

Cycling Physicochemical Gradients as ‘Evolutionary Drivers’: From Complex Matter to Complex Living States

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Highlights

- Biological complexity cannot be reduced to chemistry and physics
- Complex living states are: multicomponent, multiphase, ‘crowded’, and *re-emergent*
- Living states arise naturally *only* by the action of cycling physicochemical gradients
- Bacterial cells can be modeled as viscoelastic capacitors with sol-gel transitions
- Evolving living states can be investigated via ‘biotic soup’ experimentation
- Darwinian evolution arises from the process errors of the cell cycle
- Synthetic biology heralds the transition from unintentional Darwinian evolution to intentional anthropic evolution

43 **Abstract**

44

45 Within the overlap of physics, chemistry and biology, complex matter becomes ‘more
46 deeply’ understood when high level mathematics converts regularities of experimental
47 data into scientific laws, theories, and models (Krakauer et al., 2011. *The challenges and*
48 *scope of theoretical biology*. J. Theoret. Biol. 276: 269-276). The simplest kinds of
49 complex biological matter are bacterial cells; they appear complex—from a
50 physicochemical standpoint—because they are multicomponent, multiphase,
51 biomacromolecularly crowded, and re-emergent; the property of re-emergence
52 differentiates biological matter from complex chemical and physical matter.

53 Bacterial cells cannot self-reassemble spontaneously from their biomolecules and
54 biomacromolecules (via non-covalent molecular forces) without the action of external
55 ‘drivers’; on Earth, such drivers have been diurnal (cycling) physicochemical gradients,
56 *i.e.* temperature, water activity, etc. brought about by solar radiation striking the Earth’s
57 rotating surface. About 3.5 billion years ago, these cycling gradients drove complex
58 chemical ‘prebiotic soups’ toward progenotic living states from which extant bacteria
59 evolved (Spitzer and Poolman, 2009; *The role of biomacromolecular crowding, ionic*
60 *strength and physicochemical gradients in the complexities of life’s emergence*.
61 *Microbiol. Mol. Biol. Revs.* 73:371-388). Thus there is historical non-equilibrium
62 continuity between complex ‘dead’ chemical matter and complex living states of bacterial
63 cells. This historical continuity becomes accessible to present-day experimentation, when
64 cycling physicochemical gradients act on ‘dead’ biomacromolecules obtained from
65 (suitably) killed bacterial populations – on a ‘biotic soup’ of chemicals (Harold, 2005,
66 *Molecules into cells: specifying spatial architecture*. *Microbiol. Mol. Biol. Rev.* 69:544-
67 564). The making of biotic soups and recovering living states from them is briefly
68 discussed in terms of novel concepts and experimental possibilities.

69 In principle, emergent living states contingently arise and evolve when cycling
70 physicochemical gradients continuously act on complex chemical mass; once living states
71 become dynamically stabilized, the inevitable process errors of ‘primitive’ cell cycles
72 become the roots of Darwinian evolution.

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- 89 1. Introduction
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112 (i) In physical science a first essential step in the direction of learning any subject is to
113 find principles of numerical reckoning and practicable methods for measuring some
114 quality connected with it. I often say that when you can measure what you are speaking
115 about, and express it in numbers, you know something about it; but when you cannot
116 measure it, when you cannot express it in numbers, your knowledge is of a meager and
117 unsatisfactory kind; it may be the beginning of knowledge, but you have scarcely in your
118 thoughts advanced to the stage of science, whatever the matter may be.

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Lord Kelvin (1883)

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123 (ii)...we have learned to appreciate the complexity and perfection of the cellular
124 mechanisms, miniaturized to the utmost at the molecular level, which reveal within the
125 cell an unparalleled knowledge of the laws of physics and chemistry

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Albert Claude (1974)

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130 (iii) This attempt to isolate cell constituents might have been a failure if they had been
131 destroyed by the relative brutality of the technique employed. But this did not happen.

132

Albert Claude (1974)

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135 **1. Introduction**

136

137 The emergence of cellular life, both in the context of life's historical origins and
138 current research on 'synthesizing life', is one of the most complicated and least
139 understood natural phenomena (Bernal, 1967; Lahav, 1999; Szostak et al., 2001; Luisi,
140 2006; Rassmusen et al., 2008; Gibson et al., 2010; Church & Regis, 2012). Arguably, the
141 Big Bang about ~13.6 billion years ago is better documented (by cosmic microwave
142 background radiation) than the chemical and physical processes that can give rise to living
143 cells.

144 The fact that living cells are non-equilibrium chemical systems suggests that life can
145 emerge *only* from non-equilibrium chemical systems. Chemical non-equilibrium systems
146 that continuously evolve arise naturally when solar irradiation strikes the surfaces of
147 rotating planets (Spitzer & Poolman, 2009). The resulting cycling gradients of
148 temperature, water activity, radiation, etc. drove planetary chemistries – the Earth's
149 'prebiotic soup' of chemicals (Bada & Lazcano, 2003) – toward the contingent emergence
150 of 'primitive life', and eventually toward progenotes and Last Universal Common
151 Ancestors (Woese & Fox, 1977a, b; Woese 1998); at that point, about 3.5 billion years
152 ago (Allwood et al., 2007), Earth's chemical evolution became coexistent with biological
153 evolution. The 'bottom-up' processes of prebiotic evolution can be investigated in
154 chemical engineering simulators of Hadean Earth, with planetary sciences and
155 geochemistry defining plausible initial conditions (Spitzer, 2013).

156 In this review, I take a complementary 'top-down' biological perspective and argue
157 for experiments with cycling physicochemical gradients that act on a complex mixture of
158 biomacromolecules obtained by killing a population of bacteria; the resulting 'biotic soup'
159 contains all the 'biochemistry' needed to make living states emerge *de novo*. These
160 experiments will clarify how the 'architecture of living states' – their spatiotemporal
161 structuring – evolves from a mixture of 'dead' biomolecules and biomacromolecules
162 (Harold, 2005).

163 As this approach reduces the complexity of life's emergence to a well-defined though
164 still very complicated *physicochemical* process, I will start – by way of an extended
165 introduction – with general observations on the complexities of matter as differentiated by
166 physics, chemistry and biology, on the irreducibility of biology to chemistry and physics,
167 and on the transition from plausible speculations about life's emergence to the new
168 sciences of astrobiology and synthetic protocell biology (*cf.* Lord Kelvin counsel [i]).
169 These introductory points expound the unique quandary of Darwinian (biological)
170 evolution – the elusive nature of 'life's designers or drivers': they are here rendered as
171 persistently cycling physicochemical gradients.

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173 **1.1. The autonomy of physics, chemistry and biology**

174

175 Theories and models of physics and chemistry have an extraordinary explanatory
176 power derived from extensive use of high-level mathematics; in comparison, biology is
177 generally perceived as having a different (less quantifiable) theoretical foundation (Mayr,
178 1996, 2007; Krakauer, 2011; Phillips *et al.*, 2009). Yet cytologists have known for some
179 time that the living cell – the unit of biological matter – knows within itself the laws of
180 physics and chemistry better than we can currently understand them; that there is nothing

181 else within the cell but chemistry and physics – no teleological force to guide its
182 evolution, no chance to play the tape of evolution again (Hinshelwood, 1946; Gould,
183 1989; cf. Albert Claude’s observation [ii]). We thus remain astonished at the
184 physicochemical complexity of the cell, especially the spatiotemporal complexities of
185 very small bacterial cells during their cell cycle – how they function as unique
186 electrochemical systems converting environmental chemicals and energy into copies of
187 themselves (Mitchell, 1979; Spitzer & Poolman, 2005, 2009, 2013; Spitzer, 2011). The
188 question then is this: “How could simple (bacteria-like) cells come about *de novo* – now,
189 in the laboratory, or at any time in the Universe?”

190 Physics explains inanimate matter in terms of partial differential equations, be they
191 Newton’s equations of classical mechanics, Maxwell’s equations of electromagnetism,
192 Schrödinger’s equation of quantum mechanics, or many other equations of mathematical
193 physics. Such physical understandings, written down in the symbolic shorthand of
194 advanced mathematics, were derived from simpler algebraic (phenomenological) laws,
195 which summarized large amounts of numerical ‘regularities’ of experimental data (cf.
196 Lord Kelvin’s comment [i], Krakauer, 2011). In physics, there are many such laws, such
197 as Coulomb’s law of static electricity, Newton’s law of gravitation, Ohm’s and Kirchoff’s
198 laws of electrical circuits, etc., which have been generalized into powerful theories of
199 (mathematical) physics, often prized for their ‘beauty’ and internal self-consistency.

200 Chemistry has fewer differential equations, perhaps the most important being the
201 equations of chemical thermodynamics and chemical kinetics; compared to physics,
202 chemistry relies more on empirical laws and models (*e.g.* Faraday’s laws of
203 electrochemistry, Guldberg-Waage’s law of mass action, Langmuir’s adsorption
204 isotherm). Such chemical laws are sometimes understood through *ideal* (Platonic) models
205 of *actual* matter, for example ideal solution, ideal conductor, ideal crystal and so forth,
206 which more realistic models may approach under particular conditions. For example, the
207 finite size of molecules and non-covalent attractive forces between them are accounted for
208 in the van der Waals equation of real gases or the Debye-Hückel theory of electrolytes.

209 Biology has still fewer differential equations than chemistry; perhaps the best known
210 is the Lotka-Volterra system of non-linear equations for prey-predator population
211 dynamics. There are also fewer empirical laws, for example the statistical laws of
212 Mendelian genetics, the Monod and other models of bacterial growth, and Michaelis-
213 Menten enzyme kinetics (which is essentially a chemical model). The lesser degree of
214 quantification in biology arises from the fact that living cells and organisms are too
215 complex (or complicated) in relation to inanimate matter. Experiments with populations
216 of bacterial cells are harder to quantify than those with ‘dead’ chemicals, because
217 individual cells do not stay *chemically identical* as they increase in volume and split into
218 two ‘imperfect’ copies – or in biologists’ language, ‘as they reproduce and evolve’; at
219 cellular and subcellular levels, populations of bacterial cells are inherently heterogeneous
220 (Neidhardt *et al.*, 1990; Kell *et al.*, 1991; Losick & Desplan, 2008). In comparison, a
221 glycerol molecule for example, no matter by which chemical reaction it is (re)produced, is
222 a rather constant and stable entity, carbon isotope effects notwithstanding.

223 Some aspects of cellular complexity can be understood when we consider the
224 complexity of matter in general, going from physics to chemistry and from chemistry to
225 biology. Physics deals with mass – *everything* has some mass (measured in kilograms);
226 laws governing physical mass do not depend on its chemical composition, *e.g.* Newton’s

227 laws of gravitation or viscosity. Chemistry differentiates mass as chemical mass with an
228 *infinity of molecules and ions*, including charged and uncharged polymers, all made up
229 from about 90 kinds of elemental atoms, and all having distinct atomic and molecular
230 masses (measured in grams per mole). Biology differentiates chemical mass as ‘living’
231 mass made up from an *infinity of biological cells*, all of them (or most, at any rate) having
232 genes encoded by nucleic acids (measured in base-pairs). The totality of genes defines the
233 identity of the cell and guides its reproduction during the cell cycle in a given
234 environment. Thus chemistry and biology are autonomous sciences (Mayr, 1996, 2007)
235 dealing with their own ‘infinite kinds’ of complex matter, undergirded by the general laws
236 of (mathematical) physics; however, where these sciences overlap, they provide a
237 ‘deeper’, more fundamental (mathematical) understanding of the complexity of matter in
238 general, no matter whether the initial impetus comes from physics, chemistry or biology.

239 Physics, particularly nuclear physics and quantum physics, clearly, has been
240 astonishingly successful in explaining the origin, structure and properties of atoms (and
241 hence the fundamental understanding of the periodic table and chemical bonds), and in
242 theorizing about natural phenomena (*e.g.* interactions of electromagnetic radiation with
243 matter that led to a multitude of spectroscopic methods, or the band theory of electrical
244 conductors and semi-conductors that gave vacuum tubes, transistors, integrated circuits
245 and sophisticated electronic devices). Physical instrumental techniques have proven
246 immensely useful to chemists and biologists; X-ray diffraction in particular has become a
247 key tool to determine the molecular structures of a multitude of polymeric materials, *e.g.*
248 alumino-silicates (Pauling,), proteins (Perutz, Kendrew), and nucleic acids – the famous
249 X-ray spots of Franklin’s DNA preparations that yielded the *chemical* explanation of
250 biological heredity by Watson, Crick and Wilkins. However, physics cannot predict the
251 *infinite* number of possible chemical molecules, particularly polymers whose molecular
252 mass may become very large (approach ‘*infinity*’); physics cannot predict the stability of
253 chemical compounds when exposed to different environmental conditions (*e.g.* water,
254 temperature, irradiation), and physics cannot predict the methods of their syntheses
255 (Krakauer, 2011).

256 Biochemistry, *i.e.* chemistry applied to compounds synthesized by biological cells,
257 has determined the atomic compositions of biomolecules and biomacromolecules, their
258 3-D spatial structures including their chirality, their chemical and catalytic reactivities *in*
259 *vitro* and their membranous, metabolic, and genetic functions. In particular, biochemistry
260 has established: that all biochemical compounds have the same chemical properties,
261 whether produced enzymatically by cells or by independent synthetic routes of organic
262 chemistry; that all cells have the same but variable polymeric chemistry of nucleic acids,
263 proteins, and carbohydrates, with just a few low molecular weight ‘building blocks’ and
264 intermediates, including membrane-forming lipids and the ‘high-energy’ intermediates
265 such as ATP and GTP; and biochemistry established that there exists a universal genetic
266 code for all living cells and organisms and similar enzymatic mechanisms for regulating,
267 transcribing and translating this code into proteins. Thus, there is a chemical unity to all
268 life, and on that basis, molecular phylogenetics (Zukerkandl & Pauling, 1965; Woese,
269 1998; Pace *et al.*, 2012) suggests the historical existence of Last Universal Common
270 Ancestors (LUCAs), when life began its Darwinian evolution on Earth about 3.5 billion
271 years ago. However, biochemistry cannot predict the infinite number of possible
272 biological cells and multicellular organisms, their appearances and dying-out as species,

273 their interactions with variable environments and with each other, and the results of their
274 continuing (non-equilibrium) evolution in general.

275 Taken together, the above considerations suggest that research at the ‘triple’ overlap
276 of bacteriology, biochemistry and biophysics, could identify, at least operationally, the
277 physicochemical mechanisms of how ‘living states’ come about from lifeless mixtures of
278 biomolecules and biomacromolecules (Harold, 2005).

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280 **1.2. Speculation and facts: *Bathybius haeckelii***

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282 Neither physics nor chemistry have shed much light on the physicochemical
283 mechanisms of the cell cycle that a bacterial cell ‘knows’ so well, extending Albert
284 Claude’s observation (ii) to bacterial cells, and which are embodied in Virchow’s dictum
285 *omnis cellula e cellula*. These ‘unknown’ physicochemical mechanisms are closely
286 related to the ‘old’ questions of the emergence of cells *de novo* – the origin of life and its
287 Darwinian evolution. Darwin was perhaps the first to suggest the emergence of life from
288 lifeless *chemical* matter: he informally speculated that ‘primitive life’ might have
289 emerged on early Earth in a ‘warm pond’ full of ammonium phosphates. (Remarkably,
290 Darwin specified thermophilic conditions and singled out phosphate – the ionic form of
291 phosphorus, which turned out as life’s crucial chemical element – for both metabolism
292 and genetics).

293 How the issue of ‘spontaneous generation of life’ was put to rest by Pasteur’s dictum
294 ‘*omne vivum ex vivo*’ is worth reiterating: Pasteur and his predecessors (*e.g.* Redi,
295 Spallanzani and their ‘mistaken’ opponents) established qualitative (observational) *facts*,
296 a pre-requisite to conduct quantitative and repeatable experiments – and then theorize
297 about them (*cf.* Lord Kelvin’s counsel [i]). Thus Darwin, Pasteur and Virchow regarded
298 the question of life’s emergence *de novo* as too intractable to deal with, being gratified
299 with the *factual* generalization: ‘all life only from life’. Thomas Huxley’s honest
300 ‘mistake’ of regarding soft, gel-like inanimate matter as a new species of ‘primitive life’,
301 *Bathybius haeckelii*, is noteworthy in this regard (Rice, 1983; Welch, 1984).

302 Even though our knowledge of life’s emergence *de novo* has remained speculative
303 (Oparin, 1924; Bernal, 1967; Shapiro, 1986), such speculations do represent the beginning
304 of scientific knowledge, which ought to lead to sound hypotheses and quantitative
305 experimentation (*cf.* Lord Kelvin’s counsel [i]). Starting with Stanley Miller’s
306 experiments (Miller, 1953) that demonstrated the synthesis of small biomolecules under
307 pre-biological conditions (Bada & Lazcano, 2003), speculations about life’s emergence
308 have now begun their transition into new biological sciences:

- 309 (i) **Astrobiology**, which deals with the *historical* issues of the emergence of first cells
310 (Gilmore & Sephton, 2004; Sullivan *et al.*, 2007; Lahav, 1999; Fry, 2000; Deamer,
311 2011); the primary drivers for their emergence were persistently cycling, chemical
312 disequilibria arising from solar irradiations of rotating planetary surfaces (Rothschild,
313 2003; Spitzer & Poolman, 2009; Spitzer, 2013; Stüeken *et al.*, 2013); and
314 (ii) **(Semi)synthetic protocell biology**, which deals with *contemporary* laboratory
315 constructions of protocells (vesicles) that enclose designed systems of genetic circuits
316 and their metabolic and regulatory components (Szostak *et al.*, 2001; Luisi, 2006;
317 Solé, 2007; Mann, 2012; Rassmusen *et al.*, 2008; Nandagopal & Elowitz, 2011;

318 Purnick & Weiss, 2009; Kwok, 2010; Noireaux *et al.*, 2011; Schwille, 2011; Benner
319 & Sismour, 2005; Church & Regis, 2012; Gibson *et al.*, 2010; Elowitz & Lim, 2010).
320 Neither of these approaches has yet demonstrated a plausible emergence or construction
321 of cellular life *de novo*, the inherent technical challenges being compounded by the
322 question ‘What is life?’ (Pross, 2011, 2013; Benner, 2010; Trifonov, 2012; Szostak, 2012;
323 Spitzer, 2013).

324

325 **1.3. Conceptual quandary: the ‘designers’**

326

327 From a physicochemical standpoint, a complex bacterial cell cannot self-(re)assemble
328 *spontaneously* (via attractive non-covalent molecular forces) without the action of
329 external agents or ‘designers’ – be they natural (unintentional), *e.g.* Earth’s dynamic
330 diurnal environments (Spitzer & Poolman, 2009; Spitzer, 2013), or anthropic (intentional)
331 such as the brain’s capacity to ‘design and build’ (Szostak *et al.*, 2001; Gibson *et al.*,
332 2010; Elowitz & Lim, 2010) – or yet to be discovered.

333 This conceptual quandary suggests an experimental approach where the driving force
334 for biological self-assembly and evolution are cycling gradients of temperature, water
335 activity and electromagnetic irradiation acting on mixtures of dead biomolecules and
336 biomacromolecules; specifically, I suggest ‘making life emerge *de novo*’ from a lifeless
337 mixture of *all* biochemicals of which a bacterial cell is composed. Such a biochemical
338 mixture – a ‘dead (unstructured) biotic soup’ (Harold, 2005) – can be obtained by *gently*
339 killing a living bacterial population (*cf.* Albert Claude’s observation [iii] about the
340 *brutality* of killing eukaryotic cells). I briefly discuss how to make biotic soups and
341 recover ‘living states’ from them by applying physicochemical gradients. I conclude that
342 there exists *cycling non-equilibrium continuity* between ‘life’ and ‘non-life’, *i.e.* being
343 alive and reproducing, or being dead (Davey, 2011).

344

345

346 **2. Why do bacterial cells appear so complex?**

347

348 I take a simplifying physicochemical approach to understanding (biological, bacterial)
349 complexity, which has been described in terms such as: emergent behavior, self-assembly,
350 non-linearity, pattern-formation, dissipative self-organized structures, operating ‘on the
351 edge of chaos’, fragile yet robust, hierarchical networks, feedback loops, system control,
352 catastrophic cascades, autocatalytic cycles of ‘replicators’, ‘order out of chaos’,
353 biosystems, etc. (Adami *et al.* 2000; Goldenfeld & Kadanoff, 1999; Schliwa, 2002;
354 Mazzocchi, 2008; Krakauer, 2011; Csete & Doyle, 2002; Hazen, 2005; Van Regenmortel,
355 2004; Bertalanffy, 1950; Qian, 2011; Kell & Welch, 1991; Whitesides & Ismagilov,
356 1999). Some of these concepts, borrowed from network and process control engineering,
357 are realized in solid-state electronic devices of telecommunication and chemical
358 manufacturing processes, including computer-controlled sensors and regulators.

359 Disregarding the issue of ‘design and designers’ for a moment, the chemical basis of
360 electronic devices lies in the semi-conducting properties of doped silicon and similar
361 materials. The obvious difference between the solid-state operation of electronic ‘chips’
362 and the functioning of biological cells is the soft, semi-liquid (partly gelled) nature of
363 cells (Bray, 2005, 2009; Fels *et al.*, 2009; Spitzer & Poolman, 2013), which allows for the

364 possibility of their *natural self-reproduction*: two bacterial cells arise from one by about
365 1500 kinds of parallel and sequential biochemical reactions, sometimes in less than 30
366 minutes if external environment (nutrient medium) is favorable; ‘life’ in the solid state
367 only (no molecular translational motion) where molecules ‘sit’ is harder to imagine
368 ([http://schaechter.asmblog.org/schaechter/2013/03/feynman-said-just-look-at-the-thing-](http://schaechter.asmblog.org/schaechter/2013/03/feynman-said-just-look-at-the-thing-.html)
369 [.html](http://schaechter.asmblog.org/schaechter/2013/03/feynman-said-just-look-at-the-thing-.html)). Biological cells are therefore materially and functionally far more complicated
370 than electronic computers to which they are sometimes likened (Danchin, 2009). Such
371 electronic devices operate in the absence of chemical reactions in *spatiotemporally fixed*
372 *designs* of semi-conducting silicon chips. Still, as a common denominator, both
373 (designed) solid-state electronic devices and (non-designed, evolving) ‘soft’ biological
374 cells conduct *electrical charges* – but of vastly different kinds and by vastly different
375 mechanisms – and generate self-regulated electrical potentials. In that sense, bacterial
376 cells have a ‘brain function’ that has the same physical basis as computers and other
377 biological cells (particularly cells of nerve tissues): the storage and movement of
378 electrical charges, including their ‘creation’ and disappearance by chemical reactions.

379 The physicochemical complexity of bacterial cells lies in several interdependent
380 characteristics: (i) ionic and multicomponent, (ii) multiphase, (iii) ‘crowded’ (highly
381 concentrated), and (iv) self-regulated and re-emergent. The first three characteristics
382 define ‘complex chemical matter’; it can be either *equilibrated* (‘definitely dead’), or
383 *kinetically arrested* away from equilibrium (‘dead’ but able to resume its chemical
384 reactions in the future); or it can be in a *steady state*, chemically reacting but not evolving,
385 its properties being independent of time; or it can be in a *non-steady state*, chemically
386 reacting and *evolving* in time – the pre-requisite state of complex chemical matter from
387 which living states can emerge and evolve. The fourth characteristic is quintessentially
388 biological, as *self-regulation of re-emergence* requires the cyclic maintenance of chemical
389 and physical identity – in biological language, the maintenance and continuation of the
390 genome (nucleoid, plasmids, and even phages) and of the membrane (cell envelope)
391 during the cell cycle. These four elements are further discussed below.

392

393 **2.1. Ionic and Multicomponent**

394

395 Bacterial cells are composed of many kinds of chemical compounds, of a very wide
396 range of ionic character, molecular weights, and hydrophobicity, from small ions and
397 molecules in the sub-nanometer range to ‘enormous’ polyelectrolytes (nucleic acids) in
398 the micrometer range; a great deal of biochemistry has been concerned with their isolation
399 and purification, and with determinations of their chemical compositions, 3-D chemical
400 structures, *in vitro* biochemical reactivity and self-assembly, and their cellular
401 localizations and physiological functions. There are relatively few strictly *uncharged*
402 biomolecules (and no biomacromolecules) in a bacterial cell, except for ‘special cases’ of
403 amphoteric compounds like proteins at their isoelectric points, or zwitter-ionic lipids and
404 similar compounds derived from choline. Uncharged ‘food’ molecules are normally
405 available in ionic form, *e.g.* carbon dioxide as hydrogen carbonate ion, or they are first
406 converted by kinases to anionic organic phosphates in order to become available to cell’s
407 biochemistry.

408

409 In a bacterial cell, there are over 1000-2000 kinds of proteins, many kinds of RNAs,
plus a single DNA polymer, and 3-6 kinds of phospholipids; there are also ‘inorganic’

410 chemicals of non-biological origin that are important reactants or catalysts (widely
411 available from the environment), chief being water, carbon dioxide, nitrogen and oxygen,
412 and inorganic ions, importantly potassium, sodium, magnesium, calcium, iron,
413 bicarbonate, mono- and di-hydrogen phosphates and sulfate and chloride; multivalent
414 cations (Fe, Mg, Ca) are normally sequestered in ‘organic’ molecules and biomolecules,
415 their free concentrations being extremely low. Physicochemical interactions between
416 bacterial biomolecules and biomacromolecules enable the functioning of cellular
417 biological mass, mediated by water and simple inorganic ions. The effects of ions are
418 sometimes manifested in an unexpected manner, *e.g.* the initiation of embryological
419 development of unfertilized sea urchin eggs by a specific solution of mixed salts (Loeb,
420 1899, 1906; Pauly, 1987). Water molecules and simple ions participate in many
421 biochemical reaction pathways (synthetic, degradative and signaling), and in this
422 *chemical* sense cellular life depends critically on *aqueous* (multicomponent) electrolyte
423 (Spitzer & Poolman, 2005; Spitzer, 2011). There is likely no biochemical reaction that
424 does not feature water or some ‘aqueous ionic species’, either as reactants or products, or
425 catalysts.

426 These ‘rough’ compositions, discussed in detail in current textbooks (Neidhardt, *et al.*,
427 1990, White, 2000; Phillips *et al.*, 2008; Schaeter *et al.*, 2006; Kim & Gadd, 2008),
428 support the contention that a bacterial cell operates within an electrochemical paradigm
429 that includes ‘battery-like’ electrolytic conduction (Mitchell, 1979), because essentially
430 all macromolecular components (the nucleoid, protein-protein complexes, protein-RNA
431 complexes and their clusters) are charged and dissolved or dispersed in cytoplasmic water
432 of relatively high ionic strength; changes of ionic strength of external environments are
433 converted to localized ionic changes within the cytoplasm, activating constitutive
434 membrane proteins or genetic circuits within the nucleoid (Higgins *et al.*, 1987; van der
435 Heide *et al.*, 2001). The bacterial membrane is also ionic – it contains anionic lipids
436 (Yeung *et al.*, 2008), including highly charged cardiolipin (Koppelman *et al.*, 2001;
437 Romantsov *et al.*, 2008) and ‘semi-conducting’ membrane proteins. Finally, as viewed
438 from the nutrient medium, the bacterial cell *in toto* is electrically charged, in a complex
439 and patchy manner, as evidenced by cells’ electrophoretic motion in an external electric
440 field (van Loosdrecht *et al.*, 1987; Bayer & Sloyer, 1990; de Kerchove & Elimelech,
441 2005).

442

443 **2.2. Multiphase**

444

445 On account of the physicochemical variety of bacterial biomacromolecules (charged,
446 hydrophobic, hydrophilic), *attractive* non-covalent molecular forces bring about
447 multicomponent ‘phase separations’. The attractive forces responsible for the existence
448 of liquid phases in general are van der Waals forces and ion-dipole and similar ‘Debye’
449 type polar forces; however, the most important ‘cytological’ non-covalent forces are
450 hydrogen bonding (Pauling *et al.* 1951; Eisenberg, 2003), the hydrophobic effect
451 (Tanford, 1980; Southall *et al.*, 2002), and (screened) electrostatic attractions between
452 cationic and anionic biomacromolecules (Schreiber & Fersht, 1996; Halford, 2009). The
453 crucial role of electrostatic attractions is also manifested by the bimodal distribution of
454 isoelectric points of proteins (Spitzer & Poolman, 2009), with peaks in the alkaline pH
455 region (negatively charged proteins) and acidic region (positively charged proteins); such

456 bimodal ('positive-negative') distributions are common across many proteomes (Kiraga *et*
457 *al.*, 2007), suggesting that electrostatically assisted protein clustering (gelation) is a
458 general cytoplasmic phenomenon of many kinds of cells. The effects of attractive forces
459 are modulated by repulsive non-covalent forces, in particular by the excluded volume
460 effect (Zimmermann & Minton, 1993), *i.e.* by the hydration stabilization of 'folded'
461 macromolecules in water (Halle, 2004; Persson & Halle, 2008; Jasnin, 2009; Fenn *et al.*,
462 2009) and by screened electrostatic repulsions between anionic molecular surfaces
463 (Spitzer, 1984; 2003; Poolman *et al.*, 2004; Spitzer & Poolman, 2005).

464 The complexity of this physicochemical situation precludes any chances that a cell
465 could 'self-assemble' *spontaneously* from its components, as shown by a simple
466 Gedankenexperiment: in a large beaker of water, let us separate all the
467 biomacromolecules and biomolecules and ions of a cell to infinity from each other and let
468 then water evaporate from this 'infinitely dilute bacterial broth'. As the water evaporates,
469 attractive non-covalent forces become operative and bring about phase separations;
470 however, it is quite unlikely that a cell would re-form and 'start living' just by letting all
471 the molecular surfaces come into close proximity when water evaporates. We are quite
472 sure that randomly agglomerated and gelled materials will sequentially phase-separate as
473 'dead' chemicals (Walter & Brooks, 1995; Stradner *et al.*, 2004; Kayitmazer *et al.*, 2007).
474 Thus the existence and operation of (fundamental) *non-covalent* intermolecular forces
475 cannot explain how a cell could self-assemble from its components, even though such
476 forces are necessary (but not sufficient) to drive the formation of the membrane and of
477 other biomacromolecular structures.

478 Other factors are at play, the clue being provided by bacterial physiology: the dynamic
479 architecture of a bacterial cell *in vivo* depends on the *rate* of its growth (Neidhardt *et al.*,
480 1990; Scott *et al.*, 2010; Wagner *et al.*, 2009), *i.e.* on the rate of synthesis and degradation
481 of its components, like DNA, proteins, RNAs, ribosomes and its membrane (lipids and
482 membrane proteins). It is on this dynamic process of biochemical reactions that the
483 molecular non-covalent forces act, bringing about particular, time-dependent and
484 localized phase separations of supramacromolecular structures – the dynamic architecture
485 of the cell (Harold, 2005); this dynamic architecture is lost when cells are taken apart for
486 analyses of their biochemical components.

487 Phase separations of any kind imply classical physicochemical concepts of high
488 concentrations of partially miscible (or immiscible) systems and saturated solutions, and it
489 has long been argued, both from biochemical and physicochemical standpoints (Ovadi &
490 Srere, 1999; Zhou *et al.*, 2008) that *in vivo* 'crowding' is an important fact, the
491 consequences of which have been somewhat neglected (Ellis, 2001).

492

493 **2.3. 'Crowded and Supercrowded'**

494

495 On a micrometer scale and on the seconds or minutes timescales, a textbook picture of
496 a bacterial cell can be regarded as a system of four physicochemical 'phases' (each being
497 also multicomponent): (i) the hydrophobic cell envelope of a particular geometrical shape
498 (cocci, rod etc.), the key functional part being a lipid bilayer studded with a high
499 number of many kinds of 'water-insoluble' proteins, (ii) the phase-separated nucleoid,
500 portrayed like a bundle of 'spaghetti' representing anionic DNA helices condensed by
501 cationic proteins and amines, (iii) the ribosomes, phase-separated clusters of proteins and

502 nucleic acids ('water *and* lipid insoluble'), shown as tiny spheres scattered throughout the
503 cytosol (Alberts *et al.*, 2002), or attached to mRNA strands (polyribosomes) that are
504 connected to DNA through RNA polymerase (Schaechter *et al.*, 2006), and (iv)
505 'unstructured' cytoplasm, an aqueous solution or dispersion of proteins, nucleic acids,
506 metabolites and ions. On this micrometer scale, cytoplasmic proteins are not shown
507 because they are too small; at greater spatiotemporal resolutions, proteins and their
508 (hetero)dimers and multimers (complexes) are shown 'randomly crowded' (Goodsell,
509 2010), representing ~ 25% of the volume of the cytoplasm (Zimmerman & Trach, 1991).
510 An important intracellular phenomenon is polymer incompatibility of hydrated nucleoids,
511 plasmids and phages (mostly nucleic acids) with crowded cytoplasmic proteins
512 (Valkenburg & Woldringh, 1984; Woldringh & Nanninga, 2006); this represents a major
513 large-scale phase separation (compartmentation, or biomacromolecular localization)
514 within the bacterial cytoplasm, a precursor phenomenon to the formation of the fully
515 compartmentalized nucleus of eukaryotic cells.

516 Zooming down to nanometer scale and microseconds and longer timescales,
517 biomacromolecular surfaces can be considered as smoothed-out, or averaged out, into
518 four kinds of distinct physicochemical patches (Wang *et al.*, 2011; Spitzer, 2011):
519 hydrophilic (capable of hydrogen bonding with water molecules), hydrophobic (unable to
520 be accepted into the hydrogen-bonded network of water molecules), and positively or
521 negatively charged (Poolman *et al.*, 2004). These 'smooth' chemical patches give rise to
522 repulsive and attractive forces that determine to what degree a biomacromolecule is
523 attracted to and oriented vis-à-vis its neighbors, *i.e.* its clustering propensity with other
524 biomacromolecules, which can result in the formation of a gel phase of variable
525 mechanical (viscoelastic) strength.

526 Simple physical models of such high crowding (Phillips *et al.* 2009; Spitzer &
527 Poolman, 2009, Goodsell, 2010; Spitzer 2011) show that cytoplasmic biomacromolecules
528 are essentially 'touching' *in vivo*, and thereby interacting strongly via repulsive non-
529 covalent molecular forces (hydration and screened electrostatic repulsions) and thus
530 avoiding catastrophic (fatal) large-scale agglomerations or precipitations (Pastore and
531 Temussi, 2011). The functional clustering of biomacromolecules has been also theorized
532 by the concept of metabolons, where reactants and products are shuttled between the
533 catalytic sites within the cluster without bulk diffusion in the cytoplasm (Srere, 1985;
534 Mathews, 1993, Ovadi & Srere, 1999), as modular hyperstructures (Norris *et al.*, 2007;
535 Hartwell *et al.* 1999), and as various 'omes of system biology, such as FtsZ divisome
536 (Lutkenhaus *et al.* 2012) which is synthesized and assembled before cell division takes
537 place; some 'omes' have now been rendered visible (Bakshi *et al.*, 2012; Fu *et al.*, 2010;
538 Greenfield *et al.*, 2009).

539 Within the supramacromolecular 'supercrowded clusters', also described as a
540 'sieve-like meshwork' of cytoskeleton and other proteins (Mika *et al.* 2010) or a
541 'poroelastic network' in the case of eukaryotic cells (Charras *et al.*, 2008), the
542 biomacromolecules are separated by narrow channels filled with percolating multi-ionic
543 electrolyte solution that hydrates (stabilizes) biomacromolecular surfaces and thus
544 maintains their biochemical and 'architectural' functions (Harold, 2005). Such channels
545 are theorized to have semi-conducting electrolytic properties, *i.e.* the capability to sort out
546 and direct both inorganic ions and biochemical ions into different parts of the cell. These
547 channels could be considered as transient 'microfluidic *electrolytic* integrated circuits',

548 ultimately ‘programmed’ by the nucleoid (genome). The semi-conductivity of these
549 channels is based on the solution of a system of differential Poisson-Boltzmann equations
550 applied to interacting planar anionic surfaces with an electrolyte in between them; under
551 certain conditions – high negative potentials at a given temperature for a given set of
552 charges, the electrolyte space between the surfaces becomes conductive only to cations,
553 with anions being prevented to enter the space between the anionic surfaces (Spitzer,
554 1984; Spitzer, 2003; Spitzer and Poolman, 2005). Phosphorylation reactions, which
555 increase the negative potential within the channels, thus act as ‘switches’ that direct and
556 sort out anions (according to their charge), *e.g.* ATP, ADP etc., toward more positive
557 potential regions within the supercrowded clusters; at the same time, they change the
558 morphology of the clusters by increased screened electrostatic repulsions (Spitzer, 1984;
559 2003).

560 The crowding *in vivo* can significantly increase, up to ~50% volume fraction, in
561 hyperosmotic media, when the cell loses water; protein sensors and transporters within the
562 cell envelope then become activated and import osmolytes (potassium ions, glycine
563 betaine, trehalose, etc.) in order to reduce the osmotic outflow of water into the nutrient
564 medium (van den Bogaart *et al.*, 2007; Morbach & Krämer, 2002; Wood, 2011), and thus
565 render plasmolysis less severe. Astonishingly, *Escherichia coli* cells can re-adjust and
566 resume growing, albeit more slowly, under such extreme ‘supercrowded’ (hyperosmotic)
567 conditions (Konopka *et al.* 2009), provided that the increase of crowding is performed in
568 a step-wise sequential manner, and the cells have time to restructure its spatiotemporal
569 architecture – to adapt to higher crowding conditions, when there is just about a
570 monolayer of water of hydration around biomacromolecular surfaces (Cayley *et al.*,
571 1991); however not all cells appear to survive in such experiments, reflecting the
572 heterogeneous nature of bacterial (biological) populations.

573 The large concentration of cytoplasmic hydrated biomacromolecules has been
574 theoretically treated as the repulsive excluded volume effect, which predicts increased
575 stabilization of protein structures (Zhuo *et al.*, 2008) and increased tendency to form
576 biomacromolecular complexes – various (hetero)multimers of proteins or proteins with
577 nucleic acids; this effect has been investigated *in vitro* with polymeric ‘crowders’ (*e.g.*
578 dextrans, polyethyleneglycols, etc.) as model polymers to simulate the crowding inside
579 living cells. While this approach has been partly successful, it has now become apparent
580 that *attractive* non-covalent forces between biomacromolecules need to be also
581 considered: there is a ‘thin line’ between biochemically regulated clustering (gelling) and
582 non-functional (fatal, irreversible) aggregation of proteins and nucleic acids (Pastore and
583 Temussi, 2011; Jiao, *et al.*, 2010; Ellis & Minton, 2006; Miklos *et al.* 2010; Wang *et al.*,
584 2011; Harding & Rowe, 2010; Schlesinger *et al.*, 2011; Boquist & Gröbner, 2007;
585 Gierasch & Gershenson, 2009).

586

587

588 **2.4. Self-regulating and re-emergent**

589

590 The above description of the physicochemical complexity of a bacterial cell – ionic
591 and multicomponent, multiphase, and crowded, is made more complicated by the fact that
592 during the cell cycle there are about 1000-2000 concurrent and sequential biochemical
593 reactions within the cell and between the cell and the environment; these biochemical

594 reactions are rather well-synchronized and regulated to yield physiological processes,
595 such as: (i) the sensing of the extracellular environment and the import of nutrients into
596 the cell by the cell envelope, (ii) the conversion of the extracellular signals into
597 cytoplasmic signals and their reception by the cytoplasmic side of the membrane and by
598 the nucleoid (iii) biosynthesis of low molecular weight ‘building blocks’ including
599 ‘fueling molecules’ such as ATP and GTP (iv) activation/deactivation of constitutive
600 membrane proteins for immediate responses to environmental inputs, (iv) gene activation
601 and silencing, and transcription, (iv) biosynthesis of ribosomes (v) translation via
602 ribosomes, (vi) the initiation, control and termination of the enzymatic replication of the
603 nucleoid and plasmids, and (vii) the cell division and other morphological movements
604 (shrinkage, invagination, budding, adhesive gliding, sporulation, etc.)

605 There can be little doubt that coulombic (electrostatic) interactions and electrolytic
606 semi-conduction play a major role in regulating such complex processes on a global
607 cellular scale; this is so because ‘naked’ electrostatic forces (Coulomb’s law, eq. 1) are
608 both very *strong and long-range* compared to *all* other non-covalent molecular forces.
609

$$610 \quad F \propto \frac{q_1 q_2}{\epsilon r^2} \quad (1)$$

611
612 Here, the force F is inversely proportional to the dielectric constant ϵ of the solvent and to
613 the square of the distance r separating the charges q_1 and q_2 . The cell *must* (and does)
614 operate in an aqueous electrolyte of a relatively high ionic strength in order to shorten the
615 range of naked coulombic forces (eq. 1), and thus make them *commensurate* with other
616 non-covalent molecular forces (especially hydration) on the scale of a little below one
617 nanometer (Spitzer & Poolman, 2009). The Debye-Hückel theory then modifies
618 Coulomb’s law, eq. (1) approximately by the exponential term as
619

$$620 \quad F \propto \frac{q_1 q_2}{\epsilon r^2} \exp(-\kappa r) \quad (2)$$

621
622 Ionic strength is proportional to the square of the Debye constant κ , the inverse of which
623 $1/\kappa$, is a measure of the effective range of screened electrostatic interactions: the higher
624 the ionic strength, the faster the screened electrostatic forces decay. The high dielectric
625 constant ϵ of water *reduces* the magnitude of electrostatic forces over any range of
626 distances, be they ‘naked’ or screened (Phillips *et al.*, 2009; Spitzer & Poolman, 2009);
627 the high dielectric constant of water and its unique temperature dependence thus selects
628 water as the biological solvent – there are no other solvents with similar or higher
629 dielectric constants so readily available, providing an environment ‘fit for life’
630 (Henderson, 1913; Ball, 2008; Poolman & Spitzer, 2009). The commensuration of
631 screened electrostatic forces, hydration and high biomacromolecular crowding suggests
632 that the well-established 2-D membrane vectorial biochemistry is extended into 3-D
633 vectorial biochemistry within the cytoplasm, particularly on the cytoplasmic side of the
634 membrane (Spitzer, 2003; Poolman *et al.*, 2004; Spitzer & Poolman, 2005, 2009; Spitzer
635 2011; Spitzer & Poolman, 2013).

636 It is not coincidental that when a bacterial cell is stressed (*e.g.* by starvation), the
637 electrostatically highly charged molecules of (p)ppGpp are synthesized in order to ‘alarm

638 the cell ‘electrically’, ringing the doorbell so to speak, which initiates the *stringent*
639 *survival response* on a global cellular scale; such response includes the re-switching of
640 genetic circuits, progressive shutting-down of transcription and ribosome biosynthesis, the
641 densification of the nucleoid, the shrinking of the cell volume, etc. (Neidhardt *et al.*, 1990;
642 Potrykus and Cashel, 2008; Siegele & Kolter, 1992; Frenkiel-Krispin *et al.*, 2004).

643 Fundamentally, a bacterial cell, at any instant of its growth, can be viewed as a system
644 of biochemically reacting carriers of electrical charge: charged proteins and nucleic acids
645 dissolved and dispersed in an aqueous electrolytic solution, separated from the
646 environment by a charged lipid bi-layer containing anionic lipids and ‘semi-conducting’
647 protein transporters and channels (and a host of other proteins); localized biochemical
648 reactions then ‘charge and discharge’ the membrane, which acts as a (‘leaky’) electrical
649 *viscoelastic capacitor*, maintaining variable membrane potential and creating a multitude
650 of chemiosmotic signals on the cytoplasmic side of the cell envelope (Mitchell, 1979).
651 Some of these signals are then transmitted ‘tangentially’ along the cell envelope to control
652 for example the motion of the flagellum, and some are transmitted to the nucleoid to
653 regulate gene expression, and thereby cell’s growth, manifested by bio-electromechanical
654 (morphological) movements of the cell (Morris & Jensen, 2008).

655 In order for such a complex system to work reasonably reproducibly during the cell
656 cycle (as it does), biochemical reactions have to be localized within the cell and within the
657 cell envelope. A relatively simple physicochemical mechanism to localize
658 biomacromolecules is for them to become incorporated in 2-D ‘rafts’ within the cell
659 envelope (Bray, 2005; López & Kolter, 2010) and in ‘supercrowded’ 3-D gels within the
660 cytoplasm, as hypothesized by the sol-gel model (Spitzer & Poolman, 2013). In this
661 model, ‘supercrowded’ biomacromolecular clusters (gels) are associated mainly with the
662 cytoplasmic side of the cell envelope, and the nucleoid is situated in the middle, though
663 many other spatiotemporal arrangements are possible; a similar interpretation was given
664 to protein diffusion data in mitochondria (Partikian *et al.*, 1998).

665 The biomacromolecular gel formation (supercrowding), and the reverse process of gel
666 liquefaction, are controlled by biochemical signaling reactions that increase or decrease
667 hydrophobic and screened electrostatic attractive interactions (*i.e.* epigenetic
668 modifications or ‘processing’ of nucleic acids and proteins during or after their
669 biosynthesis); for example, methylations and dephosphorylations increase non-covalent
670 attractions (gel formation) and the reverse reactions increase repulsions (gel liquefaction).
671 Such regulated sol-gel transitions contribute to the morphological changes of the cell,
672 along with the forces generated by the electrical charging and discharging of the lipid
673 bilayer membrane (viscoelastic capacitor).

674
675

676 **3. Experiments with ‘bacterial biotic soup’**

677

678 As the above descriptions show, any bacterial cell cycle is a very complicated process
679 taking place under molecularly crowded conditions with myriads of physicochemical
680 interactions. The molecular details of these interactions are currently being untangled by
681 the approaches of system and synthetic biology – the ‘furry balls’ of interactomes,
682 transcriptomes and other ‘-omes’, and the ‘dumpling soups’ of signaling pathways
683 (Lewitzky *et al.*, 2012; Tompa & Rose, 2011), as well as by computational approaches

684 and modeling of the whole cell or its particular physiological functions (Elcock, 2010;
685 Cossins *et al.*, 2011; Goldman *et al.*, 2009).

686 To cut through such formidable complications when considering the living cell *in toto*,
687 I suggest an experimental approach – a short-cut in effect, to reconstructing ‘life’, *i.e.* a
688 general, open-ended experimentation with ‘biotic soups’, *cf.* Introduction. The biotic soup
689 experimentation would take place in two stages; first, the making of ‘biotic soups’, and
690 second, subjecting them to cyclic gradients of temperature, water activity, radiation (UV,
691 visible) etc., echoing the cyclic temperature gradients of the polymerase chain reaction
692 (PCR). The cycling gradients also simulate Earth’s diurnal cycling as the primal force
693 that brought about the historical emergence of cellular life and has contingently driven its
694 evolution since (Rothchild, 2003, 2008; Spitzer & Poolman, 2009; Spitzer, 2013), as
695 evidenced by the circadian clocks of many types of cells, including bacterial cells (Wijnen
696 & Young, 2006). I thus argue for: (i) development of laboratory protocols to grow and
697 disassemble bacterial cells (by physical means) from a ‘living’ state to a ‘dead’ state, and
698 (ii) development of protocols to recover ‘living states’ from such disassembled bacterial
699 parts, principally by cycling gradients of temperature, water activity, with a judicious
700 ‘feeding’ of nutrients.

701 This approach requires expertise in growing, characterizing and manipulating living
702 and dead bacterial populations, hence I discuss this topic only briefly in the form of
703 questions, which bacteriologists and biotechnologists can address with the requisite
704 knowledge in order to develop more detailed experimental designs.

705

706 **3.1. Making ‘biotic soup’**

707

708 Any bacterial population, possibly in a biofilm, can be lysed, yielding a lysate or a
709 dead ‘biotic soup’ for further characterization and experimentation; the ‘biotic soup
710 provides all the necessary chemicals more or less in the right proportions from which a
711 ‘living state’ could arise, not necessarily in the form or growth habit of the original
712 bacterial species. The following questions need be carefully considered:

- 713 (i) *Bacterial species*. Which bacterial populations (species, strains, metabolic life-styles,
714 etc.) to select: ‘minimal’ gene and physical size, or a specific viable strain, L-
715 forms (Leaver *et al.*, 2009; Diennes & Sharp, 1956), thermophiles, photon-
716 dependent autotrophs? Non-oxygen respiring cyanobacteria may provide the most
717 suitable model in relation to the origin of life questions, being able to grow
718 without complex organic molecules, and being naturally transformable?
- 719 (ii) *Growth conditions*. Which nutritional medium to select or design? Making this
720 choice is closely related to the above question; some minimal medium would be
721 the most suitable from the standpoint of the origin of life research, though not
722 necessarily from the point of finding the physicochemical ‘laws’ that describe the
723 conditions of life’s emergence.
- 724 (iii) *The method of killing*. How to terminate a living bacterial population? How to lyse a
725 bacterial population? A method needs be designed in which no ‘unnatural’
726 chemicals, such as surfactants, solvents or enzymes are added; physical means are
727 preferred, such as the lack of specific nutrients (starvation), a mild hypo-osmotic
728 shock, various degrees of sonication, and different rates of freezing in a variety of

- 729 nutrient media of different water activities, slow evaporation of water, and any
730 combination of such approaches.
- 731 (iv) *Timing of killing.* At which point in the growth curve to ‘kill’? Mid-point
732 exponential, early on in the population growth with sufficient ‘food’ left over, or a
733 stationary stage, or some particular state of nutritional or environmental stress?
- 734 (v) *Is the biotic soup dead?* What would be the assay for making sure that the biotic soup
735 is ‘really dead’? (Davey, 2011). Not an easy experimental question, though
736 current microbiological protocols would be a good starting point. [An aside:
737 Would we conclude that a biotic soup was ‘primitively alive’, if a virus or phage
738 were to multiply in it under cycling temperature conditions?]
- 739 (vi) *What would be the supramacromolecular composition of the biotic soup?* Most
740 likely, there will be ribosomes, plasmids and nucleoids, all partly broken, with
741 partly attached ‘broken’ cell envelopes (Tremblay *et al.*, 1969; Firshein, 1989;
742 Zimmerman & Murphy, 2001); their kinds and concentrations will depend on the
743 particular selections above.
- 744

745 The composition of biotic soups is clearly very complex and new characterization
746 methods will need be developed, for example ‘gentle’ centrifugal fractionation to obtain
747 new kinds of ‘less complex’ fractions. Because extant bacterial populations are
748 biochemically robust with redundant systems to survive, some simplification of biotic
749 soups may be possible and in fact desirable in order to better understand the essential
750 principles of ‘recoverable’ living states.

751

752 **3.2. ‘Putting Humpty-Dumpty together again’**

753

754 According to the notion that Earth’s diurnal cycles, yielding a multitude of cyclic
755 physicochemical gradients, were in effect the ‘designers’ of living systems, such gradients
756 may also perform ‘repeat’ experiments with (simplified) biotic soups in continuous
757 (evolutionary) attempts to re-construct some form of a living system. Thus, in the
758 temperature upswings the structures will tend to diminish (dissolve and/or dissociate
759 more, though not necessarily), and in the temperature downswing, they might re-appear,
760 perhaps in a different manifestation (structural details), given the supramacromolecular
761 nature of the system. Also, the cycling temperature regime provides energy when the
762 temperature goes up; this mechanism speeds up chemical reactions, and also plays a role
763 in coupling signaling reactions and sol-gel phase transitions.

764 Thus cyclic temperature gradients both *shape* supramacromolecular colloidal
765 structures needed for ‘life’ (through non-covalent attractive and repulsive interactions
766 inherent in biomacromolecular crowding) and *drive* some biochemical reactions more
767 than others; in other words, the stoked biotic soup may ‘live’ when the temperature goes
768 up, and become dormant or dead when the temperature goes down. This model could
769 represent Darwin’s primitive ‘semi-life’, dependent directly on external ‘heat stoking’
770 metabolism, though such a semi-life may not be readily recognizable by current
771 biochemical assays.

772 As with the preparation of the biotic soup, there are a number of questions to consider
773 regarding the ‘stoking’ regimes:

- 774 (i) What would be the starting volume fraction of all biomolecules and
775 biomacromolecules? We could start with a very dilute system as in *in vitro*
776 biochemistry or with a very crowded (*in vivo* range of crowdedness) or even with a
777 supercrowded system; this will depend on the lysing methods and other assay
778 methods. Thus the ‘biotic soup’ could be stoked in an open evaporating system in
779 order to bring it to the *emergent crowding transition* (Spitzer and Poolman, 2009), or
780 it could be stoked supercrowded at, say, a 70% volume fraction while judiciously
781 adding water and nutrients (Spitzer & Poolman, 2013); alternatively, if the final
782 system is in the range of *in vivo* volume fractions (20 -50%), then a closed system
783 with constant volume fraction might be in order.
- 784 (ii) How to design the reactor as an ‘evolving chemostat’? How to synchronize feeding
785 regimes of nutrients with cooling/heating cycles? How to determine biochemical
786 conversions (the ‘growth of the biotic soup’) and ‘define’ operationally a living state?
- 787 (iii) How would the final ‘structure’ of such a biotic soup (in the 30 -50% range of
788 volume fractions) depend on the frequency and amplitude of the temperature cycles?
789 Again, these choices will have to be made in the light of the biochemical suitability
790 of bacterial species to withstand large temperature changes without denaturing the
791 functional conformations of key biomacromolecules; hyperthermophiles may be a
792 suitable choice here.
- 793 (iv) How could we detect the formation of transient supramacromolecular structures, and
794 membranes with integral proteins, and their biochemical activity?
- 795 (v) How could we monitor the structural state of the chromosome, and its replicating
796 activity?
- 797 (vi) What effects could be observed by increasing the ATP/ADP ratio? It is hypothesized
798 that increasing the ATP/ADP ratio could ‘jump-start’ transient reaction loops, when
799 the biotic soup reaches the ‘emergent’ level of biomacromolecular crowding; when to
800 start ‘feeding’ the emerging living state?
- 801 (vii) What would be the criteria to distinguish between the living, comatose, sick or
802 injured, dormant, viable but non-culturable, and dead?

803

804 Any emergent living state may not readily materialize in the classical prokaryotic
805 physical forms (cocci, rod etc.) because the formation of a *closed cell envelope with a*
806 *lipid bilayer membrane* is expected to be the most challenging phenomenon to bring about
807 and manipulate by cycling physicochemical gradients: most likely, the cycling
808 experiments need to be done in the ‘supercrowded’ regime (Spitzer, 2011; Spitzer &
809 Poolman, 2013), when ‘super-cells’ (with imperfect internal compartments and multiple
810 chromosomes, in effect ‘proto-biofilms’) will initially start assembling and disassembling.
811 Such unstable pre-Darwinian ‘barely living states’ may be identified as progenetic, *i.e.*
812 without established heredity and organismal lineages – with rampant horizontal gene
813 transfer – but with a stable (no longer evolving) genetic code (Woese & Fox, 1977; Fox
814 2011; Fox *et al.*, 2012).

815

816 **4. Bacterial cells as evolving chemical micro-reactors**

817

818 The model of a sol-gel, battery-like workings of a cell as a ‘*viscoelastic capacitor*’ is
819 consistent with a more general thermodynamic view of a growing cell as an open

820 thermodynamic system that exchanges energy and materials with the surroundings in
821 accordance with the laws of chemistry and physics (Hinshelwood, 1946; von Bertalanffy,
822 1950; Qian, 2006). In practice, such open non-equilibrium thermodynamic systems are
823 industrial chemical reactors, and it is therefore useful to compare the operation and
824 regulation of a chemical reactor with that of a bacterial cell. This comparison has its
825 limitations, just as comparing a cell to the hardware and software of a computer can be
826 stretched only so far; still, such comparisons do illuminate the workings of a cell as a
827 complex self-programmed chemical engineering process, which, as any such process, is
828 prone to stochastic and contingent errors, malfunction and even catastrophic upsets.

829

830 **4.1. Ideal bacterial cell**

831

832 Admittedly, a bacterial cell is a very peculiar chemical micro-reactor; compared to an
833 industrial chemical reactor; there are two obvious differences: (i) the walls of a chemical
834 reactor have fixed shape and stay constant and are not part of the chemical production as
835 compared to the cell envelope (however they are ‘permeable’ as raw materials go in and
836 the product gets out in a regulated and prescribed manner), and (ii) the solid-state
837 computer with an appropriate program that regulates and controls the reactor resides
838 outside and is not part of the production either, as compared to the ‘nucleoid program’
839 that is inside the cell. In both cases there are many sensors and regulators that monitor and
840 control the process inside and outside.

841 The operation and regulation of this unusual chemical micro-reactor can be elaborated
842 with the help of an ‘ideal biological cell’, similar to the concept of ideal solution of
843 physical chemistry. The ideal biological cell has two characteristics: a) its operation and
844 regulation (growth and division) are without errors, and b) its environment is ‘infinite’
845 and unchanging. Under such ideal conditions, only ‘mathematically’ exact, non-evolving
846 copies of the ‘mother cell’ will be produced; in other words, the ideal bacterial cell does
847 not evolve. In the real world, there are cell-cycle process errors that arise from various
848 sources, particularly from unexpectedly variable environments (composition, temperature,
849 irradiation, etc.) and from direct interactions (contact) with other cells.

850

851 **4.2. The process errors of the cell cycle**

852

853 When the process errors in the cell cycle are *negligibly small*, one cellular micro-
854 reactor will give rise to two copies with vanishingly small differences – only *ideal*
855 *biological* cells are then produced, and the micro-reactor will not have effectively
856 evolved. In chemical engineering language, the production is within the product’s
857 specifications, for example the level of unreacted starting materials (and other impurities)
858 is acceptably small.

859 Larger process errors (but non-fatal) make the difference between the two copies quite
860 apparent – the bacterial micro-reactor will have evolved, yielding one or both new cells
861 somewhat dissimilar to the original cell; in chemical engineering language the product is
862 out of specification – it is a different product, which could have better or worse
863 characteristics compared to the original (but in any case it cannot be sold in normal
864 manner!); in bacteriological language, this means that the cellular DNA has changed,
865 producing different strains or phenotypes – the essence of biological evolution (*cf.*

866 Griffith's experiment with *Streptococcus pneumonia*, indicating a large scale DNA
867 change by natural transformation; or a single nucleotide mutation, A→T in the
868 GAG→GTG codon incorporating glutamic acid instead of valine in the sickle cell
869 hemoglobin).

870 Very large errors bring about catastrophic failures – the death of bacterial cells; in
871 chemical engineering language, the reactor becomes non-operational (may even cause an
872 industrial accident), as it was not designed to cope with such unexpected (erroneous)
873 inputs. Such 'fatal' errors may come from large and sudden changes in the environment
874 when the process control computer's capability becomes compromised – or in Darwinian
875 language, the genome's performance has not yet reached the required evolutionary stage
876 to successfully cope with new conditions, *i.e.* to ensure its own survival; the bacterial
877 'species' may then die out if new environmental conditions prevail for too long. It is not
878 inconceivable that large errors may, albeit very rarely, bring about significantly different
879 but still functioning (perhaps poorly) cellular micro-reactors that can 'dynamically
880 re-stabilize' and evolve into new kinds of cells, *e.g.* by 'fusion' of simple cells,
881 incorporating internal lipid surfaces and multiple chromosomes. Eukaryotic cells did
882 likely arise this way (Sagan, 1967); their multicellular assemblies are now 'hi-tech'
883 (highly evolved) engineering designs of 'wheels-within-wheels', based on the unit
884 bacterial cell design from a long time ago.

885 Darwinian evolution then appears naturally as a consequence of non-ideal cellular
886 reproduction – an 'engineering realization' of an open, non-equilibrium (non-linear)
887 thermodynamic process, the results of which, for a given genome, are contingent upon
888 physicochemical and biological characteristics of the environment.
889

890 **4.3. Is Darwinian evolution directional toward increasing complexity?**

891
892 There is little doubt that over the last 3.5 billion years, biological evolution has
893 progressed toward more and more complex cells and multicellular organisms – toward
894 greater complexity, or Darwin's 'endless forms most beautiful', as if by intentional
895 design. The chemical engineering comparison between the error-prone bacterial cell
896 cycle and error-prone chemical manufacturing processes explains qualitatively the
897 apparently intentional direction of evolution. Over the last 3.5 billion years there were
898 many more 'fatal' cell cycle errors (there were no 'intelligent' chemical engineers) that
899 led to the extinction of many cells and organisms; only a small fraction of minor non-fatal
900 errors (and very, very few *major* non-fatal errors) led to an 'improved' physicochemical
901 performance of the cell, or to new kinds of cells; such 'improvements' allowed greater
902 chances of maintaining cells' chemical and physical identity (survival) over an increasing
903 range of extreme environmental gradients (or 'insults' in biological language); the
904 cytoplasmic homeostasis has evolved in this way– the maintenance and regulated
905 restructuring of the cytoplasm during variable rates of growth under changing
906 environmental conditions.

907 Darwinian evolution is thus an *inevitable* result of physicochemical processes, and the
908 direction toward the complex and the sophisticated is *natural*, together with the 'less
909 appealing' corollary – the dying-out of less evolvable (physicochemically) cells and
910 organisms, or them ending up as 'living fossils' evolutionarily stuck in particular
911 environmental niches. The longer the evolutionary time of 'fit-for-life' environments

912 (Henderson, 1913) – now at 3.5 billion years and ticking, the greater the chances of ‘still
913 better’ multicellular systems keeping on evolving.

914

915 **4.4. The future of *Homo sapiens*’s evolution**

916

917 With the advent of synthetic biology, however, the contingent unintentional
918 Darwinian evolution will cease in the next 1000 years, as *intentionally* designed genetic
919 improvements will become the accelerating evolutionary mechanism for *Homo sapiens*
920 (Church and Regis, 2012). More research is needed on how the brain works as a highly
921 evolved ‘computer *wetware*’, as well as the neuronal connections between the brain and
922 the sensory organs that monitor and respond to the environment. ‘Watson’, the *hardware*
923 solid-state computer, did great playing *Jeopardy* – but will ‘he (or she or it)’ think of
924 something tomorrow? (Bray, 2009; 2012)

925 Other dramatic variations on the ‘wet’ version of the ‘Watson’ theme are likely to
926 materialize soon, and are in fact currently in progress. For example, the original robots
927 (superficially unrecognizable from humans), their body parts being made in ‘cooking’
928 vats in the play R.U.R. (*Rossum’s Universal Robots*), are beginning to be synthesized: *cf.*
929 advances in research on human embryonic stem cell (Yirme et al., 2008); another non-
930 Darwinian evolutionary track is being made possible via *Robocops* and similar ‘cyborg’
931 manifestations: *cf.* current progress in ‘electrical’ interfacing of the brain of the disabled
932 with new mechanical (solid-state) robotic arms. (Hochberg et al. 2012).

933

934

935 **5. Conclusions and perspectives**

936

937 Biochemical biotic soups represent realistic (and inexpensive) experimental systems
938 to study the cycling (non-linear, non-equilibrium) biological complexity and the origin of
939 living states through the action of cycling physicochemical gradients. Even though this
940 approach resembles Fred Hoyle’s parable of a rotating tornado trying to assemble a
941 Boeing aircraft from its junked parts (a non-chemical non-sequitur in any case), the
942 experimental goal of assembling a living state from *actual* (not necessarily synthetic)
943 bacterial parts seems worthwhile: to learn how to transit from ‘dead’ to ‘being alive and
944 reproducing’ and *vice versa*. The advantage of the biotic soup as a complex experimental
945 system is that it is ‘close’ to real living systems in its chemical composition. There is a
946 great number of possible designs of ‘biotic soup’ experiments; a combined
947 bacteriological, biochemical, biophysical and biotechnological expertise is needed –
948 particularly in practical aspects of ‘closed membrane, battery-like’ bioenergetics
949 (chemiosmotics) and its interactions with the genetics of the nucleoids, plasmids and
950 phages.

951 The biotic soup experimentation will motivate the development of new protocols to a)
952 terminate bacterial life to ‘different degrees’, b) to clearly understand the molecular and
953 physicochemical causes of bacterial ‘death’, and c) to identify systems in which ‘living
954 states’ could be detected under cycling non-equilibrium conditions. In principle, we
955 could discover how to ‘stoke’ a multicomponent, multiphase and crowded biochemical
956 system into a dynamic semi-biological existence, perhaps in the form of (fast evolving)
957 progenotes.

958

959

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