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1 LAMINAR MECHANISMS OF SACCADIC SUPPRESSION IN PRIMATE VISUAL

2 CORTEX

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12 ABSTRACT

13 Saccades are a ubiquitous and crucial component of our visual system, allowing for the efficient 14 deployment of the fovea and its accompanying neural resources. Initiation of a saccade is known to cause saccadic suppression, a temporary reduction in visual sensitivity^{1,2} and visual cortical 15 16 firing rates³⁻⁶. While saccadic suppression has been well characterized at the level of perception 17 and single neurons, relatively little is known about the visual cortical networks governing this 18 phenomenon. Here we examine the effects of saccadic suppression on distinct neural 19 subpopulations within visual area V4. We find cortical layer- and cell type-specific differences in 20 the magnitude and timing of peri-saccadic modulation. Neurons in the input layer show changes 21 in firing rate and inter-neuronal correlations prior to saccade onset, indicating that this layer 22 receives information about impending saccades. Putative inhibitory interneurons in the input layer 23 elevate their firing rate during saccades, suggesting they play a role in suppressing the activity of other cortical subpopulations. A computational model of this circuit recapitulates our empirical observations and demonstrates that an input layer-targeting pathway can initiate saccadic suppression by enhancing local inhibitory activity. Collectively, our results provide a mechanistic understanding of how eye movement signaling interacts with cortical circuitry to enforce visual stability.

29

30 INTRODUCTION

31 As we observe the world around us, our eyes dart from point to point. Each of these shifts in gaze 32 is a saccade – a ballistic movement of both eyes. Saccades significantly improve the efficiency of 33 the primate visual system by allowing us to flexibly deploy our fovea, the dedicated high acuity 34 zone at the center of the retina, towards objects of interest in our environment. However, saccades 35 also pose a substantial challenge for ongoing visual processing, as each saccade produces abrupt 36 and rapid motion across the retina. Therefore, saccades are also accompanied by a temporary 37 reduction in visual sensitivity, termed saccadic suppression, that serves to blunt our perception of 38 this motion^{1,2}. Perhaps reflecting the diminished sensory processing during saccades, the firing 39 rates of neurons in many regions of the visual cortex are also transiently suppressed³⁻⁶. Yet, despite 40 extensive psychophysical characterization and single neuron electrophysiological analysis, 41 relatively little is known about the circuit level mechanisms underlying saccadic suppression in 42 the visual cortex. One prevailing hypothesis suggests that saccadic suppression is mediated by a 43 corollary discharge signal originating in the brain regions responsible for initiating eye movements⁷⁻⁹. Given the synaptic and motor delays accompanying the execution of the saccadic 44 45 motor command, a coincident corollary discharge to the visual cortex could trigger compensatory 46 mechanisms prior to the start of the eye movement. Consistent with this idea, changes in neural

47 activity before saccade onset have been observed in several visual cortical areas⁵. However, despite 48 clear neural evidence that the visual cortex receives information about upcoming saccades, the 49 pathway by which a saccadic signal arrives in the visual cortex is unknown, and how that signal 50 interacts with local circuitry to mediate saccadic suppression remains unclear.

51 We examined these questions within visual area V4, a critical hub in the visual processing stream responsible for object recognition¹⁰. V4 is known to exhibit saccadic suppression in both 52 53 humans⁴ and macaques⁶, and undergoes dynamic shifts in stimulus sensitivity during the 54 preparation of saccades¹¹. To investigate the underlying neural mechanisms, we studied saccadic 55 suppression in the context of the laminar cortical circuit, which is composed of six layers with highly stereotyped patterns of intra- and inter-laminar connectivity^{12,13}. In higher-order visual 56 57 areas, such as V4, layer IV (the *input* layer) is the primary target of projections carrying visual information from lower-order areas, such as V1, V2, and V3^{14,15}. After arriving in the input layer, 58 59 visual information is then processed by local neural subpopulations as it is sent to layers II/III (the 60 superficial layer) and layers V/VI (the deep layer), which serve as output nodes in the laminar 61 circuit^{12,16}. In V4, the superficial and deep layers feed information forward to downstream visual areas, such as ST¹⁷, TEO¹⁸, and TE¹⁹. Alongside feedforward input from lower-order visual areas, 62 V4 also receives projections from other cortical regions, such as the prefrontal cortex¹⁵, as well as 63 64 from subcortical structures²⁰. Accordingly, several pathways targeting V4 could be responsible for 65 relaying information about upcoming saccades: 1) projections from lower visual areas (V1/V2/V3), which predominantly target the input layer^{14,15}, 2) a projection from the frontal eye 66 fields (FEF), which predominantly targets the superficial and deep layers^{21,22}, and 3) a projection 67 from the pulvinar, which predominantly targets the superficial and input layers²³⁻²⁵. Neurons in 68

V1^{26,27}, FEF²⁸⁻³⁰, and pulvinar³¹⁻³³ are known to respond to saccades, and the corresponding
pathways are therefore strong candidates for initiating saccadic suppression in V4.

71 Given the organization of cortical circuitry, we reasoned that a saccadic signaling pathway 72 should target the site of entry for visual information, the input layer, to suppress visual processing 73 most efficiently. Furthermore, considering the similarities between peri-saccadic stimulus 74 processing and general reductions in visual gain^{6,26}, we considered whether saccadic suppression 75 might be mediated in a manner comparable to visual gain modulation. Specifically, we 76 hypothesized that an elevation in local inhibitory activity, a common mechanism for gain control³⁴⁻ ³⁶, functionally suppresses neural processing in V4 during saccades. We sought to confirm our 77 78 predictions by utilizing a cortical layer-specific recording approach in combination with 79 electrophysiological cell type classification to simultaneously record from six well-defined neural 80 subpopulations in V4.

81

82 **RESULTS**

83 Dissociating the Effects of Vision and Eye Movements

84 Past approaches for studying saccadic modulation have typically involved cued saccade tasks^{4,6,26,37}, which risk conflating the neural effects of visual stimulus processing with the neural 85 86 effects of saccades. To dissociate saccadic signaling from visually induced activity in area V4, we 87 performed a series of spontaneous recordings while two rhesus macaques were seated in the dark. 88 We found that despite their inability to observe specific objects in the environment, both animals 89 continued to make saccades freely under these conditions. Spontaneous neural activity also 90 remained well above the level of noise (Figure S1A). We identified individual saccades by 91 estimating the onset and offset times of ballistic eye movements (see Methods). To remove 92 fixational eye movements (microsaccades), we discarded saccades with amplitudes less than 0.7 93 degrees of visual angle. Additionally, to prevent the neural effects of one eye movement from 94 overlapping with those of the next, we only included saccades that were separated from one another 95 by at least 500 ms. This approach provided us with a set of 4420 saccades that were used for all 96 subsequent analyses. We evaluated the quality of our eye movement dataset by examining 97 amplitude and velocity traces from individual saccades, as well as by characterizing the main 98 sequence. The main sequence is the approximately linear amplitude-velocity relationship observed 99 across all saccades³⁸, which we found held true for our data (Figure 1A).

100 While the monkeys were making spontaneous saccades, we simultaneously recorded 101 neural activity from well-isolated single-units (n = 211), multi-units (n = 110), and local field 102 potentials (LFPs) using linear array probes in area V4. The use of recording chambers containing 103 an optically-transparent artificial dura allowed us to make electrode penetrations perpendicular to 104 the cortical surface, and therefore in good alignment with individual cortical columns (see 105 Methods). The alignment of each penetration was confirmed by mapping receptive fields along 106 the depth of cortex, with overlapping receptive fields indicating proper alignment (Figure 1B). Laminar boundaries were identified with current source density (CSD) analysis^{39,40}. The CSD, 107 108 defined as the second spatial derivative of the LFP, maps the location of current sources and sinks 109 along the depth of the probe. Each layer of the visual cortex produces a characteristic current 110 source-sink pattern in response to visual stimulation, with the input layer producing a current sink 111 followed by a current source, and the superficial and deep layers producing the opposite pattern of activity (Figure 1C). By identifying transitions between current sources and sinks, we assigned 112 laminar identities to each of our recording sites (Figure 1D – LFP traces colored by layer). We 113 114 also separated well-isolated single-units into two populations based on their waveform duration:

115 narrow-spiking units, corresponding to putative inhibitory interneurons, and broad-spiking units, 116 corresponding to putative excitatory neurons (Figure 1D – spikes colored by unit type)⁴¹⁻⁴³. The 117 three cortical layers and two unit types thus gave us six distinct neural subpopulations to consider 118 in subsequent analyses.

119

120 Narrow-Spiking Input Layer Units Show Positive Peri-Saccadic Modulation

121 To evaluate neural subpopulation-specific responses, we first estimated the peri-saccadic firing 122 rate of well-isolated single-units with a kernel-based approach, in which each spike is convolved 123 with a gaussian kernel⁴⁴ (Figure S1B). We selected the kernel bandwidth on a unit-by-unit basis at 124 each point in time using an optimization algorithm that minimizes mean integrated squared error⁴⁵. 125 When looking at units grouped by cell type, narrow-spiking units exhibited less peri-saccadic 126 suppression than broad-spiking units (Figure 2A). The cause of this difference became clear when 127 the units were further separated by layer. All laminar subpopulations of broad-spiking units 128 displayed peri-saccadic suppression, as did narrow-spiking units in the superficial and deep layers. 129 In contrast, narrow-spiking input layer units elevated their firing rate in response to a saccade 130 (Figure 2B-C; see Figure S2 for additional single-unit examples).

To quantify these observations, we calculated a modulation index that represents the degree to which the firing rate of each unit deviated from its pre-saccadic baseline activity (see Methods). We found that narrow-spiking input layer units were the only group with a positive modulation index (indicating an increase in firing rate), which was significantly different from most other subpopulations (Figure 2D). We also noted that at the single-unit level, each of the six subpopulations had some proportion of positively modulated units (Figure S3). Therefore, the difference in subpopulation level firing rates could be caused by narrow-spiking input layer 138 neurons having a greater average magnitude of positive modulation, or by having a greater 139 proportion of positively modulated units. We determined both to be true. Narrow-spiking input 140 layer neurons displayed a larger magnitude of positive modulation (Figure 2E) and were also more 141 likely to be positively modulated (Figure 2F). We found it particularly striking that, in accordance 142 with our hypothesis, only the narrow-spiking input layer subpopulation (i.e., putative inhibitory 143 interneurons) showed an enhancement in firing. This led us to believe that an elevation in input 144 layer inhibitory activity could be responsible for the peri-saccadic suppression of firing within the 145 visual cortex reported previously³⁻⁶.

146

147 Input Layer Units Respond Prior to Saccades

148 To gate out visual signals received during a saccade, neurons in the cortical layer initiating 149 suppression would need to be activated prior to the start of the eye movement. To confirm that this 150 was true for the input layer, we performed a timing analysis to determine whether any of the 151 recorded subpopulations show significant changes in firing rate prior to saccade onset. For each 152 single-unit, we found the time at which their activity first deviated outside a 95% confidence 153 interval calculated from their pre-saccadic baseline activity, an event that was marked as the time 154 of first significant response. The results of this approach for six example units (the same example 155 units as in Figure S1B) are illustrated in Figure 3A. While there was response variability at the 156 level of single-units, the input layer subpopulations (both narrow- and broad-spiking) were the 157 only ones that responded prior to saccade onset (Figure 3B). This provides strong evidence that 158 the input layer receives information about upcoming saccades with sufficient time to enact changes 159 in activity throughout the cortical column.

160

161 Saccades Increase Correlated Variability in the Input Layer

162 If input layer neurons receive pre-saccadic excitation from a common source, that signaling should 163 also manifest itself as an increase in correlated variability among pairs of input layer units prior to 164 saccade onset. To test this, we computed spike count correlations (SCC) between pairs of 165 simultaneously recorded single- and multi-units as a function of time, and pooled these results into 166 six groups on the basis of their pairwise laminar locations. All pair types showed a substantial 167 increase in SCC around the time of saccades (Figure S4A). We quantified the precise time at which 168 correlations first began to rise with a bootstrap analysis (see Methods). SCC began increasing prior 169 to saccade onset in input-input pairs (Figure 4A), but after saccade onset in all other pairs (Figure 170 4B). This pattern of activity is consistent with the pre-saccadic arrival of a signal that is shared 171 among input layer units. The delayed response of all other pair types suggests that they inherit 172 their activity from the input layer. Additionally, the time at which input-input correlations began 173 to rise (~40 ms before saccade onset) is remarkably similar to the time at which input layer units 174 began to show firing rate modulation (also ~40 ms before saccade onset), further indicating that 175 these two observations have a common cause: the activation of a saccadic signaling pathway.

Alongside the expected rise in correlations, we also found a reduction in correlations that began ~250 ms prior to saccade onset among input-input pairs. Because the timing of this drop precedes saccadic initiation, which begins ~150 ms before saccade onset^{46,47}, it could not be the result of a corollary discharge signal. Instead, it may reflect the shift in internal state that occurs before saccadic initiation as the subject shifts their attention towards a potential target location⁴⁸. In accordance with this possibility, pupil diameter, a well-established correlate of internal state^{49,50}, begins to ramp up several hundred milliseconds prior to saccade onset (Figure S4B)⁵¹. Similar shifts in internal state are known to be accompanied by comparable reductions in correlated
 variability^{50,52-54}.

185 To further characterize changes in coordinated peri-saccadic activity, we also examined 186 differences in spike-spike coherence (SSC) before and after saccade onset. Spike-spike coherence 187 is a measure of the degree to which the activity of two signals, in this case a pair of simultaneously 188 recorded single- and/or multi-units, fluctuates together across a range of frequencies. We found 189 that saccade onset increases wide-band coherence most prominently in the input layer, with 190 weaker, but still significant, effects in the superficial and deep layers (Figure 4C). When quantified 191 as a modulation index (Figure 4D), we observed significant modulation in all layers at all 192 frequencies, with the exception of the 30-100 Hz band in the superficial layer. The input layer 193 exhibits larger increases in coherence, particularly at higher frequencies, while the deep layer 194 experiences smaller increases, particularly at lower frequencies. Collectively, these data suggest 195 that saccade onset leads to a temporary elevation of correlated activity, which manifests itself most 196 prominently in the input layer. The timing and magnitude of changes in correlated variability, 197 measured with both SCC and SSC, further suggests that an external signal arrives prior to saccade 198 onset within the input layer before then propagating to the other layers.

199

200 Saccades Increase the Strength of Low-Frequency Wide-Band Activity

Increases in coordinated activity at the level of single neurons can result in an elevation of population-level wide-band activity. To determine whether this held true for saccades, we calculated the spectral power, a frequency-resolved measure of signal strength, of the LFP around the time of saccade onset. Saccade onset was associated with a large elevation in low frequency power, and a slight reduction in high frequency power (Figure 5A). Comparing the power spectrum

206 before and after saccade onset, we found that these differences were significant in all three 207 frequency bands tested, with an elevation in the 0-12 Hz and 15-25 Hz bands and a reduction in 208 the 30-80 Hz band after saccade onset (Figure 5B). Next, we sought to investigate whether the 209 increased strength of low-frequency wide-band activity entrains spiking units, as reported 210 previously in other contexts⁵⁵⁻⁵⁷. We found that saccade onset significantly increases low-211 frequency spike-LFP phase locking (Figure 5C), as measured by pairwise phase consistency 212 (PPC). These results indicate that saccade onset increases the strength of low-frequency 213 population-level activity, which could in turn entrain the activity of local neurons.

214

A Computational Model Demonstrates that Activation of an Input Layer-Targeting Projection is Sufficient for Inducing Saccadic Suppression

217 Given that input layer units exhibit significant changes in firing properties prior to saccade onset, 218 we hypothesized that a projection targeting this layer could serve as the initiator of saccadic 219 suppression within area V4. To determine whether such a projection would be sufficient for 220 initiating suppression across all cortical layers, as well as to gain further insight into the associated 221 circuit-level mechanisms, we developed a computational model of peri-saccadic activity changes 222 within a cortical column. Our model draws inspiration from previous cortical circuit models that 223 describe population-specific firing rate changes in response to the activation of an external input⁵⁸. 224 To simulate cortical circuit-level dynamics more faithfully, our model consists of six 225 interconnected populations across three layers of the cortex (Figure 6A, left). Each cortical layer 226 contains an excitatory (E) and inhibitory (I) population, which project to each other as well as to 227 themselves. Given evidence of multiple operating regimes for a local E-I network in the visual 228 cortex, connections within a layer were tuned to allow the local E-I network to switch in and out

of an inhibition-stabilized network (ISN) regime^{59,60}: the baseline regime of the network is non-229 230 ISN, but direct external input to the E population switches it to ISN (see Methods; Figure S5A-C). 231 Connections between layers exist in the form of projections from E neurons in the source layer to 232 both E and I neurons in the target layer, with E-E and E-I connections tuned to affect a net increase 233 in E activity in the target layer in response to an increase in E activity in the source layer. In our 234 model, the input layer projects to the superficial layer, which then projects to the deep layer; this 235 connectivity motif highlights the primary pathway for information flow within a visual cortical 236 column^{13,61}. Considering our empirical firing rate analysis, which found that both broad- and 237 narrow-spiking units in the input layer show responses prior to saccade onset (Figure 3B), we 238 chose to model the input layer as the primary recipient of the 'saccadic signal' input. We tuned the 239 strength of the excitatory 'saccadic signal' pathway to the local E and I populations in this layer 240 such that its activation affected a net suppression of the E activity.

241 In response to the activation of a saccadic signal of sufficient strength slightly before the 242 time of saccade onset, the E input layer population rate was suppressed. In addition, our model 243 simultaneously recapitulated our experimental findings in the input layer I population 244 (enhancement), as well as the E/I populations in other layers (suppression) by virtue of the inter-245 and intra-layer connectivity (Figure 6B, S5B). Since local E activity is a major source of excitation 246 to the I population within a layer, we next explored the role of the saccadic signal in sustaining 247 input layer I activity despite a concurrent decline in local E activity. We explored three scenarios 248 with saccadic signals of varying magnitudes ramping up and down over a fixed period (Figure 6C, 249 top). We found that the increase in I activity in the input layer indeed depended on the strength of 250 the saccadic signal and was sustained at sufficiently high magnitudes. Examination of the 251 contribution of different excitatory pathways to the I population showed that sufficiently high

252 saccadic signal magnitude led to an increase in net excitation onto the I population that exceeded 253 the loss in excitation resulting from the reduction of local E firing rates (Figure 6C, middle and 254 bottom). Our model thus demonstrates that an input layer-specific saccadic signal of adequate 255 strength can increase local I activity, which is sufficient for inducing suppression across the depth 256 of the cortex. These findings correspond closely to our empirical observations. The model further 257 predicted that the time course of the increase in input layer I activity was dependent on the baseline 258 operating regime of the E-I network. When the network was robustly within the non-ISN regime, 259 I activity increased immediately following saccadic signal onset. However, when the network was 260 close to the switching point between the two regimes, the I activity showed little change below a 261 saccadic signal of sufficient magnitude, even when the local E activity showed significant 262 suppression (Figure S5E). This latter scenario corresponds closely to our empirical observations 263 in the input layer (Figure 2B-C), suggesting that the baseline state of the cortical network lies close 264 to the boundary between regimes.

265 Our experimental paradigm examined peri-saccadic neural activity in the absence of visual 266 input. While this allowed us to investigate the cortical dynamics that are purely a result of the 267 saccadic signal and avoid potential stimulus-induced artifacts in our recordings, it leaves open the 268 question of how activity patterns may change in the presence of visual stimulation. To explore this 269 further, we added a second external excitatory input ('visual signal') to the input layer E population 270 (Figure 6A, right), which is the major target of feedforward visual input into V4¹⁴. The addition of 271 this second input shifted the local E-I network into an ISN regime⁵⁹, in which E and I activity 272 increase or decrease concurrently. As a result, simultaneous activation of the visual signal led to a 273 net reduction in the firing rate of both E and I input layer populations (Figure 6D, S5C). This 274 produced a similar reduction in excitatory drive to the superficial and deep layers, where firing

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- 275 was again suppressed. These results are consistent with prior reports of suppression from
- 276 uncategorized neurons in the visual cortex in the presence of visual stimulation^{3,5,6}.

277 **DISCUSSION**

278 Our results provide evidence that the input layer of area V4 receives the earliest information about 279 upcoming saccades. Units in the input layer showed changes in firing rate prior to saccade onset, 280 while units in other layers did not. In addition, elevated spike-count correlations, a common 281 byproduct of activating a shared input to a neural population⁶²⁻⁶⁵, were observed earliest among 282 pairs of input layer neurons. Consistent with a single input source (i.e. projection) producing both 283 phenomena, changes in the firing rate and correlational structure of input layer units were initiated 284 with similar timing, approximately 40 ms before saccade onset. These convergent results indicate 285 that the saccadic signaling pathway in question predominantly targets the input layer, which 286 thereby allows us to infer its source. Given the lack of pre-saccadic modulation in the superficial 287 and deep layers, it is unlikely that a projection from the FEF is responsible for the observed 288 suppression. Likewise, it is doubtful that suppression is fed forward by projections from lower 289 visual areas (V1/V2/V3), as such a mechanism would not explain the enhancement of narrow-290 spiking input layer firing rates in V4. Furthermore, to our knowledge, there have been no clear 291 reports of saccadic suppression in V2 or V3, and while V1 is known to be suppressed during saccades, it does not exhibit pre-saccadic modulation²⁶, and could therefore not be responsible for 292 293 the changes in activity described here. Thus, we propose that saccadic suppression in V4 is likely initiated via the pulvinar, which sends projections to the input layer of $V4^{23-25}$. 294

Several lines of evidence are consistent with this view. First, the pulvinar is known to be the target of highly organized projections from the superior colliculus⁶⁶, which is a critical component of the saccadic initiation network^{67,68}. Second, peri-saccadic modulation has been observed in the pulvinar of macaques, where neurons respond to saccades made in the dark without visual stimulation^{32,33}. Neurons in the pulvinar of cats are able to distinguish between internally 300 generated saccades and simulated saccades produced with image motion, demonstrating that they 301 encode the underlying motor command³¹. Lastly, the pulvinar is known to play a crucial role in 302 spatial attention⁶⁹⁻⁷¹, which is necessary for the proper execution of saccades towards target 303 stimuli; indeed, temporary inactivation of the pulvinar produces significant deficits in saccadic 304 target selection and execution^{72,73}. Thus, pulvinar neurons encode the necessary information about 305 saccadic motor planning to be able to provide a meaningful pre-saccadic signal to their efferent 306 targets in the visual cortex.

307 We also found that narrow-spiking units in the input layer showed a peri-saccadic 308 enhancement in firing. Narrow-spiking units are thought to correspond to parvalbumin-expressing 309 (PV) inhibitory interneurons^{74,75}, and input layer PV interneurons are known to receive strong and selective thalamocortical excitation.⁷⁶⁻⁷⁸ This led us to speculate that an elevated level of inhibition 310 311 within the input layer could suppress local excitatory neurons, as well as neurons in other cortical 312 layers (Figure 6A). To explore this hypothesis, we developed a six-population firing rate model of 313 the visual cortex and its modulation during saccades. The model demonstrated that pre-saccadic 314 activation of an input layer-targeting projection is sufficient for suppressing signaling within a 315 cortical column. A compelling aspect of our model is its simplicity – with straightforward inter-316 population connectivity rules, we were able to both replicate our empirical findings as well as 317 reconcile the opposing patterns of activity observed in the input layer subpopulations. The model 318 also reproduces trends in our experimental observations on the relative time course of broad and 319 narrow spiking activity under a set of conditions wherein the input layer operates on the cusp of 320 ISN and non-ISN operating regimes. Given these results, we propose that inhibitory input layer 321 neurons initiate saccadic suppression and gate the processing of visual information entering the 322 local cortical network.

323 We also observed increases in correlated activity across all cortical layers during saccades, 324 in the form of elevated spike-count correlations, spike-spike coherence, low-frequency power, and 325 low frequency spike-phase locking. Comparable increases in neural correlation have been 326 associated with diminished stimulus sensitivity in multiple model organisms across a variety of 327 sensory modalities^{50,79-82}. This may be a result of heightened correlations functionally limiting the 328 ability of a neural population to encode information, as suggested by previous experimental and 329 theoretical work⁸³⁻⁸⁶. Similarly, saccadic suppression at the level of neurons and circuits is also 330 accompanied by a behaviorally significant reduction in stimulus detection capabilities^{1,2}, 331 indicating that the increases in correlated variability reported here may reflect or contribute to that 332 deficit.

333 To isolate the effects of the corollary discharge signal in initiating saccadic suppression, 334 we chose to examine neurophysiological responses to saccades executed in the dark, a paradigm 335 that has been employed extensively by previous studies^{6,31,87}. Under these conditions, the time 336 course of suppression that we observed was similar to reports of V4 activity during saccades made 337 with visual stimulation⁶, suggesting that the saccadic signaling network remains intact in dark 338 conditions. Our model demonstrates that a network regime change, which has been shown to occur 339 when the cortical network is actively engaged in sensory processing^{59,60}, can flip the modulation 340 of the inhibitory input layer subpopulation in the presence of visual stimulation. This result is 341 consistent with the lack of evidence for subpopulation-specific differences in modulation from 342 previous studies employing cued saccade tasks. In summary, our study provides the first 343 mechanistic understanding of peri-saccadic neural dynamics in a defined cortical circuit.

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346 ACKNOWLEDGEMENTS

- 347 This research was supported by NIH R01 EY021827 to JHR and ASN, NARSAD Young
- 348 Investigator Grant, Ziegler Foundation Grant and Yale Orthwein Scholar Funds to ASN, NIH
- R00 EY025026 to MPJ, and by NEI core grants for vision research P30 EY019005 to the Salk
- 350 Institute and P30 EY026878 to Yale University. We would like to thank Catherine Williams and
- 351 Mat LeBlanc for excellent animal care.
- 352

353 AUTHOR CONTRIBUTIONS

- 354 SD & ASN conceptualized the project. ASN collected the data and supervised the project. SD
- analyzed the data, with assistance from MPM and ASN. MPJ developed the computational model.
- 356 SD, ASN, MPJ, and JHR wrote the manuscript.
- 357

358 DECLARATION OF INTERESTS

359 The authors declare no competing interests.

360 FIGURE LEGENDS

361 Figure 1. Laminar Recordings and Saccade Identification

- 362 (A) The amplitude-velocity relationship ('main sequence') for all saccades (n = 4420) in the
- dataset. An approximately linear trend is expected for saccades, as is found here ($R^2 = 0.555$). dva
- 364 = degrees of visual angle.
- 365 (B) Stacked contour plot showing spatial receptive fields (RFs) along the laminar probe from an
- 366 example session. The RFs are well aligned, indicating perpendicular penetration down a cortical
- 367 column. Zero depth represents the center of the input layer as estimated with current source density
- 368 (CSD) analysis.
- 369 (C) CSD displayed as a colored map. The x-axis represents time from stimulus onset; the y-axis
 370 represents cortical depth. The CSD map has been spatially smoothed for visualization.
- 371 (D) Example of continuous eye-tracker and electrophysiological recordings. Eye traces are plotted 372 at the top in grey. LFP traces are plotted by depth below, and spikes are overlaid on the 373 corresponding channel. LFP traces have been color coded by layer identity; spikes have been color 374 coded by cell type.
- 375

376 Figure 2. Narrow-Spiking Input Layer Units Display Peri-Saccadic Enhancement of Firing

- 377 (A) Average peri-saccadic normalized firing rate for broad- (n = 102 units) and narrow-spiking (n
- 378 = 95 units) populations. Thin lines indicate standard error of the mean.
- 379 (B) As in (A), but including only broad-spiking units from the superficial (n = 33), input (n = 35), 380 and deep (n = 34) layer populations.
- 381 (C) As in (A), but including only narrow-spiking units from the superficial (n = 25 units), input (n
- 382 = 24 units), and deep (n = 46 units) layer populations.

383 (D) Average peri-saccadic modulation index for the six neural subpopulations. Two-way ANOVA 384 ($F_{layer} = 1.16, P = 0.3151; F_{cell type} = 1.84, P = 0.1765; F_{interaction} = 5.98, P = 0.0030$) with Tukey's 385 multiple comparison tests (narrow-spiking superficial vs narrow-spiking input, *P = 0.0423; 386 narrow-spiking input vs narrow-spiking deep, *P = 0.0495; narrow-spiking input vs broad-spiking 387 input, **P = 0.0070; narrow-spiking input vs broad-spiking deep, #P = 0.0817). Error bars indicate 388 standard error of the mean.

(E) Average peri-saccadic modulation index for positively modulated units from the six neural subpopulations. Two-way ANOVA ($F_{layer} = 1.87, P = 0.1666; F_{cell type} = 2.32, P = 0.1355; F_{interaction}$ = 5.51, P = 0.0077) with Tukey's multiple comparison tests (narrow-spiking superficial vs narrowspiking input, #P = 0.0833; narrow-spiking input vs narrow-spiking deep, **P = 0.0073; narrowspiking input vs broad-spiking input, *P = 0.0106; narrow-spiking input vs broad-spiking deep, *P = 0.0384). Error bars indicate standard error of the mean.

395 (F) Proportion of units in each neural subpopulation with a positive peri-saccadic modulation396 index.

397

398 Figure 3. Input Layer Units Display Modulation Prior to Saccade Onset

(A) Six example single-units demonstrating the approach for identifying the time of significant
peri-saccadic firing rate modulation. 95% confidence intervals were calculated for the baseline
activity of each unit (dashed grey lines) and the first deviation outside of those bounds was marked
as the time of first significant response (red line). The example units shown are the same as those
from Figure S1B.

404 (B) Average timing of first significant peri-saccadic modulation for the six neural subpopulations.

405 Input layer units, both broad- and narrow-spiking, show modulation prior to saccade onset. Two-

- 406 tailed one-sample t-test (broad-spiking input layer, **P = 0.0049; narrow-spiking input layer, *P
- 407 = 0.0492). Error bars indicate standard error of the mean.
- 408

409 Figure 4. Saccade Onset Increases Correlated Variability Most Prominently in the Input
410 Layer

411 (A) Spike count correlations as a function of time relative to saccade onset for input-input unit 412 pairs (n = 240 pairs). Correlations were calculated with a sliding 201 ms window. Thin lines 413 indicate bootstrapped 95% confidence intervals.

(B) Average start time of spike count correlation rise relative to saccade onset. Y-axis labels
indicate layer identity of unit pairs (S - superficial; I - input; D - deep). Error bars represent
bootstrapped 95% confidence intervals. Input-input pairs begin to rise before saccade onset and
before all other pair combinations. All other pairs respond after saccade onset.

418 (C) Average spike-spike coherence between pairs of simultaneously recorded units (single-units 419 and multi-units) before (-200 to 0 ms) and after (0 to 200 ms) saccade onset as a function of 420 frequency. Superficial layer, n = 299 pairs; input layer, n = 191 pairs; deep layer, n = 604 pairs. 421 Thin lines indicate standard error of the mean. Dashed lines indicate spike-spike coherence for 422 shuffled data.

423 (D) Same data as in (C), but averaged across three frequency bands and plotted as a modulation 424 index: $(SSC_{after} - SSC_{before})/(SSC_{after} + SSC_{before})$. Two-tailed one-sample t-tests (Superficial 0-12 425 Hz, *** $P = 1.64 \times 10^{-10}$; Superficial 15-25 Hz, **P = 0.0027; Superficial 30-100 Hz, P = 0.5468; 426 Input 0-12 Hz, *** $P = 2.2131 \times 10^{-7}$; Input 15-25 Hz, *** $P = 5.5458 \times 10^{-4}$; Input 30-100 Hz, ***P427 = 1.6503×10^{-5} ; Deep 0-12 Hz, **P = 0.0013; Deep 15-25 Hz, *P = 0.0116; Deep 30-100 Hz, **P428 = 0.0050). Error bars indicate standard error of the mean. 429

430 Figure 5. Saccade Onset Increases Low Frequency Power and Spike-LFP Locking

431 (A) Time-frequency representation (spectrogram) of LFP power around saccade onset. Signal

- 432 strength is represented as percent change in power (i.e. the raw power at each timepoint is divided
- 433 by the average power in the baseline period, defined here as 250 to 150 ms before saccade onset).
- 434 (B) Top: Average power spectra before (-200 to 0 ms) and after (0 to 200 ms) saccade onset. Thin
- 435 lines indicate standard error of the mean. Bottom: Same data as in top, but averaged across three
- 436 frequency bands and normalized to data before saccade onset for visualization. Two tailed paired-
- 437 sample t-tests (0-12 Hz, *** $P = 3.4669 \times 10^{-92}$; 15-25 Hz, *** $P = 9.3690 \times 10^{-74}$; 30-100 Hz, ***P
- 438 = 2.7322×10^{-9}). Error bars indicate standard error of the mean.
- 439 (C) Top: Average spike-LFP locking spectra before (-200 to 0 ms) and after (0 to 200 ms) saccade 440 onset. Thin lines indicate standard error of the mean. Bottom: Same data as in top, but averaged 441 across three frequency bands. Two tailed paired-sample t-tests (0-12 Hz, *** $P = 1.7147 \times 10^{-19}$; 15-
- 442 25 Hz, P = 0.1598; 30-100 Hz, P = 0.1091). Error bars indicate standard error of the mean.
- 443

444 Figure 6. A Computational Model Confirms that Activation of an Input Layer-Targeting 445 Projection Induces Saccadic Suppression

(A) Connectivity between populations in our computational model. Excitatory (E) and inhibitory
(I) populations within each layer project to each other as well as themselves. The input layer
excitatory population projects to the superficial layer, while the superficial excitatory population
projects to the deep layer. In our model of spontaneous saccades (left), we activate an external
excitatory projection that selectively targets the input layer. In our model of saccades executed in

the presence of visual stimuli (right), we add an additional input that selectively targets input layerexcitatory neurons.

453 (B) Normalized peri-saccadic firing rate of simulated neural subpopulations during spontaneously 454 executed saccades (n = 110 simulated saccades). The input layer inhibitory subpopulation shows 455 enhancement of firing, while all other subpopulations show suppression.

456 (C) Further dissection of input layer activity. (Top) The saccadic signal arriving in the input layer 457 was simulated with a ramping function. Represented here are saccadic signals of three different 458 strengths. From weakest to strongest, these are represented by dotted, continuous, and dashed lines, 459 both here and in the following subpanels. (Middle) The excitatory and inhibitory input layer 460 subpopulation firing rates in response to three saccadic signals of varying strength. (Bottom) Net 461 excitatory drive onto the inhibitory input layer subpopulation in response to three saccadic signals 462 of varying strength. The excitatory drive is the sum of drive from the external saccadic input and 463 drive from the local excitatory population.

464 (D) Normalized peri-saccadic firing rate of simulated neural subpopulations during saccades 465 executed in the presence of visual stimulation (n = 102 simulated saccades). Visual stimulation is 466 represented by the activation of a second input, which selectively targets the input layer excitatory 467 subpopulation. Here, all subpopulations within the cortical column show peri-saccadic 468 suppression.

469

470 Figure S1. Peri-Saccadic Single-Unit Firing Rate Estimation

471 (A) Spontaneous firing rates of each neural subpopulation.

(B) Peri-saccadic single-unit firing rates were estimated by smoothing individual spikes withgaussian kernels. The kernel bandwidth was selected for each unit at each point in time using an

474 optimization algorithm that minimizes the mean integrated squared error⁴⁵. The firing rate 475 estimates produced by this approach are shown for six representative example units, one from each 476 recorded neural subpopulation. The smoothed firing rates (black) are overlaid on the raster plots 477 showing raw spikes (blue). In the raster plot, each row represents a single saccade. Thin lines are 478 bootstrapped 95% confidence intervals of the firing rate estimate.

479

480 Figure S2. Additional Narrow-Spiking Input Layer Single-Unit Examples

Additional example narrow-spiking input layer single-units that display peri-saccadic enhancement of firing. Firing rates were estimated using a kernel smoothing approach and bandwidth optimization algorithm⁴⁵. The smoothed firing rates (black) are overlaid on the spike raster plots (blue). Thin lines are bootstrapped 95% confidence intervals of the firing rate estimate.

486 Figure S3. Single-Unit Modulation Indices

487 Single-unit modulation indices as a function of spike waveform duration. Neural populations from 488 each layer are displayed in different subplots. The dashed horizontal line represents a modulation 489 index of zero (no firing rate change), while the dashed vertical line represents the threshold 490 separating narrow- and broad- spiking units.

491

492 Figure S4. Input Layer Pairs Show Increases in Spike Count Correlations Prior to Saccade
493 Onset

494 (A) Spike count correlations as a function of time relative to saccade onset for all combinations of 495 layer-wise unit pairs. Superficial-superficial, n = 442 pairs; superficial-input, n = 558 pairs;

496 superficial-deep, n = 755 pairs; input-input, n = 240 pairs; input-deep, n = 501 pairs; deep-deep, n

497 = 680 pairs. Correlations were calculated with a sliding 201 ms window. Thin lines indicate
498 bootstrapped 95% confidence intervals.

499 (B) Normalized pupil diameter around the time of saccade onset (n = 4420 saccades). Thin lines

- 500 indicate standard error of the mean.
- 501

502 Figure S5. Phase Plane Analysis of the Input Layer E-I System

503 (A) The E-I network in the input layer.

504 (B) The nullclines and stable activity levels of the input layer E and I populations during simulation 505 of spontaneous activity. Equations and parameters are the same as those described in the Methods 506 and used in Figure 6. The orange and blue curves show the E and I nullclines, respectively, of the 507 E-I network in (A). Each point on a nullcline indicates the steady state firing rate of the E or I 508 population when the activity of the other population is fixed, i.e. the rate of change in equations 509 (3) or (4) is zero. The ISN and non-ISN regimes of the network are demarcated by the switch 510 between positive and negative slopes along the E nullclines. This ability to switch is a consequence 511 of strong E-E connectivity, and is absent when the E-E connectivity strength is either weak or zero. 512 The thin nullclines depict firing rates when saccadic input is at baseline; the thick nullclines depict 513 firing rates when saccadic input increases by a non-zero value. The intersections of the E and I 514 nullclines indicate the steady state firing rates at which the network stabilizes if allowed to seek 515 equilibrium (indicated by black and pink dots at baseline and during peak saccadic suppression, 516 respectively). As illustrated here, the nullclines for our model intersect in the non-ISN regime in 517 the absence of visual input, causing E and I activity to shift in opposite directions in response to 518 the saccadic signal.

(C) Same as in (B), but during simulated visual stimulation. The addition of excitatory input to the E population shifts the E nullclines to the right, causing them to intersect with the I nullclines in the ISN regime. As illustrated here, this causes the E and I populations to shift in the same direction in response to the saccadic signal.

523 (D) The approximate level of saccadic signal strength that corresponds to the E (orange) and I 524 (blue) nullcline sets shown in (B) and (C). The phase plane analysis is most applicable to constant 525 levels of saccadic signal, and is only an approximate depiction of the scenario of a ramp signal 526 shown in (D), and as used in the model simulation in Figure 6.

527 (E) Effect of E-E connection strength on inhibitory firing rate changes in response to the saccadic signal. Each set of E nullclines corresponds to a given E-E connection strength (W_{EE}), and 528 529 illustrates the effects of raising saccadic signal magnitude (indicated by greater line thickness), 530 causing either increases or decreases in steady state firing rates (black dots), as determined by their 531 intersection with the I nullclines. This phase plane analysis approximately predicts three ways in 532 which I firing rate can shift in response to increasing saccadic input: 1) it increases quickly in response to a small increase in saccadic signal (W_{EE_A}) , 2) it increases minimally until a threshold 533 534 level of saccadic signal is reached (W_{EER}), or 3) it first decreases then increases with rising saccadic 535 signal strength (W_{EE_c}). The operating regime shown in the simulation results (Figure 6) that 536 recapitulate experimental observations corresponds to the E-E connection strength illustrated by W_{EEB}. In this case, the network is maintained at the boundary between ISN (positive E nullcline 537 538 slope) and non-ISN (negative E nullcline slope) regimes in the absence of the saccadic signal.

539 METHODS

540 Surgical Procedures

Surgical procedures have been described in detail previously^{88,89}. In brief, an MRIcompatible, low-profile titanium chamber was placed over the pre-lunate gyrus on the basis of preoperative MRI imaging in two rhesus macaques (right hemisphere in monkey A, left hemisphere in monkey C). The native dura mater was then removed, and a silicone-based, optically clear artificial dura (AD) was inserted, resulting in an optical window over dorsal V4. All procedures were approved by the Institutional Animal Care and Use Committee and conformed to NIH guidelines.

548 Electrophysiology

549 At the beginning of each recording session, a plastic insert with an opening for targeting 550 electrodes was lowered into the chamber and secured. This served to stabilize the recording site 551 against cardiac pulsations. Neurons were recorded from cortical columns in dorsal V4 using 16-552 channel linear array electrodes ("laminar probes"; Plexon, Plexon V-probe). The laminar probes 553 were mounted on adjustable x-y stages attached to the recording chamber and positioned over the 554 center of the pre-lunate gyrus under visual guidance through a microscope (Zeiss). This ensured 555 that the probes were maximally perpendicular to the surface of the cortex and thus had the best 556 possible trajectory to make a normal penetration down a cortical column. Across recording 557 sessions, the probes were positioned over different sites along the center of the gyrus in the 558 parafoveal region of V4 with receptive field eccentricities between 2 and 7 degrees of visual angle. 559 Care was taken to target cortical sites with no surface micro-vasculature and, in fact, the surface 560 micro-vasculature was used as reference so that the same cortical site was not targeted across 561 recording sessions. The probes were advanced using a hydraulic microdrive (Narishige) to first 562 penetrate the AD and then through the cortex under microscopic visual guidance. Probes were 563 advanced until the point that the top-most electrode (toward the pial surface) registered LFP 564 signals. At this point, the probe was retracted by about 100–200 mm to ease the dimpling of the 565 cortex due to the penetration. This procedure greatly increased the stability of the recordings and 566 also increased the neuronal yield in the superficial electrodes.

567 The distance from the tip of the probes to the first electrode contact was either 300 mm or 568 700 mm. The inter-electrode distance was 150 mm, thus negating the possibility of recording the 569 same neural spikes in adjacent recording channels. Neuronal signals were recorded extra-570 cellularly, filtered, and stored using the Multi-channel Acquisition Processor system (Plexon). 571 Neuronal signals were classified as either multi-unit clusters or isolated single-units using the 572 Plexon Offline Sorter program. Single-units were identified based on two criteria: (1) if they 573 formed an identifiable cluster, separate from noise and other units, when projected into the 574 principal components of waveforms recorded on that electrode and (2) if the inter-spike interval 575 (ISI) distribution had a well-defined refractory period. Single-units were classified as either 576 narrow-spiking (putative interneurons) or broad-spiking (putative pyramidal cells) based on 577 methods described in detail previously⁴¹. Specifically, only units with waveforms having a clearly 578 defined peak preceded by a trough were potential candidates. Units with trough-to-peak duration 579 less than 225 ms were classified as narrow-spiking units; units with trough- to-peak duration 580 greater than 225 ms were classified as broad-spiking units.

581

Data were collected over 17 sessions (8 sessions in monkey A and 9 in monkey C), yielding 582 a total of 211 single-units (99 narrow-spiking, 112 broad-spiking) and 110 multi-unit clusters.

583 Recording 584 Stimuli were presented on a computer monitor placed 57 cm from the eye. Eye position 585 was continuously monitored with an infrared eye tracking system (ISCAN ETL-200). Trials were 586 aborted if eye position deviated more than 1 degree of visual angle from fixation. Experimental 587 control was handled by NIMH Cortex software (http://www.cortex.salk.edu/).

588 **Receptive Field Mapping**

589 At the beginning of each recording session, neuronal RFs were mapped using subspace 590 reverse correlation in which Gabor (eight orientations, 80% luminance contrast, spatial frequency 591 1.2 cycles/degree, Gaussian half-width 2 degrees) or ring (80% luminance contrast) stimuli 592 appeared at 60 Hz while monkeys fixated. Each stimulus appeared at a random location selected 593 from an 11 x 11 grid with 1 degree spacing in the appropriate visual quadrant. Spatial receptive 594 maps were obtained by applying reverse correlation to the evoked LFP signal at each recording 595 site. For each spatial location in the 11 x 11 grid, we calculated the time-averaged power in the 596 stimulus-evoked LFP (0–200 ms after each stimulus flash) at each recording site. The resulting 597 spatial map of LFP power was taken as the spatial RF at the recording site. For the purpose of 598 visualization, the spatial RF maps were smoothed using spline interpolation and displayed as 599 stacked contours plots of the smoothed maps (Figure 1B). All RFs were in the lower visual 600 quadrant (lower left in monkey A and lower right in monkey C) and with eccentricities between 2 601 and 7 degrees of visual angle.

602 **Current Source Density Mapping**

603 In order to estimate the laminar identity of each recording channel, we used a CSD mapping 604 procedure³⁹. Monkeys maintained fixation while 100% luminance contrast ring stimuli were 605 flashed (30 ms), centered at the estimated RF overlap region across all channels. The size of the 606 ring was scaled to about three-quarters of the estimated diameter of the RF. CSD was calculated

as the second spatial derivative of the flash-triggered LFPs. The resulting time-varying traces of current across the cortical layers can be visualized as CSD maps (Figure 1C). Red regions depict current sinks in the corresponding region of the cortical laminae; blue regions depict current sources. The input layer (Layer 4) was identified as the first current sink followed by a reversal to current source. The superficial (Layers 1–3) and deep (Layers 5 and 6) layers had opposite sinksource patterns. LFPs and spikes from the corresponding recording channels were then assigned to one of three layers: superficial, input, or deep.

614 Spontaneous Recordings

In the main experiment, all monitors and lights were turned off in the recording room and adjacent control room to ensure that the environment was as dark as experimentally feasible. Luminance inside the recording chamber was less than $9x10^{-4}$ cd/m² (SpectraScan PR 701S, Photo Research). Eye tracker and electrophysiological data were recorded while the animals executed eye-movements freely in the dark.

620 Data Analysis

621 Saccade Identification

622 To identify saccade onset and offset times from the raw eye-tracker data, we used the Cluster Fix algorithm⁹⁰. Cluster Fix performs k-means clustering on four parameters (distance, 623 624 velocity, acceleration, and angular velocity) to find natural partitions in the eye-tracker data and 625 identify saccades. To ensure that our set of identified eye movements was 'clean,' we imposed 626 several additional criteria: 1) to avoid adjacent saccades affecting our analysis, we only considered 627 saccades separated from neighboring saccades by at least 500 ms, 2) to exclude microsaccades, we 628 only considered saccades that were greater in amplitude than 0.7 degrees of visual angle, 3) to 629 exclude slower eye movements that Cluster Fix had mislabeled as saccades, we only considered eye movements less than 200 ms in duration, and 4) to exclude periods of data erroneously labeled
as saccades due to blinking or drowsiness, we identified those periods using pupil diameter
measurements and excluded nearby saccades.

Saccadic eye movements are known to have an approximately linear relationship between amplitude and peak velocity that is referred to as the main sequence³⁸. To ensure that our approach identified a set of eye movements that quantitatively resembled the properties of saccades, we calculated the amplitude and peak velocity for each of the identified eye movements and found an approximately linear relationship (Figure 1A).

638 Firing Rate Estimation and Modulation Index

639 To estimate the peri-saccadic firing rate, spikes were extracted for each saccade beginning 640 500 ms prior to saccade onset and ending 500 ms after saccade onset. To obtain a continuous 641 estimate of the firing rate, each spike was convolved with a gaussian kernel and the average firing 642 rate across saccades was calculated for each unit (Figure S1B; Figure S2). The kernel bandwidth 643 was selected on a unit-by-unit basis at each point in time using a bandwidth optimization algorithm 644 that minimized the mean integrated squared error⁴⁵. In order to obtain reliable firing rate estimates, 645 only units that fired at least 75 spikes within 500 ms of saccade onset (before or after) were 646 included.

A modulation index was calculated for each unit to estimate the degree to which their activity deviated from baseline following saccade onset (Figure 2D, E; Figure S3). The modulation index was defined as $FR_s/FR_b - 1$, where FR_s is the maximum firing rate in the 200 ms after saccade onset and FR_b is the baseline firing rate of the unit, calculated by averaging the firing rate for each unit in a time window 350 to 250 ms before saccade onset.

652 Spike Count Correlations

653 To obtain a continuous estimate of spike count correlations, we calculated the Pearson 654 correlation of spike counts across saccades at each time bin for every pair of simultaneously 655 recorded single- and multi-units (Figure 4A, Figure S4A). The window was 201 ms centered in 656 time and was shifted by 1 ms steps. Spike counts were z-scored to control for firing rate differences 657 across time. Traces were smoothed with a 101 ms Gaussian weighted moving average. To perform 658 the timing analysis, we took the local minimum in correlation as the time at which the rise begins. 659 Bootstrapping was performed for each pair type to obtain confidence intervals on the estimate 660 (Figure 4B).

661 Spike-Spike Coherence

662 We computed the coherence between simultaneously recorded, multi-unit pairs within a cortical layer using multi-taper methods⁹¹ for two different windows: the 200 ms before and after 663 saccade onset (Figure 4C). Spike trains were tapered with a single Slepian taper (TW = 3, K = 5). 664 665 Magnitude of coherence estimates depend on the number of spikes used to create the estimates⁹². 666 To control for differences in firing rate across the two windows, we adopted a rate-matching 667 procedure⁵³. In order to obtain a baseline for the coherence expected solely due to trends in firing 668 time-locked to saccade onset, we also computed coherence in which saccade identities were 669 randomly shuffled. Only units that elicited at least 50 spikes from each neuron cumulatively across 670 all saccades in both time windows were considered. A modulation index, defined as $(SSC_{after} - SSC_{before})/(SSC_{after} + SSC_{before})$, was calculated for each pair of units at each 671 672 frequency band (Figure 4D).

673 *Power*

674 Local field potential power was calculated with multi-tapered methods⁹¹. Signals were 675 tapered with a single Slepian taper (TW = 3, K = 5). Spectrograms (Figure 5A) were generated by sliding a 201 ms window by increments of 1 ms. Power spectra before (-200 to 0 ms) and after (0
to 200 ms) saccade onset were calculated with static variants of the same methods (Figure 5B). No
layer-specific differences were found, so results shown here are averaged across all recording
channels.

680 Pairwise Phase Consistency

681 The pairwise phase consistency (PPC) is an estimate of spike-field locking that is not 682 biased by spike count or firing rate⁹³. Two segments of data were analyzed: the 200 ms before and 683 after saccade onset. In order to obtain reliable estimates, only units that fired at least 50 spikes 684 cumulatively across all saccades during both time windows were considered. The spectro-temporal 685 representation of the local field potential signal was generated through a continuous wavelet 686 transform with a family of complex Morlet wavelets (spanning frequencies from 2 to 100 Hz, with 687 6 cycles at each frequency). Phase information was extracted at the time of each spike, and the 688 PPC was then calculated for each unit with each LFP channel in the laminar probes (Figure 5C). 689 We observed minimal change in the PPC as a function of LFP layer, so the results presented here 690 were averaged across all recording channels.

691 *Computational Model*

The firing rate or mean field model consists of a network of six populations representing excitatory (E) and inhibitory (I) populations in the superficial (L1), input (L2), and deep (L3) layers of the cortex. The firing rate model describes temporal changes in the firing rates (r) of each population as a function of the activity of two external inputs (i_{sacc} , the saccadic signal, and i_{stim} , the visual signal) and the activity of other populations in the network. The connectivity between populations is depicted in Figure 6A. The network consists of a coupled E-I network in each layer and captures the core aspect of connectivity between cortical layers: information flow from input to superficial to deep layer. The saccadic signal is sent to both E and I populations in the input layer, while the visual signal is sent only to the E population in the input layer. The saccadic signal is described by a ramping function (Figure 6C, top). The visual signal is only activated in the second set of simulations, where it is held constant to replicate sustained visual input. The sixpopulation rate model is described by the following equations:

704
$$\tau_E \frac{dr_{E_{L1}}}{dt} = -r_{E_{L1}} + G_E \left(W_{EE} \cdot r_{E_{L1}} - W_{EI} \cdot r_{I_{L1}} + W_{EE_{L12}} \cdot r_{E_{L2}} \right)$$
(1)

705
$$\tau_I \frac{dr_{I_{L1}}}{dt} = -r_{I_{L1}} + G_I \Big(W_{IE} \cdot r_{E_{L1}} - W_{II} \cdot r_{I_{L1}} + W_{IE_{L12}} \cdot r_{E_{L2}} \Big)$$
(2)

706
$$\tau_E \frac{dr_{E_{L2}}}{dt} = -r_{E_{L2}} + G_E \Big(W_{EE} \cdot r_{E_{L2}} - W_{EI} \cdot r_{I_{L2}} + W_{EE_{SS}} \cdot i_{sacc} + i_{stim} \Big)$$
(3)

707
$$\tau_{I} \frac{dr_{I_{L2}}}{dt} = -r_{I_{L2}} + G_{I} \left(W_{IE} \cdot r_{E_{L2}} - W_{II} \cdot r_{I_{L2}} + W_{IE_{SS}} \cdot i_{sacc} \right)$$
(4)

708
$$\tau_E \frac{dr_{E_{L3}}}{dt} = -r_{E_{L3}} + G_E \Big(W_{EE} \cdot r_{E_{L3}} - W_{EI} \cdot r_{I_{L3}} + W_{EE_{L32}} \cdot r_{E_{L1}} \Big)$$
(5)

709
$$\tau_I \frac{dr_{I_{L3}}}{dt} = -r_{I_{L3}} + G_I \left(W_{IE} \cdot r_{E_{L3}} - W_{II} \cdot r_{I_{L3}} + W_{IE_{L32}} \cdot r_{E_{L1}} \right)$$
(6)

710
$$G(x) = \begin{cases} 0 & \text{for } x < \theta \\ m(x-\theta)^{2.5} & \text{for } \theta < x < \theta + 1/m \\ 1 & \text{for } x > \theta + 1/m \end{cases}$$
(7)

711 τ_E and τ_I are the rates at which the excitatory and inhibitory populations approach their steady 712 states. G_E and G_I are the population response functions, described by equation (7), for the 713 excitatory and inhibitory populations that transform the given inputs into a firing response. θ is 714 the threshold input and *m* is the rate of the response function. Parameters tuned in the model to 715 recapitulate the population level observations in experimental data: $W_{EE} = 25$, $W_{II} = 4$, $W_{EI} =$ 716 25, $W_{IE} = 20$, $W_{EE_{L12}} = 20$, $W_{IE_{L12}} = 2$, $W_{EE_{SS}} = 0.5$, $W_{EI_{SS}} = 3$, $W_{EE_{L32}} = 30$, $W_{IE_{L32}} = 4$, $\tau_E =$

717 0.008,
$$\tau_I = 0.004$$
, $m_E = 0.001$, $m_I = 0.005$, $\theta_{E_{L1}} = -3$, $\theta_{E_{L2}} = -5$, $\theta_{E_{L3}} = -5$, $\theta_{I_{L1}} = 0$,
718 $\theta_{I_{L2}} = 8$, $\theta_{I_{L3}} = 8$, $i_{stim} = 10$.

719 A further tuning was applied to the model to switch each E-I population between an 720 inhibitory stabilized network (ISN) regime and a non-ISN one⁶⁰. Experimental data from visual 721 cortex suggest that a cortical network switches to an ISN regime during stimulus processing⁵⁹, 722 hence we included this feature to generate model predictions for saccadic suppression-related 723 laminar activity during stimulus presentation. Previous modeling work has shown that a firing-724 rate E-I network model can switch between these regimes either by modification of connection 725 weights (W), change in slope or rate of response functions (m) or a combination of both⁶⁰. We 726 implemented the simplest mechanism for the network to switch between ISN and non-ISN 727 regime: nonlinear response functions. When visual stimulation (modeled as an excitatory input to 728 the input layer E population) is applied to the model cortical column, the network moves to a 729 higher slope region of its response functions, and switches to the ISN operating regime. A 730 phase-plane analysis of the E-I network model illustrates how this results in a distinct response 731 of the network to exactly the same saccadic signal input (Figure S5). 732

733

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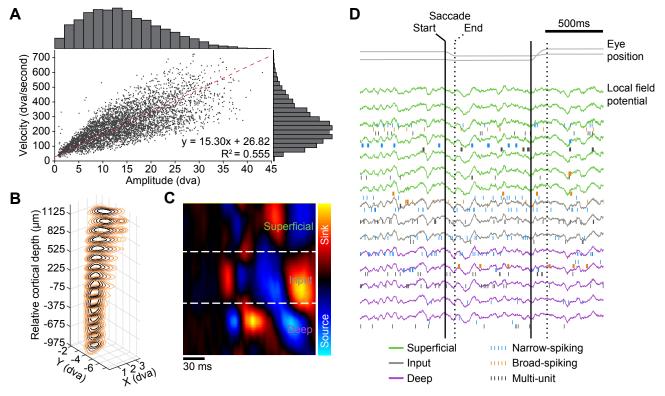


Figure 1. Laminar Recordings and Saccade Identification

(A) The amplitude-velocity relationship ('main sequence') for all saccades (n = 4420) in the dataset. An approximately linear trend is expected for saccades, as is found here ($R^2 = 0.555$). dva = degrees of visual angle.

(B) Stacked contour plot showing spatial receptive fields (RFs) along the laminar probe from an example session. The RFs are well aligned, indicating perpendicular penetration down a cortical column. Zero depth represents the center of the input layer as estimated with current source density (CSD) analysis.

(C) CSD displayed as a colored map. The x-axis represents time from stimulus onset; the y-axis represents cortical depth. The CSD map has been spatially smoothed for visualization.

(D) Example of continuous eye-tracker and electrophysiological recordings. Eye traces are plotted at the top in grey. LFP traces are plotted by depth below, and spikes are overlaid on the corresponding channel. LFP traces have been color coded by layer identity; spikes have been color coded by cell type.

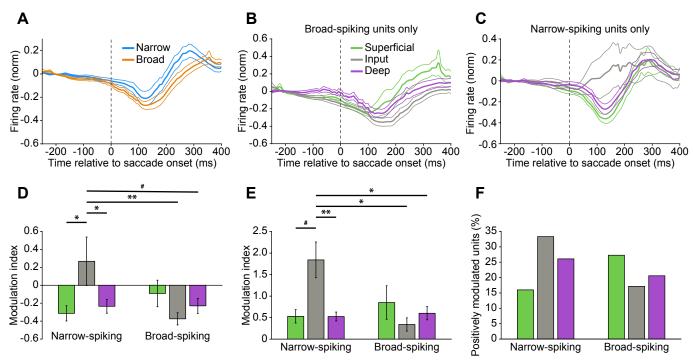


Figure 2. Narrow-Spiking Input Layer Units Display Peri-Saccadic Enhancement of Firing

(A) Average peri-saccadic normalized firing rate for broad- (n = 102 units) and narrow-spiking (n = 95 units) populations. Thin lines indicate standard error of the mean.

(B) As in (A), but including only broad-spiking units from the superficial (n = 33), input (n = 35), and deep (n = 34) layer populations.

(C) As in (A), but including only narrow-spiking units from the superficial (n = 25 units), input (n = 24 units), and deep (n = 46 units) layer populations.

(D) Average peri-saccadic modulation index for the six neural subpopulations. Two-way ANOVA ($F_{layer} = 1.16$, P = 0.3151; $F_{cell type} = 1.84$, P = 0.1765; $F_{interaction} = 5.98$, P = 0.0030) with Tukey's multiple comparison tests (narrow-spiking superficial vs narrow-spiking input, *P = 0.0423; narrow-spiking input vs narrow-spiking deep, *P = 0.0495; narrow-spiking input vs broad-spiking input, **P = 0.0070; narrow-spiking input vs broad-spiking deep, *P = 0.0817). Error bars indicate standard error of the mean.

(E) Average peri-saccadic modulation index for positively modulated units from the six neural subpopulations. Twoway ANOVA ($F_{layer} = 1.87$, P = 0.1666; $F_{cell type} = 2.32$, P = 0.1355; $F_{interaction} = 5.51$, P = 0.0077) with Tukey's multiple comparison tests (narrow-spiking superficial vs narrow-spiking input, *P = 0.0833; narrow-spiking input vs narrow-spiking deep, **P = 0.0073; narrow-spiking input vs broad-spiking input, *P = 0.0106; narrow-spiking input vs broad-spiking deep, *P = 0.0384). Error bars indicate standard error of the mean.

(F) Proportion of units in each neural subpopulation with a positive peri-saccadic modulation index.

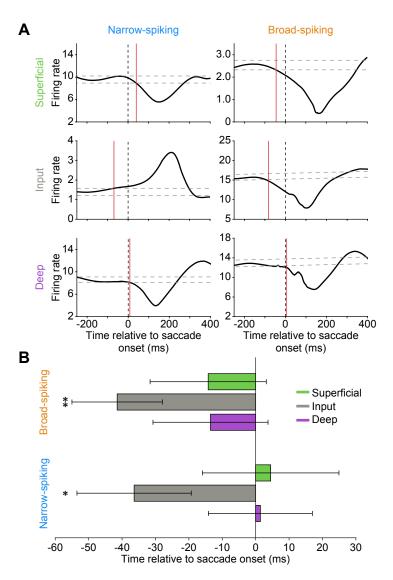


Figure 3. Input Layer Units Display Modulation Prior to Saccade Onset

(A) Six example single-units demonstrating the approach for identifying the time of significant peri-saccadic firing rate modulation. 95% confidence intervals were calculated for the baseline activity of each unit (dashed grey lines) and the first deviation outside of those bounds was marked as the time of first significant response (red line). The example units shown are the same as those from Figure S1B.

(B) Average timing of first significant peri-saccadic modulation for the six neural subpopulations. Input layer units, both broad- and narrow-spiking, show modulation prior to saccade onset. Two-tailed one-sample t-test (broad-spiking input layer, **P = 0.0049; narrow-spiking input layer, *P = 0.0492). Error bars indicate standard error of the mean.

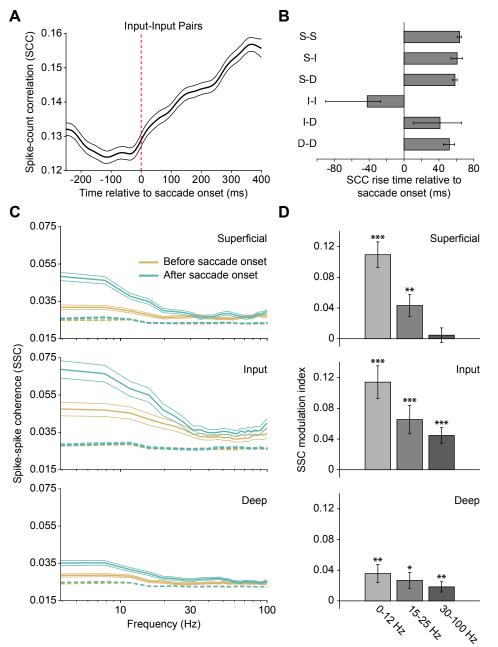


Figure 4. Saccade Onset Increases Correlated Variability Most Prominently in the Input Layer

(A) Spike count correlations as a function of time relative to saccade onset for input-input unit pairs (n = 240 pairs). Correlations were calculated with a sliding 201 ms window. Thin lines indicate bootstrapped 95% confidence intervals.

(B) Average start time of spike count correlation rise relative to saccade onset. Y-axis labels indicate layer identity of unit pairs (S - superficial; I - input; D - deep). Error bars represent bootstrapped 95% confidence intervals. Input-input pairs begin to rise before saccade onset and before all other pair combinations. All other pairs respond after saccade onset.

(C) Average spike-spike coherence between pairs of simultaneously recorded units (single-units and multi-units) before (-200 to 0 ms) and after (0 to 200 ms) saccade onset as a function of frequency. Superficial layer, n = 299 pairs; input layer, n = 191 pairs; deep layer, n = 604 pairs. Thin lines indicate standard error of the mean. Dashed lines indicate spike-spike coherence for shuffled data.

(D) Same data as in (C), but averaged across three frequency bands and plotted as a modulation index: $(SSC_{after} - SSC_{before})/(SSC_{after} + SSC_{before})$. Two-tailed one-sample t-tests (Superficial 0-12 Hz, ****P* = 1.64×10⁻¹⁰; Superficial 15-25 Hz, ***P* = 0.0027; Superficial 30-100 Hz, *P* = 0.5468; Input 0-12 Hz, ****P* = 2.2131×10⁻⁷; Input 15-25 Hz, ****P* = 5.5458×10⁻⁴; Input 30-100 Hz, ****P* = 1.6503×10⁻⁵; Deep 0-12 Hz, ****P* = 0.0013; Deep 15-25 Hz, ***P* = 0.0116; Deep 30-100 Hz, ***P* = 0.0050). Error bars indicate standard error of the mean.

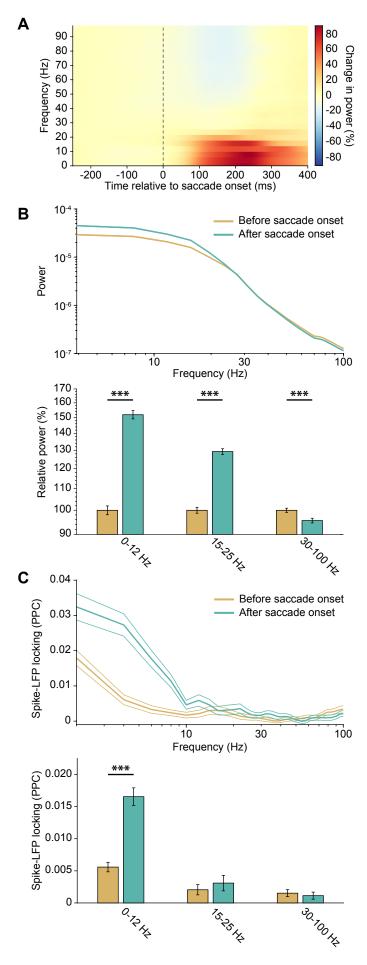


Figure 5. Saccade Onset Increases Low Frequency Power and Spike-LFP Locking

(A) Time-frequency representation (spectrogram) of LFP power around saccade onset. Signal strength is represented as percent change in power (i.e. the raw power at each timepoint is divided by the average power in the baseline period, defined here as 250 to 150 ms before saccade onset).

(B) Top: Average power spectra before (-200 to 0 ms) and after (0 to 200 ms) saccade onset. Thin lines indicate standard error of the mean. Bottom: Same data as in top, but averaged across three frequency bands and normalized to data before saccade onset for visualization. Two tailed paired-sample t-tests (0-12 Hz, ***P = 3.4669×10⁻⁹²; 15-25 Hz, ***P = 9.3690×10⁻⁷⁴; 30-100 Hz, ***P = 2.7322×10⁻⁹). Error bars indicate standard error of the mean.

(C) Top: Average spike-LFP locking spectra before (-200 to 0 ms) and after (0 to 200 ms) saccade onset. Thin lines indicate standard error of the mean. Bottom: Same data as in top, but averaged across three frequency bands. Two tailed paired-sample t-tests (0-12 Hz, ***P = 1.7147×10⁻¹⁹; 15-25 Hz, P = 0.1598; 30-100 Hz, P = 0.1091). Error bars indicate standard error of the mean.

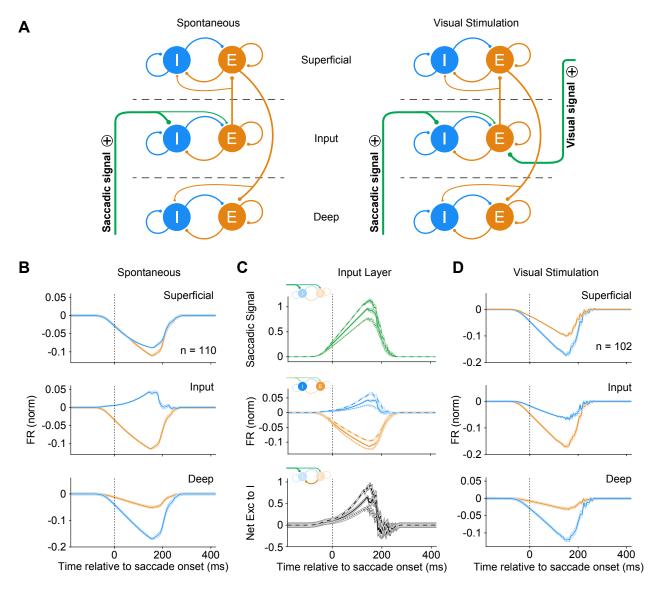


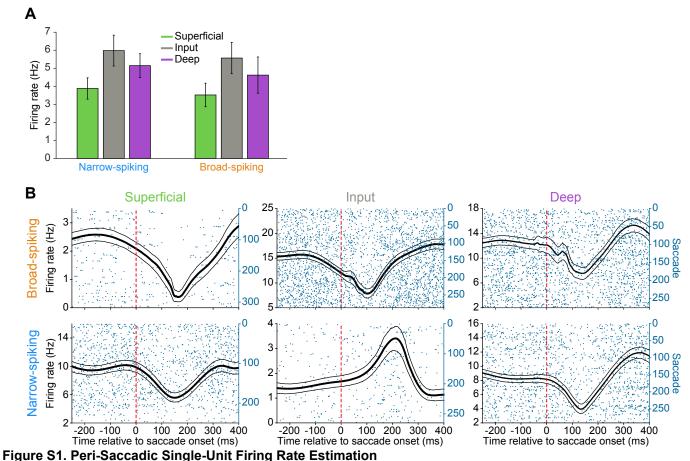
Figure 6. A Computational Model Confirms that Activation of an Input Layer-Targeting Projection Induces Saccadic Suppression

(A) Connectivity between populations in our computational model. Excitatory (E) and inhibitory (I) populations within each layer project to each other as well as themselves. The input layer excitatory population projects to the superficial layer, while the superficial excitatory population projects to the deep layer. In our model of spontaneous saccades (left), we activate an external excitatory projection that selectively targets the input layer. In our model of saccades executed in the presence of visual stimuli (right), we add an additional input that selectively targets input layer excitatory neurons.

(B) Normalized peri-saccadic firing rate of simulated neural subpopulations during spontaneously executed saccades (n = 110 simulated saccades). The input layer inhibitory subpopulation shows enhancement of firing, while all other subpopulations show suppression.

(C) Further dissection of input layer activity. (Top) The saccadic signal arriving in the input layer was simulated with a ramping function. Represented here are saccadic signals of three different strengths. From weakest to strongest, these are represented by dotted, continuous, and dashed lines, both here and in the following subpanels. (Middle) The excitatory and inhibitory input layer subpopulation firing rates in response to three saccadic signals of varying strength. (Bottom) Net excitatory drive onto the inhibitory input layer subpopulation in response to three saccadic signals of varying strength. The excitatory drive is the sum of drive from the external saccadic input and drive from the local excitatory population.

(D) Normalized peri-saccadic firing rate of simulated neural subpopulations during saccades executed in the presence of visual stimulation (n = 102 simulated saccades). Visual stimulation is represented by the activation of a second input, which selectively targets the input layer excitatory subpopulation. Here, all subpopulations within the cortical column show peri-saccadic suppression.



(A) Spontaneous firing rates of each neural subpopulation.

(B) Peri-saccadic single-unit firing rates were estimated by smoothing individual spikes with gaussian kernels. The kernel bandwidth was selected for each unit at each point in time using an optimization algorithm that minimizes the mean integrated squared error⁴⁵. The firing rate estimates produced by this approach are shown for six representative example units, one from each recorded neural subpopulation. The smoothed firing rates (black) are overlaid on the raster plots showing raw spikes (blue). In the raster plot, each row represents a single saccade. Thin lines are bootstrapped 95% confidence intervals of the firing rate estimate.

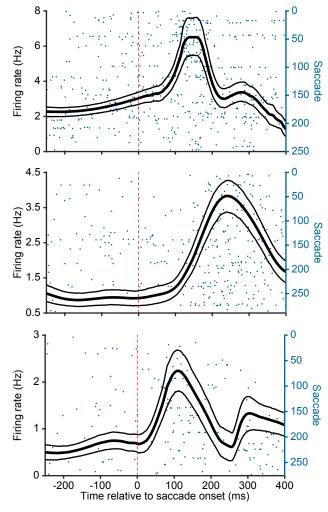


Figure S2. Additional Narrow-Spiking Input Layer Single-Unit Examples

Additional example narrow-spiking input layer single-units that display peri-saccadic enhancement of firing. Firing rates were estimated using a kernel smoothing approach and bandwidth optimization algorithm⁴⁵. The smoothed firing rates (black) are overlaid on the spike raster plots (blue). Thin lines are bootstrapped 95% confidence intervals of the firing rate estimate.

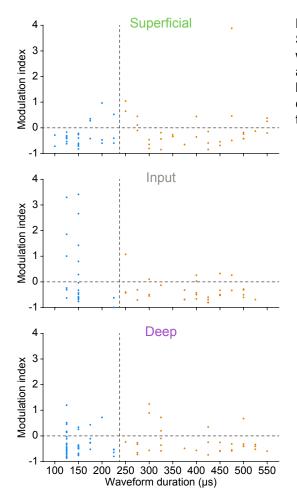


Figure S3. Single-Unit Modulation Indices

Single-unit modulation indices as a function of spike waveform duration. Neural populations from each layer are displayed in different subplots. The dashed horizontal line represents a modulation index of zero (no firing rate change), while the dashed vertical line represents the threshold separating narrow- and broad- spiking units.

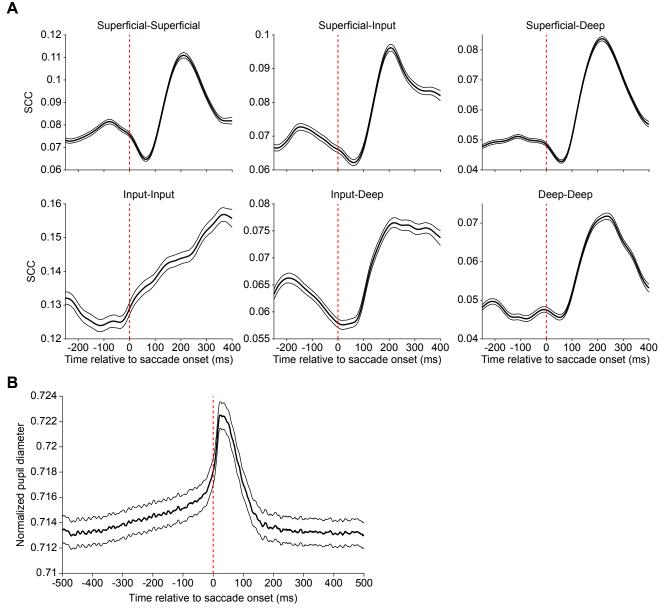


Figure S4. Input Layer Pairs Show Increases in Spike Count Correlations Prior to Saccade Onset

(A) Spike count correlations as a function of time relative to saccade onset for all combinations of layer-wise unit pairs. Superficial-superficial, n = 442 pairs; superficial-input, n = 558 pairs; superficial-deep, n = 755 pairs; input-input, n = 240 pairs; input-deep, n = 501 pairs; deep-deep, n = 680 pairs. Correlations were calculated with a sliding 201 ms window. Thin lines indicate bootstrapped 95% confidence intervals.

(B) Normalized pupil diameter around the time of saccade onset (n = 4420 saccades). Thin lines indicate standard error of the mean.

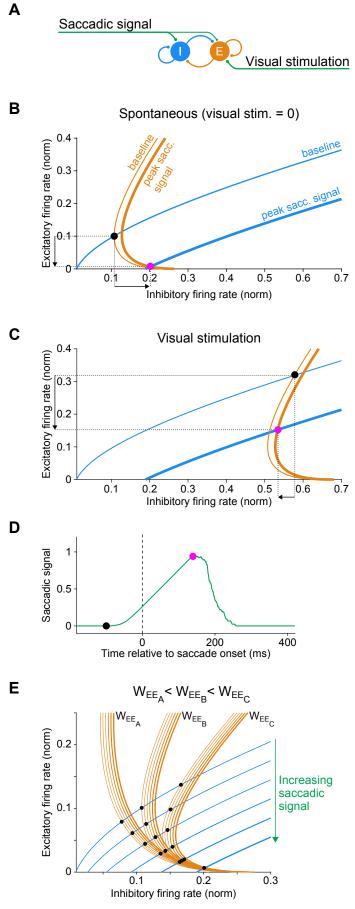


Figure S5. Phase Plane Analysis of the Input Layer E-I System

(A) The E-I network in the input layer.

(B) The nullclines and stable activity levels of the input layer E and I populations during simulation of spontaneous activity. Equations and parameters are the same as those described in the Methods and used in Figure 6. The orange and blue curves show the E and I nullclines, respectively, of the E-I network in (A). Each point on a nullcline indicates the steady state firing rate of the E or I population when the activity of the other population is fixed, i.e. the rate of change in equations (3) or (4) is zero. The ISN and non-ISN regimes of the network are demarcated by the switch between positive and negative slopes along the E nullclines. This ability to switch is a consequence of strong E-E connectivity, and is absent when the E-E connectivity strength is either weak or zero. The thin nullclines depict firing rates when saccadic input is at baseline; the thick nullclines depict firing rates when saccadic input increases by a non-zero value. The intersections of the E and

I nullclines indicate the steady state firing rates at which the network stabilizes if allowed to seek equilibrium (indicated by black and pink dots at baseline and during peak saccadic suppression, respectively). As illustrated here, the nullclines for our model intersect in the non-ISN regime in the absence of visual input, causing E and I activity to shift in opposite directions in response to the saccadic signal.

(C) Same as in (B), but during simulated visual stimulation. The addition of excitatory input to the E population shifts the E nullclines to the right, causing them to intersect with the I nullclines in the ISN regime. As illustrated here, this causes the E and I populations to shift in the same direction in response to the saccadic signal.

(D) The approximate level of saccadic signal strength that corresponds to the E (orange) and I (blue) nullcline sets shown in (B) and (C). The phase plane analysis is most applicable to constant levels of saccadic signal, and is only an approximate depiction of the scenario of a ramp signal shown in (D), and as used in the model simulation in Figure 6.

(E) Effect of E-E connection strength on inhibitory firing rate changes in response to the saccadic signal. Each set of E nullclines corresponds to a given E-E connection strength (W_{EE}) , and illustrates the effects of raising saccadic signal magnitude (indicated by greater line thickness), causing either increases or decreases in steady state firing rates (black dots), as determined by their intersection with the I nullclines. This phase plane analysis approximately predicts three ways in which I firing rate can shift in response to increasing saccadic input: 1) it increases quickly in response to a small increase in saccadic signal (W_{EE}), 2) it increases minimally until a threshold level of saccadic signal is reached (W_{EER}), or 3) it first decreases then increases with rising saccadic signal strength ($W_{FE_{C}}$). The operating regime shown in the simulation results (Figure 6) that recapitulate experimental observations corresponds to the E-E connection strength illustrated by W_{EER}. In this case, the network is maintained at the boundary between ISN (positive E nullcline slope) and non-ISN (negative E nullcline slope) regimes in the absence of the saccadic signal.