 8 might divide the true SVs from non-SVs.

98.5 % of sites in Clusters 1, 2, 3, 6, and 7 are from the Personalis and 1000 Genomes Gold sets, but the clusters contain different types of SVs. Clusters 1, 2, 3, and 6 generally contain reads with lower mapping quality inside the SV, though the low mapping quality could arise from a variety of sources (e.g., repetitive regions that are falsely called SVs, true heterozygous or homozygous deletions of repetitive elements like Alu elements, or true homozygous deletions that contain some incorrectly mapped reads inside the deletion). Clusters 2 and 3 appear to be true deletions of Alu elements, since sites in these clusters are ~300 bps, are annotated as SINEs, LINEs, or LTRs by RepeatMasker, have high GC content, and have low mapping quality. Cluster 2 sites are primarily heterozygous Alu deletions since they have about half the typical coverage, and Cluster 3 sites are primarily homozygous Alu deletions and a small fraction of other homozygous deletions because they contain less than half the typical coverage. All 655 sites in Cluster 1 are from Personalis and 1000 Genomes, and appear to be mostly larger homozygous deletions (half are larger than 2000 bps), and they have lower than half the normal coverage, low mapping quality, and more discordantly mapped reads. 86 % of sites in Cluster 6 are from 1000 Genomes and appear likely to represent mostly true homozygous deletions with imprecise breakpoints that are too narrow, since the left and right flanking regions, in addition to the region inside the putative SV, have low coverage less than half the typical coverage. 97.4 % of sites in Cluster 7 are from Personalis and 1000 Genomes, and they appear to be predominantly heterozygous deletions in relatively easier parts of the genome with high mapping quality. These results are summarized in Table 3.

More sophisticated versions of our clustering approach are available. Parametric approaches include Gaussian mixture modeling, but there are also nonparametric mixture modeling approaches available. However, we find that at best only a marginal improvement is realized using such more advanced methods.



### *One-class classification of candidate SVs using $L_1$ distance*

We next developed a one-class classification model to classify candidate sites as high-confidence SVs or uncertain. This one-class model uses only the 4000 random sites for training, and it assumes that sites with annotations unlike most of these random sites are more likely to be SVs. As shown in Supplementary table 3, we did not use several of the annotations from the unsupervised hierarchical clustering because atypical values for these annotations (e.g., mapping quality or SV size) do not necessarily indicate that an SV exists in this location (see the discussion above about hierarchical clustering for possible reasons for low mapping quality). The number of annotations used ranged from 7 for PacBio to 30 for Illumina paired-end (Supplementary table 4 to 7).

Results from the  $L_1$  distance one-class classification are summarized using ROC curves. Five different ROC curves are shown in Figure 5A-5B, one from each classifier using one of the four data sets and one classifier based on all datasets combined. The classifier based on all datasets combined performs the best with PlatGen alone being a close second. ROC curves for the ensemble classifiers, based on the four  $L_1$  classifiers using each of the four data sets separately, are shown in Figure 5C-5D. Four different ensemble classifiers are considered based on four different ways of combining the results from the individual classifiers. A typical ensemble classifier will classify a site as SV if  $k$  or more of the individual classifiers make an SV call. Here  $k$  can be 1, 2, 3, or 4. The results show that using  $k=3$  provides the best ensemble classifier with  $k=2$  being a close second. Performance is similar for the  $k=3$  classifier and all datasets combined, and we use  $k=3$  for our final results because we expect requiring evidence from 3 datasets will be more robust.

For  $k=3$ , we calculated the proportion  $p$  of random sites that are closer to the center than each candidate site. We stratified candidate sites into those with  $p < 0.68$ ,  $0.68 < p < 0.9$ ,  $0.9 < p < 0.99$ , or  $p > 0.99$ , as shown in Table 4.

### *One-class classification of candidate SVs using SVM*

To compare to an alternative distance measure and method for one-class classification, we also developed a one-class SVM model. We found that results were generally similar between the  $L_1$  one-class results and the SVM one-class results in terms of ROC curves (Supplementary Figures 1, 2, and 4). Supplementary table 8 gives the concordance/discordance matrix for predictions from the  $L_1$  and SVM one-class classifications for selected values of  $p$ . Agreement between the two methods is 84 % with  $p > 0.99$ , 98 % with  $p > 0.95$  and 99 % with  $p > 0.9$ , on Personalis validated/assembled set. The high agreement between SVM and  $L_1$  at  $p > 0.95$  suggests that our one class classification method is robust to the type of model. We further examined the 7 sites consistently identified with only SVM and 1 site consistently identified with only  $L_1$  that had low  $p$  ( $0.6 > p > 0.5$ ) with one method and  $p > 0.9$  with the other method. We found that these were from difficult regions of the genome, such as telomeres, high coverage regions, and low mapping quality regions, so they are filtered from our final high-confidence calls. However, similar comparisons of predictions on 1000 Genome set with  $L_1$  and SVM ensemble classifiers suggest that the  $L_1$  classifier has better efficiency in predictions on 1000 Genome set and better agreement on different technologies. Therefore we use the simpler  $L_1$  method.

### *Manual inspection of one-class results*

We randomly selected a subset of sites from each call set in each selected  $p$  value range from Table 4 for manual inspection. In general, Personalis and 1000 Genomes sites with high  $p$  values were very likely accurate and mostly homozygous, while sites with lower  $p$  appeared to be questionable, small, and/or heterozygous. Most of the Spiral Genetics insertions had very high  $p$ , indicating a true SV is likely in the region.

For Personalis, we inspected 20 randomly selected sites with  $p > 0.99$ , and all appeared to be accurate (Supplementary table 9). Only 5 (25 %) of these sites appeared likely to be heterozygous, since homozygous deletions generally are more different from random regions than heterozygous deletions. 4 out of 5 heterozygous sites had  $0.99 < p < 0.999$ , whereas all 15 homozygous deletions had  $p > 0.999$  except for one small 52-bps deletion, and 13 of the homozygous deletions had  $p > 0.9999$ . Also, all 10 of the randomly selected Personalis sites with  $0.9 < p < 0.99$  were likely to be true heterozygous deletions, and none were homozygous

(Supplementary table 10). There were only 8 sites with  $p < 0.9$  in the Personalis set (Supplementary table 11), and these were a mixture of likely true but very small deletions and other potential deletions that were difficult to determine whether they were true or artifacts since they were only supported by a small number of reads. Therefore, we do not include these in our final high-confidence set.

For 1000 Genomes, we similarly inspected 20 randomly selected sites with  $p > 0.99$ , and all appeared to be accurate except for one in a low complexity region, which had few supporting reads in svviz. Only 4 (20 %) of the sites with  $p > 0.99$  had  $p > 0.9999$ , in contrast to 65 % of the Personalis calls. 3 of the 4 site with  $p > 0.9999$  were likely to be homozygous deletions. One likely true heterozygous deletion had  $p > 0.999$ , and the remaining 15 sites with  $0.99 < p < 0.999$  appeared likely to be true heterozygous deletions except for one in a low complexity region (Supplementary table 12). Also, 7 of the 9 randomly selected 1000 Genomes sites with  $0.9 < p < 0.99$  were likely to be true heterozygous deletions, and none were homozygous (Supplementary table 13). The other 2 sites contained 17 % and 58 % low complexity sequence and 68 % and 66 % GC content, and they appeared likely to be erroneous calls since no reads aligned to the alternate allele for any technology using svviz (except for a single molecule read for one of the sites). 8 of the 9 randomly selected 1000 Genomes sites with  $0.7 < p < 0.9$  were smaller than 100 bps, 7 were likely to be true heterozygous deletions, and none were homozygous (Supplementary table 14). 5 of the 7 randomly selected 1000 Genomes sites with  $p < 0.7$  were smaller than 110 bps and were possibly true heterozygous deletions, and none were homozygous (Supplementary table 15). In general, the 1000 Genomes calls have lower  $p$  scores than the Personalis calls because the Personalis calls contain a higher fraction of homozygous deletions, fewer very small deletions, and are all breakpoint-resolved.

All of the complex insertions from Spiral Genetics had  $p > 0.97$ , indicating that they are likely to be true SVs. Upon manual inspection of the svviz results (Supplementary table 16), 29 had evidence in all 4 technologies for a homozygous insertion, 29 had evidence in all 4 technologies for a heterozygous insertion, and 8 were inconsistent in terms of zygosity across the 4 technologies. The reason for the discordance between technologies for the 8 discordant sites is not always clear, but it appears that some are likely to be real SVs with different breakpoints. For example, an insertion is called at 1:3,418,563 with a length of 352 bp, but appeared likely to be a large.

Most candidate sites with  $p > 0.9$  appear to be true, but a few of the manually inspected sites appeared to be inaccurate or to have incorrect breakpoints. Therefore, we further refined our final callset by using svviz to map reads to the reference or predicted alternate alleles, and we included only sites with at least 3 reads supporting the alternate allele in at least 3 of the 4 datasets. This filtered 13 % percent of the calls, leaving 2676 deletions and 68 insertions for which we have high confidence. These calls are publicly available at [ftp://ftp-trace.ncbi.nih.gov/giab/ftp/technical/svclassify\\_Manuscript](ftp://ftp-trace.ncbi.nih.gov/giab/ftp/technical/svclassify_Manuscript), and we will continue to update these with additional call sets as we further develop our methods.

# Discussion

High-confidence SV and non-SV calls are needed for benchmarking SV callers. To establish high-confidence, methods are needed to combine multiple types of information from multiple sequencing technologies to form robust high-confidence SV and non-SV calls. Therefore, in this work we developed methods to classify SVs as high-confidence based on annotations calculated for multiple datasets. Our classification method gives the highest scores to SVs that are insertions or large homozygous deletions, and have accurate breakpoints. Deletions smaller than 100-bps often have low scores with our method, so other methods like svviz are likely to give better results for very small SVs. Homozygous deletions generally receive the highest scores because they have annotations most unlike random regions of the genome. Breakpoint-resolved deletions generally receive higher scores because reads near the breakpoint have distinct characteristics such as clipping and insert size that our method uses to classify SVs. We produce a set of 2676 high-confidence deletions and 68 high-confidence insertions with evidence from 3 or more sequencing data sets. These sets of SVs are likely biased towards easier regions of the genome and do not contain more difficult types of SVs. However, they can be used as an initial benchmark for sensitivity for deletions and insertions in easier regions of the genome.

Our unsupervised clustering methods also show promise for classifying candidate SVs into different types and potentially classifying more difficult types of SVs. Seven of the eight clusters obtained from an unsupervised hierarchical cluster analysis using  $L_1$  distances were relatively *pure* clusters consisting of either mostly SVs or mostly non-SVs. The overall successful separation of the SVs from the non-SVs by the unsupervised analysis suggests that the annotations for SVs and non-SVs occupy more or less disjoint regions in the data space. Since each cluster contains a different type of SV or non-SV, future work might include further investigation of these clusters and sub-clusters to understand their meaning. In addition, we plan to apply these clustering methods to additional types of SVs and develop more sophisticated classification methods that would place new candidate SVs in one of these categories of different types of true or false positive SVs.

We plan for the methods developed in this work to form a basis for developing high-confidence SV and non-SV calls for the well-characterized NIST RMs being developed by the GIAB. In this work, we apply these methods to produce a set of high-confidence deletions and insertions with evidence from multiple sequencing datasets, and we plan to continue to develop these methods to be applied to more difficult types of SVs in more difficult regions of the genome. We also plan to incorporate calls from methods merging multiple callers, such as MetaSV [22], and incorporate statistics from other tools, such as Parliament [8] and svviz [21], in our machine learning models.

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**Table 1:** Description of NGS data sets from Coriell DNA sample NA12878.

Source	Platform	Coverage	Read length	Paired-end
Platinum Genomes <sup>1</sup>	Illumina HiSeq	200	100	Yes
Broad Institute <sup>2</sup>	Illumina HiSeq	50	250	Yes
Mount Sinai, NY <sup>3</sup>	PacBio	12	1 kb – 10 kb	No
Illumina <sup>4</sup>	Moleculo	30	1.5 kb – 15 kb	No

Data sources:

<sup>1</sup><http://www.illumina.com/platinumgenomes/>

<sup>2</sup>[ftp://ftp.broadinstitute.org/pub/crd/NA12878\\_clones/](ftp://ftp.broadinstitute.org/pub/crd/NA12878_clones/)

<sup>3</sup>[ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/working/20131209\\_na12878\\_pacbio/](ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/working/20131209_na12878_pacbio/)

<sup>4</sup>[ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/working/20131209\\_na12878\\_moleculo/](ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/working/20131209_na12878_moleculo/)

**Table 2:** Description of SV validated/assembled sets from Coriell DNA sample NA12878.

Source	# of SVs	# of unique SVs	Size distribution
Personalis deletions	2306	2292	50 to 158654
Personalis validated deletions	44	44	49 to 9163
1000 Genomes deletions [11]	2685	1825	49 to 212899
Deduplicated deletions	3082	3082	49 to 158654
Spiral Genetics insertions	70	70	207 to 3865
Random regions	4000	4000	50 to 997527
Random regions (size distribution matching to Personalis)	2306	2306	50 to 158654
Long interspersed nuclear elements	497	497	12 to 6401
Long terminal repeat elements	498	498	11 to 7511
Short interspersed nuclear elements	496	496	36 to 335

**Table 3:** Analysis of 8 clusters from hierarchical cluster analysis, including the numbers of sites from each call set and a description of the predominant types of sites in each cluster

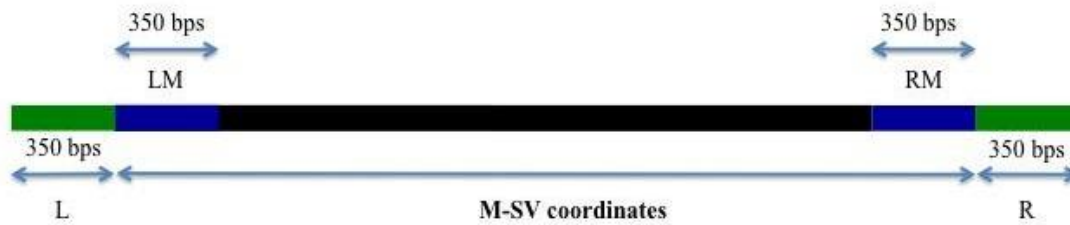
Cluster	4000 Random	Personalis Random	Random LINEs	Random LTRs	Random SINEs	Personalis Gold	1000 Genomes Gold	Total	Proportion Gold	Description
1	0	0	0	0	0	371	284	655	1.000	Mostly large, true homozygous deletions
2	0	0	0	0	2	432	237	671	0.997	Heterozygous Alu deletions
3	1	1	1	0	0	705	402	1110	0.997	Homozygous Alu deletions
4	2397	455	38	28	16	9	28	2971	0.012	Large, likely non-SVs. Generally in easy-to- sequence regions
5	1073	1351	352	378	279	1	33	3467	0.010	Smaller, likely non-SVs. Generally in

										easy-to- sequence regions
6	17	2	1	0	0	3	138	161	0.876	Likely true large homozygous deletions with inaccurate breakpoints so that the true deletion is larger than the called region
7	14	16	2	2	4	624	811	1473	0.974	Mostly true heterozygous deletions in easier-to- sequence regions
8	498	481	103	90	195	161	752	2280	0.400	Mix of non-SVs and SVs in more difficult regions with coverage between the normal coverage

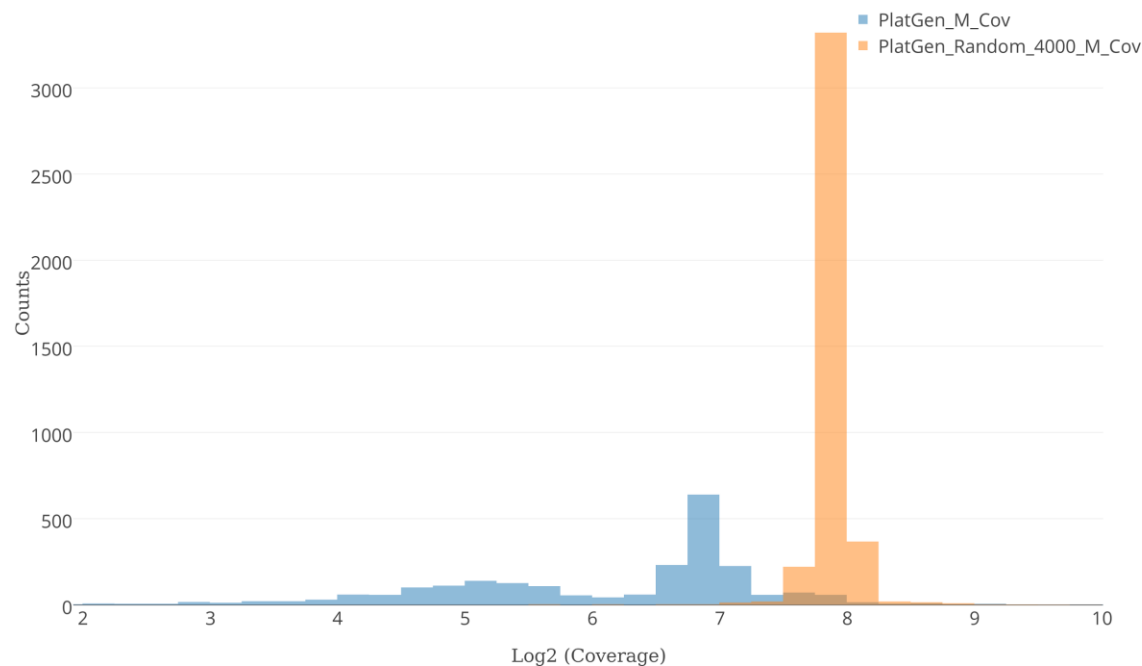
										and half the normal coverage
<b>Total</b>	4000	2306	497	498	496	2306	2685	12788	0.390	

**Table 4:** Number of sites from each candidate call set that have k=3 L<sub>1</sub> Classification scores in each range, where the score is the proportion p of random sites that are closer to the center than each candidate site. These numbers are after filtering sites for which the flanking regions have low mapping quality or high coverage.

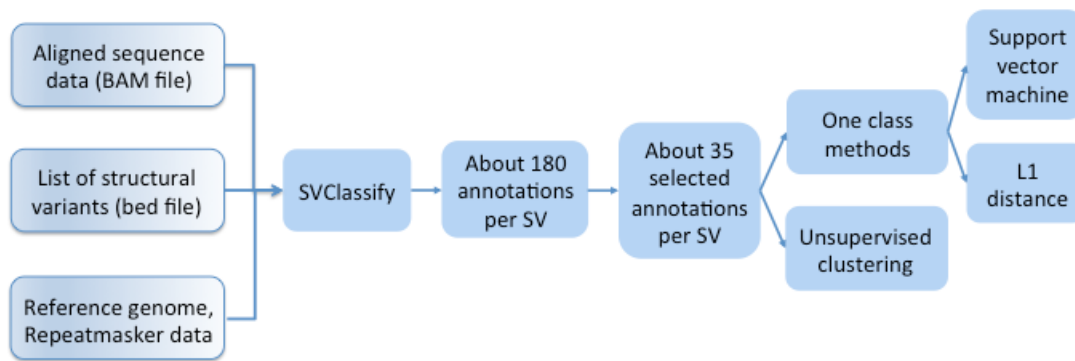
	<b>Filtered</b>	<b>&lt;0.68</b>	<b>0.68-0.9</b>	<b>0.9-0.97</b>	<b>0.97-0.99</b>	<b>0.99-0.997</b>	<b>0.997-0.999</b>	<b>&gt;0.999</b>
Random Personalis	229	3025	501	177	65	3	0	0
Personalis Gold	106	8	10	44	414	409	1302	13
Personalis Validated	3	0	0	0	10	7	19	0
1000 Genomes	382	56	103	257	714	388	780	5
Spiral Gen Insertions	1	0	0	0	12	16	41	0
Deduplicated Deletions	195	45	61	145	675	513	1434	14



**Figure 1:** Annotations are generated for each SV for five different regions in and around the SV: Left flanking region (L), Left middle flanking region (LM), Middle regions based on SV coordinates (M), Right middle flanking region (RM), and Right flanking region (R).

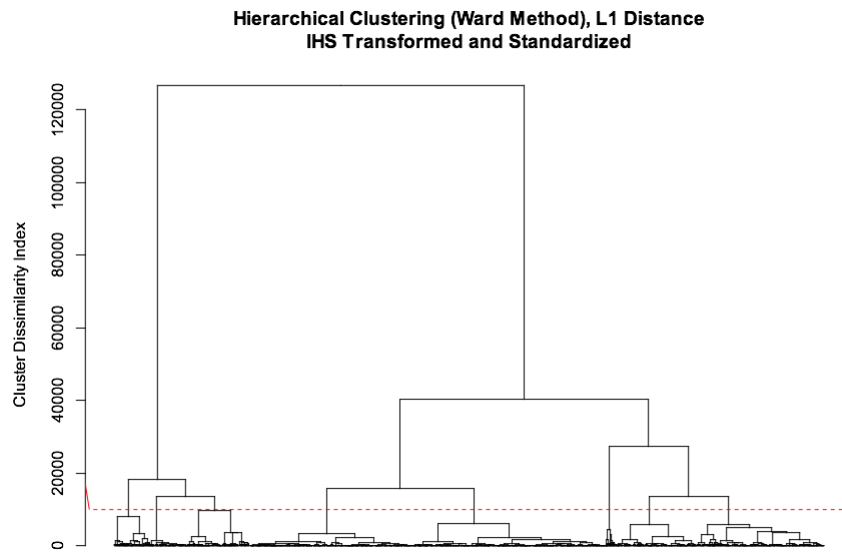


**Figure 2:** Depth of coverage distribution for Personalis deletion calls (PlatGen\_M\_Cov) and random regions (PlatGen\_Random\_4000\_M\_Cov). See original data at <https://plot.ly/~justinzook/2>.

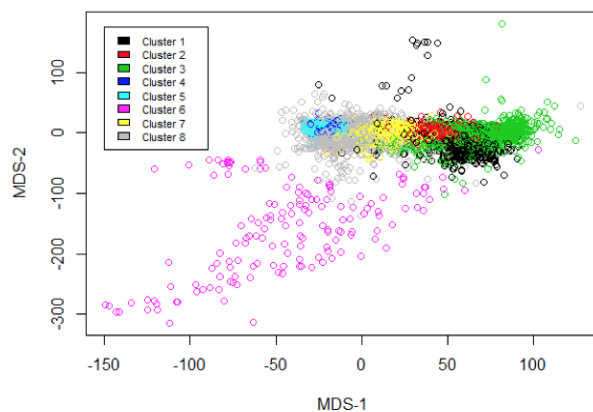


**Figure 3:** Flowchart of analytical approach to classify candidate SVs into likely true or false positives. The subset of 35 annotations was chosen for Illumina paired-end data (fewer for PacBio and molecule data) to reduce the number of annotations used in the model to those that we expected to be most important for clustering calls into different categories. The one-class model uses only the 4000 random sites for training, and it assumes that sites with annotations unlike most of these random sites are more likely to be SVs.

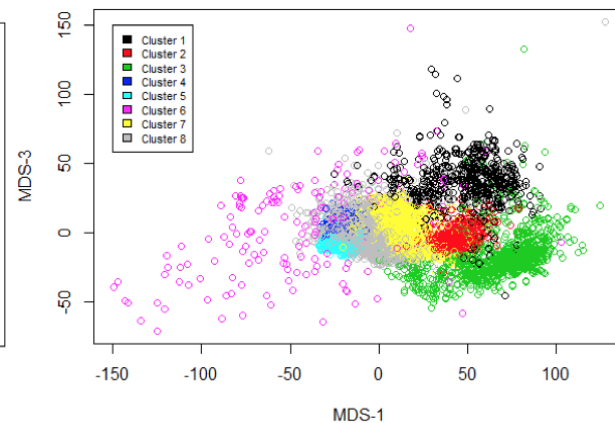
(A)



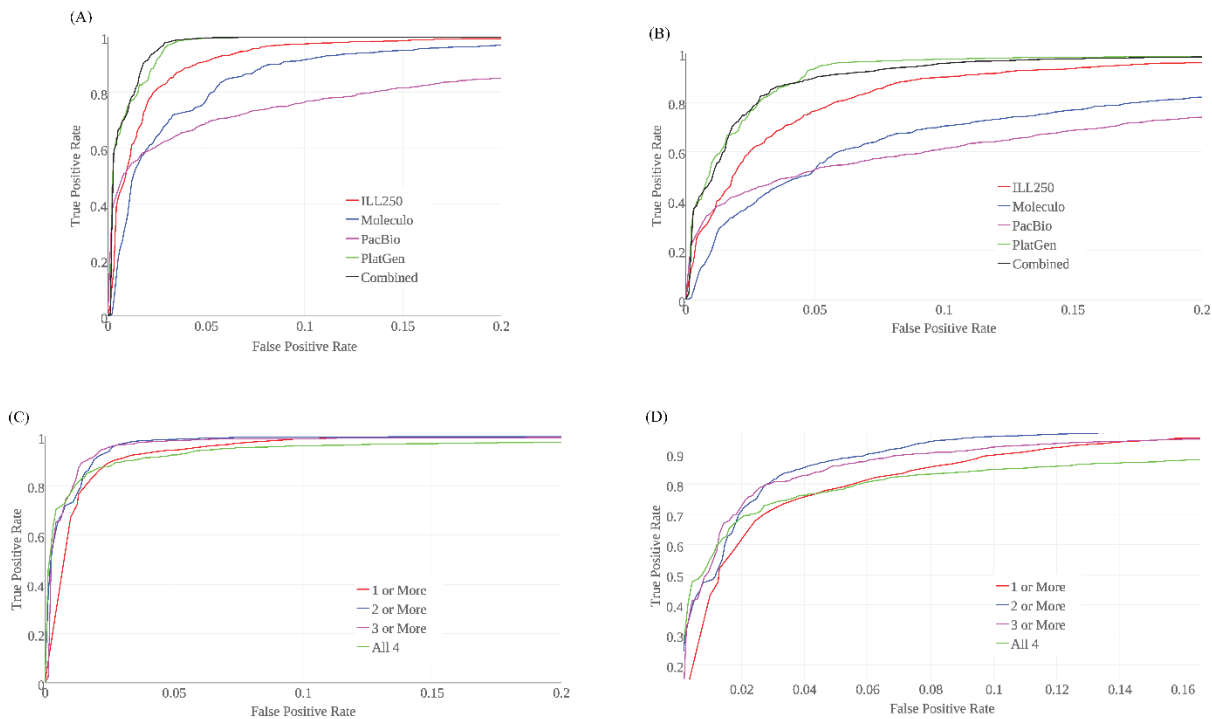
(B)



(C)



**Figure 4:** Hierarchical clustering results using  $L_1$  distance and Ward's method shown as (A) a dendrogram and (B-C) in multi-dimensional scaling plots. (A) The horizontal dotted red line shows the cut-off at a cluster dissimilarity index of about 10000, which results in 8 clusters. The clusters are number 1 to 8 from left to right, with 4 and 5 containing primarily non-SVs, 8 containing a mixture of SVs and non-SVs, and 1, 2, 3, 6, and 7 containing different types of deletions (see Table 4). (B-C) Multidimensional scaling plots for visualizing the 8 clusters. We use a 3 dimensional representation of the data space which associates 3 MDS coordinates to each site, one for each dimension. (B) Plot of MDS-2 against MDS-1, which clearly separates Cluster 6 (mainly SVs with inaccurate breakpoints). (C) Plot of MDS-3 against MDS-1, in which the different types of SVs are generally well-separated from each other and from non-SVs.



**Figure 5:** ROC curves for One-class classification using the  $L_1$  Distance, treating the 4000 Random regions as negatives and the Personalis or 1000 Genomes calls as positives. (A) ROC curves for one-class models for each dataset separately and for all combined for the Personalis validated deletion calls. (B) ROC curves for one-class models for each dataset separately and for all combined for the 1000 Genomes validated deletion calls. (C) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores for the Personalis validated deletion calls. (D) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores for the 1000 Genomes validated deletion calls. The 3 or more classification method is used to produce the final high-confidence SVs in this work. The horizontal axis shows the false positive rate (from the random set of regions matching the size distribution of the Personalis deletions) and the vertical axis shows the corresponding true positive rate (assuming all the validated/assembled calls are true). See original data at <https://plot.ly/~desuchen0929/303>, <https://plot.ly/~desuchen0929/311>, <https://plot.ly/~desuchen0929/319>, and <https://plot.ly/~desuchen0929/322>.



## Supplementary Information

### 1. Data transform for one- class SVM.

For a certain annotation, the “right-tail” case means outliers should have positive deviations, the “left-tail” case means outliers should have negative deviations, and the “both-tail” case means that outliers could have either positive or negative deviations. Reference deviations were then calculated for different cases. For the left-tail and right-tail cases,

$$\sigma = \sqrt{\frac{\sum_{i=1}^n (x_i - x_{extreme})^2}{n}}$$

$\sigma$  is the reference deviation,  $x_i$  is annotation value of the  $i$ th site from the random regions.  $n$  is the number of sites from the random regions ( $n = 4000$ ).  $x_{extreme}$  is either the minimum of  $x_i$  for the right-tail case, or the maximum of  $x_i$  for left-tail case. For any observation  $x$  of the same annotation for any SV, the transform  $y$  is

$$y = 2/(1 + \cosh(|x - x_{extreme}|/\sigma))$$

For both-tail case, we define two reference deviations  $\sigma_{right}$  and  $\sigma_{left}$  for either positive or negative deviations from the median  $x_{med}$  of  $x_i$ ,

$$\sigma_{right} = \sqrt{\frac{\sum_{x_i > x_{med}} (x_i - x_{med})^2}{\sum_{x_i > x_{med}} 1}}, \sigma_{left} = \sqrt{\frac{\sum_{x_i < x_{med}} (x_i - x_{med})^2}{\sum_{x_i < x_{med}} 1}}$$

The transform  $y$  is

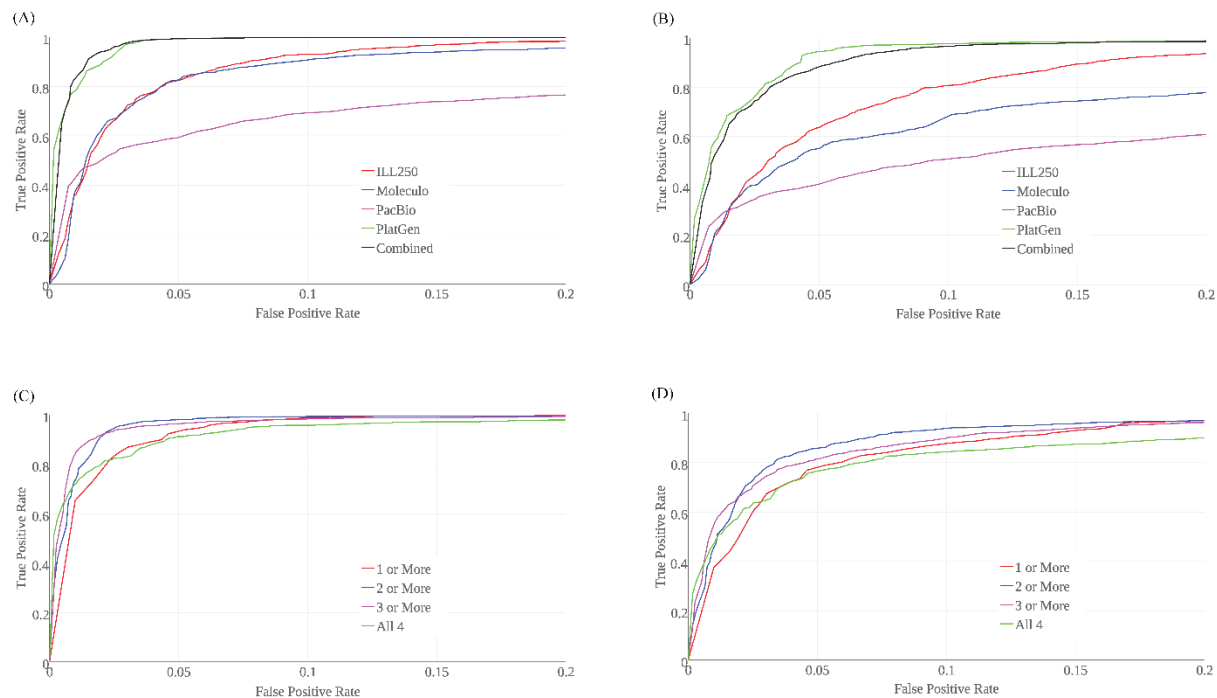
$$y = 2/(1 + \cosh(|x - x_{med}|/\sigma_{right})), \text{ if } x > x_{med}$$

$$y = 2/(1 + \cosh(|x - x_{med}|/\sigma_{left})), \text{ if } x < x_{med}$$

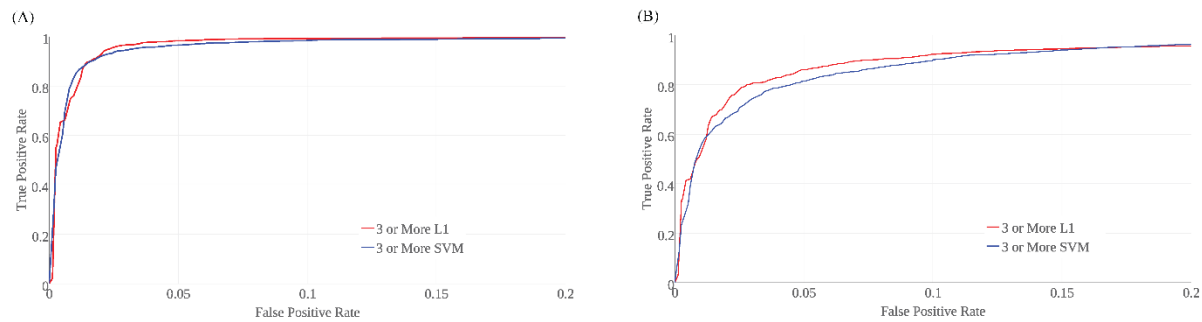
$$y = 0, \text{ if } x = x_{med}$$

Therefore outliers indicating potential SVs approach 0 in this transform, which is required by the application of one-class SVM. In the transformed metric space, linear classifiers were trained by the one-class SVM (implemented with package e1071 in the Comprehensive R Archive Network) with SVs from the random regions as the training set. The proportion of SVs in the training set identified as outliers (false positive rate)  $1-p$  was approximately controlled by a factor  $v$  in the training algorithm defined by the authors. In short,  $v \in (0,1)$  defines the ratio of penalty induced by margin size (e.g. distance from origin point to the class boundary with linear kernel) and penalty induced by number of outliers in the training set in the total penalty function

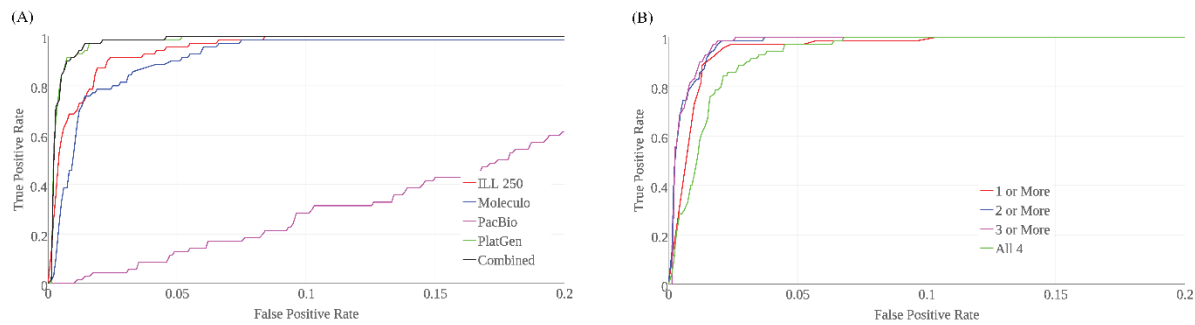
for soft margin case. Higher  $v$  allows more training data points to be on the outliers side to maximize the margin. Classifiers at different  $v$ 's were then applied to predict other SV data sets.



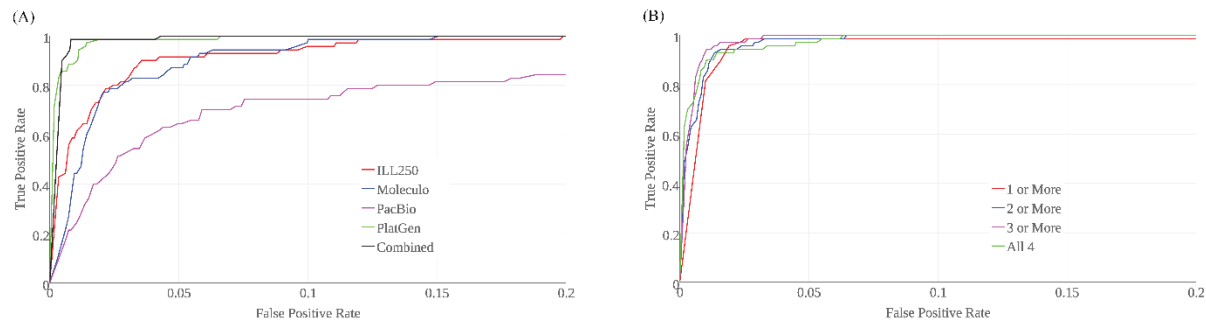
**Supplementary figure 1:** ROC curves for One-class classification using SVM, treating the 4000 Random regions as negatives and the Personalis or 1000 Genomes calls as positives. (A) ROC curves for one-class models for each dataset separately and for all combined for the Personalis validated deletion calls. (B) ROC curves for one-class models for each dataset separately and for all combined for the 1000 Genomes validated deletion calls. (C) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores for the Personalis validated deletion calls. (D) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores for the 1000 Genomes validated deletion calls. See original data at <https://plot.ly/~desuchen0929/325>, <https://plot.ly/~desuchen0929/328>, <https://plot.ly/~desuchen0929/331>, and <https://plot.ly/~desuchen0929/337>.



**Supplementary figure 2:** ROC curves for One-class classification using SVM and L<sub>1</sub> “3 or more” strategy, treating the 4000 random regions as training negatives, treating (A) the Personalis deletion calls and (B) the 1000 Genomes deletion calls as testing positives and treating the 2306 random regions as testing negatives. See original data at <https://plot.ly/~desuchen0929/341>, and <https://plot.ly/~desuchen0929/345>.



**Supplementary figure 3:** ROC curves for One-class classification using the  $L_1$  Distance, treating the 4000 random regions as negatives and the Spiral Genetics insertions calls as positives. (A) ROC curves for one-class models for each dataset separately and for all combined. (B) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores. See original data at <https://plot.ly/~desuchen0929/386>, and <https://plot.ly/~desuchen0929/389>.



**Supplementary figure 4:** ROC curves for One-class classification using SVM, treating the 4000 random regions as negatives and the Spiral Genetics insertions calls as positives. (A) ROC curves for one-class models for each dataset separately and for all combined. (B) ROC curves for one-class model requiring 1 or more, 2 or more, 3 or more, or all 4 technologies to have high classification scores. See original data at <https://plot.ly/~desuchen0929/391>, and <https://plot.ly/~desuchen0929/393>.

**Supplementary table 1:** Number of overlapping deletion calls between Personalis and 1000 Genomes deletion calls with different amounts of overlap

<b>Personalis unique deletion calls</b>	<b>1000 Genomes unique deletion calls</b>	<b>Overlap</b>	<b># of overlapping deletion calls</b>
2336	1825	1 bp	1082
2336	1825	10 %	1082
2336	1825	25 %	1081
2336	1825	50 %	1076
2336	1825	75 %	1070
2336	1825	90 %	1066
2336	1825	100 %	986

## Supplementary table 2: Output format of svclassify

svclassify generates more than 175 annotations for each SV from each aligned sequence data.

*SV\_size*: The SV\_size gives the size of a structural variant (SV).

*SV\_Cat*: The SV\_Cat gives the size distribution of a SV as a categorical value (i.e. SV size of < 100 = 0, SV size of >=100 to <1000 = 1, SV size of >=1000 to <10000 = 2, SV size of >=10000 = 3).

Each SV is characterized in five groups (please refer to Figure 1):

- (1) Left flanking region (L)
- (2) Left middle flanking region (LM)
- (3) Middle regions based on SV coordinates (M)
- (4) Right middle flanking region (RM)
- (5) Right flanking region (R)

*Cov*: The Cov gives the mean of depth of coverage.

*Cov\_sd*: The Cov\_sd gives the standard deviation of depth of coverage.

*Cov\_pro*: The Cov\_pro gives the proportion of the SV with depth of coverage less than 5X.

*Insert*: The Insert gives the mean of insert size of paired reads (samtools flags of -f2).

*Insert\_sd*: The Insert\_sd gives the standard deviation of insert size of paired reads.

*Insert\_10\_percentile*: The Insert\_10\_percentile gives the 10<sup>th</sup> percentile of insert size distribution of paired reads.

*Insert\_90\_percentile*: The Insert\_90\_percentile gives the 90<sup>th</sup> percentile of insert size distribution of paired reads.

*Dis\_unmap*: The Dis\_unmap gives numbers of the unmapped mate (samtools flags of -f9 -F 1792).

*Dis\_map*: The Dis\_map gives numbers of the mapped mate in reverse orientation (samtools flags of -f1 -F 1802).

*Dis\_all*: The Dis\_all gives numbers of the total paired reads (samtools flag of -f2).

*Dis\_unmap\_ratio*: The Dis\_unmap\_ratio gives the ratio of numbers of the unmapped mate to numbers of total paired reads.

*Dis\_map\_ratio*: The *Dis\_map\_ratio* gives the ratio of numbers of the mapped mate in reverse orientation to numbers of total paired reads.

*Mapping\_q*: The *Mapping\_q* gives the mean of mapping quality of the reads.

*Mapping\_q\_sd*: The *Mapping\_q\_sd* gives the standard deviation of mapping quality of the reads.

*Mapping\_pro*: The *Mapping\_pro* gives the proportion of reads with mapping quality of zero.

*Mapping\_10\_percentile*: The *Mapping\_10\_percentile* gives the 10<sup>th</sup> percentile of mapping quality distribution of the reads.

*Mapping\_90\_percentile*: The *Mapping\_90\_percentile* gives the 90<sup>th</sup> percentile of mapping quality distribution of the reads.

*Soft*: The *Soft* gives the mean of soft clipped bases of the reads.

*Soft\_sd*: The *Soft\_sd* gives the standard deviation of soft clipped bases of the reads.

*Soft\_pro*: The *Soft\_pro* gives the proportion of the reads with soft clipped bases greater than 5.

*Soft\_10\_percentile*: The *Soft\_10\_percentile* gives the 10<sup>th</sup> percentile of soft clipped bases of the reads distribution.

*Soft\_90\_percentile*: The *Soft\_90\_percentile* gives the 90<sup>th</sup> percentile of soft clipped bases of the reads distribution.

*Del*: The *Del* gives the mean of deleted bases of the reads.

*Del\_sd*: The *Del\_sd* gives the standard deviation of deleted bases of the reads.

*Del\_10\_percentile*: The *Del\_10\_percentile* gives the 10<sup>th</sup> percentile of deleted bases of the reads distribution.

*Del\_90\_percentile*: The *Del\_90\_percentile* gives the 90<sup>th</sup> percentile of deleted bases of the reads distribution.

*Ins*: The *Ins* gives the mean of inserted bases of the reads.

*Ins\_sd*: The *Ins\_sd* gives the standard deviation of inserted bases of the reads.

*Ins\_10\_percentile*: The *Ins\_10\_percentile* gives the 10<sup>th</sup> percentile of inserted bases of the reads distribution.

*Ins\_90\_percentile*: The *Ins\_90\_percentile* gives the 90<sup>th</sup> percentile of inserted bases of the reads distribution.

*Diff*: The *Diff* gives the mean of differences between numbers of inserted and numbers of deleted bases of the reads.



*Diff\_sd*: The *Diff\_sd* gives the standard deviation of differences between numbers of inserted and numbers of deleted bases of the reads.

*Diff\_10\_percentile*: The *Diff\_10\_percentile* gives the 10<sup>th</sup> percentile of differences between numbers of inserted and numbers of deleted bases distribution of the reads.

*Diff\_90\_percentile*: The *Diff\_90\_percentile* gives the 90<sup>th</sup> percentile of differences between numbers of inserted and numbers of deleted bases distribution of the reads.

*M\_Cov\_Cat*: The *M\_Cov\_Cat* gives the coverage distribution of a SV as a categorical based on user defined input *coverage\_cutoff* value.

*M\_Homvar*: The *M\_Homvar* gives the number of homozygous SNP genotype calls inside the SV.

*M\_Homvar\_SV*: The *M\_Homvar\_SV* gives the ratio of number of homozygous SNP genotype calls inside the SV to the size of SV.

*M\_Hetvar*: The *M\_Hetvar* gives the number of heterozygous SNP genotype calls inside the SV.

*M\_Hetvar\_SV*: The *M\_Hetvar\_SV* gives the ratio of number of heterozygous SNP genotype calls inside the SV to the size of SV.

*M\_GCcontent*: The *M\_GCcontent* gives the percentage of GC content to the size of SV.

*M\_Sine\_Line\_Ltr\_SV*: The *M\_Sine\_Line\_Ltr\_SV* gives the percentage of short interspersed nuclear elements (SINE), long interspersed nuclear elements (LINE) and long terminal repeat elements (LTR) identified by RepeatMasker to the size of SV.

*M\_Simple\_Low\_Satellite\_SV*: The *M\_Simple\_Low\_Satellite\_SV* gives the percentage of simple, low complexity and satellite repeats identified by RepeatMasker to the size of SV.

### **Supplementary table 3: Selected characteristics for hierarchical clustering and One-class models**

For Illumina and moleculo datasets:

*M\_Cov*, *M\_Cov\_sd*, *M\_Insert\_sd*, *M\_Dis\_unmap\_ratio*, *M\_Dis\_map\_ratio*, *M\_Soft\_pro*,  
*M\_Homvar\_SV*, *M\_Hetvar\_SV*

*L\_Insert\_90\_percentile*, *L\_Dis\_unmap\_ratio*, *L\_Dis\_map\_ratio*, *L\_Soft\_90\_percentile*, *L\_Cov*  
*R\_Insert\_90\_percentile*, *R\_Dis\_unmap\_ratio*, *R\_Dis\_map\_ratio*, *R\_Soft\_90\_percentile*, *R\_Cov*

LM\_Insert\_90\_percentile, LM\_Dis\_unmap\_ratio, LM\_Dis\_map\_ratio, LM\_Soft\_90\_percentile,  
LM\_Cov

RM\_Insert\_90\_percentile, RM\_Dis\_unmap\_ratio, RM\_Dis\_map\_ratio, RM\_Soft\_90\_percentile,  
RM\_Cov

For PacBio datasets:

L\_Diff, R\_Diff, M\_Cov, M\_Del, M\_Ins, M\_Diff, M\_Diff\_sd, M\_Homvar\_SV, M\_Hetvar\_SV

For hierarchical clustering only:

SV\_size, M\_Mapping\_q, M\_Sine\_Line\_Ltr\_SV, M\_GC\_Content, and  
M\_Simple\_Low\_Satellite\_SV

- Note also that all of these except M\_Mapping\_q are the same for all of the datasets so only  
need to be included once for the joint dataset unsupervised analysis.

**Supplementary table 8:** Elements of concordance/discordance matrix of predictions on Personalis validated/assembled set by the one-class  $L_1$  classifier and one-class SVM with annotations of all technologies combined.

$p$	0.99	0.95	0.9	0.68
SVM(+), $L_1(+)$	1665	2291	2302	2306
SVM(+), $L_1(-)$	458	4	1	0
SVM(-), $L_1(+)$	10	5	0	0
SVM(-), $L_1(-)$	173	6	3	0

Elements of concordance/ discordance matrix of predictions on Personalis validated/assembled set with ensemble classifiers (k=3) of one-class  $L_1$  classifier and one-class SVM.

p	0.99	0.95	0.9	0.68
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SVM(+), L <sub>1</sub> (+)	1711	2232	2275	2296
SVM(+), L <sub>1</sub> (-)	341	12	4	3
SVM(-), L <sub>1</sub> (+)	45	35	11	1
SVM(-), L <sub>1</sub> (-)	209	27	16	6

Elements of concordance/Discordance matrix of predictions on 1000 Genomes set by the one-class L<sub>1</sub> classifier and one-class SVM with annotations of all technologies combined.

P	0.99	0.95	0.9	0.68
SVM(+), L <sub>1</sub> (+)	1188	2373	2567	2654
SVM(+), L <sub>1</sub> (-)	598	100	51	7
SVM(-), L <sub>1</sub> (+)	100	43	7	8
SVM(-), L <sub>1</sub> (-)	799	169	60	16

Elements of concordance/ discordance matrix of predictions on 1000 Genomes set with ensemble classifiers (k=3) of one-class L<sub>1</sub> classifier and one-class SVM.

p	0.99	0.95	0.9	0.68
SVM(+), L <sub>1</sub> (+)	1189	2161	2405	2598
SVM(+), L <sub>1</sub> (-)	463	93	69	41
SVM(-), L <sub>1</sub> (+)	176	150	75	16
SVM(-), L <sub>1</sub> (-)	857	281	136	30