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2	Strain-level differences in gut microbiome composition determine
3	fecal IgA levels and are modifiable by gut microbiota manipulation
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### 27 Abstract

28 Fecal IgA production depends on colonization by a gut microbiota. However, the bacterial 29 strains that drive gut IgA production remain largely unknown. By accessing the IgA-inducing 30 capacity of a diverse set of human gut microbial strains, we identified Bacteroides ovatus as the 31 species that best induced gut IgA production. However, this induction varied biomodally across 32 different B. ovatus strains. The high IgA-inducing B. ovatus strains preferentially elicited more 33 IgA production in the large intestine through both T-cell-dependent and T-cell-independent B 34 cell-activation pathways. Remarkably, a low-IgA phenotype in mice could be robustly and 35 consistently converted into a high-IgA phenotype by transplanting a multiplex cocktail of high 36 IgA-inducing B. ovatus strains but not individual ones. Thus, microbial strain specificity is 37 essential for the optimal induction of high-IgA responses in the gut. Our results highlight the 38 critical importance of microbial strains in driving phenotype variation in the mucosal immune 39 system and provide a strategy to robustly modify a gut immune phenotype, including IgA 40 production.

### 42 Introduction

43 Immunoglobulin A (IgA) is the most abundant mucosal antibody and plays an essential role in maintaining gut homeostasis as well as other physiological processes<sup>1-3</sup>. Secretory IgA, for 44 45 example, can limit the access of bacteria and bacteria-derived toxins to intestinal epithelial cells<sup>4,5</sup>, facilitate the clearance of bacteria that have breached the mucosal barrier<sup>6-8</sup> and 46 regulate the colonization of bacteria in the mucosal lining<sup>9,10</sup>. In addition, IgA can also bind 47 disease-associated gut microbiota<sup>11-13</sup>. Conversely, the gut microbiota and its metabolites drive 48 the production of IgA as germ-free (GF) mice have an almost undetectable level of fecal IgA<sup>14</sup>. 49 Upon bacteria colonization, even with a single bacterial strain<sup>15-17</sup>, B cells undergo class-switch 50 51 to IqA<sup>+</sup> cells in gut-associated lymphoid tissues (GALT), which include Peyer's patches (PP), 52 isolated lymphoid follicles (ILF) and mesenteric lymph nodes (MLN), and in the gut lamina propria (LP)<sup>8,18</sup>. Much of the intestinal IgA is bacteria-specific<sup>15,16,19</sup>, and the B-cell repertoire is 53 highly influenced by the microbiota composition<sup>20</sup>. To date, a few murine derived bacterial 54 55 species have been identified as being able to enhance or reduce intestinal IgA production<sup>21-25</sup>. 56 However, key questions regarding the impact of microbiota in this process remain largely 57 unanswered including the importance of colonization order, the contribution of individual 58 bacterial species versus that of microbial communities, the potential to modulate IgA production 59 by altering gut microbiota composition with commensal organisms, and the role of each microbial species in the development of  $IgA^+$  B cells in specific tissues<sup>8,26</sup>. 60

Apart from IgA-secreting cells, the gut microbiota has the capacity to influence numerous other immune cell populations including colonic regulatory T cells<sup>27-29</sup>, IL-17 producing T helper cells<sup>30</sup>, and macrophages<sup>31</sup>. Importantly, many of these responses seem to be bacterial strainspecific as communities with comparable species composition can drive gut immune responses characterized by largely different cell compositions<sup>32</sup>. These discoveries indicate that manipulation of the gut microbiota, with appropriate bacterial strains, represents a potential therapeutic pathway for the treatment of diseases including inflammatory bowel disease,

rheumatoid arthritis and multiple sclerosis through shaping the host immune system<sup>33</sup>. Although the studies of microbiota-based therapeutics (MT) and fecal microbiota transplantation (FMT) have heavily focused on the engraftment of the transmitted microbiota and its influence on the composition of the recipient microbiota<sup>34-37</sup>, the clinical application of microbiota manipulation as an immunomodulatory strategy will require combinations of bacterial strains optimized for the induction of specific immune phenotypes that are robust to the interpersonal variation in the preexisting microbiota of each recipient.

75 Here we demonstrate that, upon transfer into GF mice, human isolates of the Bacteroides 76 ovatus species, one of the most common human gut commensals, are uniquely capable of 77 inducing high mucosal IgA production compared with other common gut commensal species. 78 This IqA-inducing capacity, however, was restricted to specific strains of *B. ovatus* that 79 preferentially led to IqA production in the large intestine through both T-cell-dependent (TD) and 80 T-cell-independent (TI) B cell-activation pathways. While no individual bacterial strain functioned 81 as an effective enhancer of gut IgA production, we found that cocktails of these high IgA-82 inducing (IaA<sup>high</sup>) strains could serve as effective immunomodulators, that elicited higher fecal 83 IgA levels upon administration to animals harboring a pre-existing microbiota with low IgAinducing potential (IgA<sup>low</sup>). Our work demonstrates the importance of strain-level variation in gut 84 85 microbiota composition on mucosal immune responses. It also supports the potential utility of 86 cultured multi-bacterial effector strain cocktails as a strategy to overcome phenotype transfer resistance in microbiota-based immunomodulation<sup>38</sup>. 87

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#### 89 Results

#### 90 *B. ovatus* elicits robust gut IgA production

91 To determine if individual gut bacterial species have a distinct IgA-inducing potential, we 92 monocolonized GF C57BL/6 mice with one of eight different human gut commensal bacteria 93 (Supplementary Table 1) with representatives from the most prominent phyla of the human gut 94 including Firmicutes, Bacteroidetes, Actinobacteria and Proteobacteria<sup>39,40</sup>. After three weeks of 95 colonization to allow optimal steady-state gut IgA secretion (Supplementary Fig. 1a), we measured serum and fecal IgA levels in each group of gnotobiotic mice<sup>16</sup>. Although all tested 96 97 species significantly increased IqA level relative to control GF mice, B. ovatus monocolonized 98 mice secreted significantly more IgA in their feces compared with mice colonized with any of the 99 other seven human gut bacteria (Fig. 1a; p < 0.001). Most species also increased serum IgA (Supplementary Fig. 1b). However, consistent with previous reports<sup>18</sup>, fecal IqA and serum IqA 100 levels in these mice did not correlate significantly (Supplementary Fig. 1c;  $R^2 = 0.226$ ; p =101 102 0.196). GF mice colonized with the cocktail of all eight bacterial species yielded as much fecal 103 and serum IgA as mice monocolonized with B. ovatus.

104 To address if the order of bacterial colonization could influence fecal IgA secretion, GF 105 mice were sequentially colonized every three weeks with individual species or small cocktails of 106 the same eight bacterial species. We first assayed fecal IgA level in mice sequentially colonized 107 with low IqA inducers (e.g. E. coli) to high IqA inducers (e.g. B. ovatus). Fecal IqA increased 108 gradually with the colonization of additional bacterial species. However, the more striking (>2-109 fold) increase in IgA occurred after colonization with *B. ovatus* (Fig. 1b). Metagenomic 110 sequencing of fecal microbiota in these mice revealed gut colonization by each bacterial 111 species, albeit with different proportions (Fig. 1c). We then reversed the order of colonization 112 from high IgA inducers (e.g. B. ovatus) to low IgA inducers (Fig. 1d). Once again, B. ovatus 113 elicited the largest increase of fecal IgA production, while the other species led to smaller 114 increases (Fig. 1e). Remarkably, the relative abundance of each organism at the end of the

115 colonization was very similar, regardless of the order of colonization (Fig. 1c,e). These results 116 demonstrate that *B. ovatus* is a uniquely potent gut IgA inducer and that the species 117 composition of the gut microbiota impacts IgA production more than the order of bacterial 118 colonization.

To test the role of bacterial viability in the induction of gut IgA by *B. ovatus*<sup>15,41,42</sup>, GF mice 119 120 were administered heat-killed B. ovatus or B. ovatus metabolites (i.e. filtered growth medium 121 from stationary phase of *B. ovatus* cultures) for three weeks. Neither approach was capable of 122 enhancing fecal IqA above the level detected in GF mice (Supplementary Fig. 1d). To ensure 123 the above result was not due to the underdeveloped mucosal immune system of GF mice, we 124 performed similar experiments by first colonizing GF mice with E. coli for three weeks and 125 subsequently treated these mice with heat-killed *B. ovatus* for an additional three weeks. Again, 126 we found no significant fecal IgA increase (Supplementary Fig. 1d). Thus, neither dead B. 127 ovatus nor its metabolites triggered efficient gut IgA responses in the murine intestine. All 128 together, live B. ovatus species elicited more gut IgA production than other tested gut 129 commensal bacterial species in GF mice.

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## 131 *B. ovatus*-driven gut IgA production is strain-specific

Given the remarkable microbial strain variation across individuals<sup>43-46</sup>, we wondered whether all 132 133 B. ovatus strains within this common bacterial species induced comparably high fecal IgA. GF 134 mice monocolonized for three weeks with one of 19 B. ovatus strains isolated from 19 different 135 individuals (Supplementary Table 2) showed a strain-specific gut IgA response (Fig. 1f; p < p136 0.0001 one-way ANOVA). In contrast to the large variability of fecal IgA levels, serum IgA levels 137 were comparable across mice monocolonized with different *B. ovatus* strains (Supplementary 138 Fig. 1e). Similarly, the colonization density was also comparable across mice harboring different 139 B. ovatus strains (Supplementary Fig. 1f). This observation suggests that the global density of 140 each individual strain was not implicated in the genesis of strain-specific differences of gut IgA

responses. Of note, the distribution pattern of IgA induction across multiple *B. ovatus* strains was bimodal (Supplementary Fig. 1g; p = 0.0481 Hartigans' Dip Test), allowing these strains to be categorized as IgA<sup>high</sup> or IgA<sup>low</sup>. The genomic similarity of *B. ovatus* strains was not a significant predictor of their IgA<sup>high</sup> and IgA<sup>low</sup> properties (Fig. 1g and Supplementary Table 3), which suggests that their distinct IgA-inducing function is shared amongst the species rather than representing an evolutionarily distinct group within the species.

To rule out a bias in our preliminary screen for IgA<sup>low</sup> strains within the *Bacteroides* genus, 147 148 we assayed whether additional strains could induce high fecal IgA (Fig. 1a). We found no strain-149 specific differences in fecal IgA induction when GF mice were monocolonized with three distinct 150 strains of B. caccae, B. thetaiotaomicron and B. vulgatus (Supplementary Fig. 1h). The IgA-151 inducing function of additional common species from the order Bacteroidales, including 152 Parabacteroides johnsonii, Bacteroides intestinalis and Bacteroides fragilis, were tested but also 153 induced much less gut IgA than B. ovatus (Supplementary Fig. 1h). These results indicate that 154 the high IgA-inducing ability of B. ovatus is unique to this gut bacterial species and only to a 155 subset of strains.

156 To examine the influence of *B. ovatus* strain variation on host fecal IqA production in the 157 context of more complex gut microbiotas, we colonized GF mice with one of the seven 158 microbiota arrayed culture collections originally isolated from different human donors with each 159 collection consisting of 15-20 unique species<sup>32</sup>. The arrayed culture collections were assembled 160 to reconstitute a donor microbiota each containing a unique *B. ovatus* strain, which was already 161 functionally tested by earlier monocolonization (Fig. 1f). We observed a significant positive 162 correlation between the fecal IqA concentrations induced by an individual B. ovatus strain and 163 the fecal IqA concentrations elicited by a culture collection representing the entire B. ovatuscontaining microbiota from the same donor (Fig. 1h;  $R^2 = 0.859$ , p = 0.0027). Again, these 164 165 results suggest that the *B. ovatus* strain composition is a major contributor of gut IgA responses 166 even when considered in the context of complex microbial communities.

167 Unlike inbred laboratory mice housed in a highly controlled environment, human beings, 168 with different genetic background, are exposed to more complex continuum of factors including 169 some that were demonstrated to affect fecal IgA production such as genetics and diet<sup>47,48</sup>. To 170 determine whether B. ovatus could drive robust gut IgA responses also in humans, we 171 measured the fecal concentration of IgA in multiple human donors and correlated this 172 concentration with that of fecal IgA generated by GF mice monocolonized with a B. ovatus strain 173 isolated from identical donors. Though no significant correlation was observed, there was a clear trend towards a positive correlation even in an uncontrolled condition (Fig. 1i;  $R^2 = 0.2071$ , 174 175 p = 0.0765).

176 In total these results demonstrate that a subset of *B. ovatus* strains induce high fecal IgA 177 levels, which broadly influence the total fecal IgA output of the host even in the context of a 178 diverse gut microbiota.

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# 180 IgA<sup>high</sup> *B. ovatus* strains induce more IgA production in the large intestine

181 To interrogate the mechanisms underpinning gut IgA induction by different *B. ovatus* strains, GF mice were colonized with a representative  $IgA^{high}$  or  $IgA^{low}$  strain (*B. ovatus* strain *E* and *Q*. 182 183 respectively). We quantified bacteria-bound IgA in the stool of mice. Monocolonization with the 184 IgA<sup>high</sup> strain *E* not only induced more free fecal IgA but also more fecal bacteria-bound IgA than 185 the IgA<sup>low</sup> strain Q did (52.9% vs. 21.0% IgA-coated *B. ovatus*) (Fig. 2a). In contrast, no 186 significant difference was observed in serum immunoglobulin isotypes (i.e. IgA, IgG1, IgG2a, 187 IgG2b, IgG3, IgM and IgE) in monocolonized mice harboring either B. ovatus strain E or Q 188 (Supplementary Fig. 1e, 2a).

Fecal IgA mostly derives from polymeric IgA released by IgA<sup>+</sup> plasma cells residing in the intestinal LP and translocated to the gut lumen across epithelial cells via transcytosis<sup>49</sup>. This process is mediated by a basolateral IgA (and IgM) transporter termed polymeric immunoglobulin receptor (pIgR)<sup>49</sup>. Independent groups have reported that the expression of

plgR by gut epithelial cells is influenced by bacteria stimulation both *in vivo* and *in vitro*<sup>50,51</sup>. To 193 194 determine if *B. ovatus* strain variation impacts fecal IgA level by modulating pIgR-mediated 195 transcytosis, we imaged the expression of plaR by immunofluorescence staining in the small intestine and the colon of mice colonized with either *B. ovatus* strain *E* (IgA<sup>high</sup>) or Q (IgA<sup>low</sup>). 196 197 However, no noticeable difference in plgR expression was observed (Supplementary Fig. 2b). 198 To further interrogate the mechanism underpinning the increased fecal IgA in B. ovatus strain E 199 colonized mice, we then quantified, by histology and flow cytometry, IgA<sup>+</sup> B cells in both small 200 intestine and the colon. We found more  $IqA^+B$  cells in the colonic LP of mice harboring B. 201 ovatus strain E compared to mice harboring strain Q, while no significant strain-specific 202 difference was observed in the small intestine (Fig. 2b,c). Although PPs and MLNs usually serve 203 as dominant IgA inductive sites<sup>52,53</sup>, we did not observe a significant difference in IgA<sup>+</sup> B cells at 204 these sites by strain *E* or strain *Q* (Supplementary Fig. 3).

205 Given the preferential expansion of IgA<sup>+</sup> B cells in the colons of monocolonized mice 206 harboring *B. ovatus* strain *E*, we then explored whether luminal IgA levels would vary between 207 small and large intestinal regions. In the small intestine, we found that mice monocolonized with 208 B. ovatus strain E or strain Q had comparable luminal IqA levels (Fig. 2d). In contrast, mice 209 monocolonized with strain E had significantly more luminal IgA from cecum to distal colon than 210 those colonized with strain Q (Fig. 2d). Similar results were also observed across all tested 211 IgA<sup>high</sup> and IgA<sup>low</sup> *B. ovatus* strains (Supplementary Fig. 4). Thus, the IgA<sup>high</sup> *B. ovatus* strains induce more colonic IgA-secreting cells compared to IgA<sup>low</sup> B. ovatus strains, which results in 212 213 the secretion of more IgA in the large intestinal lumen.

To determine if these observations were unique to GF C57BL/6 mice, we recapitulated our monocolonization strategy in GF Swiss Webster mice and found that fecal IgA was largely comparable in gnotobiotic C57BL/6 and Swiss Webster mice colonized with identical bacterial strains (Supplementary Fig. 5a-d;  $R^2 = 0.601$ , p = 0.0011). Moreover, IgA<sup>high</sup> strain colonized gnotobiotic Swiss Webster mice also secreted more intraluminal IgA in the large intestine

compared with IgA<sup>low</sup> strain colonized mice (Supplementary Fig. 5e). Thus, bacteria-induced gut
IgA production is similar across different host genetic backgrounds.

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## 222 B. ovatus elicits gut IgA production via both TD and TI B cell-activation pathways

Gut IgA responses occur through TD or TI B cell-activation pathways<sup>52,54</sup>. To determine the 223 224 influence of CD4<sup>+</sup> T cells on the gut IgA production induced by *B. ovatus*, we depleted CD4<sup>+</sup> T 225 cells in mice by injecting with an anti-CD4 antibody five days prior to and for three weeks after 226 monocolonization with *B. ovatus* strain *E* (Fig. 3a and Supplementary Fig. 6a-c). On day seven 227 post-colonization, fecal IgA increased in both T cell-depleted and T cell-sufficient gnotobiotic 228 mice, which suggests that CD4<sup>+</sup> T cells are not a dominant factor in early stage IgA induction. 229 By day 14 post-colonization, control mice receiving an isotype-matched irrelevant antibody 230 generated significantly more fecal IgA than mice receiving anti-CD4 antibody (Fig. 3b). In 231 addition to reduced free IgA, B. ovatus-bound IgA also decreased in the stool of CD4<sup>+</sup> T cell-232 depleted mice (Fig. 3c). In both small intestine and the colon, the frequency of  $IgA^+$  B cells was 233 reduced by approximately 1/3 compared to that of IgA<sup>+</sup> B cells being detected in the control 234 CD4<sup>+</sup> T cell-sufficient mice (Fig. 3d and Supplementary Fig. 6d,e). In addition, these control 235 mice showed more intraluminal IgA than CD4<sup>+</sup> T cell-depleted mice across the whole intestinal 236 tract (Fig. 3e).

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### 238 Multiplex cocktail of *B. ovatus* strains robustly modify gut IgA production

Given the potential of gut microbiota manipulation as a therapeutic, we next determined whether the high-IgA phenotype could be transferred to mice harboring microbiotas that induce a low level of fecal IgA. For this purpose, we recolonized GF C57BL/6 mice with either *B. ovatus* strain *E* (IgA<sup>high</sup>) or *Q* (IgA<sup>low</sup>) for three weeks, followed by cohousing these mice for an additional three weeks (Fig. 4a). After cohousing, mice monocolonized with *B. ovatus* strain *Q* showed no significant change in fecal IgA. In contrast, mice colonized initially with *B. ovatus*  245 strain E had reduced fecal IqA, which raised the possibility that the low-IqA phenotype behaves 246 as a dominant character in the context of this simple bacterial community (Fig. 4b). Interestingly, 247 the IqA<sup>low</sup> B. ovatus strain Q also dominated the relative abundance of the microbiota, as it 248 represented ~95% of the microbiota compared with ~5% of *B. ovatus* strain *E* (Fig. 4c). In an 249 attempt to overcome this resistance to transfer of the high-IgA phenotype to mice with low-IgA phenotype, we performed a similar experiment but added three more IqA<sup>high</sup> *B. ovatus* strains. 250 251 Under these conditions, the high-IgA phenotype was transferred to the cohoused mice initially monocolonized with the IgA<sup>low</sup> strain (Supplementary Fig. 7a). However, *B. ovatus* strain Q still 252 253 represented a substantial proportion  $(32.5 \sim 53.8\%)$  of the relative abundance in this bacterial 254 community (Supplementary Fig. 7b). Thus, a multiplex cocktail of bacterial effector strains that 255 each individually can induce a specific phenotype provides a more robust strategy for 256 transferring a high-IgA phenotype.

257 Beyond cohousing, we further validated the above findings by transferring IgA<sup>high</sup> strains 258 therapeutically by oral gavage. Consistent with the cohousing results, mice first colonized with an IqA<sup>low</sup> *B. ovatus* strain and then orally gavaged with an additional IgA<sup>high</sup> strain did not alter 259 gut IgA secretion. In contrast, mice receiving a cocktail of four IgA<sup>high</sup> B. ovatus strains (B. 260 261 ovatus 4M) produced significantly more fecal IgA (Fig. 4d and Supplementary Table 4). 262 Metagenomic sequencing results demonstrated that multiple B. ovatus strains colonized the 263 recipient mice (Fig. 4e). Of note, IgA<sup>low</sup> B. ovatus strain Q still dominated the relative abundance of the gut microbiota in individual strain transfers (Fig. 4e). IgA<sup>high</sup> strains accounted for 44% of 264 the gut microbiota in the *B. ovatus* 4M transfer with each individual IgA<sup>high</sup> strain having a 265 266 distinct relative abundance (Fig. 4e). Finally, we replicated these results in mice pre-colonized 267 with another IgA<sup>low</sup> B. ovatus strain R (Supplementary Fig. 7c,d).

To validate these results in the setting of more complex gut microbiotas, we performed similar experiments using either gnotobiotic mice colonized by a synthetic cocktail of diverse bacterial species that included *B. ovatus* IgA<sup>low</sup> strain *Q* (Supplementary Table 5) or gnotobiotic

271 mice colonized with arrayed culture collections established from donors harboring a functionally 272 validated IqA<sup>low</sup> B. ovatus strain (Fig. 1h and Supplementary Table 6). As with simpler 273 communities, transfer of the high-IgA phenotype was robustly achieved with B. ovatus 4M or a 274 multiplex cocktail of eight IgA<sup>high</sup> B. ovatus strains (B. ovatus 8M) (Supplementary Table 4) but 275 not by individual IgA<sup>high</sup> B. ovatus strains (Fig. 4f and Supplementary Fig. 7e). Consistent with 276 our previous findings, IgA was elevated only in the large intestine (Fig. 4g and Supplementary 277 Fig. 7f). The relative proportions of each IgA<sup>high</sup> strain and total relative abundance of all IgA<sup>high</sup> 278 strains in the stool of multiplex bacterial cocktail recipient mice varied across recipient 279 microbiota communities (Fig. 4h,i and Supplementary Fig. 7g,h).

To further validate the IgA-inducing properties of our multiplex IgA<sup>high</sup> B. ovatus cocktails, 280 281 we tested these cocktails in two additional gnotobiotic mouse models colonized by human 282 microbiota arrayed culture collections with low-IgA potential (Supplementary Table 6). Again, we 283 found that the multiplex IgA<sup>high</sup> B. ovatus cocktails robustly increased fecal IgA (Supplementary 284 Fig. 8a-f). Across all of the tested B. ovatus 4M and B. ovatus 8M recipients, we did not find a 285 correlation between the total relative abundance of IgA<sup>high</sup> strains and the fecal IgA levels, which indicates that maximizing the total abundance of IgA<sup>high</sup> B. ovatus strains does not necessarily 286 287 increase gut IgA production (Supplementary Fig 8g-i). In summary, our results demonstrate that transfer of multiplex IgA<sup>high</sup> B. ovatus strain cocktails, but not that of individual IgA<sup>high</sup> strains, 288 289 consistently and robustly modulates the immune system (e.g. IgA phenotype) across several 290 complex pre-existing gut microbiota.

291

### 292 Discussion

293 Functional differences of pathogenic bacteria at the strain level have been intensively studied in 294 the past decades and are a fundamental component of infectious disease clinical practice. More 295 recently the functional impact of bacterial strain variation is becoming apparent in the context of the protective or disease-enhancing properties of the commensal microbiota<sup>11,12,32,55,56</sup>. Here, we 296 297 identified that approximately half of the isolated strains from *B. ovatus* species, which is one of 298 the most common species of our out commensal microbiota, drive increased IgA production in 299 the distal intestinal tract. Interestingly, we did not find that the variation in fecal IgA induced by 300 different *B. ovatus* strains was related to unique genetic lineages amongst strains or the density 301 of the bacteria in the feces. Through manipulation of the pre-existing gut microbiota composition, we discovered that cocktails of IgA<sup>high</sup> B. ovatus strains were more efficient than 302 individual IqA<sup>high</sup> B. ovatus strains in converting mice with low gut IgA production into mice 303 304 producing large amounts of gut IgA.

IgA<sup>high</sup> B. ovatus strains increased IgA production in distal but not proximal intestinal 305 306 segments by enhancing the ratio of IgA-secreting B cells. Remarkably, this induction was not 307 dominated by the migration of IgA<sup>+</sup> B cells from canonical IgA inductive sites, as gnotobiotic 308 mice colonized with either IgA<sup>high</sup> or IgA<sup>low</sup> *B. ovatus* strains showed comparable IgA<sup>+</sup> B cells in 309 PPs and MLNs. One possibility is that IgA<sup>high</sup> B. ovatus strains locally elicit IgA production in the large intestine including cecal patches, ILFs and LP<sup>2,54,57</sup>. Interestingly, mice harboring specific 310 311 B. ovatus strains showed no significant differences in the intestinal abundance of B. ovatus, 312 which further highlights the unique IgA-inducing properties of individual strains.

After IgA<sup>high</sup> *B. ovatus* strain colonization, CD4<sup>+</sup> T cell-depleted mice showed a reduced ratio of IgA<sup>+</sup> B cells in the gut, in turn leading to decreased luminal IgA along the entire intestinal tract. Of note, both CD4<sup>+</sup> T cell-sufficient and T cell-depleted mice produced comparable level of gut IgA at the beginning post colonization, which suggests CD4<sup>+</sup> T cells play less of a role during the very early stage of IgA induction likely due to the dominance of the TI B cell-

activation pathway. Interestingly, a protein from the gut commensal *Lactobacillus rhamnosus* was recently shown to locally elicit IgA production via gut epithelial cells<sup>58</sup>. Thus, further studies will be needed to delineate the precise mechanisms whereby IgA<sup>high</sup> *B. ovatus* strain colonized mice generate gut IgA. Nevertheless, our study highlights the important contribution of T cells in bacteria-mediated IgA production, especially in the large intestine<sup>59,60</sup>.

323 FMT-based manipulation of the gut microbiota has a high success rate in the treatment of 324 recurrent C. difficile infection<sup>61</sup>. However, its success in other indications, such as ulcerative colitis, is more limited<sup>62,63</sup>. Although improving bacteria engraftment remains a key therapeutic 325 326 goal of microbiota manipulation<sup>62-64</sup>, identifying new strategies that optimize the transfer of a 327 specific immune phenotype constitutes a therapeutic goal with a potentially larger range of 328 applications. Using IgA induction as an example of immunomodulatory phenotype transfer, our data showed that multiplex bacterial cocktails of IgA<sup>high</sup> B. ovatus strains elicited a more robust 329 330 phenotype transfer than any individual strain, even in mice with complex gut ecosystem. This 331 multiplex effector strain cocktail strategy was robust across multiple recipients, who had low-IgA 332 phenotype and pre-colonized with different microbiotas, and could represent an effective 333 approach to therapeutically modify gut immune parameters in addition to IgA. Of note, across the tested inductions of IqA via qut microbiota manipulation with IqA<sup>high</sup> B. ovatus strains, we 334 335 found that no single strain consistently dominated over the others. Thus, multiplex bacterial 336 cocktails do not appear to have "super strains" with dominant IgA-inducing function. Rather, the combination of multiple IqA<sup>high</sup> effector strains in these cocktails has an IqA-inducing potential 337 338 superior to that of any individual strain. Intriguingly, the relative abundance of total B. ovatus 339 species remained largely stable even after the introduction of one to eight new strains 340 suggesting that these new strains largely share the same ecological niches as that occupied by 341 the pre-existing *B. ovatus*.

342 In summary, our results highlight the importance of bacterial strain variation on the IgA-343 inducing potential of the gut microbiota. In addition, we also identify a new strategy (e.g.

- 344 multiplex bacterial strain cocktail) for the exploitation of strain variation in the development of
- 345 robust microbiota-based immunomodulation therapeutic strategies.

#### 347 Methods

### 348 Mice

Germ-free C57BL/6 and Swiss Webster mice were bred and maintained in flexible film gnotobiotic isolators (Class Biologically Clean, Ltd.). All mice were group housed with a 12-hour light/dark cycle and allowed *ad libitum* access to diet and water. All animal studies were carried out in accordance with protocols approved by the Institutional Animal Care and Use Committee (IACUC) in Icahn School of Medicine at Mount Sinai.

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#### 355 **Colonization of germ-free mice with cultured bacteria**

Germ-free mice (~8 weeks old) were colonized 200-µl aliquot of bacteria suspension via oral
 gavage. Colonized mice were housed in flexible film vinyl isolators or in filter top cages using
 previously described techniques<sup>43</sup>.

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### 360 **Growth and isolation of bacterial strains**

361 All bacterial strains were obtained from previously banked stool, public culture repositories or human gut microbiota arrayed culture collections<sup>27</sup>. All bacterial strains isolated for this study 362 363 were isolated from deidentified stool samples from individuals under a Mount Sinai IRB 364 approved protocol (IRB-16-00008). All bacteria apart from E. coli were grown under anaerobic 365 condition at 37°C in Brain Heart Infusion (BHI) medium supplemented with 0.5% yeast extract 366 (Difco Laboratories), 0.4% monosaccharide mixture, 0.3% disaccharide mixture, L-cysteine (0.5 367 mg/ml; Sigma-Aldrich), malic acid (1 mg/ml; Sigma-Aldrich) and 5 µg/ml hemin. E. coli was 368 cultured in LB Broth Miller (EMD Chemicals, Inc.) under aerobic condition at 37°C.

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#### 370 Quantification of immunoglobulins by ELISA

Total fecal and serum IgA were measured by sandwich ELISA. For total IgA detection, ELISA plates (Corning 3690) were coated with 1 µg/ml goat anti-mouse IgA (SouthernBiotech, AL)

373 capture antibody overnight at 4°C. Plates were washed and blocked with 1% BSA in PBS for 2 h 374 at room temperature. Diluted samples and standards were added and incubated overnight at 375 4°C. Captured IgA was detected by horseradish peroxidase (HRP)-conjugated goat anti-mouse 376 IgA antibody (Sigma-Aldrich). ELISA plates were developed by TMB microwell peroxidase 377 substrate (KPL, Inc.) and guenched by 1 M H<sub>2</sub>SO<sub>4</sub>. Colorimetric reaction was measured at OD = 378 450 nm by a Synergy™ HTX Multi-Mode Microplate Reader (BioTek Instruments, Inc.). Other 379 serum immunoglobulins (IgG1, IgG2a, IgG2b, IgG3, IgM and IgE) were also detected using 380 sandwich ELISA with the following capture and detection antibody pairs (all the following 381 antibodies were purchased from SouthernBiotech, AL): goat anti-mouse IgG1, goat anti-mouse 382 IgG2a, goat anti-mouse IgG2b, goat anti-mouse IgG3, rat anti-mouse IgE, rat anti-mouse IgM, 383 goat anti-mouse IgG-HRP, goat anti-mouse IgE-HRP and goat anti-mouse IgM-HRP. 384 Corresponding mouse immunoglobulin isotypes were used as standards.

385

## **Depletion of CD4<sup>+</sup> T Cells in germ-free mice**

*In vivo* depletion of CD4<sup>+</sup> T cells was performed as described<sup>65</sup>. Briefly, gnotobiotic mice (8 weeks old) were first injected intraperitoneally (i.p.) with anti-mouse CD4 monoclonal antibody (Bio X Cell, clone GK1.5) or matched isotype control (Bio X Cell, clone LTF-2) at 0.5 mg/day/mouse for 3 consecutive days. Then the injection was performed every 3 days for a period of 3 weeks. Five days after the first antibody injection, mice were inoculated via oral gavage with *B. ovatus* strain *E*. Efficacy of T cell depletion was evaluated by flow cytometry.

393

### 394 Lymphocyte isolation from tissues

To isolate mononuclear cells from Peyer's patches (PPs), PPs were excised from mouse small intestines and incubated in dissociation buffer, containing Hank's Balanced Salt Solution (HBSS) without Ca<sup>2+</sup> and Mg<sup>2+</sup> (GIBCO), 10% fetal bovine serum (FBS), 5 mM EDTA and 15 mM HEPES, at 37°C for 30 min. Later, tissues were mechanically separated by pushing them

399 through a 70 µm strainer into Iscove's Modified Dulbecco's Medium (IMDM) supplemented with 400 2% FBS. Filtered cells were spun down, washed and resuspended in IMDM/2%FBS. Lamina propria lymphocytes were isolated as described<sup>27</sup>. Briefly, small intestines and colons were 401 402 excised, followed by removing visceral fat and intestinal contents. Tissues were opened 403 longitudinally, washed twice in HBSS and incubated in dissociation buffer for 30 min at 37°C 404 with mild agitation to remove epithelium and intraepithelial lymphocytes. Tissues were then 405 washed three times in ice cold HBSS, cut into ~2 cm pieces and digested with collagenase 406 (Sigma-Aldrich), DNase I (Sigma-Aldrich) and dispase I (Corning). Cell suspensions were 407 filtered through 70 µm cell strainers, washed three times, and resuspended in IMDM/2%FBS. 408 Mesenteric lymph nodes were separated from mesenteric fat and dissociated in IMDM/2%FBS 409 by physically pressing the tissues between the frosted portions of two glass microscope slides. 410 The cell suspension was filtered through a 70 µm cell strainer, washed three times and 411 resuspended in IMDM/2%FBS

412

#### 413 Detection of IgA-coated bacteria in feces

IqA-coated fecal bacteria were measured by flow cytometry as previously described<sup>12,13</sup>. Briefly, 414 415 mouse fecal pellets, stored at -80°C freezer after collection, were dissolved in PBS to a final 416 concentration of 100 milligram per milliliter PBS by weight, thawed at room temperature, 417 homogenized in vortex mixer and centrifuged at 4°C to remove large particles. The supernatant 418 was passed through a 40 µm sterile nylon filter and 50 µl aliguot of the bacteria suspension was 419 collected for staining. Bacteria were pelleted by centrifugation and washed in 1ml of 420 PBS/1%BSA/2mM EDTA for 3 times. Non-specific binding sites were first blocked with 50 µl 421 PBS/1%BSA/20% rat serum for 20 min at 4°C. Bacteria were then stained with 50 µl 422 of PBS/1%BSA/2mM EDTA buffer containing 1:100 dilution of monoclonal rat anti-mouse IgA 423 antibody (eBioscience, clone mA-6E1) for 30 min at 4°C. After washing 3 times, bacterial pellets 424 were resuspended in PBS containing SYBR Green I (1:100,000 dilution; Invitrogen). Samples

were run through a BD LSR Fortessa<sup>™</sup> cell analyzer and further analyzed by FlowJo software
(Tree Star, Inc.). Only SYBR positive events were regarded as bacteria and gated for further
quantification of IgA-coated bacteria (Supplementary Fig. 9).

428

### 429 Flow cytometry analysis and antibodies

430 Isolated mononuclear cells were washed in PBS and incubated with Zombie Agua™ dye 431 (BioLegend) to distinguish live and dead cells. Before surface staining, non-specific binding of 432 immunoglobulin to Fc receptors was blocked by anti-mouse CD16/32 antibody (BD 433 Biosciences). Cells were stained in FACS buffer (PBS without Ca<sup>2+</sup>/Mg<sup>2+</sup> supplemented with 2% 434 FBS and 2 mM EDTA) containing a mix of antibodies for 30 min at 4°C. The following antibodies 435 were purchased from BioLegend if not indicated otherwise: anti-mouse CD45 (clone 30-F11), 436 anti-mouse/human CD45R/B220 (clone RA3-6B2), anti-mouse GL7 (clone GL7), anti-mouse 437 CD4 (clone GK1.5), anti-mouse IgA (eBioscience, clone mA-6E1). For the staining of IgA<sup>+</sup> cells, 438 both surface and intracellular staining were performed. Multi-parameter analysis was conducted 439 with BD<sup>™</sup> LSR II flow cytometry and analyzed with FlowJo software (Tree Star, Inc.). Only live 440 cells and singlets were used in all analyses (Supplementary Fig. 9).

441

#### 442 Extraction of bacterial DNA from feces

443 Each murine fecal pellet was collected into a 2 ml screw cap tube (Axygen Scientific, 444 SCT200SSC) and stored at -80°C freezer until processing. Each sample was mixed with 1.3 ml 445 of buffer, composed of 282 µl of DNA buffer A (20 mM Tris pH 8.0, 2 mM EDTA and 200 mM 446 NaCl), 200 µl of 20% SDS (v/w), 550 µl of Phenol:Chloroform:IAA (25:24:1) (Ambion, AM9732) 447 and 268 µl of Buffer PM (Qiagen, 19083), and 400 µl of 0.1 mm diameter zirconia/silica beads 448 (BioSpec, 11079101z). Next, the sample was mechanically lysed with a Mini-Beadbeater-96 449 (BioSpec, 1001) for 5 min at room temperature. After centrifuging for 5 min at 4000 rpm 450 (Eppendorf Centrifuge 5810 R), all aqueous phase was collected, mixed with 650 µl of Buffer

451 PM thoroughly before running through a Qiagen spin column. The column was washed twice 452 with Buffer PE (Qiagen, 19065). Attached DNA was eluted with 100  $\mu$ l of Buffer EB (Qiagen, 453 19086) and quantified with Qubit<sup>TM</sup> dsDNA Assay Kit (Thermo Fisher Scientific, 454 Q32853/Q32854). Bacteria density was calculated by the following equation: Bacteria Density = 455 DNA yield per sample (ug) / weight of sample (mg)<sup>66</sup>.

456

### 457 Bacterial genome and metagenomic sequencing

Purified bacterial template DNA (~250 ng) was sonicated and prepared using the NEBNext<sup>®</sup> Ultra<sup>™</sup> II DNA Library Prep kit. Samples were pooled and sequenced with an Illumina HiSeq 4000 with pair-end 150nt reads. Metagenomic sequencing reads were mapped back to the reference genomes for each experiment to determine the relative abundance of each strain. To uniquely distinguish each strain, 100K sequencing reads for each sample were mapped to the unique regions of each genome and final abundances were scaled by the unique genome size of each strain (i.e. genome equivalents), as previously described<sup>67</sup>.

465

### 466 Immunofluorescence staining

Immunofluorescence staining was performed as described previously<sup>25,60</sup>. Briefly, intestinal 467 468 tissues were fixed in 10% neutral formalin overnight at 4°C, dehydrated in 15% and 30% 469 sucrose buffer sequentially and mounted in O.C.T Embedding Compound (Electron Microscopy 470 Sciences). Cryostat sections (~8 µm) were prepared, blocked with anti-CD16/32 antibody in 471 10% (v/v) rat serum/0.1% Triton-X100 in PBS for 30 min at room temperature and incubated 472 with the indicated primary antibodies at 4°C overnight. The following primary antibodies were 473 used: rat anti-mouse IgA-FITC (1/300 dilution; eBioscience, clone mA-6E1), goat anti-mouse 474 plgR (1/500 dilution; R&D Systems, cat #: AF2800). Slides were washed in PBS for three times, incubated with Alexa Fluor<sup>®</sup>-conjugated species-specific secondary antibody (1/400 dilution; 475 Invitrogen) for 1 h at room temperature if needed and finally mounted with ProLong<sup>®</sup> Gold Anti-476

fade Reagent with DAPI (Invitrogen). Fluorescence images of sections were acquired with a
LSM780 confocal laser-scanning microscope (Carl Zeiss) and further processed in ImageJ if
necessary.

480

#### 481 Statistical analysis

Data are shown as mean  $\pm$  SEM. Statistical significance between two groups was assessed by an unpaired, two-tailed Student's *t* test. Comparisons among three or more groups were performed using One-way ANOVA. Bimodality distribution of IgA levels induced by different *B. ovatus* strains was performed in R (R package 'diptest'). For correlation test, Pearson correlation coefficient was employed. Data plotting, interpolation and statistical analysis were performed using GraphPad Prism 6.0 (GraphPad Software, La Jolla, CA) or R statistical software (version 3.2.2). A *p*-value less than 0.05 is considered statistically significant.

### 490 Data availability

- Bacterial genomes and metagenomic sequencing reads for this study are available via NCBIBioProject accession number PRJNA518912.
- 493

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502

### 503 Author contributions

C.Y. and J.J.F conceived the study and designed the experiments; C.Y., I.M., E.J.C., J.B., V.A.,
E.G., D.H., M.D. and J.J.F. collected samples and conducted the experiments; I.M. and Z.L.
provided bacterial isolates; C.Y., S.M., A.C. and J.J.F. analyzed data; C.Y. and J.J.F. prepared
the manuscript. All authors read and approved the final manuscript.

508

#### 509 **Competing interests**

J.J.F. serves as a consultant for Janssen Research & Development LLC. The other authorsdeclare no conflict of interests.

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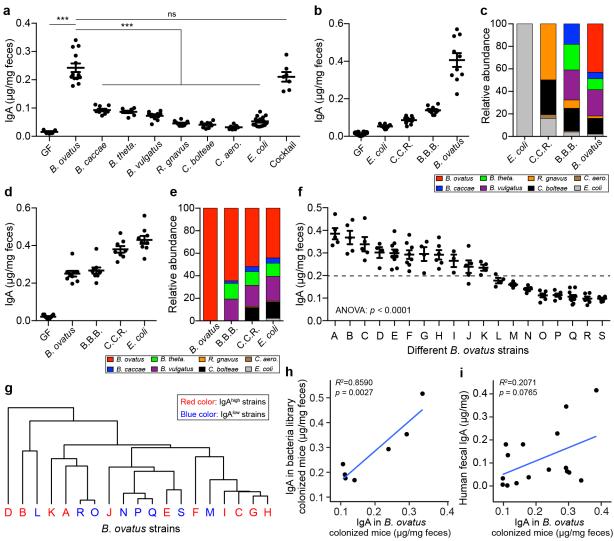
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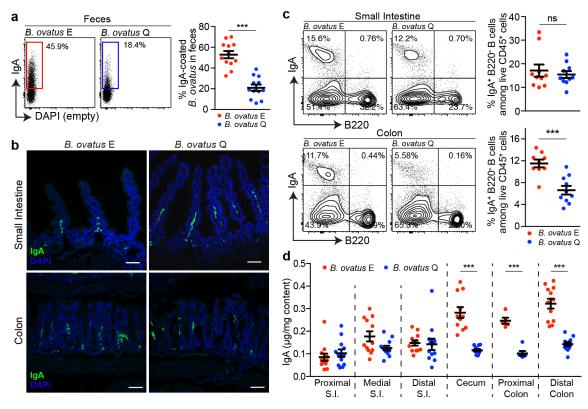
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#### 693 Figures

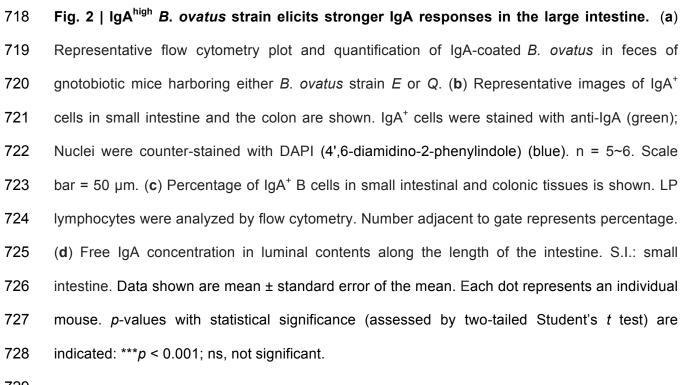


694 695 Fig. 1 | B. ovatus species, with strain-level differences, predominantly induces fecal IgA 696 production in gnotobiotic mice. (a) Fecal IgA level in C57BL/6 gnotobiotic mice colonized 697 with individual or a cocktail of human gut commensal bacteria for three weeks. (b-e) The 698 concentration of fecal IqA (b and d) and proportion of each bacterial strain (c and e) in stool of 699 gnotobiotic mice that were colonized sequentially with individual or combined bacterial 700 communities starting from E. coli (b and c) or B. ovatus (d and e). Feces were harvested before 701 addition of new bacteria to the same mice. C.C.R.: cocktail of C. bolteae, C. aerofaciens and R. 702 gnavus; B.B.B.: cocktail of B. caccae, B. theta. and B. vulgatus (f) Quantification of fecal IgA in

703 anotobiotic mice upon colonization with an individual strain of *B. ovatus* for three weeks. Unique 704 strains of B. ovatus were isolated from the stools of different human donors. Dotted line separates high- and low-IgA phenotypes. (g) Dendrogram clustering of different B. ovatus 705 706 strains basing on the dissimilarity of bacterial genomic DNA sequences. (h) Correlation of stool 707 IgA levels between single B. ovatus strain monocolonized mice versus mice colonized with a 708 microbiota arrayed culture collection that included that single B. ovatus strain. Both single B. 709 ovatus strains and arrayed culture collections were isolated from the same donor. (i) Correlation 710 of fecal IqA concentration between single *B. ovatus* strain colonized mice versus human donor. 711 Data shown are mean ± standard error of the mean. Each dot in a, b, d and f represents a 712 biological replicate. The average fecal IgA concentration from 4-10 mice was used for 713 correlation in h and i. Detailed strain information is listed in Supplementary Tables 1 and 2. p-714 values with statistical significance (assessed by two-tailed Student's t test or one-way ANOVA) 715 are indicated: \*\*\*p < 0.001; ns, not significant.

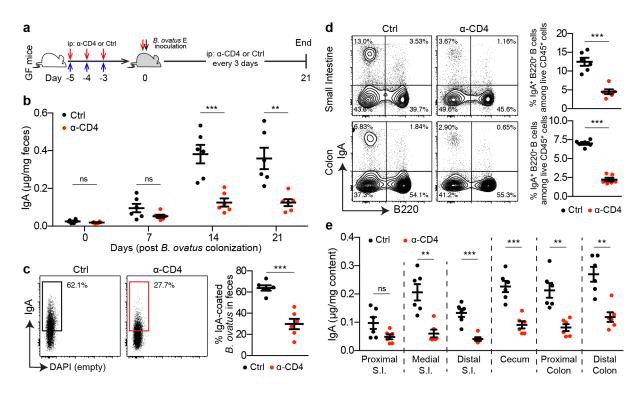


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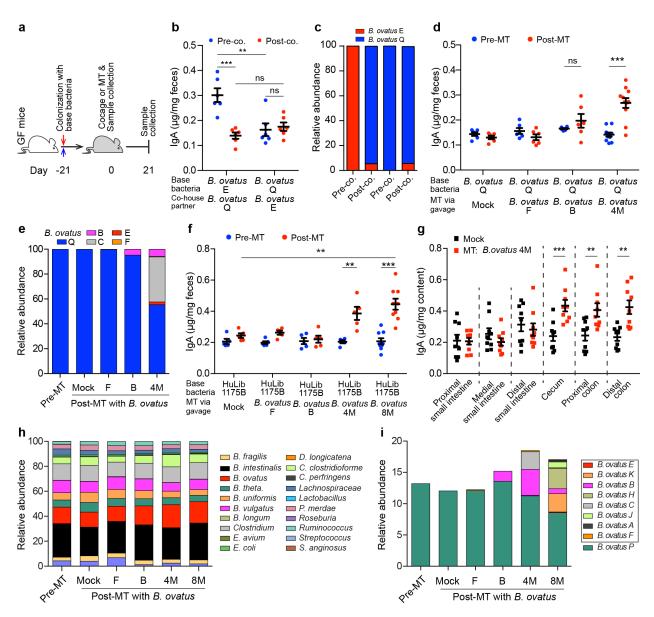
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731 Fig. 3 | Both T-cell-dependent and T-cell-independent pathways are involved in fecal IgA 732 induction mediated by *B. ovatus* strain *E*. (a) Schematic representation of  $CD4^+$  T cells 733 depletion in GF B6 mice is illustrated. Red arrows represent i.p. injection of anti-CD4 antibody or 734 isotype control. Black arrow indicates *B. ovatus* strain *E* inoculation and blue arrows represent 735 time. (b) Dynamics of fecal IgA concentration in *B. ovatus* strain *E* inoculated gnotobiotic B6 736 mice treated with either anti-CD4 antibody or isotype control. (c) Representative flow cytometry 737 plot and quantification of IgA-coated bacteria in feces of *B. ovatus* strain-*E*-colonized gnotobiotic 738 mice treated with either anti-CD4 antibody or isotype control. (d) Representative flow cytometry 739 plot and percentage of IgA<sup>+</sup> B cells in small intestine and the colon are shown. Numbers 740 adjacent to gates represent percentage. (e) Concentration of free IgA in the intestinal content 741 collected from different regions of the whole intestinal tract is shown. S.I.: small intestine. Data shown are mean ± standard error of the mean. Each dot represents an individual mouse. p-742 743 values with statistical significance (assessed by two-tailed Student's t test) are indicated: \*\*p < 1744 0.01, \*\*\**p* < 0.001; ns, not significant.

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747 Fig. 4 | Multiplex microbial strains robustly transfer high-lgA phenotype to low-lgA 748 producing mice. (a) Schematic representation of cohousing and microbial therapeutic 749 strategies. (b and c) Fecal IgA concentration (b) and relative abundance of each B. ovatus 750 strain (c) in pre- and post-cohoused gnotobiotic mice, which were pre-colonized with either B. 751 ovatus strain E or Q. (d and e) Fecal IgA concentration (d) and relative abundance of each B. 752 ovatus strain (e) in mice pre- and post-microbiota-based therapeutic (MT). Mice were first 753 colonized with B. ovatus strain Q for three weeks and subsequently administered a microbial 754 therapeutic comprised of either an individual IgA<sup>high</sup> B. ovatus strain or a cocktail of IgA<sup>high</sup> B.

755 ovatus strains. (f) Fecal IqA concentration in mice pre- and post-MT, which were pre-colonized 756 with human microbiota arrayed culture collection (e.g. HuLib1175B) for three weeks. The therapeutic was either an individual IgA<sup>high</sup> B. ovatus strain or a cocktail of IgA<sup>high</sup> B. ovatus 757 strains. *B. ovatus* 4M: a cocktail of 4 different IgA<sup>high</sup> *B. ovatus* strains; *B. ovatus* 8M: a cocktail 758 of 8 different IqA<sup>high</sup> B. ovatus strains. (**q**) Free IqA concentration along the intestinal tract of 759 760 mice after gavage with Mock or B. ovatus 4M. (h) Relative abundance of bacterial species in 761 mice pre- and post-MT. (i) Relative abundance of different B. ovatus strains in mice pre- and 762 post-MT. Data shown are mean ± standard error of the mean. Sequencing plots display the 763 average abundance from five mice. Each dot represents a biological replicate. Detailed strain 764 information is listed in Supplementary Tables 2 and 6. p-values with statistical significance 765 (assessed by two-tailed Student's t test) are indicated: \*\*p < 0.01, \*\*\*p < 0.001; ns, not 766 significant.